

Summary

S0 Portfolio Summary: As of March 2019

Relationships	March 2019 YTD Revenue	March 2018 YTD Revenue	2018 Total
Revenue March 2019 AUM	March 2018 AUM	2018 AUM	Summary
1 ADIKESHAVAR	8417.738440000001	936.5488999999999	
1554.2577700000002	9950531.14025	1.162075644111E7	
4.460708678749E7	Total Relationships: COUNT(A4:A24)=21		
2 ASHLEY HOLDINGS	434836.53421	0	1284878.30085
8.4220767201612E8	0	1.40368915706803E9	Current YTD Revenue: C25=1173315.8511700002
3 BOIES FAMILY	2123.1632	2669.3317099999995	
9753.466500000002	1.4505112716650002E7	1.382386647127E7	
5.665735102879999E7	Prior Year YTD Revenue: D25=487631.4977		
4 DEERING/CROSBY	13.92572	4174.597650000001	
23531.545060000004	1291.9632	3.143139809216401E8	
1.0443246586556699E9	Δ: P5-P6=685684.3534700002		
5 DZIALGA KIRSTEN & M	0	0.11476	0.11476 0
344.32639	344.32639	2018 Revenue: F25=3576566.7647100003	
6 Echelon Investment	0	0	6250.00795 0
0 0			
7 ELLIN HOWARD	110.3982	117.88863	363.28467
595863.57968	771400.59602	2794652.8125499994	Revenue Breakdown
YTD %			
8 ELYSIUM MANAGEMENT	1.2000000000000002E-4		
1.5000000000000001E-4	5.3000000000000001E-4	0.44294	760530.44804
1820691.8190100002	Capital Markets	6218.25344	
011/\$0\$19=0.005299726781837407			
9 GENERAL ATLANTIC FA	0	0.00122	0.00245 0
8585113.64731	1.9878922329710003E7	Custody	18556.880540000002
012/\$0\$19=0.015815758835522054			
10 JOCKEY CLUB	24722.624219999998	18075.544330000008	
61899.16293000003	1.8745196285241E8	1.7730075530008003E8	
6.971169534459103E8	Deposits	726959.3607199998	
013/\$0\$19=0.6195768684068276			
11 LINDEMANN JR GEORGE	47861.15021	69.79865	
398.67046	3.937875591855E7	3330045.9769100007	
1.3858011413800001E7	DPM	4893.342890000001	
014/\$0\$19=0.004170524829371808			
12 MCM CAPITAL PARTNER	0	0	476072.39691 0
0 0	Fund Solutions	0	015/\$0\$19=0
13 PARAMI CAPITAL MANA	5538.94089	0	
3639.1098899999997	5284161.08181	0	3506067.65535
Partnerships	379000.002	016/\$0\$19=0.3230161781433969	
14 RASHID ALI	5457.73887	7808.11766	
37649.897800000006	1.51360094337E7	1.107190264986E7	
5.599548367682999E7	Wealth Planning Solutions	0	017/\$0\$19=0
15 SAFANAD	379000.002	0	0 0 0
Lending	37688.01158	018/\$0\$19=0.03212094300304433	
16 SK FAMILY OFFICE	0	0	0 0
6566701.547300001	1.892142055942E7	TOTAL	
SUM(011:018)=1173315.8511699997	019/\$0\$19=1		

17	SOUTHERN FINANCIAL	0	235072.75402000002
703615.7417100001		0	1.8921895497397E8
18	THE NATIONAL ORGANI	10344.77313	11612.03553
46212.80486		1.0995850287050998E8	1.2153417724572E8
4.6425595961847E8			
19	THIRD LAKE CAPITAL	244847.5133700001	197447.47843
896516.35581		4.534213143026801E8	2.610722798805E8
1.3455856958168101E9			
20	VOLPE NOEL	0	9647.286059999999
0		1.0418613892049998E7	1.734149149032E7
21	ZUECH CHRIS	10041.348590000001	0
9042.089339999999		769631.2088199999	0
			2.7425506617422E8
TOTAL	SUM(C4:C24)=1173315.8511700002	SUM(D4:D24)=487631.4977	
	SUM(F4:F24)=3576566.7647100003	SUM(H4:H24)=1.6786608095273201E9	
	SUM(I4:I24)=1.13038942431817E9	SUM(K4:K24)=6.044971213125981E9	

Top 10 Relationships by Revenue

March YTD Revenue

Rank	Relationship	Revenue	% of Total
1	ASHLEY HOLDINGS	434836.53421	045/\$C\$25=0.37060484078212386
2	SAFANAD	379000.002	046/\$C\$25=0.3230161781433968
3	THIRD LAKE CAPITAL	244847.5133700001	
		047/\$C\$25=0.20867996722778823	
4	LINDEMANN JR GEORGE	47861.15021	
		048/\$C\$25=0.04079136079366362	
5	JOCKEY CLUB	24722.624219999998	
		049/\$C\$25=0.021070732314190793	
6	THE NATIONAL ORGANI	10344.77313	
		050/\$C\$25=0.008816699373561228	
7	ZUECH CHRIS	10041.348590000001	
		051/\$C\$25=0.008558095060240623	
8	ADIKESHAVAR	8417.738440000001	
		052/\$C\$25=0.007174315791954954	
9	PARAMI CAPITAL MANA	5538.94089	
		053/\$C\$25=0.004720758595800706	
10	RASHID ALI	5457.73887	054/\$C\$25=0.004651551297596196
TOTAL	Top 10 Revenues	SUM(045:054)=1171068.3639300002	
		055/\$C\$25=0.9980844993803171	

Top 10 Relationships by AUM

March YTD AUM

Rank	Relationship	Revenue	% of Total
1	ASHLEY HOLDINGS	8.4220767201612E8	
		062/\$H\$25=0.5017140253922233	
2	THIRD LAKE CAPITAL	4.534213143026801E8	
		063/\$H\$25=0.27010895335690543	
3	JOCKEY CLUB	1.8745196285241E8	
		064/\$H\$25=0.11166756368440686	
4	THE NATIONAL ORGANI	1.0995850287050998E8	
		065/\$H\$25=0.06550370524315288	
5	LINDEMANN JR GEORGE	3.937875591855E7	
		066/\$H\$25=0.02345843525687499	
6	RASHID ALI	1.51360094337E7	067/\$H\$25=0.009016716985227064

7 BOIES FAMILY 1.4505112716650002E7
068/\$H\$25=0.008640883634338478
8 ADIKESHAVAR 9950531.14025 069/\$H\$25=0.005927660361030222
9 PARAMI CAPITAL MANA 5284161.08181
070/\$H\$25=0.0031478432401706707
10 ZUECH CHRIS 769631.2088199999
071/\$H\$25=4.584792856614755E-4
TOTAL Top 10 AUM SUM(062:071)=1.6780636535415E9
072/\$H\$25=0.9996442664399914
Chart 3
Revenue Breakdown YTD

Lending Summary

Lending (5/16/2019)

Relationship	Deal Name	Facility	Outstanding	LIBOR
Sprd	Prime Spread	Unutilized Fee	LC Fee	Facility Effective
Maturity Date	Product Name			

Structured
N/A

Strcutured Sub-Total SUM(C5:C6)=0 SUM(D5:D6)=0

Relationship	Deal Name	Facility	Outstanding	Current
Rate	Next Pmt Change	ARM Margin	I/O End Date	Funding Date
Maturity Date	Product Name			

Residential

Lindemann Jr, George L	Coal Creek Farm, LC		9900000	9900000	0.04625
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8/1/19	0.0175	2/1/20	12/11/09	1/1/40	6 Mo ARM
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Lindemann, Adam	Adam Lindemann	14000000	14000000		0.0434
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6/1/28	0.0175	4/1/25	1/29/10	2/1/40	10/1 ARM
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Lindemann, Adam	508 West 25th Street, LLC		4000000	4000000	0.04375
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11/1/19	0.0175	5/1/20	3/19/10	4/1/25	6 Mo ARM
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Residential Sub-Total SUM(C10:C12)=27900000 SUM(D10:D12)=27900000

Grand Total C7+C13=27900000 D7+D13=27900000

Lending Breakdown

Lending Revenue Summary

Current YTD Revenue: 37688.01158
Prior Year YTD Revenue: 2902.9761900000003

Δ: F2-F3=34785.03539

2018 Revenue: 6844.99739

Lending Top Revenue Relationships

Structured Lending	Residential Mortgage Lending	Lombard Lending
Total 2018	Total 2018	Total 2018
Relationship	Revenue Relationship	Revenue Relationship
N/A	LINDEMANN JR GEORGE	37674.08586
CROSBY	-2588.635269999999	DEERING/-
Grand Total	Grand Total	SUM(E11)=37674.08586
SUM(H11)=-2588.635269999999		Grand Total
2019 YTD (through March)	2019 YTD (through March)	2019 YTD
(through March)		
Relationship	Revenue Relationship	Revenue Relationship
N/A	VOLPE NOEL	9433.63266
Grand Total	Grand Total	SUM(E17)=9433.63266
SUM(H17)=13.92572		Grand Total

Deposits Breakdown

Deposits Revenue Summary

Current YTD Revenue: 726959.3607200001
 Prior Year YTD Revenue: 454493.5242000001
 Δ: G2-G3=272465.83652

2018 Revenue: 2969672.37491

Deposits Top Revenue Relationships

YTD 2019 (Through March)		YTD 2018 (Through March)		Total 2018	
Relationship	Revenue % of Total Deposits	Relationship	Revenue % of Total Deposits	Relationship	Revenue %
ASHLEY HOLDINGS	434836.53421	B10/\$G\$2=0.5981579682519339		SOUTHERN	
FINANCIAL	235072.75402000002	F10/\$G\$3=0.5172191494560352			
ASHLEY HOLDINGS	1284878.30085	J10/\$G\$5=0.4326666846166625			
THIRD LAKE CAPITAL	244847.5133700001				
B11/\$G\$2=0.3368104554393474		THIRD LAKE CAPITAL			
197447.47843000002		F11/\$G\$3=0.43443408523267135		THIRD LAKE	
CAPITAL	896516.3558100001	J11/\$G\$5=0.3018906608636147			
JOCKEY CLUB	24122.0187	B12/\$G\$2=0.03318207317133781		JOCKEY	
CLUB	18075.544330000008	F12/\$G\$3=0.0397707412043254		SOUTHERN	
FINANCIAL	703540.2360800001	J12/\$G\$5=0.2369083680826313			
LINDEMANN JR GEORGE	10187.064349999999				
B13/\$G\$2=0.014013251497181982		RASHID ALI	1924.4903599999998		
F13/\$G\$3=0.004234362554202482		JOCKEY CLUB	60679.67422000003		
J13/\$G\$5=0.02043312074849301					
PARAMI CAPITAL MANA	5538.94089	B14/\$G\$2=0.007619326731709024			
VOLPE NOEL	984.81478	F14/\$G\$3=0.0021668400704574877		RASHID	
ALI	14571.12451	J14/\$G\$5=0.004906643787748337			
ADIKESHAVAR	3316.84371	B15/\$G\$2=0.0045626260410415636		THE	
NATIONAL ORGANI	866.66295	F15/\$G\$3=0.001906876344444073		PARAMI	
CAPITAL MANA	3639.1098899999997	J15/\$G\$5=0.0012254247036629043			
RASHID ALI	2797.9569699999997	B16/\$G\$2=0.0038488492220924535			
LINDEMANN JR GEORGE	69.79865	F16/\$G\$3=1.5357457539765752E-4			
THE NATIONAL ORGANI	3586.78173	J16/\$G\$5=0.0012078038507896693			
THE NATIONAL ORGANI	1312.48799	B17/\$G\$2=0.0018054489162916572			
ADIKESHAVAR	51.86414	F17/\$G\$3=1.1411414517135597E-4		VOLPE	
NOEL	1753.83645	J17/\$G\$5=5.905824712576762E-4			
BOIES FAMILY	4.0999999999999994E-4	B18/\$G\$2=5.639930127509809E-10			
DZIALGA KIRSTEN & M	0.11476	F18/\$G\$3=2.525008474037131E-7		LINDEMANN	
JR GEORGE	398.67046	J18/\$G\$5=1.3424728713115443E-4			
ELYSIUM MANAGEMENT	1.2000000000000002E-4				
B19/\$G\$2=1.6507112568321396E-10		GENERAL ATLANTIC FA	0.00122		
F19/\$G\$3=2.684306673340275E-9		ADIKESHAVAR	108.16556		
J19/\$G\$5=3.642339839029486E-5					
Grand Total	SUM(B10:B19)=726959.3607200002				
B20/\$G\$2=1.0000000000000002		Grand Total			
SUM(F10:F19)=454493.5236400001		F20/\$G\$3=0.9999999987678592		Grand	
Total	SUM(J10:J19)=2969672.25556	J20/\$G\$5=0.9999999598103815			

