

Subject: RE: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC 20190305 [C]
From: Liam Osullivan <[REDACTED]>
Date: Fri, 08 Mar 2019 10:02:28 -0500
To: Richard Kahn <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>

Classification: Confidential

From: Richard Kahn [mailto:[REDACTED]]
Sent: Friday, March 08, 2019 9:57 AM
To: Liam Osullivan <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>
Subject: Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC_20190305 [C]

lets do that delivery from you

please call me to review since they are a bit confused..

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Mar 8, 2019, at 9:55 AM, Liam Osullivan <[REDACTED]> wrote:

Classification: Confidential

Rich,

IB did not send back the corrected request. The latest request was rejected. They will need to re-submit with the correct tax id.

We could also process a free delivery and wire with signed instructions.

Thank you,

Liam

<image001.gif>

Liam O'Sullivan
Investments & Trading | Institutional Wealth Partners

DB Securities Inc
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154-0004, USA
Tel. + [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

Visit us: <http://deutschewealth.com>.

<image002.gif>

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From: Liam Osullivan
Sent: Thursday, March 07, 2019 2:57 PM
To: 'Richard Kahn' <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>
Subject: RE: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC_20190305 [C]

Classification: Confidential

We are waiting for IB to send back a corrected request. Our transfer department reached out to IB with what they needed. It may help if you push the people at IB.

From: Richard Kahn [mailto:[REDACTED]]
Sent: Thursday, March 07, 2019 2:18 PM
To: Liam Osullivan <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>
Subject: Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC_20190305 [C]

any updates

please advise

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Mar 6, 2019, at 6:00 PM, Liam Osullivan <[REDACTED]> wrote:

Classification: Confidential

Rich,

It looks like the transfer request came in today but will be rejected again for Tax ID mismatch. I will know more tomorrow.

Thanks

Liam

From: Richard Kahn [mailto:[REDACTED]]
Sent: Wednesday, March 06, 2019 9:22 AM

EFTA01430985

To: Liam Osullivan <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>
Subject: Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to
SOUTHERN FINANCIAL, LLC_20190305 [C]

ok

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Mar 6, 2019, at 9:19 AM, Liam Osullivan [REDACTED] wrote:

Classification: Confidential

EFTA01430986

Good morning,

We will work on have the margin statements turned off.

We have not received the request from IB yet.

Thank you,

Liam

<image001.gif>

Liam O'Sullivan
Investments & Trading | Institutional Wealth Partners
DB Securities Inc

Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154-0004, USA
Tel. [REDACTED]
Mobil [REDACTED]
Email [REDACTED]

Visit us: <http://deutschewealth.com>.

<image002.gif>

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From: Richard Kahn [mailto:[REDACTED]]
Sent: Wednesday, March 06, 2019 5:41 AM
To: Liam Osullivan [REDACTED]; Stewart Oldfield
<[REDACTED]>
Subject: Fwd: Auto Generated Margin Statement from DEUTSCHE BANK AG to
SOUTHERN FINANCIAL, LLC_20190305

can you please look into why we are still receiving these emails..

also any update from IB pulling apple stock?

please advise

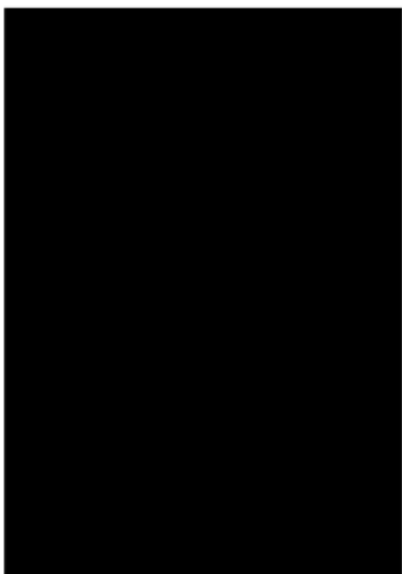
thank you

Richard Kahn

HBRK Associates Inc.

575 Lexington Avenue, 4th Floor

New York, NY 10022



Begin forwarded message:

From: [REDACTED]

Subject: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC_20190305

Date: March 6, 2019 at 3:16:59 AM EST

To: [REDACTED]

To: [REDACTED]

To: [REDACTED]

To: [REDACTED]

To: [REDACTED]

Cc: [REDACTED]

- - -

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