

Subject: FW: ****REVISED**** RE: Non Post Transactions 05-09-2019 For AC 42953432 [I]
From: Brigid Macias [REDACTED]
Date: Fri, 10 May 2019 14:40:14 -0400
To: [REDACTED]
Cc: Bhavesh Chandani [REDACTED]
Rishabh Sharma <[REDACTED]>
Oversight Control [REDACTED]
Vikas Dave [REDACTED]
[REDACTED]
Stewart Oldfield <[REDACTED]>,
Natalie Barak [REDACTED]

Good Afternoon,

RE: [REDACTED] - HYPERION AIR, LLC

Can you please provide an approval to temporarily open the above referenced account so we can manually post a credit and debit, then close the account?

The client processed an internal transfer from Online Banking from account [REDACTED] - JEFFREY EPSTEIN for \$100,000 to the above account. The client then processed an outgoing wire (case [REDACTED]) for \$100,000. Both transactions were processed on 5/9/19.

I put up case 02087297 to close the account as the balance was zero on 5/9/19.

The issue we are having is, because the above account was closed, the back office cannot post the credit and debit accordingly. As per my conversation with the client, the recipient has already received the funds from the online banking wire. The client doesn't want to post the debit to another account as they see everything processed accordingly.

The second issue will be, if we leave this alone, it will be an audit issue if we cannot show evidence the credit and debit were posted correctly.

Once we process the credit and debit, I can close the account.

If you need to speak with me, please call me.

Kind regards,
Brigid Macias

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Brigid Macias
Sent: Friday, May 10, 2019 2:17 PM
To: Rishabh Sharma <rishabh.sharma@db.com>; Stewart Oldfield
<[REDACTED]>; Bradley Gillin <[REDACTED]> Monica
Gilkinson <[REDACTED]> Rachel Wachs <[REDACTED]>
Cc: Natalie Barak <[REDACTED]>

[REDACTED] Oversight Control
[REDACTED] Vikas Dave <[REDACTED]>; Armen Brash
[REDACTED] Daphne Cales <[REDACTED]>

Subject: RE: ****REVISED**** RE: Non Post Transactions 05-09-2019 For AC

[REDACTED] [I]

Importance: High

Im on with Bhavesh at the moment regarding this.

Kind regards,
Brigid Macias

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Rishabh Sharma
Sent: Friday, May 10, 2019 1:49 PM
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin
[REDACTED]; Monica Gilkinson [REDACTED] Rachel
Wachs [REDACTED] Brigid Macias [REDACTED]
Cc: Natalie Barak [REDACTED]
[REDACTED] Oversight Control
[REDACTED] Vikas Dave [REDACTED] Armen Brash
[REDACTED] Daphne Cales [REDACTED]
Subject: ****REVISED**** RE: Non Post Transactions 05-09-2019 For AC
[REDACTED] [I]

Classification: For internal use only

Hello,

Please provide update on below before our cutoff of 2:30 PM EST.

We cannot hold both these Debit and Credit breaks in our Non post GL, hence would need your decision today.

Regards,

Rishabh Sharma

{cid:image003.png@01D20F46.0F9EFA10}

DBOI Global Services Private Limited

COO Wealth Management Operations

Global Business Services

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Please send all the Banking related Queries to our new Group E-mail ID

[REDACTED]

From: Naveen Bagaria [mailto:[REDACTED]]

Sent: Friday, May 10, 2019 11:42 AM

To: Brigid Macias [REDACTED]

Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak

[REDACTED]
[REDACTED] Oversight Control

Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Classification: For internal use only

Absolutely not, Brigid.

This account # [REDACTED] doesn't even have a penny at this moment. Both the credit and debit transaction for 100K got stuck in Non Post as the account is closed. In order to pass both these transactions using this account – it needs to be open & active.

And most importantly if you wish to close the account again, you need to make sure that happens the next day. Please let me know if this makes sense?

Regards

Naveen Bagaria

COO - Wealth Management Operations

DBOI Global Services Private Limited

[REDACTED]
Please send all Banking related queries to our new Group E-mail ID -
[REDACTED]

From: Brigid Macias [REDACTED]
Sent: Friday, May 10, 2019 11:33 AM

EFTA01427829

To: Ankit-Kumar Sharma [REDACTED] Bhavesh Chandani

Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak

[REDACTED] Oversight Control

Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

This account [REDACTED]

Kind regards,
Brigid Macias

{cid:image001.png@01D50725.12063A00}

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Ankit-Kumar Sharma
Sent: Friday, May 10, 2019 11:32 AM
To: Brigid Macias [REDACTED] Bhavesh Chandani

EFTA01427830

[REDACTED]
Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak [REDACTED]

[REDACTED] Oversight Control

Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Hi Brigid,

Which account?

