

Subject: ****REVISED**** RE: Non Post Transactions 05-09-2019 For AC

[I]

From: Rishabh Sharma >

Date: Fri, 10 May 2019 13:48:40 -0400

To: Stewart Oldfield >,

Bradley Gillin >

Monica Gilkinson >

Rachel Wachs >

Brigid Macias >

Cc: Natalie Barak >

>

Oversight Control >

Vikas Dave >

Armen Brash >

Daphne Cales >

Classification: For internal use only

Hello,

Please provide update on below before our cutoff of 2:30 PM EST.

We cannot hold both these Debit and Credit breaks in our Non post GL, hence would need your decision today.

Regards,

Rishabh Sharma

{cid:image003.png@01D20F46.0F9EFA10}

DBOI Global Services Private Limited

C00 Wealth Management Operations

Global Business Services

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -

SEZ, 302029 Jaipur, India

Tel. >

Mobile + >

EFTA01431029

Email : [REDACTED]

Please send all the Banking related Queries to our new Group E-mail ID

[REDACTED]

From: Naveen Bagaria [mailto:[REDACTED]]
Sent: Friday, May 10, 2019 11:42 AM
To: Brigid Macias [REDACTED]
Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak
<[REDACTED]>
<[REDACTED]> Oversight Control
oversightcontrol@abf.com
Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Classification: For internal use only

Absolutely not, Brigid.

This account # [REDACTED] doesn't even have a penny at this moment. Both the credit and debit transaction for 100K got stuck in Non Post as the account is closed. In order to pass both these transactions using this account – it needs to be open & active.

And most importantly if you wish to close the account again, you need to make sure that happens the next day. Please let me know if this makes sense?

Regards

Naveen Bagaria

C00 - Wealth Management Operations

DBOI Global Services Private Limited

Tel: [REDACTED]

Fex: [REDACTED]

Please send all Banking related queries to our new Group E-mail ID -

From: Brigid Macias [REDACTED]

Sent: Friday, May 10, 2019 11:33 AM

To: Ankit-Kumar Sharma [REDACTED]; Bhavesh Chandani

[REDACTED]

Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak

<[REDACTED]>

[REDACTED] Oversight Control

Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

This account [REDACTED].

Kind regards,
Brigid Macias

{cid:image001.png@01D50725.12063A00}

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154

Tel. [REDACTED]
Fax +[REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Ankit-Kumar Sharma
Sent: Friday, May 10, 2019 11:32 AM
To: Brigid Macias [REDACTED]; Bhavesh Chandani
<[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak
<[REDACTED]>; Oversight Control
<[REDACTED]>
Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Hi Brigid,

Which account?

Kind regards ?

{cid:image001.png@01D1D7AA.4A648990}

Ankit Kumar Sharma

Analyst I PWM-US Ops – Banking

C00 - Wealth Management Operations

DBOI Global Services Private Limited
A-1 Tower , Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

EFTA01431032

Tel: +
Mobile
Email

Please send all Banking related queries to our new Group E-mail ID -

From: Brigid Macias [mailto:];
Sent: Friday, May 10, 2019 11:27 AM
To: Bhavesh Chandani <>
Cc: Stewart Oldfield <>; Natalie Barak
<>; Oversight Control
Subject: RE: Non Post Transactions 05-09-2019 For AC [I]
Importance: High

Can we post to this account? Will the account be overdrawn?

Kind regards,
Brigid Macias

{cid:image001.png@01D50725.12063A00}

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154

Tel.
Fax +
Email

Securities offered through Deutsche Bank Securities Inc.

From: Bhavesh Chandani
Sent: Friday, May 10, 2019 11:24 AM
To: Brigid Macias <brigid.macias@db.com>
Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak <natalie.barak@db.com>; 'gtopwmbankingexceptioncheckprocessing@list.db.com' <gtopwmbankingexceptioncheckprocessing@list.db.com>; Oversight Control <oversight.control@db.com>; Rishabh Shah <rishabh.shah@db.com>; Rishabh Sharma <rishabh.sharma@db.com>
Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Classification: For internal use only

Hi Brigid,

For the below account client submitted a wire yesterday.

