

Sheet2

Account # : [REDACTED]

Account Short Name: EPSTEIN

Base CCY: USD

Transaction Type : All Transactions Duration : 1 Week

DATE	TRADE DATE	STLMNT DATE	SEC.	DESCRIPTION	SYMBOL	BUY/SELL	QUANTITY	PRICE	NET AMT.
(TRANS. CCY)	COMM.	SALES CREDIT	ACTIVITY						
11/9/2017	11/9/2017	11/13/2017		SRC ENERGY INC COM	SRCI	BUY	700	8	
-5600	0	100.80	Buy 700.00000	share(s) of SRCI at 8.000000000					
11/9/2017	11/9/2017	11/13/2017		SRC ENERGY INC COM	SRCI	SELL	-700	8.49	
01	5886.93	50	0	Sell -700.00000	share(s) of SRCI at 8.490100000				
11/8/2017	11/7/2017	11/10/2017		AMERICAN AXLE &	MFG HLDGS INC COM	AXL	BUY		
8200	17.55	-143910	0	820	Buy 8200.00000	share(s) of AXL at 17.550000000			
11/8/2017	11/8/2017	11/10/2017		AMERICAN AXLE &	MFG HLDGS INC COM	AXL	SELL		
-8200	17.62652	144200.12	328	0	Sell -8200.00000	share(s) of AXL at 17.62652			

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378 920.80
Total 1298.80

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Sheet1

Account # : [REDACTED]
Account Short Name: EPSTEIN

Base CCY: USD

Transaction Type : All Transactions Duration : 1 Week

DATE TRADE DATE STLMNT DATE SEC. DESCRIPTION SYMBOL BUY/SELL QUANTITY
PRICE NET AMT.

(TRANS. CCY)	COMM.	SALES CREDIT	ACTIVITY	U.S.DOLLARS CURRENCY	BUY/SELL	QUANTITY	
11/10/2017	11/10/2017	11/10/2017	U.S.DOLLARS CURRENCY	0	0	143910 0	
0	MONEY FUND REDEMPTION						
11/9/2017	11/9/2017	11/13/2017	SRC ENERGY INC COM	SRCI	BUY	700 8	
-5600 0	100.80	Buy 700.00000 share(s) of SRCI at 8.000000000					
11/9/2017	11/9/2017	11/13/2017	SRC ENERGY INC COM	SRCI	SELL	-700 8.49	
01	5886.93 50	0	Sell -700.00000 share(s) of SRCI at 8.490100000				
11/9/2017	N/A	11/9/2017	APPLE INC	COM AAPL	-100000 0	0 0	
0	CUSTOMER AUTHORIZED TRANSFER						
11/8/2017	11/7/2017	11/10/2017	AMERICAN AXLE & MFG HLDGS INC COM	AXL	BUY		
8200	17.55	-143910 0	Buy 8200.00000 share(s) of AXL at 17.550000000				
11/8/2017	11/8/2017	11/10/2017	AMERICAN AXLE & MFG HLDGS INC COM	AXL	SELL		
-8200	17.62652	144200.12	328	0	Sell -8200.00000 share(s) of AXL at 17.626520000		
0	U.S.DOLLARS CURRENCY						
11/8/2017	11/8/2017	11/8/2017	U.S.DOLLARS CURRENCY	0	0	-21701.01	
0	MONEY FUND PURCHASE						
11/7/2017	11/7/2017	11/7/2017	U.S.DOLLARS CURRENCY	0	0	2968549.88	
0	MONEY FUND REDEMPTION						
11/6/2017	N/A	11/6/2017	U.S.DOLLARS CURRENCY	0	0	726 0 0	
MARK TO MARKET							
11/6/2017	N/A	11/6/2017	U.S.DOLLARS CURRENCY	0	0	-726 0 0	
MARK TO MARKET							
11/6/2017	11/6/2017	11/6/2017	U.S.DOLLARS CURRENCY	0	0	726 0 0	
0	ACTIVITY WITHIN YOUR ACCT						
11/6/2017	11/6/2017	11/6/2017	U.S.DOLLARS CURRENCY	0	0	-726 0 0	
0	ACTIVITY WITHIN YOUR ACCT						
11/6/2017	11/6/2017	11/6/2017	U.S.DOLLARS CURRENCY	0	0	-438497.50	
0	MONEY FUND PURCHASE						

SUBTOTAL(9,J7:J19)=378 SUBTOTAL(9,K7:K19)=920.80

Total J20+K20=1298.80

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