Kind regards ?

{cid:image001.png@01D1D7AA.4A648990}

Ankit Kumar Sharma

Analyst I PWM-US Ops – Banking

C00 - Wealth Management Operations

DBOI Global Services Private Limited

A-1 Tower , Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

[REDACTED]

Please send all Banking related queries to our new Group E-mail ID -
gtopwmbankingexceptioncheckprocessing@list.db.com

From: Brigid Macias [REDACTED]

Sent: Friday, May 10, 2019 11:27 AM

To: Bhavesh Chandani [REDACTED]

Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak

[REDACTED]
Oversight Control

Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]
Importance: High

Can we post to this account? Will the account be overdrawn?

Kind regards,
Brigid Macias

{cid:image001.png@01D50725.12063A00}

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154

[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Bhavesh Chandani
Sent: Friday, May 10, 2019 11:24 AM
To: Brigid Macias <brigid.macias@db.com>
Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak

[REDACTED]
Oversight Control
[REDACTED]; Rishabh

Sharma <[REDACTED]>

EFTA01427832

Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Classification: For internal use only

Hi Brigid,

For the below account client submitted a wire yesterday.

As yesterday you also submitted a case to close the account, so when the night batch ran it got account closed so the entry was bounced back to non-post.

Please let me know if I can call you regarding the same.

Kind Regards?

Bhavesh Chandani

{cid:image002.png@01D1AB5D.AD617A90}

Bhavesh Chandani
Process Supervisor | PWM US Operations
DBOI Global Services Private Limited
COO Wealth Management Operations
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India
Mobile [REDACTED]
VOIP [REDACTED]
telephone: [REDACTED]
Email [REDACTED]

{cid:image003.png@01D424E9.BC333740}

Integrity | Sustainable Performance | Client Centricity | Innovation |
Discipline | Partnership

Please send all the Banking related Queries to our new Group E-mail ID

[REDACTED]

From: Rishabh Shah [mailto:[REDACTED]]
Sent: Friday, May 10, 2019 10:57 AM
To: Rishabh Sharma <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Brigid Macias
[REDACTED] Natalie Barak [REDACTED]
[REDACTED]; Oversight Control
Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Please advise Brigid on below.

Regards,

{https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif}

RISHABH SHAH

Wealth Management

(PWM US OPS - BANKING)

EFTA01427834

DBOI Global Services Pvt Ltd.

Deutsche Bank Group

A-1 & A-2, Evolve - Mahindra Technology Park,

Inside Mahindra World City - SEZ, 302029 Jaipur, India

From: Brigid Macias

Sent: Friday, May 10, 2019 9:49 AM

To: Rishabh Shah [REDACTED]

Cc: Oversight Control <[REDACTED]> Natalie Barak

[REDACTED]; Stewart Oldfield <[REDACTED]>

Subject: FW: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Importance: High

Good Morning,

Can you please advise what this means? The client put up case [REDACTED] on 5/9 so the funds should have been deducted yesterday from the account.

Kind regards,
Brigid Macias

{cid:image004.png@01D50722.B987E0B0}

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154

EFTA01427835

[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Rishabh Sharma
Sent: Friday, May 10, 2019 9:06 AM
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin
[REDACTED]; Monica Gilkinson [REDACTED]; Rachel
Wachs [REDACTED]
Cc: [REDACTED]; Debra Jasper
[REDACTED]; Halina Laczny <[REDACTED]>; Oversight
Control <[REDACTED]>
Subject: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Classification: For internal use only

Hi Team,

Please advise, if the below transaction(s) are okay to post or not:-

Please note A/C is closed : 05/09/2019

5 [REDACTED] HYPERION AIR, L 286 100,000.00 ACCOUNT CLOSED [REDACTED]
524 N

REF [REDACTED] FUNDS TRANSFER FRM DEP [REDACTED]
FROM

5 [REDACTED] HYPERION AIR, L 822 100,000.00 ACCOUNT CLOSED [REDACTED]
521 N

TO MANUFACTURERS AND TRADERS TRUST C O A/C [REDACTED] I
INTERNATIONAL JET INTERIORS, INC.

If any of the transactions are deemed fraudulent, you must immediately notify your business manager and report the fraud to PBFraudReporting. Please follow the steps detailed in the fraud procedures.

<https://awm.wm.intranet.db.com/forms/private-bank-forms-account-agreements/-fraud>

Regards,

Rishabh Sharma

{cid:image003.png@01D20F46.0F9EFA10}

DBOI Global Services Private Limited

COO Wealth Management Operations

Global Business Services

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City - SEZ, 302029 Jaipur, India

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