

Subject: RE: Rothschild [C]
From: Tazia Smith <[REDACTED]>
Date: Mon, 22 May 2017 15:24:30 -0400
To: Stewart Oldfield <[REDACTED]>
Cc: Vahe Stepanian <[REDACTED]>,
Matt Glassman <[REDACTED]>,
Davide-A Sferrazza <[REDACTED]>

Classification: Confidential

Stew – Rich and I discussed right after I sent the email below. Confirmed the ask is for after-market open in France tomorrow. Know that our market may be 'none' given lack of appetite, but we're working with GM traders and KCP EMEA team in London.

From: Tazia Smith
Sent: Monday, May 22, 2017 11:17 AM
To: 'Richard Kahn'
Cc: Stewart Oldfield; Vahe Stepanian; Matt Glassman
Subject: RE: Rothschild [C]

Classification: Confidential

You saw it closed in France at 27.585 euros, on ~16k shares today. Call you now to discuss your aftermarket objectives on 100k – I'm concerned b/a is going to be wide.

From: Richard Kahn [mailto:[REDACTED]]
Sent: Monday, May 22, 2017 11:09 AM
To: Tazia Smith
Subject: Re: Rothschild [C]

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022

On May 22, 2017, at 2:07 PM, Tazia Smith <[REDACTED]> wrote:

Classification: Confidential

Hi Rich – Received. Reviewing. Be back

From: Richard Kahn [mailto:[REDACTED]]
Sent: Monday, May 22, 2017 10:59 AM
To: Tazia Smith
Cc: Stewart Oldfield
Subject: Fwd: Rothschild

can you please provide bid ask as per email below

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022

Begin forwarded message:

From: Richard Kahn <[REDACTED]>

Subject: Rothschild

Date: May 22, 2017 at 1:56:17 PM EDT

To: Vahe Stepanian <[REDACTED]>

Cc: Stewart Oldfield <[REDACTED]>

can you please provide a bid ask on 100,000 shares of:

Rothschild & Co SCA

EPA: ROTH - May 22, 5:35 PM GMT+2

27.58EUR0.12 (0.42%)

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022



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