Investments Breakdown

DPM Revenue Summary Wealth Planning Revenue Summary Capital Markets

Revenue Summary

Current YTD Revenue: 4893.34289 Current YTD Revenue: 0

Current YTD Revenue: 6218.25344

Prior Year YTD Revenue: 12042.687719999998 Prior Year YTD Revenue:

0 Prior Year YTD Revenue: 11019.63949

Δ: C2-C3=-7149.344829999998 Δ: I2-I3=0 Δ: N2-

N3=-4801.386049999999

2018 Revenue: 43676.486789999995 2018 Revenue: 0 2018

Revenue: 29702.01987

DPM Top Revenue Relationships

YTD 2019 (through March) YTD 2018 (through March) Total 2018

Relationship Revenue AUM Relationship Revenue AUM

Relationship Revenue AUM

RASHID ALI 2659.7819 1.51360094337E7 RASHID ALI

4008.63275 1.107190264986E7 DEERING/CROSBY 17478.83889

1.0443246586556699E9

BOIES FAMILY 2123.1627899999994 1.4505112716650002E7 DEERING/-

CROSBY 2831.09932 3.143139809216401E8 RASHID ALI

12578.78251 5.599548367682999E7

ELLIN HOWARD 110.3982 595863.57968 BOIES FAMILY

2669.3313 1.382386647127E7 BOIES FAMILY 9616.78004

5.665735102879999E7

VOLPE NOEL 2415.7357199999997 1.0418613892049998E7 VOLPE

NOEL 4002.0853500000003 1.734149149032E7

Grand Total SUM(B11:B13)=4893.342889999999

SUM(C11:C13)=3.0236985730030004E7 Grand Total

12042.687719999998 SUM(G11:G14)=3.496283639348202E8 Grand

Total SUM(J11:J14)=43676.486789999995 SUM(K11:K14)=1.17431898485162E9

Wealth Planning Top Revenue Relationships

YTD 2019 (through March) YTD 2018 (through March) Total 2018

Relationship Revenue Relationship Revenue Relationship Revenue

N/A

Grand Total Grand Total Grand Total

Capital Markets Top Revenue Relationships

YTD 2019 (through March) YTD 2018 (through March) Total 2018

Relationship Revenue Relationship Revenue Relationship Revenue

ADIKESHAVAR 5100.89473 DEERING/CROSBY 6706.96136 DEERING/-

CROSBY 11217.39647

JOCKEY CLUB 600.60552 THE NATIONAL ORGANI 1928.00173

RASHID ALI 10499.99078

THE NATIONAL ORGANI 516.75319 RASHID ALI 1874.99455

THE NATIONAL ORGANI 5759.20838

ADIKESHAVAR 509.68185 JOCKEY CLUB 1219.48871

ADIKESHAVAR 1005.9355300000001

Grand Total SUM(B30:B32)=6218.25344 Grand Total

SUM(F30:F33)=11019.639490000001 Grand Total SUM(J30:J34)=29702.01987

Revenue Pivot

Investment Platform Name (All)

YearMonth (Multiple Items)

Sum of USD Column Labels

Row Labels Lending Lombard Lending Mortgages Grand Total

DEERING/CROSBY	-3343.7593699999998		-3343.7593699999998	
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VOLPE NOEL	6246.73556	6246.73556		
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Grand Total	-3343.7593699999998	6246.73556		2902.9761900000003
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AUM Pivot	
Investment Platform Name	(All)
YearMonth	(Multiple Items)
Row Labels	Sum of USD
ADIKESHAVAR	4.460708678749E7
ASHLEY HOLDINGS	1.40368915706803E9
BOIES FAMILY	5.665735102879999E7
DEERING/CROSBY	1.0443246586556699E9
DZIALGA KIRSTEN & M	344.32639
ELLIN HOWARD	2794652.8125499994
ELYSIUM MANAGEMENT	1820691.8190100002
GENERAL ATLANTIC FA	1.9878922329710003E7
JOCKEY CLUB	6.971169534459103E8
LINDEMANN JR GEORGE	1.3858011413800001E7
PARAMI CAPITAL MANA	3506067.65535
RASHID ALI	5.599548367682999E7
SK FAMILY OFFICE	1.892142055942E7
SOUTHERN FINANCIAL	5.803621984472002E8
THE NATIONAL ORGANI	4.6425595961847E8
THIRD LAKE CAPITAL	1.3455856958168101E9
VOLPE NOEL	1.734149149032E7
ZUECH CHRIS	2.7425506617422E8
Grand Total	6.044971213125981E9

Revenue Data

1.CRM Name	YearMonth Level	Investment Platform Name relationship reference*	UBR description client reference*
Category	EUR	USD	
Stewart Oldfield	201801	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE
CAPITAL CRW 2009 LLC	Revenues	20.10	24.99938
Stewart Oldfield	201801	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB PAC	Revenues	69.95	87.00031
Stewart Oldfield	201802	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB	Revenues	1442.21	1760.00098
Stewart Oldfield	201802	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
TJC MEDIA VENTURES INC.	Revenues	11.47	13.99741
Stewart Oldfield	201803	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
BLOOD-HORSE LLC	Revenues	564.32	694.00074
Stewart Oldfield	201803	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Revenues	239.06	293.99599
Stewart Oldfield	201803	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
EQUIBASE COMPANY	Revenues	691.98	850.997
Stewart Oldfield	201804	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
INCOMPASS SOLUTIONS INC.	Revenues	44.73	54.00029
Stewart Oldfield	201804	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN
FINANCIAL SOUTHERN TRUST COMPANY INC.	Revenues		54.19
65.42088			
Stewart Oldfield	201804	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI
ALI RASHID	Revenues	49.70	60.00033
Stewart Oldfield	201804	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
BLOOD-HORSE LLC	Revenues	492.86	595.00524
Stewart Oldfield	201805	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	VOLPE NOEL
NOEL VOLPE	Revenues	213.97	249.43553
Stewart Oldfield	201805	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Revenues		344.46
401.55424			
Stewart Oldfield	201805	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
BLOOD-HORSE LLC	Revenues	508.69	593.00537
Stewart Oldfield	201805	Deposits	Deposits Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Revenues		344.46

401.55424

Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC	Revenues	8.58	10.00214	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2012 IRREVOCABLE TRUST			Revenues	268.50

313.00388

Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
BLOOD-HORSE LLC	Revenues	528.86	616.99452	

Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB	Revenues	1377.45	1607.00205	

Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CRW 2009 LLC	Revenues	15.43	18.00141	

Stewart Oldfield	201807	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
BLOOD-HORSE LLC	Revenues	360.78	422.00437	

Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN	Revenues		6.02	6.99614

Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY	Revenues	820.71	929.00268	

Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC	Revenues	10.60	11.99814	

Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.	Revenues	38.87	43.99695	

Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TRAVIS WAGNER	Revenues	170.65	193.15874	

Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX			Revenues	54.07

61.20183

Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.		Revenues	65.72

75.22311

Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI			Revenues	51.34

58.76376

Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB PAC	Revenues	74.26	84.998	

Stewart Oldfield	201812	Deposits	Deposits	Deposit
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Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR GABRIEL		Revenues		246.79
282.47584				
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
BLOOD-HORSE LLC		652.62	748.00041	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.		Revenues	13.09	15.0031
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR CAMERON		Revenues		35.49
40.67686				
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CAITLIN A. FORSYTHE		Revenues	4.36	4.99721
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL THIRD LAKE PARTNERS, LLC		Revenues	463.05	530.72476
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.		Revenues	45.67	51.99758
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2012 IRREVOCABLE TRUST		Revenues		96.61
109.99532				
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST		Revenues		9.66
10.99839				
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE SAN MARCO HOLDINGS, LLC		Revenues	0.88	1.00192
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE SAN MARCO HOLDINGS, LLC		Revenues	355.39	398.92528
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE SABAL CLUB HOLDINGS, LLC		Revenues	218.26	244.99685
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.		Revenues	5.35	6.00538
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CRW 2009 LLC		Revenues	142.54	160.00115
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC		Revenues	12.47	13.99758
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI		Revenues		0.09

0.10103

Stewart Oldfield	201803	Capital Markets	Capital Markets	
Transaction Revenues from Equity Options/ Listed	THE NATIONAL ORGANI	Transaction Revenues from Equity Options/ Listed	THE NATIONAL ORGANIZATION OF THE NEW APO	Revenues
	1305.09	1604.99	9968	
Stewart Oldfield	201806	Capital Markets	Capital Markets	Equities
Transaction Revenues	Equities	Transaction Revenues	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO
	149.99	619		128.57
Stewart Oldfield	201810	Capital Markets	Capital Markets	Equities
Transaction Revenues	Equities	Transaction Revenues	DEERING/CROSBY	HEATHER CROSBY
Revenues	234.11	265.00	0081	
Stewart Oldfield	201901	Capital Markets	Capital Markets	Equities
Transaction Revenues	Equities	Transaction Revenues	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO
	492.29	435		429.52
Stewart Oldfield	201804	Other Products	Funds Solutions	Mutual
Funds/Equities Transaction Revenues external	DEERING/CROSBY	2016 MAYSON-DEERING FAMILY TRUST	Revenues	
	82.83	99.99	652	
Stewart Oldfield	201806	Other Products	Funds Solutions	Mutual
Funds/Equities Transaction Revenues external	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Revenues	
	678.23	791.25	703	
Stewart Oldfield	201808	Other Products	Funds Solutions	Mutual
Funds/Equities Transaction Revenues external	DEERING/CROSBY	THE SANDRA E.C. DEERING 2018 IRREVOCABLE	Revenues	
	438.71	510.00	0038	
Stewart Oldfield	201810	Other Products	Funds Solutions	Mutual
Funds/Equities Transaction Revenues external	DEERING/CROSBY	ACE LIMITED PARTNERSHIP LLLP	Revenues	
	88.34	99.99	646	
Stewart Oldfield	201810	Other Products	Funds Solutions	Mutual
Funds/Equities Transaction Revenues external	DEERING/CROSBY	2016 MAYSON-DEERING FAMILY TRUST	Revenues	
	176.69	200.00	425	
Stewart Oldfield	201808	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues internal	DEERING/CROSBY	THE CHARLESMEAD FOUNDATION INC.	Revenues	
	1.78	2.06	925	
Stewart Oldfield	201811	Other Products	Funds Solutions	Mutual
Funds/Money Market Non-Transaction Revenues internal	DEERING/CROSBY	SPENCER DEERING	Revenues	
	-0.31	-0.35	089	
Stewart Oldfield	201902	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues internal	RASHID ALI	ALI RASHID	Revenues	
	0.04	0.04	554	
Stewart Oldfield	201903	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues internal	RASHID ALI	ALI RASHID	Revenues	

