

Subject: RE: MD approval for ACUs completed for High Risk Clients [I]

From: Vaishali-P Mehta [REDACTED]

Date: Wed, 05 Apr 2017 13:16:38 -0400

To: Joanne Jensen [REDACTED]

Andrew Gallivan [REDACTED]

Cc: Mark Gonzalez [REDACTED]

Rachel Maglicic [REDACTED]

Stewart Oldfield <[REDACTED]> ,

Brian P Convey [REDACTED]

Jennifer Shaw [REDACTED]

Andrea Nicoletti [REDACTED]

Rosemary Vrablic [REDACTED]

Mary A Coleman [REDACTED]

Terrence F Tangney [REDACTED]

Terri Sohrab [REDACTED]

Classification: For internal use only

Hi Joanne

I fully understand.

Thankfully this is the last of the emails as going forward we will have KYC updates which will be individually approved. Therefore, this will not be repeated.

I apologize for the distribution list below.

Regards,

Vaishali Mehta

Deutsche Bank Wealth Management

101 California Street, Suite 2400, San Francisco, CA USA

[REDACTED]
[REDACTED]
[REDACTED]

From: Joanne Jensen
Sent: Wednesday, April 05, 2017 1:15 PM
To: Vaishali-P Mehta; Andrew Gallivan
Cc: Mark Gonzalez; Rachel Maglicic; Stewart Oldfield; Brian P Convey;
Jennifer Shaw; Andrea Nicoletti; Rosemary Vrablic; Mary A Coleman; Terrence
F Tangney; Terri Sohrab
Subject: RE: MD approval for ACUs completed for High Risk Clients [I]

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Vaishali,

For confidentiality purposes, I do not believe that this list should be broadly distributed. I would request that any correspondence regarding our clients be sent exclusively to me and not the broader group. I'm sure my colleagues would agree.

Kind regards,
Joanne Jensen

{https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif}

Joanne Jensen
Managing Director | Private Banker

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor 10154-0004 New York, NY, USA

[REDACTED]

{https://brandportal.intranet.db.com/img/modules/claim_en_rgb.gif}

Securities offered through Deutsche Bank Securities Inc.

From: Vaishali-P Mehta
Sent: Wednesday, April 05, 2017 12:23 PM
To: Andrew Gallivan
Cc: Mark Gonzalez; Rachel Maglicic; Stewart Oldfield; Brian P Convey; Joanne Jensen; Jennifer Shaw; Andrea Nicoletti; Rosemary Vrablic; Mary A Coleman; Terrence F Tangney; Terri Sohrab
Subject: MD approval for ACUs completed for High Risk Clients [I]

Classification: For internal use only

Dear Andrew ,

All the below ACUs were completed for High Risk clients for this month. As you know the new Policy now requires an MD Approval over and above the approval of the account owner / RM. Which means if the RM is an MD, the approval must come from another MD who is in their reporting line.

In that regard, could you please approve the below ACUs?

Annual Client Update: ID

GCIS Customer Number

Customer Name

MD

Annual Client Update: Created Date

A14.

A15. Client Status Update Description

A16.

A17.

Customer Owner

██████████
██████████
CJA Private Equity Financial Restructuring Master Fund I, L.P.

Andrew Gallivan

3/8/2017

The entity is a Private Equity Investments firm. Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals.

Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals. Yr Net Profit \$10MM, Investable Assets \$100MM No changes so far this year.

No

No

Mark Gonzalez

██████████
██████████
CJA Public Private Multi Manager Master Fund, L.P.

Andrew Gallivan

3/8/2017

The entity is a Private Equity Investments firm. Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals.

Yr Net Profit \$10MM, Investable Assets \$100MM There have been no changes for this entity this year.

No

No

Mark Gonzalez

[REDACTED]
[REDACTED]
Gapstow Capital Partners LP

Andrew Gallivan

3/8/2017

Private Equity Investments-Income is derived from investment management fees.

There have been no significant changes to this client's activity.

No

No

Mark Gonzalez

[REDACTED]
[REDACTED]
Gapstow Community Financials Fund LP

Andrew Gallivan

3/8/2017

The entity is a Private Equity Investments firm. Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals.

No changes have occurred for this entity.

No

No

Mark Gonzalez

[REDACTED]
[REDACTED]
Gapstow Credit & Special Situations Master Fund LP

Andrew Gallivan

3/8/2017

The entity is a Private Equity Investments firm. Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals.

Yr Net Profit \$10MM, Investable Assets \$100MM There have been no changes in circumstances this year.

