

Subject: Weekly AFC-WM KYC review [I]
From: Oliver Esslinger <[REDACTED]>
Date: Thu, 12 Apr 2018 10:01:34 -0400
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Classification: For internal use only

Agenda items:

1. Engagement and Collaboration – review of current set up (meetings, calls, on site visits)
2. MI Data exchange – status quo vs gap analysis (see back up)
3. Miscellaneous

Back Up:

* 2018 customers KYCs due Jan – Jun 2018 (178 had a due date in Q1)
There was a Q1 commitment to have all high risk customers KYCs with a due date in Q1 2018 to be submitted by March 31, 2018. The target was met (100% of the HR customers KYCs due Jan – March were submitted)

KYC case details (rejected, in progress, pending AFC approval)