3.69	4.14203				
Stewart Oldfield	201803	DPM	DPM	Mutual Funds Other Non -	
Transaction Revenues	Mutual	Funds	Other Non	-Transaction Revenues/-	
internal	VOLPE NOEL	NOEL	VOLPE	Revenues	2.09
2.57028					
Stewart Oldfield	201811	DPM	DPM	Mutual Funds Other Non -	
Transaction Revenues	Mutual	Funds	Other Non	-Transaction Revenues/-	
internal	RASHID ALI	ALI	RASHID	Revenues	2.05
2.3204					
Stewart Oldfield	201802	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat	Fee	Revenues	RASHID ALI	ALI
RASHID Revenues	831.69	1014.95	289		
Stewart Oldfield	201805	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat	Fee	Revenues	DEERING/CROSBY THE	
CHARLESMEAD FOUNDATION INC.		Revenues		714.84	833.32473
Stewart Oldfield	201808	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat	Fee	Revenues	DEERING/CROSBY THE	
CHARLESMEAD FOUNDATION INC.		Revenues		716.84	833.3265
Stewart Oldfield	201809	Other Products		Other Management/ Flat	
Fee Revenues	Management/ Flat	Fee	Revenues	DEERING/CROSBY SPENCER	
DEERING Revenues	-131.02	-152.26	489		
Stewart Oldfield	201810	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat	Fee	Revenues	RASHID ALI	ALI
RASHID Revenues	847.16	958.94	276		
Stewart Oldfield	201810	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat	Fee	Revenues	DEERING/CROSBY HEATHER	
CROSBY Revenues	736.19	833.33	027		
Stewart Oldfield	201811	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat	Fee	Revenues	DEERING/CROSBY THE	
CHARLESMEAD FOUNDATION INC.		Revenues		1374.28	1555.54753
Stewart Oldfield	201802	Other Products		Other Revenues from	
externally booked assets		Revenues from	externally booked assets		
DEERING/CROSBY HEATHER CROSBY		Revenues		-1655.02	-2019.70366
Stewart Oldfield	201811	Partnerships		Partnerships	
Partnership Revenues	Partnership Revenues			MCM CAPITAL PARTNER	
MCM CAPITAL PARTNERS		Revenues	36622.67	41453.20	017
Stewart Oldfield	201901	DPM	DPM	Private Equity Fund Non-	
Transaction Revenues	Private	Equity Fund Non-	Transaction Revenues/-		
internal	BOIES FAMILY	CHRISTOPHER BOIES		Revenues	
22.16	25.39868				
Stewart Oldfield	201802	Capital Markets	Capital Markets	Fixed	
Income Transaction Revenues		Fixed Income	Transaction Revenues		
RASHID ALI	ALI RASHID	Revenues		1536.44	1874.99455
Stewart Oldfield	201806	Capital Markets	Capital Markets	Fixed	
Income Transaction Revenues		Fixed Income	Transaction Revenues		
RASHID ALI	ALI RASHID	Revenues		1285.73	1499.9969
Stewart Oldfield	201803	Lending	Lending	Mortgages	Loans
Interest Revenues	Loans -	Mortgages private -	Interest Revenues		
VOLPE NOEL	NOEL VOLPE	Revenues		1429.99	1758.6017
Stewart Oldfield	201801	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			

DEERING/CROSBY	KATHRYN DEERING	Revenues	1141.45	1419.67844	
Stewart Oldfield	201808	Lending Lending	Lombard	Loans Interest	
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	MARON M DEERING	Revenues	558.27	648.98888	
Stewart Oldfield	201806	DPM DPM	Non-Transaction	Revenues	
from Hedge Funds	Non-Transaction	Revenues from Hedge Funds/-			
external	BOIES FAMILY	CHRISTOPHER BOIES	Revenues		
558.04	651.03737				
Stewart Oldfield	201811	DPM DPM	Non-Transaction	Revenues	
from Hedge Funds	Non-Transaction	Revenues from Hedge Funds/-			
external	BOIES FAMILY	CHRISTOPHER BOIES	Revenues		
619.06	700.71401				
Stewart Oldfield	201801	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues		
2.54597	3.16655				
Stewart Oldfield	201801	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB	Revenues		
453.51205	564.05561				
Stewart Oldfield	201801	Deposits	Deposits	NTLP	
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.			
Revenues	2451.04166	3048.48306			
Stewart Oldfield	201802	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN			
Revenues	0.02548	0.03109			
Stewart Oldfield	201802	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues		
2.13094	2.60049				
Stewart Oldfield	201802	Deposits	Deposits	NTLP	
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK	Revenues		
4.0E-5	5.0E-5				
Stewart Oldfield	201802	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	KATI FORSYTHE 2014 GRAT			
Revenues	0.02731	0.03333			
Stewart Oldfield	201803	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues		
73.09788	89.89577				
Stewart Oldfield	201803	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN			
Revenues	0.02529	0.0311			
Stewart Oldfield	201803	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB	Revenues		
663.55293	816.0374				
Stewart Oldfield	201803	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION			
Revenues	67.81118	83.39419			
Stewart Oldfield	201803	Deposits	Deposits	NTLP	
NTLP - Deposit	SOUTHERN FINANCIAL	THE 2017 CATERPILLAR TRUST			
Revenues	6102.15578	7504.43118			
Stewart Oldfield	201803	Deposits	Deposits	NTLP	
NTLP - Deposit	VOLPE NOEL	NOEL VOLPE	Revenues	94.3304	
116.00752					

Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	Revenues	43224.51956	
52182.80126				
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2014 GRAT		
Revenues	0.02761	0.03333		
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues	
133.74359	161.46195			
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues	
0.02761	0.03333			
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK	Revenues	
4.0E-5	5.0E-5			
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	Revenues	828.32885	1000
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues	
2.15407	2.6005			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	THE GEORGE LINDEMANN FAMILY		
LIMITED PART	Revenues	27.53459	32.09845	
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE		
NEW APO	Revenues	39.87163	46.48035	
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB	Revenues	
11.20228	13.05906			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues	
7.69554	8.97108			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	LAURA A FORSYTHE REVOCABLE		
TRUST	Revenues	0.02859	0.03333	
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR MARA		
WAGNER	Revenues	107.22711	125	
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues	
0.02863	0.0334			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL LLC		
Revenues	228.70188	266.81505		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	Revenues	854.92007	1000.00001
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
GABRIEL	Revenues	213.73002	250	
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES	Revenues	

1.1E-4	1.3E-4				
Stewart Oldfield		201808	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		THE JOCKEY CLUB	FOUNDATIONS	
Revenues	73.5115	85.45712			
Stewart Oldfield		201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		CRW 2007 LLC	Revenues	
0.0287	0.03336				
Stewart Oldfield		201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R WANEK 2015	GRAT TRUST FOR	
CAMERON Revenues	107.52688		125		
Stewart Oldfield		201808	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS		Revenues	75781.27722	88095.73477
Stewart Oldfield		201808	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL		SOUTHERN FINANCIAL LLC		
Revenues	230.2181		267.62854		
Stewart Oldfield		201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		KATI WANEK-FORSYTHE 2015	GRAT TR	
FOR STE Revenues	107.52688		125		
Stewart Oldfield		201809	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		BLOOD-HORSE LLC	Revenues	
24.04529	27.94423				
Stewart Oldfield		201809	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		TJC MEDIA VENTURES INC.	Revenues	
14.16969	16.46731				
Stewart Oldfield		201809	Deposits	Deposits	NTLP
NTLP - Deposit	THE NATIONAL ORGANI		THE NATIONAL ORGANIZATION OF THE		
NEW APO Revenues	27.63808		32.11959		
Stewart Oldfield		201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R WANEK 2015	GRAT TRUST FOR	
CAMERON Revenues	107.55927		125.00001		
Stewart Oldfield		201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015	GRAT FOR MARA	
WAGNER Revenues	107.55927		125.00001		
Stewart Oldfield		201809	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		COALITION FOR HORSE RACING INTEGRITY, IN		
Revenues	0.05444	0.06327			
Stewart Oldfield		201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R. WANEK	Revenues	
0.02868	0.03333				
Stewart Oldfield		201810	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI		Revenues	662.57344	750.00001
Stewart Oldfield		201810	Deposits	Deposits	NTLP
NTLP - Deposit	BOIES FAMILY		CHRISTOPHER BOIES	Revenues	
1.2E-4	1.4E-4				
Stewart Oldfield		201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		CRW 2009 LLC	Revenues	
0.03365	0.03809				
Stewart Oldfield		201810	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		THE GEORGE LINDEMANN FAMILY		
LIMITED PART	Revenues		34.24944	38.76865	
Stewart Oldfield		201811	Deposits	Deposits	NTLP

NTLP - Deposit Revenues	THIRD LAKE CAPITAL	0.02945	0.03333		KATI FORSYTHE 2014 GRAT	
Stewart Oldfield	201811	Deposits		Deposits		NTLP
NTLP - Deposit	SOUTHERN FINANCIAL			THE HAZE TRUST	Revenues	
3409.8023	3859.5522					
Stewart Oldfield	201811	Deposits		Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			KATI WANEK-FORSYTHE		
Revenues	0.02945	0.03333				
Stewart Oldfield	201811	Deposits		Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			CRW 2007 LLC	Revenues	
0.02948	0.03336					
Stewart Oldfield	201811	Deposits		Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB			COALITION FOR HORSE RACING INTEGRITY, IN		
Revenues	8.32219	9.41989				
Stewart Oldfield	201811	Deposits		Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			STEVEN FORSYTHE	Revenues	
0.02945	0.03333					
Stewart Oldfield	201811	Deposits		Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB			TJC HOLDINGS, INC	Revenues	
2.29746	2.60049					
Stewart Oldfield	201812	Deposits		Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			TODD R WANEK 2015 GRAT TRUST FOR		
CAMERON Revenues	219.95121			251.75615		
Stewart Oldfield	201812	Deposits		Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			TODD R. WANEK	Revenues	
0.02912	0.03333					
Stewart Oldfield	201812	Deposits		Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB			AXCIS INFORMATION NETWORK INC.		
Revenues	62.00506	70.97099				
Stewart Oldfield	201812	Deposits		Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			CRW 2007 LLC	Revenues	
0.02915	0.03336					
Stewart Oldfield	201812	Deposits		Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			SHARI WAGNER 2015 GRAT TRAVIS T.		
WAGNER Revenues	219.95121			251.75615		
Stewart Oldfield	201812	Deposits		Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB			COALITION FOR HORSE RACING INTEGRITY, IN		
Revenues	1.58308	1.81199				
Stewart Oldfield	201812	Deposits		Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB			TJC HOLDINGS, INC	Revenues	
2.27197	2.6005					
Stewart Oldfield	201812	Deposits		Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			KEYHOLE PARTNERS, LLC		
Revenues	153.23917	175.39755				
Stewart Oldfield	201812	Deposits		Deposits		NTLP
NTLP - Deposit	SOUTHERN FINANCIAL			SOUTHERN TRUST COMPANY INC.		
Revenues	45.30158	51.85219				
Stewart Oldfield	201901	Deposits		Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			GEW 2007 LLC	Revenues	
0.02914	0.0334					
Stewart Oldfield	201901	Deposits		Deposits		NTLP

NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
GABRIEL Revenues	439.76454	504.03613		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues	
10.80291	12.38176			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.		
Revenues	69.90678	80.12366		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues	
2.2689	2.6005			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	Revenues	76876.00766	88111.43618
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	Revenues	791.63213	907.32917
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	SUMMER COVE HOLDINGS, LLC		
Revenues	2.23442	2.544		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER	Revenues	
362.44931	412.66666			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	THE GEORGE LINDEMANN FAMILY		
LIMITED PART	Revenues	45.71825	52.05251	
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues	
0.02928	0.03334			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI FORSYTHE 2014 GRAT		
Revenues	0.0297	0.03334		
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK- FORSYTHE 2012 IRR		
TRUST Revenues	255.38233	286.66667		
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KEYHOLE PARTNERS, LLC		
Revenues	379.94325	426.4863		
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	THE GEORGE LINDEMANN FAMILY		
LIMITED PART	Revenues	46.38732	52.06977	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB	Revenues	
11.73326	13.17058			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	SUMMER COVE HOLDINGS, LLC		
Revenues	153.87166	172.72094		
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC	Revenues	8.04	9.99975	
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	THE HAZE TRUST	Revenues	34167.32	42495.60425

Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY	Revenues	1074.28	1310.9976	
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	46882.15	57655.66806		
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL GEW 2009 LLC	Revenues	24.39	29.99482	
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI	
ALI RASHID Revenues		117.09	143.99728	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI	Revenues			344.46
401.55424				
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE	Revenues		536.99	625.99609
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.	Revenues		270.21	314.99731
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX	Revenues			344.46
401.55424				
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	5438.09	6344.34771		
Stewart Oldfield	201807	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CRW 2009 LLC	Revenues	14.53	16.99574	
Stewart Oldfield	201807	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL THE 2017 CATERPILLAR TRUST	Revenues			6810.35
7966.0664				
Stewart Oldfield	201807	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL SOUTHERN FINANCIAL LLC	Revenues		269.06	314.71948
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	5073.73	5898.21118		
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL STEVEN FORSYTHE	Revenues	12.04	13.9965	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TRAVIS WAGNER	Revenues	78.1	90.79125	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL THE HAZE TRUST	Revenues		20070.38	23331.81675

Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL LAURA A FORSYTHE	REVOCABLE TRUST		Revenues	24.09
27.99619				
Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	7154.80	8314.95085		
Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY	Revenues	277.93	322.99635	
Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL SOUTHERN TRUST COMPANY INC.			Revenues	54.16
62.94204				
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	ASHLEY HOLDINGS	
Revenues	1.1	1.24515		
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI	
ALI RASHID	Revenues	678.48	768.00544	
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB	Revenues	1459.49	1651.99674	
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER			Revenues	54.07
61.20183				
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI			Revenues	54.07
61.20183				
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER			Revenues	54.07
61.20183				
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR CAMERON			Revenues	177.58
203.25807				
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KEYHOLE PARTNERS, LLC			95.69	109.52677
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER			Revenues	177.58
203.25807				
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL THE 2017 CATERPILLAR TRUST			Revenues	8638.24
9887.3295				
Stewart Oldfield	201901	Deposits	Deposits	Deposit

Interest Revenues	Deposit	Interest Revenues	THIRD LAKE
CAPITAL STEVEN FORSYTHE	Revenues	4.36 4.99721	
Stewart Oldfield	201901	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
ALI RASHID	Revenues	23.56 27.00329	RASHID ALI
Stewart Oldfield	201901	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
CAPITAL TRAVIS WAGNER	Revenues	1.26 1.44415	THIRD LAKE
Stewart Oldfield	201901	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Revenues		THIRD LAKE
40.67686			35.49
Stewart Oldfield	201901	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE	Revenues		THIRD LAKE
0.13754			0.12
Stewart Oldfield	201902	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
AXCIS INFORMATION NETWORK INC.	Revenues	334.64 381.00437	JOCKEY CLUB
Stewart Oldfield	201902	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Revenues		THIRD LAKE
38.97257			34.23
Stewart Oldfield	201902	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
CAPITAL CAITLIN A. FORSYTHE	Revenues	9.66 10.99839	THIRD LAKE
Stewart Oldfield	201902	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
COALITION FOR HORSE RACING INTEGRITY, IN	Revenues	10.54 12.00032	JOCKEY CLUB
Stewart Oldfield	201903	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
GEORGE ADDISON AT MILLENIA HOLDINGS, LLC	Revenues		LINDEMANN JR
882.5095			786.20
Stewart Oldfield	201903	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
Revenues 3747.18 4206.20956			ASHLEY HOLDINGS
Stewart Oldfield	201903	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
CAPITAL CAMERON WANEK	Revenues	2.67 2.99708	THIRD LAKE
Stewart Oldfield	201903	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR GABRIEL	Revenues		THIRD LAKE
83.88443			74.73
Stewart Oldfield	201903	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	Deposit
CAPITAL THIRD LAKE PARTNERS, LLC	Revenues	367.55 412.57488	THIRD LAKE
Stewart Oldfield	201805	Capital Markets	Capital Markets
Transaction Revenues	Equities	Transaction Revenues	Equities
ADIKESHAVAR HOLDINGS LLC	Revenues	42.89 49.99902	ADIKESHAVAR
Stewart Oldfield	201808	Capital Markets	Capital Markets
Transaction Revenues	Equities	Transaction Revenues	Equities
			DEERING/CROSBY

2016 MAYSON-DEERING FAMILY TRUST		Revenues	43.01	49.99913
Stewart Oldfield	201810	Capital Markets	Capital Markets	Equities
Transaction Revenues		Equities	Transaction Revenues	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST		Revenues	88.34	99.99646
Stewart Oldfield	201811	Capital Markets	Capital Markets	Equities
Transaction Revenues		Equities	Transaction Revenues	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Revenues	88.13	99.75435
Stewart Oldfield	201803	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues		Mutual Funds/Money Market	Non-Transaction	Revenues internal
Revenues internal	DEERING/CROSBY	THE CHARLESMEAD FOUNDATION INC.		
Revenues	0.98	1.2052		
Stewart Oldfield	201808	Other Products	Funds Solutions	Mutual
Funds/Money Market Non-Transaction Revenues			Mutual Funds/Money Market	
Non-Transaction Revenues internal		DEERING/CROSBY	SPENCER DEERING	
Revenues	-0.27	-0.31388		
Stewart Oldfield	201811	Other Products	Custody only	Mutual
Funds/Money Market Non-Transaction Revenues			Mutual Funds/Money Market	
Non-Transaction Revenues internal		ZUECH CHRIS	LONG TERM INSURED	
CREDIT FUND LLC Revenues		57.32	64.88051	
Stewart Oldfield	201812	Other Products	Custody only	Mutual
Funds/Money Market Non-Transaction Revenues			Mutual Funds/Money Market	
Non-Transaction Revenues internal		ZUECH CHRIS	LONG TERM INSURED	
CREDIT FUND LLC Revenues		3.53	4.04044	
Stewart Oldfield	201901	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues		Mutual Funds/Money Market	Non-Transaction	Revenues internal
Revenues internal	RASHID ALI	ALI RASHID	Revenues	
0.01	0.01146			
Stewart Oldfield	201902	Other Products	Custody only	Mutual
Funds/Money Market Non-Transaction Revenues			Mutual Funds/Money Market	
Non-Transaction Revenues internal		ZUECH CHRIS	LONG TERM INSURED	
CREDIT FUND LLC Revenues		11.87	13.51459	
Stewart Oldfield	201801	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee	Revenues	RASHID ALI	ALI
RASHID Revenues	1629.63	2026.85231		
Stewart Oldfield	201801	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee	Revenues	VOLPE NOEL	NOEL
VOLPE Revenues	620.94	772.29413		
Stewart Oldfield	201804	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee	Revenues	DEERING/CROSBY	THE
CHARLESMEAD FOUNDATION INC.		Revenues	690.27	833.32846
Stewart Oldfield	201808	Other Products	Other	Management/ Flat Fee
Fee Revenues	Management/ Flat Fee	Revenues	DEERING/CROSBY	SPENCER
DEERING Revenues	-914.12	-1062.6645		
Stewart Oldfield	201811	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee	Revenues	RASHID ALI	ALI
RASHID Revenues	805.72	911.99447		
Stewart Oldfield	201807	DPM	DPM	Private Equity Fund Non-
Transaction Revenues	Private	Equity Fund Non-Transaction	Revenues/-	Revenues internal
internal	BOIES FAMILY	CHRISTOPHER BOIES	Revenues	
52.32	61.1987			
Stewart Oldfield	201809	DPM	DPM	Private Equity Fund Non-

Transaction Revenues internal	Private BOIES FAMILY	Equity Fund	Non-Transaction Revenues/-	CHRISTOPHER BOIES	Revenues
52.66	61.19882				
Stewart Oldfield	201809	DPM	DPM	Private Equity Fund Non-Transaction Revenues	26.33
Transaction Revenues internal	Private ELLIN HOWARD	Equity Fund	Non-Transaction Revenues/-	HOWARD ELLIN	Revenues
30.59941					
Stewart Oldfield	201810	DPM	DPM	Private Equity Fund Non-Transaction Revenues	81.1
Transaction Revenues internal	Private ELLIN HOWARD	Equity Fund	Non-Transaction Revenues/-	HOWARD ELLIN	Revenues
91.80115					
Stewart Oldfield	201902	DPM	DPM	Private Equity Fund Non-Transaction Revenues	
Transaction Revenues internal	Private BOIES FAMILY	Equity Fund	Non-Transaction Revenues/-	CHRISTOPHER BOIES	Revenues
22.31	25.40105				
Stewart Oldfield	201805	Capital Markets	Capital Markets	Fixed Income Transaction Revenues	
Income Transaction Revenues		Fixed Income Transaction Revenues			
THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO				
Revenues	2555.01	2978.50	291		
Stewart Oldfield	201807	Capital Markets	Capital Markets	Fixed Income Transaction Revenues	
Income Transaction Revenues		Fixed Income Transaction Revenues			
JOCKEY CLUB	THE JOCKEY CLUB	Revenues	528.95	618.71282	
Stewart Oldfield	201810	Capital Markets	Capital Markets	Fixed Income Transaction Revenues	
Income Transaction Revenues		Fixed Income Transaction Revenues			
RASHID ALI	ALI RASHID	Revenues	2981.58	3374.99948	
Stewart Oldfield	201803	Other Products	Custody only	Custody	
Revenues	Custody Revenues		THE NATIONAL ORGANI	THE	
NATIONAL ORGANIZATION OF THE NEW APO		Revenues	7169.76	8817.37085	
Stewart Oldfield	201902	DPM	DPM	Private Equity Fund Transaction Revenues/-	
Transaction Revenues internal	Private BOIES FAMILY	Equity Fund	Transaction Revenues/-	CHRISTOPHER BOIES	Revenues
125.60	143.00188				
Stewart Oldfield	201802	Lending	Lending	Mortgages	Loans
Interest Revenues	Loans -	Mortgages private	-	Interest Revenues	
VOLPE NOEL	NOEL VOLPE	Revenues	1979.91	2416.18317	
Stewart Oldfield	201804	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	LYNN DEERING REVOCABLE TRUST	Revenues		2156.22	
2603.0966					
Stewart Oldfield	201807	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	LYNN DEERING REVOCABLE TRUST	Revenues		566.92	
663.12632					
Stewart Oldfield	201808	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	SPENCER DEERING	Revenues	38.75	45.04688	
Stewart Oldfield	201810	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	LYNN DEERING REVOCABLE TRUST	Revenues	-1671.16398	-1891.67407	
2603.0966					
Stewart Oldfield	201902	DPM	DPM	Non-Transaction Revenues	

from Hedge Funds external	BOIES FAMILY		CHRISTOPHER BOIES		Non-Transaction Revenues from Hedge Funds/- Revenues
537.89	612.41466				
Stewart Oldfield	201801	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		KATI WANER-FORSYTHE	2016 GRAT	
Revenues	5.0E-5	6.0E-5			
Stewart Oldfield	201801	Deposits	Deposits		NTLP
NTLP - Deposit	THE NATIONAL ORGANI		THE NATIONAL ORGANIZATION OF THE		
NEW APO Revenues		640.66553		796.82775	
Stewart Oldfield	201801	Deposits	Deposits		NTLP
NTLP - Deposit	SOUTHERN FINANCIAL		THE HAZE TRUST	Revenues	
19792.52654	24616.95488				
Stewart Oldfield	201801	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		CRW 2009 LLC	Revenues	
7.19507	8.94887				
Stewart Oldfield	201801	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER	2014 GRAT	
Revenues	0.0268	0.03333			
Stewart Oldfield	201801	Deposits	Deposits		NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		THE GEORGE LINDEMANN FAMILY		
LIMITED PART	Revenues	15.1596	18.85475		
Stewart Oldfield	201801	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SSW 2007 LLC	Revenues	
0.0268	0.03333				
Stewart Oldfield	201802	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		THE GRAYSON JOCKEY CLUB	Revenues	
10.68935	13.04475				
Stewart Oldfield	201802	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		THE JOCKEY CLUB PAC	Revenues	
22.14235	27.02142				
Stewart Oldfield	201802	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		GEW 2009 LLC	Revenues	
7.21095	8.79988				
Stewart Oldfield	201803	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R. WANER	2016 GRAT	
Revenues	0.14536	0.17876			
Stewart Oldfield	201803	Deposits	Deposits		NTLP
NTLP - Deposit	RASHID ALI	Revenues	203.28509		250
Stewart Oldfield	201803	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		BLOOD-HORSE LLC	Revenues	
126.74123	155.86636				
Stewart Oldfield	201803	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		THE GRAYSON JOCKEY CLUB	Revenues	
10.61087	13.04925				
Stewart Oldfield	201803	Deposits	Deposits		NTLP
NTLP - Deposit	RASHID ALI	ALI RASHID	Revenues		
408.02372	501.78758				
Stewart Oldfield	201803	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SSW 2007 LLC	Revenues	
0.0271	0.03333				
Stewart Oldfield	201803	Deposits	Deposits		NTLP