No

No

Mark Gonzalez

[REDACTED]
[REDACTED]
Gapstow Opportunity Master Fund, LP

Andrew Gallivan

3/8/2017

The entity is a Private Equity Investments firm. Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals.

Yr Net Profit \$10MM, Investable Assets \$100MM Nothing has changed for this particular entity.

No

No

Mark Gonzalez
[REDACTED]

[REDACTED]
Gapstow Real Estate Yield Master Fund, L.P.

Andrew Gallivan

3/8/2017

The entity is a Private Equity Investments firm. Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals.

Yr Net Profit \$10MM, Investable Assets \$100MM No chages have occured for this entity.

No

No

Mark Gonzalez

[REDACTED]
[REDACTED]
Gapstow Structured Credit Master Fund LP

Andrew Gallivan

3/16/2017

The entity is a Private Equity Investments firm. Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals.

No changes have occurred for this entity.

No

No

Mark Gonzalez

[REDACTED]
[REDACTED]
Cabrerizo, Tom

Andrew Gallivan

3/14/2017

No changes to report.

No changes to report. Rachel spoke w/Tom Cabririzo to inform him she would now be handling his DB relationship.

Yes

No

Rachel Maglicic

[REDACTED]

[REDACTED]

Florescue Family Corporation

Andrew Gallivan

3/14/2017

No changes to report.

No changes to report. Rachel provided Barry Florescue an update on the recently executed swap and introduced the GSMM investment opportunity.

Yes

No

Rachel Maglicic

[REDACTED]

[REDACTED]

Butterfly Trust

Andrew Gallivan

3/16/2017

change in beneficiaries

No material change

Yes

No

Stewart Oldfield

[REDACTED]
[REDACTED]
HBRK Associates, Inc

Andrew Gallivan

3/16/2017

No material change

No material change

Yes

No

Stewart Oldfield

[REDACTED]
[REDACTED]
Hyperion Air, LLC

Andrew Gallivan

3/16/2017

no material change

no material change

Yes

No

Stewart Oldfield

[REDACTED]
[REDACTED]
JEGE, Inc

Andrew Gallivan

3/16/2017

no material change

no material change

Yes

No

Stewart Oldfield

[REDACTED]
[REDACTED]
JEGE, Inc

Andrew Gallivan

3/16/2017

no material change

no material change

Yes

No

Stewart Oldfield

[REDACTED]
[REDACTED]
JEGE, LLC

Andrew Gallivan

3/16/2017

No material change

No material change

Yes

No

Stewart Oldfield

[REDACTED]

[REDACTED]

[REDACTED]

Andrew Gallivan

3/16/2017

no material change

no material change

Yes

No

Stewart Oldfield

[REDACTED]

[REDACTED]

Neptune, LLC

Andrew Gallivan

3/16/2017

no material change

no material change

Yes

No

Stewart Oldfield

[REDACTED]
[REDACTED]
NES, LLC

Andrew Gallivan

3/16/2017

No material change

No material change

Yes

No

Stewart Oldfield

[REDACTED]
[REDACTED]
Plan D, LLC

Andrew Gallivan

3/16/2017

No material change

No material change

Yes

No

Stewart Oldfield

[REDACTED]
[REDACTED]
Southern Financial LLC

Andrew Gallivan

3/16/2017

No changes in activities.

No changes

Yes

No

Stewart Oldfield

██████████

████████████████████

Southern Trust Company, Inc.

Andrew Gallivan

3/16/2017

Southern Trust Company has not changed its nature of business within the past year. it is still a private investment company and has no changed.

Southern Trust is still a private investment company and has not had any changes

No

No

Stewart Oldfield

██████████

████████████████████

The 2007 Jeffrey E. Epstein Insurance Trust #3

Andrew Gallivan

3/16/2017

No material change

No material change

Yes

No

Stewart Oldfield

[REDACTED]
[REDACTED]
Altpoint Capital Partners, LLC

Andrew Gallivan

3/10/2017

They are part of the small accounts exit project.. we are planning to close their accounts

There are no changes to clients employment status, health or primary residence.

No

No

Brian Convey

[REDACTED]
[REDACTED]
Jarero, Cristina

Andrew Gallivan

3/10/2017

John Marson spoke with client re: accounts

There are no material changes

No

No

Brian Convey

[REDACTED]
[REDACTED]
Kestenbaum, Jacob

Andrew Gallivan

3/13/2017

Scott Prokop and Brian Convey speaks with client to review his account.

There are no material changes

Yes

No

Brian Convey

[REDACTED]
[REDACTED]
Paul A Volcker Irrevocable Life Ins Trust

Andrew Gallivan

3/13/2017

Brian Convey speaks with client quarterly to review account.

