

Subject: RE: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC 20190305 [C]  
From: Liam Osullivan <[REDACTED]>  
Date: Thu, 07 Mar 2019 14:57:25 -0500  
To: Richard Kahn <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>

Classification: Confidential

We are waiting for IB to send back a corrected request. Our transfer department reached out to IB with what they needed. It may help if you push the people at IB.

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Thursday, March 07, 2019 2:18 PM  
To: Liam Osullivan <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>  
Subject: Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305 [C]

any updates

please advise

thanks

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022



On Mar 6, 2019, at 6:00 PM, Liam Osullivan <[REDACTED]> wrote:

Classification: Confidential

Rich,

It looks like the transfer request came in today but will be rejected again for Tax ID mismatch. I will know more tomorrow.

Thanks

Liam

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Wednesday, March 06, 2019 9:22 AM  
To: Liam Osullivan <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>  
Subject: Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305 [C]

ok

thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022

On Mar 6, 2019, at 9:19 AM, Liam Osullivan [REDACTED] wrote:

Classification: Confidential

Good morning,

We will work on have the margin statements turned off.

We have not received the request from IB yet.

Thank you,

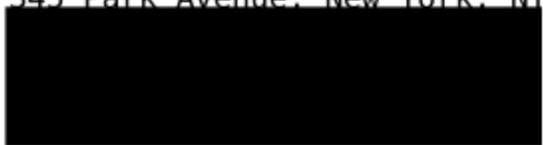
Liam

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<image001.gif>

Liam O'Sullivan  
Investments & Trading | Institutional Wealth Partners

DB Securities Inc  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154-0004, USA



Visit us: <http://deutschewealth.com>.

<image002.gif>

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From: Richard Kahn [mailto: ]  
Sent: Wednesday, March 06, 2019 5:41 AM  
To: Liam Osullivan ; Stewart Oldfield  
< >  
Subject: Fwd: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305

can you please look into why we are still receiving these emails..

also any update from IB pulling apple stock?

please advise

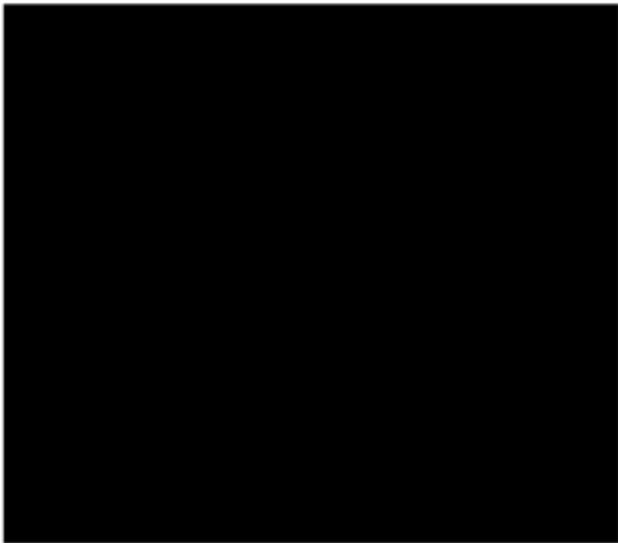
thank you

Richard Kahn

HBRK Associates Inc.

575 Lexington Avenue, 4th Floor

New York, NY 10022



Begin forwarded message:

From: [REDACTED]

Subject: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305

Date: March 6, 2019 at 3:16:59 AM EST

To: [REDACTED]

To: [REDACTED]

To:

To:

To:

Cc:

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