NTLP - Deposit	SOUTHERN FINANCIAL		THE HAZE TRUST	Revenues	
20058.68509	24668.17092				
Stewart Oldfield	201804	Deposits	Deposits	NTLP	
NTLP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES		Revenues	
1.1E-4	1.3E-4				
Stewart Oldfield	201804	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK		Revenues	
0.02761	0.03333				
Stewart Oldfield	201804	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC		Revenues	
0.02764	0.03337				
Stewart Oldfield	201804	DPM	DPM	NTLP	NTLP - Deposit
BOIES FAMILY	DIAMOND B CAPITAL, INC.	Revenues			69.49182
83.894					
Stewart Oldfield	201804	Deposits	Deposits	NTLP	
NTLP - Deposit	RASHID ALI	ALI RASHID		Revenues	1.48106
1.78801					
Stewart Oldfield	201804	Deposits	Deposits	NTLP	
NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC			
Revenues	5.84006	7.05041			
Stewart Oldfield	201805	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE	2015 GRAT TR		
FOR CAI	Revenues	107.22711	125		
Stewart Oldfield	201805	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	ROBERT S FORSYTHE	REVOCABLE		
TRUST	Revenues	0.02859	0.03333		
Stewart Oldfield	201805	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION			
Revenues	41.82757	48.76049			
Stewart Oldfield	201805	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER	2014 GRAT		
Revenues	0.02859	0.03333			
Stewart Oldfield	201805	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.			
Revenues	91.86988	107.09732			
Stewart Oldfield	201805	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	TRAVIS WAGNER	Revenues		
107.24188	125.01722				
Stewart Oldfield	201805	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	CAITLIN A. FORSYTHE			
Revenues	0.02859	0.03333			
Stewart Oldfield	201805	Deposits	Deposits	NTLP	
NTLP - Deposit	SOUTHERN FINANCIAL	THE HAZE TRUST	Revenues		
21213.85294	24730.04906				
Stewart Oldfield	201806	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK	2015 GRAT TRUST FOR		
CAMERON	Revenues	107.14439	125		
Stewart Oldfield	201806	Deposits	Deposits	NTLP	
NTLP - Deposit	RASHID ALI	Revenues	857.1551	1000	
Stewart Oldfield	201806	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	Revenues		51171.06938	

59698.7281

Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2014 GRAT	
Revenues	0.02857	0.03333		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SSW 2007 LLC	Revenues
0.02857	0.03333			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		THE GEORGE LINDEMANN FAMILY	
LIMITED PART	Revenues	27.52173	32.10823	
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R WANEK 2015 GRAT TRUST FOR	
GABRIEL	Revenues	214.28878	250.00001	
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	BOIES FAMILY		CHRISTOPHER BOIES	Revenues
1.1E-4	1.3E-4			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		ROBERT S FORSYTHE REVOCABLE	
TRUST	Revenues	0.0285	0.03334	
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		BLOOD-HORSE LLC	Revenues
42.37491	49.26083			
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI		Revenues	860.21506
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		KATI FORSYTHE 2014 GRAT	
Revenues	0.02867	0.03333		
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL		THE HAZE TRUST	Revenues
15329.97163	17821.09202			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		THE GEORGE LINDEMANN FAMILY	
LIMITED PART	Revenues	33.3475	38.7548	
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	BOIES FAMILY		CHRISTOPHER BOIES	Revenues
1.1E-4	1.3E-4			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R WANEK 2015 GRAT TRUST FOR	
GABRIEL	Revenues	215.11853	250	
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2014 GRAT	
Revenues	0.02868	0.03333		
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		INCOMPASS SOLUTIONSINC.	Revenues
26.99172	31.36843			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT TRAVIS T.	
WAGNER	Revenues	107.55927	125.00001	
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		THE JOCKEY CLUB	Revenues
294.04695	332.84644			

Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues	
152.88961	173.06339			
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	Revenues	77311.98283	87513.29896
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
CAMERON Revenues	220.85781	250		
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION		
Revenues	46.33796	52.45225		
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	WANEK TRUST OF 2000		
Revenues	0.02945 0.03334			
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI FORSYTHE 2014 GRAT		
Revenues	0.02945 0.03334			
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR STE Revenues	110.42891	125		
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC		
Revenues	2.4658 2.79104			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT TRAVIS T.		
WAGNER Revenues	222.27411	251.59207		
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	Revenues	77841.73019	88109.0544
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE		
NEW APO Revenues	31.88817	36.09422		
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB Revenues		
11.44526	13.10024			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	Revenues	76979.0705	88110.24409
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	Revenues	655.25075	750.00001
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	THE 2017 CATERPILLAR TRUST		
Revenues	5954.40531	6815.41232		
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	THE GEORGE LINDEMANN FAMILY		
LIMITED PART	Revenues	39.6718 45.40834		
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2014 GRAT		
Revenues	0.02912 0.03333			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB Revenues		
644.70288	737.92691			
Stewart Oldfield	201812	Deposits	Deposits	NTLP

NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK	Revenues	
4.0E-5	4.0E-5			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues	
2.18084	2.49619			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	WANEK TRUST OF 2000		
Revenues	0.02908	0.03333		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	ROBERT S FORSYTHE REVOCABLE		
TRUST	Revenues	0.02908	0.03333	
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR LEAH M		
FOX	Revenues	219.79596	251.91914	
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SSW 2007 LLC	Revenues	
0.02908	0.03333			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues	
17.41024	19.95475			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CAITLIN A. FORSYTHE		
Revenues	29.30628	33.36667		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION		
Revenues	27.6399	31.46941		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	ASHLEY HOLDINGS, INC.	Revenues	
0.00845	0.00962			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	WANEK TRUST OF 2000		
Revenues	2561.76716	2916.70		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues	
93.22896	106.14583			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	ROBERT S FORSYTHE REVOCABLE		
TRUST	Revenues	29.30628	33.36667	
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN		
Revenues	1.59149	1.81199		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONS INC.	Revenues	
12.78762	14.55934			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB	Revenues	
451.86505	507.21852			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	LAURA A FORSYTHE REVOCABLE		
TRUST	Revenues	0.0297	0.03334	
Stewart Oldfield	201903	Deposits	Deposits	NTLP

NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2014 GRAT		
Revenues	0.0297	0.03334		
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	6257.09	7782.2557		
Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CRW 2009 LLC	Revenues	16.39	20.00154	
Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION	Revenues	74.57	91.0015	
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	THE HAZE TRUST	Revenues	27191.58	33440.20508
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC	Revenues	7.45	8.99401	
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	4685.44	5656.49745		
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB	Revenues	1396.56	1685.99707	
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION	Revenues	191.34	230.99522	
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB PAC	Revenues	82.83	99.99652	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	24022.54	28004.27606		
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI	
ALI RASHID	Revenues	54.04	62.99713	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR CAMERON	Revenues			344.46
401.55424				
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	THE HAZE TRUST	Revenues	30035.21	35013.54606
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	THE HAZE TRUST	Revenues	27110.43	31628.38316
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI	
ALI RASHID	Revenues	53.14	61.99578	
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
INCOMPASS SOLUTIONSINC.	Revenues	78.86	92.00202	

Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.	Revenues		58.91
68.72735				
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB PAC	Revenues	96	111.9984	
Stewart Oldfield	201807	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC	Revenues	9.40	10.99518	
Stewart Oldfield	201807	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI	
ALI RASHID	Revenues	44.46	52.00486	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL GEW 2009 LLC	Revenues	14.62	16.99575	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.	Revenues		289.89	336.99713
Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.	Revenues	60.23	69.99629	
Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB	Revenues	1198.63	1392.98786	
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Revenues			10.60
11.99867				
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER	Revenues	108.66	122.99769	
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK- FORSYTHE 2012 IRR TRUST	Revenues			43.29
49.00212				
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KEYHOLE PARTNERS, LLC	Revenues		29.92	33.86645
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE	Revenues			54.07
61.20183				
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB PAC	Revenues	71.56	80.99876	
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TRAVIS WAGNER	Revenues	14.29	16.35633	
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	

CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Revenues	177.58		
203.25807				
Stewart Oldfield	201812 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	SOUTHERN		
FINANCIAL SOUTHERN FINANCIAL LLC	Revenues	978.38	1119.85375	
Stewart Oldfield	201901 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	THIRD LAKE		
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST	Revenues		4.36	
4.99721				
Stewart Oldfield	201901 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB		
TJC HOLDINGS, INC	Revenues	11.34	12.99734	
Stewart Oldfield	201902 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	THIRD LAKE		
CAPITAL Revenues	4112.68	4682.4918		
Stewart Oldfield	201902 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	THIRD LAKE		
CAPITAL CAMERON WANEK	Revenues	101.88	115.99547	
Stewart Oldfield	201902 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	THIRD LAKE		
CAPITAL WANEK TRUST OF 2000	Revenues	850.20	967.99521	
Stewart Oldfield	201902 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	THIRD LAKE		
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR GABRIEL	Revenues		90.77	
103.34618				
Stewart Oldfield	201902 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	LINDEMANN JR		
GEORGE MARINA LANDING HOLDINGS, LLC	Revenues	1.76	2.00385	
Stewart Oldfield	201902 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB		
THE JOCKEY CLUB PAC	Revenues	88.71	101.00077	
Stewart Oldfield	201902 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	THIRD LAKE		
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE	Revenues		0.11	
0.12524				
Stewart Oldfield	201903 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	THIRD LAKE		
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX	Revenues		28.17	
31.62083				
Stewart Oldfield	201903 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB		
AXCIS INFORMATION NETWORK INC.	Revenues	339.43	381.01018	
Stewart Oldfield	201903 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	THIRD LAKE		
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR CAMERON	Revenues		28.17	
31.62083				
Stewart Oldfield	201903 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	PARAMI CAPITAL		
MANA RAHUL VAID	Revenues	721.79	810.20928	
Stewart Oldfield	201903 Deposits	Deposits	Deposit	
Interest Revenues	Deposit Interest Revenues	THIRD LAKE		

CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Revenues	28.17		
31.62083				
Stewart Oldfield	201805 Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues	THE NATIONAL	
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Revenues		342.44	
399.19943				
Stewart Oldfield	201808 Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues	DEERING/CROSBY	
LYNN DEERING REVOCABLE TRUST	Revenues	1213.88	1411.1355	
Stewart Oldfield	201810 Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues	DEERING/CROSBY	
2016 MAYSON-DEERING FAMILY TRUST	Revenues	44.17	49.99823	
Stewart Oldfield	201811 Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues	DEERING/CROSBY	
SPENCER DEERING	Revenues	-212.11	-240.08731	
Stewart Oldfield	201804 DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues	Mutual Funds/Money Market	Non-Transaction		
Revenues internal	VOLPE NOEL	NOEL VOLPE	Revenues	
1.81 2.18512				
Stewart Oldfield	201805 DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues	Mutual Funds/Money Market	Non-Transaction		
Revenues internal	RASHID ALI	ALI RASHID	Revenues	
2.29 2.66957				
Stewart Oldfield	201809 DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues	Mutual Funds/Money Market	Non-Transaction		
Revenues internal	RASHID ALI	ALI RASHID	Revenues	
0.14 0.1627				
Stewart Oldfield	201805 DPM	DPM	Mutual Funds Other Non -	
Transaction Revenues	Mutual Funds Other Non	-Transaction Revenues/-		
internal	VOLPE NOEL	NOEL VOLPE	Revenues	2.41
2.80946				
Stewart Oldfield	201810 DPM	DPM	Mutual Funds Other Non -	
Transaction Revenues	Mutual Funds Other Non	-Transaction Revenues/-		
internal	RASHID ALI	ALI RASHID	Revenues	2.24
2.53557				
Stewart Oldfield	201803 DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	VOLPE NOEL NOEL	
VOLPE Revenues	653.11	803.19468		
Stewart Oldfield	201803 DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	DEERING/CROSBY HEATHER	
CROSBY Revenues	677.61	833.32478		
Stewart Oldfield	201804 DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	RASHID ALI ALI	
RASHID Revenues	789.27	952.84621		
Stewart Oldfield	201805 DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	RASHID ALI ALI	
RASHID Revenues	818.48	954.14306		
Stewart Oldfield	201807 DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	DEERING/CROSBY HEATHER	
CROSBY Revenues	712.43	833.32937		
Stewart Oldfield	201809 DPM	DPM	Management/ Flat Fee	

Revenues	Management/ Flat Fee Revenues	DEERING/CROSBY	THE
CHARLESMEAD FOUNDATION INC.	Revenues	717.06	833.33128
Stewart Oldfield	201812 DPM DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee Revenues	RASHID ALI	ALI
RASHID Revenues	806.23 922.81086		
Stewart Oldfield	201805 Partnerships	Partnerships	
Partnership Revenues	Partnership Revenues	Southern Financial	
Southern Financial	Revenues 64.77	75.50563	
Stewart Oldfield	201810 Partnerships	Partnerships	
Partnership Revenues	Partnership Revenues	MCM CAPITAL PARTNER	
MCM CAPITAL PARTNERS	Revenues 24257.96	27458.79782	
Stewart Oldfield	201903 Partnerships	Partnerships	
Partnership Revenues	Partnership Revenues	SAFANAD Safanad	
Revenues	337639.20 379000.002		
Stewart Oldfield	201804 DPM DPM	Private Equity Fund Non-	
Transaction Revenues	Private Equity Fund Non-Transaction Revenues/-	internal	Revenues
102.38 123.59826	BOIES FAMILY CHRISTOPHER BOIES		
Stewart Oldfield	201807 DPM DPM	Private Equity Fund Non-	
Transaction Revenues	Private Equity Fund Non-Transaction Revenues/-	internal	Revenues
30.59935	ELLIN HOWARD HOWARD ELLIN		26.16
Stewart Oldfield	201903 DPM DPM	Private Equity Fund Non-	
Transaction Revenues	Private Equity Fund Non-Transaction Revenues/-	internal	Revenues
22.63 25.40218	BOIES FAMILY CHRISTOPHER BOIES		
Stewart Oldfield	201808 Capital Markets Capital Markets Fixed	Income Transaction Revenues	Fixed Income Transaction Revenues
RASHID ALI ALI RASHID	Revenues	1612.90	1874.99625
Stewart Oldfield	201901 Capital Markets Capital Markets Fixed	Income Transaction Revenues	Fixed Income Transaction Revenues
THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO		
Revenues	21.34 24.45884		
Stewart Oldfield	201812 Other Products Custody only Custody	Revenues	ZUECH CHRIS LONG TERM INSURED
CREDIT FUND LLC	Revenues	4956.57	5673.29002
Stewart Oldfield	201902 Other Products Custody only Custody	Revenues	ZUECH CHRIS LONG TERM INSURED
CREDIT FUND LLC	Revenues	2927.70	3333.33284
Stewart Oldfield	201903 Other Products Custody only Custody	Revenues	THE NATIONAL ORGANI THE
NATIONAL ORGANIZATION OF THE NEW APO	Revenues	7586.22	8515.53195
Stewart Oldfield	201803 Lending Lending Lombard Loans Interest	Revenues	Interest Revenues
DEERING/CROSBY HEATHER CROSBY	Revenues	60.03	73.82489
Stewart Oldfield	201806 Lending Lending Lombard Loans Interest	Revenues	Interest Revenues
DEERING/CROSBY MARON M DEERING	Revenues	590.58	689.00016
Stewart Oldfield	201807 Lending Lending Lombard Loans Interest	Revenues	Interest Revenues
DEERING/CROSBY HEATHER CROSBY	Revenues	12.64	14.78501

Stewart Oldfield Revenues	201811	Lending Loans (Margin Lending / Lombard)	Lending Loans (Margin Lending / Lombard)	Lombard Interest Revenues	Loans Interest Revenues
DEERING/CROSBY	MARON M DEERING			813.38	920.66482
Stewart Oldfield Revenues	201811	Lending Loans (Margin Lending / Lombard)	Lending Loans (Margin Lending / Lombard)	Lombard Interest Revenues	Loans Interest Revenues
DEERING/CROSBY	HEATHER CROSBY			0.05	0.0566
Stewart Oldfield Revenues	201812	Lending Loans (Margin Lending / Lombard)	Lending Loans (Margin Lending / Lombard)	Lombard Interest Revenues	Loans Interest Revenues
DEERING/CROSBY	LYNN DEERING REVOCABLE TRUST				15.18
17.37503					
Stewart Oldfield Revenues	201812	Lending Loans (Margin Lending / Lombard)	Lending Loans (Margin Lending / Lombard)	Lombard Interest Revenues	Loans Interest Revenues
DEERING/CROSBY	MARON M DEERING			340.08	389.25557
Stewart Oldfield Revenues	201812	Lending Loans (Margin Lending / Lombard)	Lending Loans (Margin Lending / Lombard)	Lombard Interest Revenues	Loans Interest Revenues
DEERING/CROSBY	SPENCER DEERING			96.69	110.67137
Stewart Oldfield from Hedge Funds external	201805	DPM Non-Transaction Revenues	DPM Non-Transaction Revenues	Non-Transaction Revenues from Hedge Funds/-	Revenues
574.90	BOIES FAMILY		CHRISTOPHER BOIES		
670.18968					
Stewart Oldfield from Hedge Funds external	201809	DPM Non-Transaction Revenues	DPM Non-Transaction Revenues	Non-Transaction Revenues from Hedge Funds/-	Revenues
576.15	BOIES FAMILY		CHRISTOPHER BOIES		
669.57272					
Stewart Oldfield NTLP - Deposit	201801	Deposits	Deposits	Deposits	NTLP Revenues
10.48423	JOCKEY CLUB		THE GRAYSON JOCKEY CLUB		
	13.03976				
Stewart Oldfield NTLP - Deposit	201802	Deposits	Deposits	Deposits	NTLP Revenues
Revenues	THIRD LAKE CAPITAL		SHARI WAGNER 2014 GRAT		
	0.02731		0.03333		
Stewart Oldfield NTLP - Deposit	201802	Deposits	Deposits	Deposits	NTLP Revenues
Revenues	ADIKESHAVAR		ADIKESHAVAR HOLDINGS LLC		
	10.4376		12.73753		
Stewart Oldfield NTLP - Deposit	201802	Deposits	Deposits	Deposits	NTLP Revenues
0.17874	JOCKEY CLUB		TJC MEDIA VENTURES INC.		
	0.21813				
Stewart Oldfield NTLP - Deposit	201802	Deposits	Deposits	Deposits	NTLP Revenues
Revenues	GENERAL ATLANTIC FA		MADISON PARK CAPITAL, LLC		
	3.3E-4		4.0E-4		
Stewart Oldfield NTLP - Deposit	201803	Deposits	Deposits	Deposits	NTLP Revenues
52175.34464	THIRD LAKE CAPITAL		Revenues		42425.8779
Stewart Oldfield NTLP - Deposit	201803	Deposits	Deposits	Deposits	NTLP Revenues
0.0271	THIRD LAKE CAPITAL		TODD R. WANEK		
	0.03333				
Stewart Oldfield BOIES FAMILY	201803	DPM	DPM	NTLP	NTLP - Deposit
	DIAMOND B CAPITAL, INC.		Revenues		68.2176
					83.894
Stewart Oldfield NTLP - Deposit	201803	Deposits	Deposits	Deposits	NTLP Revenues
4.02526	JOCKEY CLUB		TJC MEDIA VENTURES INC.		
	4.95026				
Stewart Oldfield	201803	Deposits	Deposits	Deposits	NTLP

NTLP - Deposit	LINDEMANN JR GEORGE	THE GEORGE LINDEMANN FAMILY		
LIMITED PART	Revenues	20.71492	25.47521	
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	THE HAZE TRUST	Revenues	
20458.54938	24698.58374			
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	GENERAL ATLANTIC FA	MADISON PARK CAPITAL, LLC		
Revenues	3.4E-4	4.1E-4		
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2016 GRAT		
Revenues	5.0E-5	6.0E-5		
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
CAMERON Revenues	107.22711	125		
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	ASHLEY HOLDINGS, INC.	Revenues	
0.82257	0.95891			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues	
24.09443	28.08808			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC		
Revenues	13.47081	15.7036		
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT TRAVIS T.		
WAGNER Revenues	107.22711	125		
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	GENERAL ATLANTIC FA	MADISON PARK CAPITAL, LLC		
Revenues	3.5E-4	4.1E-4		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues	
0.02857	0.03333			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR LEAH M		
FOX Revenues	107.14439	125		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK	Revenues	
0.02857	0.03333			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CAITLIN A. FORSYTHE		
Revenues	0.02857	0.03333		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
0.0286	0.03336			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	ALI RASHID	Revenues	
11.39695	13.29625			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR CAI Revenues	107.14439	125		
Stewart Oldfield	201807	Deposits	Deposits	NTLP

NTLP - Deposit	THIRD LAKE CAPITAL		KATI FORSYTHE 2014 GRAT	
Revenues	0.0285	0.03334		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		Revenues	51062.96815
59728.35385				
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THE NATIONAL ORGANI		THE NATIONAL ORGANIZATION OF THE	
NEW APO Revenues	76.42699		89.39665	
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R. WANEK	Revenues
0.0285	0.03334			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		CAITLIN A. FORSYTHE	
Revenues	0.0285	0.03334		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		LAURA A FORSYTHE REVOCABLE	
TRUST Revenues	0.0171	0.02		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT FOR MARA	
WAGNER Revenues	106.86501		125	
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues	
59.19705	69.24279			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SSW 2007 LLC	Revenues
0.0285	0.03334			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		KATI WANEK-FORSYTHE 2015 GRAT TR	
FOR CAI Revenues	106.86501		125	
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SSW 2007 LLC	Revenues
0.02867	0.03333			
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT		LEON D. BLACK	Revenues
4.0E-5	4.0E-5			
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2014 GRAT	
Revenues	0.02867	0.03333		
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.		
Revenues	190.38759	221.32557		
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT FOR LEAH M	
FOX Revenues	107.52688		125	
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT TRAVIS T.	
WAGNER Revenues	107.52688		125	
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues	
2.23699	2.6005			
Stewart Oldfield	201809	Deposits	Deposits	NTLP

NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC			
Revenues	0.52909	0.61488			
Stewart Oldfield	201809	Deposits	Deposits		NTLP
NTLP - Deposit	ASHLEY HOLDINGS	ASHLEY HOLDINGS, INC.	Revenues		
0.00825	0.00959				
Stewart Oldfield	201809	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues		
7.60896	8.84275				
Stewart Oldfield	201809	Deposits	Deposits		NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL LLC			
Revenues	661.16223	768.36969			
Stewart Oldfield	201809	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues		
16.5969	19.28809				
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK	Revenues		
0.02945	0.03334				
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK	Revenues		
4.0E-5	4.0E-5				
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR			
FOR CAI Revenues	110.42891	125			
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.	Revenues		
1.94644	2.20327				
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues		
0.03363	0.03807				
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	ASHLEY HOLDINGS	ASHLEY HOLDINGS, INC.	Revenues		
0.00847	0.00959				
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC			
Revenues	1.81881	2.0588			
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB	Revenues		
485.34377	549.36061				
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues		
36.80764	41.66257				
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION			
Revenues	50.42007	57.07048			
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues		
68.51061	77.54716				
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues		
15.568	17.62142				
Stewart Oldfield	201811	Deposits	Deposits		NTLP

NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.	Revenues	
5.91457	6.6947			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	THE 2017 CATERPILLAR TRUST		
Revenues	6011.56272	6804.48784		
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	LAURA A FORSYTHE REVOCABLE		
TRUST Revenues	0.02912	0.03333		
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR STE Revenues	0.21291	0.2437		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK	Revenues	
0.02908	0.03333			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK	Revenues	
4.0E-5	4.0E-5			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues	
0.1266	0.1451			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
0.02911	0.03336			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR MARA		
WAGNER Revenues	219.79596	251.91914		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER	Revenues	
69.21723	79.33333			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR STE Revenues	0.21307	0.24421		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues	
123.22238	141.23133			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB	Revenues	
11.43495	13.10617			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues	
0.12754	0.14521			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR CAI Revenues	0.2149	0.24467		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
CAMERON Revenues	221.3927	252.06666		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT TRAVIS T.		
WAGNER Revenues	221.3927	252.06666		
Stewart Oldfield	201902	Deposits	Deposits	NTLP

NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues		
0.5376	0.61208				
Stewart Oldfield	201902	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues		
0.12752	0.14519				
Stewart Oldfield	201902	Deposits	Deposits		NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	SOLSTICE LOOP HOLDINGS, LLC			
Revenues	1.71851	1.95661			
Stewart Oldfield	201902	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2012 IRREVOCABLE TRUST	Revenues		
Stewart Oldfield	201902	Deposits	Deposits		NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	ADDISON AT MILLENIA HOLDINGS, LLC	Revenues		
Stewart Oldfield	201903	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANER-FORSYTHE 2015 GRAT TR	FOR CAI	Revenues	
Stewart Oldfield	201903	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CAITLIN A. FORSYTHE			
Revenues	0.0297	0.03334			
Stewart Oldfield	201903	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN			
Revenues	4.54669	5.10366			
Stewart Oldfield	201903	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues		
72.10709	80.94021				
Stewart Oldfield	201903	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER	Revenues		
70.67558	79.33334				
Stewart Oldfield	201903	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Revenues		
Stewart Oldfield	201903	Deposits	Deposits		NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	SAN MARCO HOLDINGS, LLC			
Revenues	282.38489	316.97704			
Stewart Oldfield	201801	Deposits	Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB		
BLOOD-HORSE LLC	Revenues	525.83	654.00106		
Stewart Oldfield	201801	Deposits	Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB		
TJC MEDIA VENTURES INC.	Revenues	6.43	7.99731		
Stewart Oldfield	201801	Deposits	Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE		
CAPITAL GEW 2009 LLC	Revenues	20.10	24.99938		
Stewart Oldfield	201802	Deposits	Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE		
CAPITAL	Revenues	909.25	1109.60325		
Stewart Oldfield	201802	Deposits	Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN		
FINANCIAL	SOUTHERN TRUST COMPANY INC.	Revenues			2753.45
3360.17271					

Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB PAC	Revenues	72.93	89.00013	
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB Revenues		1982.44	2438.00471	
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC	Revenues	8.94	10.99441	
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CRW 2009 LLC	Revenues	13.25	15.99606	
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI	
Revenues	2526.40	3049.9964		
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.	Revenues	6.63	8.00407	
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL GEW 2009 LLC	Revenues	13.25	15.99606	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE	2015	GRAT TR FOR STE	Revenues	344.46
401.55424				
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL RONALD & JOYCE WANEK	IRR DY TR UAD 3/26	Revenues		268.50
313.00388				
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY	Revenues	841.73	982.0043	
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL THE 2017 CATERPILLAR TRUST		Revenues		7742.92
9033.27762				
Stewart Oldfield	201807	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
INCOMPASS SOLUTIONSINC.	Revenues	43.60	50.99892	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CAITLIN A. FORSYTHE	Revenues		12.04	13.9965
Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
BLOOD-HORSE LLC Revenues		221.14	256.99785	
Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB PAC	Revenues	70.56	82.0013	
Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TRAVIS WAGNER	Revenues	79.12	91.94931	

Stewart Oldfield Interest Revenues FINANCIAL 8273.67125	201809 Deposit THE 2017 CATERPILLAR TRUST	Deposits Interest Revenues	Deposits Revenues	Deposits SOUTHERN	Deposit 7119.28
Stewart Oldfield Interest Revenues TJC HOLDINGS, INC	201810 Deposit Revenues	Deposits Interest Revenues 9.72	Deposits 11.00255	JOCKEY CLUB	Deposit
Stewart Oldfield Interest Revenues AXCIS INFORMATION NETWORK INC.	201810 Deposit Revenues	Deposits Interest Revenues	Deposits 246.48	JOCKEY CLUB 279.00304	Deposit
Stewart Oldfield Interest Revenues CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX 11.99867	201810 Deposit Revenues	Deposits Interest Revenues	Deposits Revenues	THIRD LAKE	Deposit 10.60
Stewart Oldfield Interest Revenues COALITION FOR HORSE RACING INTEGRITY, IN	201810 Deposit Revenues	Deposits Interest Revenues	Deposits 24.74	JOCKEY CLUB	Deposit 28.00444
Stewart Oldfield Interest Revenues CAPITAL GEW 2009 LLC	201810 Deposit Revenues	Deposits Interest Revenues 12.37	Deposits 14.00222	THIRD LAKE	Deposit
Stewart Oldfield Interest Revenues CAPITAL Revenues 29514.80	201811 Deposit Revenues	Deposits Interest Revenues 33407.80211	Deposits	THIRD LAKE	Deposit
Stewart Oldfield Interest Revenues MANA RAHUL VAID	201811 Deposit Revenues	Deposits Interest Revenues 686.43	Deposits 776.97012	PARAMI CAPITAL	Deposit
Stewart Oldfield Interest Revenues CAPITAL CAMERON WANEK	201811 Deposit Revenues	Deposits Interest Revenues 37.11	Deposits 42.00481	THIRD LAKE	Deposit
Stewart Oldfield Interest Revenues CAPITAL TODD R WANEK 2015 GRAT TRUST FOR CAMERON 61.20183	201811 Deposit Revenues	Deposits Interest Revenues	Deposits Revenues	THIRD LAKE	Deposit 54.07
Stewart Oldfield Interest Revenues CAPITAL Revenues 17687.53	201812 Deposit Revenues	Deposits Interest Revenues 20245.14683	Deposits	THIRD LAKE	Deposit
Stewart Oldfield Interest Revenues TJC MEDIA VENTURES INC.	201812 Deposit Revenues	Deposits Interest Revenues 33.2	Deposits 38.00072	JOCKEY CLUB	Deposit
Stewart Oldfield Interest Revenues Revenues 673.97	201812 Deposit Revenues 771.42606	Deposits Interest Revenues	Deposits	ASHLEY HOLDINGS	Deposit
Stewart Oldfield Interest Revenues INCOMPASS SOLUTIONSINC.	201812 Deposit Revenues	Deposits Interest Revenues 97.85	Deposits 111.99911	JOCKEY CLUB	Deposit
Stewart Oldfield Interest Revenues FINANCIAL THE HAZE TRUST	201812 Deposit Revenues	Deposits Interest Revenues	Deposits 3739.38	SOUTHERN 4280.09435	Deposit
Stewart Oldfield Interest Revenues CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE	201812 Deposit Revenues	Deposits Interest Revenues	Deposits Revenues	THIRD LAKE	Deposit 51.34

58.76376

Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY	Revenues	574.97	659.00187	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CAMERON WANEK	Revenues	111.68	128.00203	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL RONALD & JOYCE WANEK IRR DY TR UAD 3/26	Revenues			34.03

39.00348

Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB	Revenues	2728.27	3127.00666	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Revenues			35.49

40.67686

Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER	Revenues	179.73	205.99754	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE SOLSTICE LOOP HOLDINGS, LLC	Revenues	1.76	2.00385	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	PARAMI CAPITAL	
MANA RAHUL VAID	Revenues	770.01	876.69489	
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE	Revenues	1218.39	1367.64279	
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE MARINA LANDING HOLDINGS, LLC	Revenues	170.91	191.84648	
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	ASHLEY HOLDINGS	
ASHLEY FURNITURE INDUSTRIES	Revenues	7399.55	8305.99488	
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE	Revenues	261.02	292.99495	
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
INCOMPASS SOLUTIONSINC.	Revenues	117.59	131.99478	
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE SOLSTICE LOOP HOLDINGS, LLC	Revenues	241.54	271.12865	
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER	Revenues	400.89	449.99903	
Stewart Oldfield	201811	Capital Markets	Capital Markets	
Transaction Revenues from Equity Options/				
Listed DEERING/CROSBY				

Revenues	-14.88	-16.84267			
Stewart Oldfield	201801	Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues	ADIKESHAVAR		
ADIKESHAVAR HOLDINGS LLC		Revenues	80.02	99.52488	
Stewart Oldfield	201807	Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues	ADIKESHAVAR		
ADIKESHAVAR HOLDINGS LLC		Revenues	42.75	50.00468	
Stewart Oldfield	201808	Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues	DEERING/CROSBY		
THE CHARLESMEAD FOUNDATION INC.		Revenues	832.48	967.758	
Stewart Oldfield	201810	Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues	DEERING/CROSBY		
THE CHARLESMEAD FOUNDATION INC.		Revenues	892.32	1010.06162	
Stewart Oldfield	201801	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues		Mutual Funds/Money Market	Non-Transaction		
Revenues internal	RASHID ALI	ALI RASHID	Revenues		
1.84	2.2885				
Stewart Oldfield	201802	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues		Mutual Funds/Money Market	Non-Transaction		
Revenues internal	RASHID ALI	ALI RASHID	Revenues		
0.57	0.6956				
Stewart Oldfield	201802	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues		Mutual Funds/Money Market	Non-Transaction		
Revenues internal	VOLPE NOEL	NOEL VOLPE	Revenues		
0.75	0.91526				
Stewart Oldfield	201804	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues		Mutual Funds/Money Market	Non-Transaction		
Revenues internal	RASHID ALI	ALI RASHID	Revenues		
0.72	0.86922				
Stewart Oldfield	201808	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues		Mutual Funds/Money Market	Non-Transaction		
Revenues internal	RASHID ALI	ALI RASHID	Revenues		
0.13	0.15113				
Stewart Oldfield	201802	DPM	DPM	Mutual Funds Other Non -	
Transaction Revenues		Mutual Funds Other Non -	Transaction Revenues/-		
internal	VOLPE NOEL	NOEL VOLPE	Revenues	2.32	
2.83121					
Stewart Oldfield	201802	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	DEERING/CROSBY	HEATHER	
CROSBY Revenues	796.67	972.21623			
Stewart Oldfield	201805	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	VOLPE NOEL	NOEL	
VOLPE Revenues	674.53	786.33335			
Stewart Oldfield	201806	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	DEERING/CROSBY	THE	
CHARLESMEAD FOUNDATION INC.		Revenues	714.29	833.32643	
Stewart Oldfield	201810	Other Products	Other	Management/ Flat	
Fee Revenues	Management/ Flat Fee	Revenues	DEERING/CROSBY	SPENCER	
DEERING Revenues	-554.01	-627.11162			
Stewart Oldfield	201811	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	DEERING/CROSBY	HEATHER	

