

Customer: Southern Trust Company, Inc. ~ Salesforce - Unlimited Edition

Page 1 of 4

Cynthia Rodriguez

Home Leads Customers Contacts Opportunities Cases Products Reports

Search

Go!

Limit to items I own

Advanced Search...

Create New...

Shortcut

Unresolved Items

Recent Items

Southern Trust Company, Inc.

██████████ - DAVID J.

MARTINELLI

██████████

- PDOK 3 LLC

██████████

SHARI WAGNER 2015 GRAT

KATI WANEK-FORSYTHE

2015 GRAT

██████████

- GEW 2009 LLC

GEW 2009 LLC

██████████

- GEW 2009 LLC

Customer

Southern Trust Company, Inc.

|

Open Activities [1]

Contacts [4] DB Accounts [5+] Contact Exemptions ACUs [0] Opportunities [5+]

Customer Team [1] Associations [0] Cases [5+]

| Activity History [4] Notes & Attachments [5+]

|

Client Complaints (Customer) [0]

Others Represented By This Client's ACU [0]

Customer Detail

Customer Record Type

| TEAS History [0]

Edit

Client

Customer Name Southern Trust Company, Inc. [View Hierarchy]

Relationship SOUTHERN FINANCIAL RELATIONSHIP

* Type

SSN

Organization

Federal Tax ID #

Referral Source

Name of Referral Source

Third Party Referral

Source

66-0779861
Customer Owner Stewart Oldfield [Change]
German PO
Last Modified By
Created By Komal Shahani, 5/28/2013 10:32 AM
Relational Junction, 3/21/2017 9:13 PM
GCIS Customer Number [REDACTED]
Bank
1
* Moderate Risk
* High Risk
Risk Reason KYC 1195100
Risk Rating: .521
C 02
KYC 1082293
PEP
Status Active
Client Since Date
Former Client?
Portfolio Management
Team
Covenant Contact
Preference
Recycle Bin
EEA Client Type N/A
8/26/2013
Risk Type
Volcker Covered Fund? No
Will Appointment
Gross Estate
* Booking Location
Switzerland
Form K
KCP Eligible
Custom Links View DB Accounts and Revenue
Request Customer Deletion
Request Primary Officer Change
Request New Relationship
Launch NAO Wizard
View NAO Cases
Launch Due Diligence
Classification
Potential New Revenue \$25 - 50 K
Client Satisfaction Satisfied
Industry
Finance
Revenue Tier B (> \$50K < \$100K)
Forbes Ranking
Segment
Financial Profile (KYC Information)
* Net Worth (Individual)

Income (Individual)

*Estimated Annual Gross

Income (Entity)

*Estimated Annual Net

Profit (Entity)

\$2,000,000.00

\$1,500,000.00

Source Of Income

* Source of Wealth

(Individual)

* Description of

Wealth/Entity Assets

Consulting Services relying on Financial and Biomedical algorithms. Southern Trust is a private consulting company that invests the assets of their clients and gets their revenue from the return of these investments. Southern Trust invests in different portfolios catered to their clients and makes their revenue based on the returns and the fees associated with managing their clients assets

Assets Held Elsewhere (KYC Information)

* Institution 1 db

Client/Assets At Risk

Log Client Complaint

Import Documents

Export Documents

View Documents From Repository

Create Annual Client Update

Request ACU Contact Exemption

View Exemption Guidelines

Generate Key Relationship Report

Launch ALAT Process

View Loan Covenant Details

|
| Annual Client Updates [4]

|
| Lending Approvals/Reviews [0]

| AIS Requests [0] SPG Approval Requests [0] Contact Dedupping Duplicates [0]

| Audit Trail [5+]

| Account History [3] Report Covenant Masters [0]

|
| Loan Facilities [0]

Customize Page | Printable View | Help for this Page

|

|
Ticklers [0]
|
Setup
Help & Training
db Force
Marketing Events Dashboards Contact Exemptions ACUs
Unconfirmed Investor
Status
Investor Status
Definitions
* DB is Trustee/CoTrustee
*
DB Employee?
* DB managed PIC
* Bearer Shares?
<http://pwm.intranet.db.com/new/filehandler.php?...>
\$5 MM - 10 MM
* Investable Assets \$2 MM - 5 MM
*Estimated Annual
Investment Objective
Risk Tolerance
Sophistication
* Est. AUM 1
\$2,000,000
<https://dbforcepb.my.salesforce.com/0016000000qaNX0>
4/4/2017