There are no changes to client's employment status, health or primary residence

No

No

Brian Convey

[REDACTED]
[REDACTED]
Paul Volcker Tr For James P. Volcker

Andrew Gallivan

3/13/2017

Brian speaks with client quarterly to review account

There are no material changes

No

No

Brian Convey

██████████
████████████████████
Paul Volcker Tr For Janice Volcker Zima

Andrew Gallivan

3/13/2017

Brian Convey speaks with client quarterly to review accounts.

There are no material changes

No

No

Brian Convey

██████████
████████████████████
Volcker, Paul

Andrew Gallivan

3/13/2017

Brian Convey speaks with client quarterly to review account.

There are no changes to client's employment status, health or primary residence

No

No

Brian Convey

[REDACTED]

[REDACTED]

BPP F.1 Cayman LP

Andrew Gallivan

3/2/2017

The client is an AIV borrower in a credit facility for Blackstone Property Property Partners.

The account holds a zero balance currently.

No

No

Joanne Jensen

[REDACTED]

[REDACTED]

BPP F.1 DE LP

Andrew Gallivan

3/2/2017

BPP F.1 DE LP is an AIV borrower under the Blackstone Property Partners credit facility.

The client currently holds ~\$11K on deposit.

No

No

Joanne Jensen

[REDACTED]

[REDACTED]
BPP F.1 REIT Inc.

Andrew Gallivan

3/2/2017

BPP F.1 REIT Inc. is an AIV borrower on the Blackstone Property Partners credit facility.

The client currently holds no assets on deposit with DB.

No

No

Joanne Jensen

[REDACTED]
[REDACTED]
BPP F.2 DE LP

Andrew Gallivan

3/2/2017

BPP F.2 DE LP is an AIV borrower on a credit facility for Blackstone Property Partners.

The client has a deposit account holding ~\$20K.

No

No

Joanne Jensen

[REDACTED]
[REDACTED]
D & D Funding II, LLC

Andrew Gallivan

2/28/2017

This company is a Joint Venture entity created owned 50/50 by Chris Diamantis and Joe Dinardo. Both Chris and Joe's wealth come from their own businesses and they use this company for joint ventures in various companies and assets.

This entity is used solely as an investment vehicle; currently hold ~\$4MM at DB

No

No

Joanne Jensen

██████████
████████████████████
Winklevoss Index, LLC

Andrew Gallivan

2/27/2017

This entity is owned by the Winklevoss brothers for private investment purposes.

The account currently holds approx. \$6K on deposit.

No

No

Joanne Jensen

██████████
████████████████████
Wolfson Equities

Andrew Gallivan

2/27/2017

Wolfson Equities is the investment arm of the Wolfson Group, a family-based investment and real estate company.

The client doesn't currently have any assets with DB.

No

No

Joanne Jensen

[REDACTED]
[REDACTED]
Windels, Catherine

Andrew Gallivan

3/3/2017

No material changes.

No material changes.

No

No

Andrea Nicoletti

[REDACTED]
[REDACTED]
Bridge Equities VI, LLC

Andrew Gallivan

3/3/2017

No material changes.

No material changes. Donna Snyder has retired as of 1/13/2017.

No

No

Malcolm Kimble

[REDACTED]
[REDACTED]
STEWART BAINUM JR. REVOCABLE TRUST

Andrew Gallivan

3/3/2017

No material changes

No material changes. Donna Snyder has retired as of 1/13/2017.

No

No

Malcolm Kimble

[REDACTED]
[REDACTED]
Sunbridge Holdings, LLC

Andrew Gallivan

3/3/2017

No material changes.

No material changes. Donna Snyder has retired as of 1/13/2017.

No

No

Malcolm Kimble

[REDACTED]
[REDACTED]
DVI PE (Mauritius) Ltd

Andrew Gallivan

3/3/2017

The purpose of the entity is to invest in shares of private investment companies in India and to serve as a master fund for other existing funds.

No material changes

No

No

Mary Ann Coleman

██████████

██████████████████

1960 East 5th Street, LLC

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

██████████

██████████████████

469 Avenue S LLC

Andrew Gallivan

3/9/2017

no activity. This account is used to own the property at 469 Avenue S, Brooklyn, NY

no activity. This account is used to own the property at 469 Avenue S, Brooklyn, NY

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
AQL Hartwell LLC

Andrew Gallivan

2/28/2017

no changes from KYC - loan has been terminated

no changes from KYC - loan has been terminated

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Community Organizations, LLC

Andrew Gallivan

3/1/2017

no material changes

no material changes

Yes

No

Rosemary Vrablic

[REDACTED]
Community Organizations, LLC

Andrew Gallivan

3/9/2017

no material changes

no material changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Franco, Isaac S.

