

Subject: RE: Southern Financial - AUM example [I]
From: Stewart Oldfield <[REDACTED]>
Date: Thu, 11 Oct 2018 10:55:50 -0400
To: Donna Kerrigan <[REDACTED]>,
Leslie Roth <[REDACTED]>
Cc: Robert Frohlich <[REDACTED]>,
Bradley Gillin <[REDACTED]>

It seems to have been that way for the last 3 months. Thanks

From: Donna Kerrigan
Sent: Thursday, October 11, 2018 10:54 AM
To: Leslie Roth <[REDACTED]>
Cc: Robert Frohlich <[REDACTED]>; Stewart Oldfield
<[REDACTED]>
Subject: FW: Southern Financial - AUM example [I]

Classification: For internal use only

Hi Leslie – Please can you take a look once the month-end is complete today.

Thanks,

Donna

From: Stewart Oldfield
Sent: Thursday, October 11, 2018 10:52 AM
To: Donna Kerrigan <[REDACTED]>
Subject: FW: Southern Financial - AUM example [I]

FYI, KCP brokerage (and also AG deposits) don't seem to be flowing into GMIS
CBV data. NNA data seems to be unaffected

From: Bradley Gillin

Sent: Thursday, October 11, 2018 10:19 AM
To: Stewart Oldfield <[REDACTED]>
Subject: Southern Financial - AUM example [I]

Classification: For internal use only

Both [REDACTED] and [REDACTED] account balances have been removed from our GMIS reports.

GMIS:

{cid:image003.png@01D4614B.E3A2B640}

Account Balances:

{cid:image004.png@01D4614B.E3A2B640}

Regards,
Brad

{cid:image005.gif@01D4614B.E3A2B640}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154



Securities offered through Deutsche Bank Securities Inc.