As yesterday you also submitted a case to close the account, so when the night batch ran it got account closed so the entry was bounced back to non-post.

Please let me know if I can call you regarding the same.

Kind Regards?

Bhavesh Chandani

{cid:image002.png@01D1AB5D.AD617A90}

Bhavesh Chandani
Process Supervisor | PWM US Operations
DBOI Global Services Private Limited
COO Wealth Management Operations
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India
Mobile - [REDACTED]

VOIP [REDACTED]

telephone: [REDACTED]

Email [REDACTED]

{cid:image003.png@01D424E9.BC333740}

Integrity | Sustainable Performance | Client Centricity | Innovation |
Discipline | Partnership

Please send all the Banking related Queries to our new Group E-mail ID
[REDACTED]

From: Rishabh Shah [REDACTED]
Sent: Friday, May 10, 2019 10:57 AM
To: Rishabh Sharma <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Brigid Macias
[REDACTED]; Natalie Barak <[REDACTED]>
[REDACTED]; Oversight Control
Subject: RE: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Please advise Brigid on below.

Regards,

{https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif}

RISHABH SHAH

Wealth Management

(PWM US OPS - BANKING)

DBOI Global Services Pvt Ltd.

Deutsche Bank Group

A-1 & A-2, Evolve - Mahindra Technology Park,

Inside Mahindra World City - SEZ, 302029 Jaipur, India

From: Brigid Macias

Sent: Friday, May 10, 2019 9:49 AM

To: Rishabh Shah <[REDACTED]>

Cc: Oversight Control <[REDACTED]>; Natalie Barak

<[REDACTED]>; Stewart Oldfield <[REDACTED]>

Subject: FW: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Importance: High

Good Morning,

Can you please advise what this means? The client put up case 02087161 on 5/9 so the funds should have been deducted yesterday from the account.

Kind regards,

Brigid Macias

{cid:image004.png@01D50722.B987E0B0}

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154

Tel. +1 [REDACTED]

Fax +1 [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Rishabh Sharma
Sent: Friday, May 10, 2019 9:06 AM
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin
<[REDACTED]>; Monica Gilkinson <[REDACTED]>; Rachel
Wachs <[REDACTED]>
Cc: [REDACTED]; Debra Jasper
<[REDACTED]>; Halina Laczny <[REDACTED]>; Oversight
Control <[REDACTED]>
Subject: Non Post Transactions 05-09-2019 For AC [REDACTED] [I]

Classification: For internal use only

Hi Team,

Please advise, if the below transaction(s) are okay to post or not:-

Please note A/C is closed : 05/09/2019

[REDACTED] HYPERION AIR, L 286 100,000.00 ACCOUNT CLOSED 997000509092719
524 N

EFTA01431037

REF 1290927L FUNDS TRANSFER FRM DEP 35269691

FROM

██████████ HYPERION AIR, L 822 100,000.00 ACCOUNT CLOSED 998000509110307
521 N

TO MANUFACTURERS AND TRADERS TRUST C O A/C ██████████ I
INTERNATIONAL JET INTERIORS, INC.

If any of the transactions are deemed fraudulent, you must immediately notify your business manager and report the fraud to PBFraudReporting. Please follow the steps detailed in the fraud procedures.

<https://awm.wm.intranet.db.com/forms/private-bank-forms-account-agreements/-fraud>

Regards,

Rishabh Sharma

{cid:image003.png@01D20F46.0F9EFA10}

DBOI Global Services Private Limited

COO Wealth Management Operations

Global Business Services

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

Tel. ██████████

Mobile ██████████

Email ██████████

Please send all the Banking related Queries to our new Group E-mail ID

gtopwmbankingexceptioncheckprocessing@list.db.com

EFTA01431038

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