Andrew Gallivan

3/1/2017

no changes from KYC

No changes from KYC

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Franco Realty Group, LLC

Andrew Gallivan

3/1/2017

No new activities or changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Franco Realty Group, LLC

Andrew Gallivan

3/1/2017

No new activities or changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Franco Realty Group, LLC

Andrew Gallivan

3/9/2017

No new activities or changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Franco Realty Group, LLC

Andrew Gallivan

3/9/2017

No new activities or changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
I Like Ike, LLC

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
I Like Ike Kingswood, LLC

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

Infinity AL Holdings, LLC

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

Infinity Entertainment, LLC

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

Infinity LW 12th Street, LLC

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

Infinity PLR 44, LLC

Andrew Gallivan

3/1/2017

no changes from KYC

no changes from kyc

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

Infinity PLR 44, LLC

Andrew Gallivan

3/8/2017

no changes from KYC

no changes from kyc

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Infinity PLR 44, LLC

Andrew Gallivan

3/9/2017

no changes from KYC

no changes from kyc

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Infinity S Kingswood LLC

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Infinity Sports Licensing, LLC

Andrew Gallivan

3/1/2017

no changes

no changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
IRE-NG 1715 E. 13th Street, LLC

Andrew Gallivan

3/9/2017

no change to KYC

Subject property was recently leased to Target in a 10 year contract.

Yes

No

Rosemary Vrablic

[REDACTED]
Isaac Franco 2001 Grantor Retained Annuity Trust

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Isaac Franco 2002 Grantor Retained Annuity Trust

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Isaac Franco 2008 Irrevocable Trust

Andrew Gallivan

3/1/2017

No Changes

No Changes

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

ISF Parkway LLC

Andrew Gallivan

3/9/2017

no new changes

no new changes

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

IUC 701 Times Square LLC

Andrew Gallivan

3/1/2017

no changes

no changes

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Katzoff, Gerald

Andrew Gallivan

3/8/2017

no changes to kyc

no changes to kyc

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Katzoff, Gerald

Andrew Gallivan

3/8/2017

no changes to kyc

no changes to kyc

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Katzoff, Stuart

Andrew Gallivan

3/8/2017

There are no material changes.

There are no material changes.

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
LW 12th Street Holding, LLC

Andrew Gallivan

2/22/2017

no changes from KYC

no changes from KYC

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
MISS AMERICA PROPERTIES, LLC

Andrew Gallivan

3/1/2017

No updates since previous update

No updates since previous update

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
MISS AMERICA PROPERTIES, LLC

Andrew Gallivan

3/1/2017

No updates since previous update

No updates since previous update

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Nevada Land III LLC

Andrew Gallivan

3/8/2017

no changes from kyc

no changes from kyc

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Seminole Housing LLC

Andrew Gallivan

2/28/2017

no changes since KYC

no changes since KYC

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

S Franco Realty, LLC

Andrew Gallivan

3/1/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

S Franco Realty, LLC

Andrew Gallivan

3/9/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

S Franco Realty, LLC

Andrew Gallivan

3/9/2017

No changes

No changes

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]

SK Baseball Holdings LLC

Andrew Gallivan

3/8/2017

no changes from kyc

no changes from kyc

Yes

No

Rosemary Vrablic

[REDACTED]

[REDACTED]
Stallion 44, LLC

Andrew Gallivan

3/1/2017

no changes from kyc

no changes from kyc

No

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Westbury Management Group LLC

Andrew Gallivan

3/8/2017

no changes to kyc

no changes to kyc

Yes

No

Rosemary Vrablic

[REDACTED]
[REDACTED]
Fundacion Noal

Andrew Gallivan

2/28/2017

Hildegarde Novey Kotchman inherited a piece of undeveloped land in Panama

from her father (Robert Novey - KYC CASE # 583662). The land was sold to a developer who established the Santa Maria Business park. These are the proceeds of that sale.

After a number of conversations we received her signed Entity Self Certification Form (CRP) as required for a resident of Panama.

Yes

No

Terrence Tangney

██████████
████████████████████
Fundacion Noal

Andrew Gallivan

2/28/2017

Hildegarde Novey Kotchman inherited a piece of undeveloped land in Panama from her father (Robert Novey - KYC CASE # 583662). The land was sold to a developer who established the Santa Maria Business park. These are the proceeds of that sale.

