

Subject: RE: Gratitude
From: Stewart Oldfield <[REDACTED]>
Date: Fri, 26 Oct 2018 15:10:23 -0400
To: Richard Kahn <[REDACTED]>
Cc: Richard Iarossi <richard.iarossi@db.com>

Ok, Monday it is. Will be late afternoon unless I can extract myself from an all day training. Enjoy the weekend. Thanks

From: Richard Kahn [mailto:[REDACTED]]
Sent: Friday, October 26, 2018 3:09 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>
Subject: Re: Gratitude

530pm on cell is best or monday

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022

On Oct 26, 2018, at 3:05 PM, Stewart Oldfield <[REDACTED]>
wrote:

I should free up at 4 or so. Will try you then.

Thanks

From: Richard Kahn [mailto: [REDACTED]]
Sent: Thursday, October 25, 2018 3:20 PM
To: Stewart Oldfield < [REDACTED] >
Cc: Bradley Gillin < [REDACTED] >; Richard Iarossi
< [REDACTED] >
Subject: Re: Gratitude

ok please call when free tomorrow

also what is status of butterfly brokerage?

please advise

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]

cell [REDACTED]

On Oct 25, 2018, at 12:38 PM, Stewart Oldfield <[REDACTED]>
wrote:

Rich,

I'm compiling a list of remaining items that we need to finish all of the annual KYC reviews for your accounts other than Gratitude. We should be able to resolve those few things with a quick call tmw.

For Gratitude, we need to make a decision next week whether to KYC the BV70 entity or plan to close the account. Let's discuss that tmw as well if possible.

Thanks

From: Richard Kahn [mailto: [REDACTED]]
Sent: Friday, September 28, 2018 4:05 PM
To: Stewart Oldfield < [REDACTED] >
Subject: Re: Gratitude

ok

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Sep 27, 2018, at 3:02 PM, Stewart Oldfield <[REDACTED]>
wrote:

I'm out next week. Let's connect and talk about timing when I'm back.
Thanks

From: Richard Kahn [mailto:[REDACTED]]
Sent: Wednesday, September 26, 2018 8:58 AM
To: Stewart Oldfield <[REDACTED]>
Subject: Re: Gratitude

mr epstein said that he would not be able to give guidance on how to proceed
until October

please advise if ok..

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Sep 25, 2018, at 12:52 PM, Stewart Oldfield <[REDACTED]>
wrote:

Did you come to any conclusions on this one? Thanks

<image001.png>

Stewart Oldfield, CFA, CAIA
Director

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