

Classification: For internal use only

Hi Amanda,

AML Compliance has reviewed the RDC and PCR alerts dated 5/28/2013 pertaining to our prospective client, Jeffrey Epstein. The RDC Alerts are positive matches for our prospect as it pertains to negative information based on reputation. However, AML Compliance clears these alerts based on the attached email approval from Chip Packard with the support of senior management on an AML reputational and legal perspective to onboard the prospective client, Jeffrey Epstein.

The two PCR alerts involve a Jeffrey S Epstein that lives in Massachusetts. Our prospective client has a different middle name, Edward, and resides in New York and Florida. Accordingly, AML Compliance CLEARS these PCR alerts as false positives.

Best regards,  
Andrew J. Kisz

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Andrew J. Kisz  
Associate | AML Compliance Officer  
DB Services New Jersey, Inc.  
Asset & Wealth Management  
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA  
Tel. [REDACTED]  
Email [REDACTED]@dbcom

From:

To:

Cc:

Date:

Subject:

Amanda Kirby/db/dbcom  
PWMUS AMLKYC/db/dbcom@DBAmericas,  
Andrea Klingenberg/db/dbcom@DBAMERICAS  
08/12/2013 05:42 PM

Fw: Jeffrey Epstein \_\_RDC Alert, Jeffrey Epstein\_\_PCR Alert [I]

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Hi,

I realized I never heard back for the below clearance approval. Please let me know as soon as possible as we are now moving ahead with this client and would like to open accounts ASAP.

Thank you,  
Amanda

----- Forwarded by Amanda Kirby/db/dbcom on 08/12/2013 05:38 PM -----From:

Amanda  
Kirby/db/dbcom  
To:  
Date:  
Subject:  
PWMUS AMLKYC/db/dbcom@DBAmericas,  
06/12/2013 10:54 AM  
Fw: Jeffrey Epstein \_\_RDC Alert,Jeffrey Epstein\_\_PCR Alert [I]  
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Hi,  
Please see RDC Alerts below:  
RDC Alert #1:  
- This is our client. The accounts which we will be opening will not be in Jeffrey Epstein's name. They will be in the name of 2 companies he controls and should not pose a reputational risk  
- Also attached is an email from Chip Packard saying that he does not believe that there is any reputational risk in taking this client on.  
PCR Alert #1 and #2:  
- Not our client. Our client is Jeffrey E Epstein and these alerts pertain to Jeffrey S Epstein.  
Please approve clearance  
Kind regards,  
Amanda Kirby

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Amanda Kirby  
Associate  
Deutsche Asset & Wealth Management  
345 Park Avenue, 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Fax + [REDACTED]  
Email [REDACTED]  
Securities offered through Deutsche Bank Securities Inc.

----- Forwarded by Amanda Kirby/db/dbcom on 06/12/2013 10:52 AM -----From:

To:  
Cc:  
Date:  
Subject:  
PWM  
BIS-Research/db/dbcom@DBAPAC  
Amanda Kirby/db/dbcom@DBAMERICAS,  
PWMUS AMLKYC/db/dbcom@DBAmericas  
05/29/2013 11:43 AM  
Jeffrey Epstein \_\_RDC Alert,Jeffrey Epstein\_\_PCR Alert [I]

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Hi Amanda,

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC.

Jeffrey Epstein \_\_RDC Alert

Jeffrey Epstein \_\_RDC Alert.pdf

Jeffrey

Epstein \_\_RDC Alert.1.pdf

Jeffrey Epstein\_\_PCR Alert

Chip approval.pdf

Jeffrey Epstein\_\_PCR Alert.pdf

Jeffrey Epstein

\_\_PCR Alert 2.pdf

Thanks

Ashish

Jeffrey Epstein\_\_PCR Alert.1.pdf