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* Institution 2

* Institution 3

Additional Information (Entities Only)

* Nature of business Consult and invest client funds and gain revenue based on fees and returns

* Country of Incorporation

Registration

* Date of

Incorporation/Registration

* Countries where

business is transacted

* Number of Employees

Biography

Description

Investment Guidelines

Initial Financial Review

High Risk Updates/ACU Exemptions

Exemption Status

Auto Exempt Reason

Exemption Reason

Other (describe reason)

Birthdate

ACU held in Customer

ACU on this Customer

also Cover

Edit

Contacts

Action Contact Name

Darren Indyke

Richard Kahn

Business

Edit Bella Klein

Edit Southern Trust Company, Inc.

DB Accounts

Action DB Account Name

Edit

Edit

Edit

Edit

Edit

██████████ - SOUTHERN

TRUST COMPANY, INC.

██████████ - SOUTHERN

TRUST COMPANY INC

██████████ - SOUTHERN

TRUST COMPANY, INC.

██████████ - SOUTHERN

TRUST COMPANY, INC.

██████████ SOUTHERN

TRUST COMPANY INC

Show 2 more » | Go to list (7) »

Contact Exemptions ACUs

No records to display

Opportunities

Action Opportunity Name

Edit DOJ Deposit Recapture UPDATE

Edit Checking

Edit Checking

Edit Brokerage #2

Edit Checking

Show 2 more » | Go to list (7) »

Customer Team

Team Member

Cynthia Rodriguez

Account Id Contact Name



Southern Trust

Company, Inc.

Product Name

DB AG NY Preferred

Deposit

Brokerage Advisory

Money Market

Business Account

Deposit Transactions

Brokerage Advisory

\$1,000,420.15 2/21/2017

\$7,138,917.00 8/26/2013

\$500,764.97 8/27/2013

\$1,000.00 8/27/2013

\$0.00 10/8/2013

Edit

Edit

New Contact

Salutation

Primary Main Contact Phone Primary Phone Type

Email



[REDACTED]
[REDACTED]
Contacts Help
US Virgin Islands
11/18/2011
US Virgin Islands
4
* Est. AUM 2
* Est. AUM 3
* Type of Entity Company
* Type of Entity Other
(specify)
* Purpose of Entity: Private Investment
* Purpose of Entity Other
(specify)
*Description of
Organizational Ownership
Jeffrey Epstein is the sole shareholder of
Southern Trust
Annual Client Update Due
Date
Overdue (1=Yes)
Past-Due Explanation
High Risk InPerson
Meeting Due Year
Lending Review Due Date
Territories Banking, Stewart Oldfield
2020
1/30/2018
0
DB Accounts Help
Market Value Date Opened Active Balance As Of
4/3/2017
4/3/2017
4/3/2017
4/3/2017
4/3/2017
New Contact Exemption ACU
Contact Exemptions ACUs Help
New Opportunity
Opportunities Help
Product Family
Stage
Close Date
Banking Products Business Awarded 2/24/2017
Banking Products Business Awarded 12/18/2013
Banking Products Business Awarded 10/18/2013
Brokerage
Inactive
10/16/2013
Banking Products Business Awarded 10/15/2013

Total Assets
\$16,000,000.00
\$10,000,000.00
\$6,000,000.00
\$5,000,000.00
\$8,500,000.00

Total Estimated Revenue
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00

Add
Customer Team Help
Team Role
Banking Officer
<https://dbforcepb.my.salesforce.com/0016000000qaNX0>
4/4/2017

Associations

No records to display

Cases

Action

Case

Edit | Cls 01757606

Edit | Cls 01749926

Edit | Cls 01748434

Edit | Cls 01748321

Edit | Cls 01740101

Case Record Type

Business Internet Banking -

Wire

Customer Research Request

Form

Customer Research Request

Form

Misc Client Instructions

-Banking

Misc Client Instructions

-Banking

Show 5 more » | Go to list (50+) »

Open Activities

Subject

Action

Edit | Cls

REMINDER: KYC Update required for this Customer.