After a number of conversations we received her signed Entity Self Certification Form (CRP) as required for a resident of Panama.

Yes

No

Terrence Tangney

██████████
████████████████████
Lundberg, Fredrik

Andrew Gallivan

2/27/2017

Fredrik Lundberg (born August 5, 1951) is a Swedish businessman. His father was Lars Erik Lundberg (1920-2001) founder of L E Lundbergföretagen. Fredrik

Lundberg is president and CEO of L E Lundbergföretagen, of which he inherited a controlling stake from his father. He is the ninth wealthiest person in Sweden, according to Forbes magazine. In 1944, when Lars Erik Lundberg - Frederik's father - was only 24, he formed the company that was later to become Lundbergs in his apartment in Norrköping. This company engaged in construction operations and focused on residential building. At an early stage, Lars Erik Lundberg started to show an interest in investing in proprietary properties, having understood the value represented by expansion into an additional business sector, real estate management. This move created greater stability in the company, which could gradually reduce its dependence on construction operations. It is this foundation upon which Fastighets AB L E Lundberg's property operations rest today. At the end of the 1970s, Lundbergs began to consider a further expansion of the group's operations and, during the 1980s, a series of investments were made within new industries. Finance companies were acquired and developed, as were several smaller industrial companies. Investments were also made in certain publicly listed companies. The group's operations became increasingly diversified and, during the second half of the 1980s, it was decided that investments outside the core area of construction and real estate operations would be concentrated in a limited number of publicly listed companies. In 1983, the shares in Lundbergs, with Lars Erik Lundberg's son Fredrik Lundberg as President and CEO, were listed on the Stockholm Stock Exchange. From its original status as a wholly owned family company, the step was now fully taken into the glare of the public eye. However, the Lundberg family retained a clear majority holding in the Company. Accordingly, during its first 60 years, Lundbergs has developed from a construction company to an investment company with interests in several different areas.

KYC was recently completed as front office noticed there was no electronic version to be found. No changes to report.

Yes

No

Terrence Tangney

██████████
██████████
Vakirtzis, George

Andrew Gallivan

3/2/2017

George Vakirtzis is Managing Director at Polembros Shipping, Limited, headquartered in Greece. He is also on the Board of American Steamships, Inc. Mr. Vakirtzis derives his income for salary/bonus, Director's fees and

private investments.

Discussed replacement debit card. No material changes.

Yes

No

Terrence Tangney

██████████
████████████████████
Vernon E. Jordan, Jr. Revocable Trust

Andrew Gallivan

3/7/2017

Mr. Jordan is a Partner at the law firm of Akin, Gump, Strauss, Hauer & Feld. He is also a Senior Managing Director at Lazard Freres. Mr. Jordan also remains a director on a number of corporate boards including American Express, J.C. Penney Corporation, Xerox, Asbury Automotive Group and the Dow Jones & Company. He is formerly a member of the board of directors of Revlon, Sara Lee, Corning and RJR Nabisco.

no changes

Yes

No

Terrence Tangney

██████████
████████████████████
Vickee Jordan Adams 2013 GRAT

Andrew Gallivan

3/7/2017

Mr. Jordan is a Partner at the law firm of Akin, Gump, Strauss, Hauer & Feld. He is also a Senior Managing Director at Lazard Freres. Mr. Jordan also remains a director on a number of corporate boards including American Express, J.C. Penney Corporation, Xerox, Asbury Automotive Group and the Dow

Jones & Company. He is formerly a member of the board of directors of Revlon, Sara Lee, Corning and RJR Nabisco.

no changes

Yes

No

Terrence Tangney

██████████

██████████████████

Function(x) Inc.

Andrew Gallivan

3/8/2017

no material changes

no changes

No

No

Terri Sohrab

██████████

██████████████████

Sea Change Foundation

Andrew Gallivan

3/8/2017

no material changes

no material changes

No

No

Terri Sohrab

[REDACTED]
[REDACTED]
SFX Entertainment Inc.

Andrew Gallivan

3/8/2017

accounts have closed as of 2/1/2016

accounts have closed as of 2/1/2016

No

No

Terri Sohrab

Please note that this is the last month that I will be asking for these approvals manually, as of April we will be doing KYC updates/ Periodic Reviews which will require the MD signature via DB Force.

Please let me know if you have any questions.

Regards,

Vaishali Mehta

Deutsche Bank Wealth Management

101 California Street, Suite 2400, San Francisco, CA USA

Tel. +1(415)617-2935

Mobile +1 (516)359-1775

Email vaishali-p.mehta@db.com