Click on "Related To" link.

Activity History

Subject

Action

Edit | Del Call Report

Edit | Del Call Report

Edit | Del call report - Southern Financial [I]

Edit | Del call report - Southern Financial

Notes & Attachments

Action

Type

Title

Edit | View | Del Attachment STFR_Southern Financial_7.20.16.pdf

Edit | View | Del Attachment 1127_001.pdf

New Task New Event

Type Task Task/Event Record Type Due Date Status

Call

Log a Call Mail Merge View All

Type Task Task/Event Record Type

Call

Call

Call

Call
Task
Task
Task
Task
New Note Attach File View All
Related To
01469618
Edit | View | Del Attachment Banco Popular Terrence Wire 1.23.2015.pdf
01338683
Edit | View | Del Attachment 2013 11 16 STC wire to STC.pdf
Edit | View | Del Attachment updated page.pdf
01165057
01115904
Show more » | Go to list »
Annual Client Updates
Action ID
Edit 0082913
Edit 0075792
Edit 0069404
Edit 0063601
Meeting/Call Date
1/30/2017
6/9/2016
7/23/2015
7/31/2014
Lending Approvals/Reviews
No records to display
Ticklers
No records to display
Client Complaints (Customer)
No records to display
AIS Requests
No records to display
SPG Approval Requests
No records to display
Contact Dedupping Duplicates
No records to display
Client Complaints (Customer) Help
New Tickler
Ticklers Help
Meeting/Call Type
In Person - Client Office
Phone Call
Phone Call
Phone Call
New Lending Approval/Review
Created By
Cynthia Rodriguez, 3/16/2017 11:27 AM
Cynthia Rodriguez, 6/14/2016 10:15 AM
Amanda Kirby, 7/28/2015 4:11 PM

Amanda Kirby, 8/4/2014 10:34 AM

Lending Approvals/Reviews Help

Due Date

Status

Task

8/4/2014

In

Progress

Open Activities Help

Priority Assigned To

Normal Paul Morris

Activity History Help

Priority Assigned To

2/21/2017 Complete Normal Cynthia Rodriguez

2/1/2017 Complete Normal Cynthia Rodriguez

1/9/2017 Complete Normal Cynthia Rodriguez

1/9/2017 Complete Normal Cynthia Rodriguez

Notes & Attachments Help

Last Modified

Created By

Southern Trust Company, Inc. 7/20/2016 3:19 PM Brianna Fowler

10/1/2015 3:55 PM Jj Litchford

1/23/2015 3:29 PM Amanda Kirby

12/16/2013 3:56 PM Aracely Sanchez

8/27/2013 12:23 PM Dhruv Yadav

New Associations

Associations Help

New Case

Cases Help

Type Case Subject Contact Name

Southern Trust

Company, Inc.

Date Opened Status Owner

3/31/2017 Verified Banking STP Processing

3/13/2017 Verified

3/8/2017

3/8/2017

3/2/2017

GT0 - Banking

(Financial)

Killed Killed Transactions

Killed Killed Transactions

Verified

GTO - Banking (NonFinancial)

Annual

Client Updates Help

New AIS Request

AIS Requests Help

New SPG Approval Request

SPG Approval Requests Help

New Contact Dedupping Duplicates

Contact Dedupping Duplicates Help

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Others Represented By This

Client's ACU

Others Represented By This Client's ACU Help

No records to display

TEAS History

No records to display

Audit Trail

Action Audit Trail ID

1262534

1262535

1262536

1262537

1262538

Show 3 more » | Go to list (8) »

Account History

Date

1/20/2017 3:38 AM

5/25/2016 9:27 PM

5/28/2013 10:32 AM

Account History Help

User

Relational Junction

Relational Junction

Komal Shahani

Report Covenant Masters

No records to display

Loan Facilities

No records to display

Back To Top

Always show me more records per related list

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Action

Changed Volcker Covered Fund? to No.

Changed Customer Owner from Paul Morris to Stewart Oldfield.

Created by lead convert

New Report Covenant Master

Report Covenant Masters Help

TEAS History Help

Audit Trail Help

Loan Facilities Help

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4/4/2017