CROSBY Revenues	1251.58	1416.6634			
Stewart Oldfield	201901	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	RASHID ALI	ALI	
RASHID Revenues	756.42	866.97079			
Stewart Oldfield	201902	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	RASHID ALI	ALI	
RASHID Revenues	778.29	886.12208			
Stewart Oldfield	201903	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee	Revenues	RASHID ALI	ALI	
RASHID Revenues	804	902.49			
Stewart Oldfield	201902	DPM	DPM	Private Equity Fund Non-	
Transaction Revenues	Private Equity Fund Non-	Transaction Revenues/-			
internal	ELLIN HOWARD	HOWARD ELLIN	Revenues	11.24	
12.7973					
Stewart Oldfield	201903	DPM	DPM	Private Equity Fund Non-	
Transaction Revenues	Private Equity Fund Non-	Transaction Revenues/-			
internal	ELLIN HOWARD	HOWARD ELLIN	Revenues	11.40	
12.7965					
Stewart Oldfield	201804	Capital Markets	Capital Markets	Fixed	
Income Transaction Revenues	Fixed Income Transaction	Revenues	497.64	600.77589	
JOCKEY CLUB	THE JOCKEY CLUB	Revenues			
Stewart Oldfield	201903	Other Products	Custody only	Custody	
Revenues	Custody Revenues	ZUECH CHRIS	LONG TERM INSURED		
CREDIT FUND LLC	Revenues	2969.56	3333.3311		
Stewart Oldfield	201803	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	LYNN DEERING REVOCABLE TRUST				
Revenues	-406.82114	-500.30864			
Stewart Oldfield	201804	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	HEATHER CROSBY	Revenues	-3255.72339	-3930.47207	
Stewart Oldfield	201805	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	LYNN DEERING REVOCABLE TRUST	Revenues		2521.3	
2939.20548					
Stewart Oldfield	201806	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	LYNN DEERING REVOCABLE TRUST	Revenues		2173.51	
2535.72544					
Stewart Oldfield	201807	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	MARON M DEERING	Revenues	448.94	525.12512	
Stewart Oldfield	201809	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	MARON M DEERING	Revenues	598.36	695.38407	
Stewart Oldfield	201811	Lending	Lending	Lombard	Loans Interest
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	LYNN DEERING REVOCABLE TRUST	Revenues		312.93	
354.20547					
Stewart Oldfield	201804	DPM	DPM	Non-Transaction Revenues	
from Hedge Funds	Non-Transaction Revenues	from Hedge Funds/-			

external	BOIES FAMILY	CHRISTOPHER BOIES	Revenues
570.73	689.01379		
Stewart Oldfield	201807	DPM DPM	Non-Transaction Revenues
from Hedge Funds	Non-Transaction Revenues from Hedge Funds/-		
external	BOIES FAMILY	CHRISTOPHER BOIES	Revenues
581.87	680.61334		
Stewart Oldfield	201812	DPM DPM	Non-Transaction Revenues
from Hedge Funds	Non-Transaction Revenues from Hedge Funds/-		
external	BOIES FAMILY	CHRISTOPHER BOIES	Revenues
560.22	641.22781		
Stewart Oldfield	201801	Deposits	Deposits NTLP
NTLP - Deposit	DZIALGA KIRSTEN & M	MARK F. DZIALGA	Revenues
0.04613	0.05737		
Stewart Oldfield	201801	Deposits	Deposits NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues
107.56156	133.77969		
Stewart Oldfield	201801	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues
0.0268	0.03333		
Stewart Oldfield	201801	Deposits	Deposits NTLP
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues
2.09085	2.60049		
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	Revenues	32072.75997
39139.99263			
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB	Revenues
684.34055			560.774
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE	
NEW APO Revenues	34.69214	42.33655	
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK 2016 GRAT	
Revenues	0.14648	0.17876	
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues
0.02731	0.03333		
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	DZIALGA KIRSTEN & M	MARK F. DZIALGA	Revenues
0.04703	0.05739		
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues
7.33697	8.95367		
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.	
Revenues	1680.44449	2050.73043	
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES	Revenues
1.1E-4	1.3E-4		
Stewart Oldfield	201803	Deposits	Deposits NTLP
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues

2.11457	2.6005					
Stewart Oldfield		201803	Deposits	Deposits		NTLP
NTLP - Deposit		ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC			
Revenues		4.93366	6.06742			
Stewart Oldfield		201803	Deposits	Deposits		NTLP
NTLP - Deposit		THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE			
NEW APO Revenues		22.36026	27.49865			
Stewart Oldfield		201803	Deposits	Deposits		NTLP
NTLP - Deposit		THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues		
7.1611	8.80672					
Stewart Oldfield		201803	Deposits	Deposits		NTLP
NTLP - Deposit		THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2016	GRAT		
Revenues		5.0E-5	6.0E-5			
Stewart Oldfield		201803	Deposits	Deposits		NTLP
NTLP - Deposit		JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.			
Revenues		75.30838	92.61424			
Stewart Oldfield		201804	Deposits	Deposits		NTLP
NTLP - Deposit		THIRD LAKE CAPITAL	SSW 2007 LLC	Revenues		
0.02761	0.03333					
Stewart Oldfield		201804	Deposits	Deposits		NTLP
NTLP - Deposit		JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.			
Revenues		87.33009	105.42925			
Stewart Oldfield		201804	Deposits	Deposits		NTLP
NTLP - Deposit		VOLPE NOEL	NOEL VOLPE	Revenues		
128.81441	155.51119					
Stewart Oldfield		201804	Deposits	Deposits		NTLP
NTLP - Deposit		LINDEMANN JR GEORGE	THE GEORGE LINDEMANN FAMILY			
LIMITED PART		Revenues	21.10813	25.48279		
Stewart Oldfield		201804	Deposits	Deposits		NTLP
NTLP - Deposit		SOUTHERN FINANCIAL	THE 2017 CATERPILLAR TRUST			
Revenues		5579.58578	6735.95493			
Stewart Oldfield		201804	Deposits	Deposits		NTLP
NTLP - Deposit		JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN			
Revenues		0.05241	0.06327			
Stewart Oldfield		201804	Deposits	Deposits		NTLP
NTLP - Deposit		JOCKEY CLUB	EQUIBASE COMPANY	Revenues		
50.5567	61.03458					
Stewart Oldfield		201804	Deposits	Deposits		NTLP
NTLP - Deposit		THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE			
NEW APO Revenues		27.80521	33.56784			
Stewart Oldfield		201804	DPM	DPM	NTLP	NTLP - Deposit
BOIES FAMILY		CHRISTOPHER BOIES	Revenues	0.54544	0.65848	
Stewart Oldfield		201804	Deposits	Deposits		NTLP
NTLP - Deposit		THIRD LAKE CAPITAL	KATI FORSYTHE 2014	GRAT		
Revenues		0.02761	0.03333			
Stewart Oldfield		201805	Deposits	Deposits		NTLP
NTLP - Deposit		JOCKEY CLUB	THE JOCKEY CLUB	Revenues		
227.83024	265.5931					
Stewart Oldfield		201805	Deposits	Deposits		NTLP
NTLP - Deposit		JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN			
Revenues		0.05427	0.06327			

Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	VOLPE NOEL	NOEL VOLPE	Revenues	
100.04282	116.62491			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	Revenues	857.81686	1000
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SSW 2007 LLC	Revenues	
0.02859	0.03333			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	ALI RASHID	Revenues	
39.25977	45.76708			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL LLC		
Revenues	871.62207	1016.09343		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.	Revenues	
26.92324	31.41			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	LAURA A FORSYTHE REVOCABLE		
TRUST Revenues	0.02857	0.03333		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	THE 2017 CATERPILLAR TRUST		
Revenues	5788.75591	6753.45208		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues	
167.72943	195.68154			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI FORSYTHE 2014 GRAT		
Revenues	0.02857	0.03333		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	ASHLEY HOLDINGS, INC.	Revenues	
0.82246	0.95952			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues	
6.03113	7.03622			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TRAVIS WAGNER	Revenues	
107.06191	125.23032			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues	
15.63485	18.28808			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN		
Revenues	0.05409	0.06327		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues	
0.02856	0.03341			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	STEVEN FORSYTHE	Revenues	
0.0285	0.03334			
Stewart Oldfield	201807	DPM	DPM	NTLP
				NTLP - Deposit

BOIES FAMILY	CHRISTOPHER BOIES	Revenues	0.5478	0.64076
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.		
Revenues	43.99313	51.45876		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	ALI RASHID	Revenues	
11.36739	13.29644			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	THE 2017 CATERPILLAR TRUST		
Revenues	5782.425	6763.70252		
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues	
7.73385	8.9906			
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues	
30.13326	35.02991			
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
GABRIEL Revenues	215.05377	250.00001		
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues	
16.87864	19.62142			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
0.02871	0.03336			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR CAI Revenues	107.55927	125.00001		
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.		
Revenues	82.77973	96.20247		
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB	Revenues	
11.25698	13.0823			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI FORSYTHE 2014 GRAT		
Revenues	0.02868	0.03333		
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	Revenues	75302.92709	87513.29672
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CAITLIN A. FORSYTHE		
Revenues	0.02945	0.03334		
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
0.02948	0.03337			
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	THE HAZE TRUST	Revenues	
3404.61472	3853.85363			
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB	Revenues	
11.56236	13.08801			

Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues	
122.68543	138.87377			
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues	
2.29736	2.6005			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL LLC		
Revenues	680.87539	770.68285		
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues	
3.79829	4.29928			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR STE	Revenues	111.84032	126.59206	
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
GABRIEL	Revenues	444.54819	503.18409	
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues	
0.03366	0.0381			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CAMERON WANEK	Revenues	
22.18983	25.11667			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	Revenues	662.60271	750.00001
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR LEAH M		
FOX	Revenues	219.95121	251.75615	
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
GABRIEL	Revenues	439.98237	503.60382	
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	PARAMI CAPITAL MANA	RAHUL VAID	Revenues	
766.5317	877.37218			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR CAI	Revenues	0.21291	0.2437	
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES	Revenues	
1.2E-4	1.4E-4			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	THE GEORGE LINDEMANN FAMILY		
LIMITED PART	Revenues	39.63281	45.42515	
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB	Revenues	
559.20834	640.93664			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	ASHLEY HOLDINGS, INC.	Revenues	
0.00839	0.00962			
Stewart Oldfield	201901	Deposits	Deposits	NTLP

NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
CAMERON Revenues	219.79596	251.91914		
Stewart Oldfield	201901 Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CAITLIN A. FORSYTHE		
Revenues	0.02908 0.03333			
Stewart Oldfield	201901 Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues	
0.12659 0.14509				
Stewart Oldfield	201901 Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONS INC.	Revenues	
8.00986 9.1805				
Stewart Oldfield	201901 Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	LAURA A FORSYTHE REVOCABLE		
TRUST Revenues	0.02908 0.03333			
Stewart Oldfield	201901 Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI FORSYTHE 2014 GRAT		
Revenues	0.02908 0.03333			
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE		
NEW APO Revenues	404.92476	461.02709		
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR STE Revenues	0.2149 0.24467			
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR		
GABRIEL Revenues	443.04372	504.42743		
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KEYHOLE PARTNERS, LLC		
Revenues	374.25417 426.10709			
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	MARINA LANDING HOLDINGS, LLC		
Revenues	1.75117 1.99379			
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.		
Revenues	97.15084 110.61109			
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues	
0.02934 0.03341				
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	SAN MARCO HOLDINGS, LLC		
Revenues	0.97346 1.10833			
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	STEVEN FORSYTHE	Revenues	
29.30628 33.36667				
Stewart Oldfield	201902 Deposits	Deposits		NTLP
NTLP - Deposit	PARAMI CAPITAL MANA	RAHUL VAID	Revenues	
773.4725 880.63711				
Stewart Oldfield	201903 Deposits	Deposits		NTLP
NTLP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE		
NEW APO Revenues	351.91774	395.02766		
Stewart Oldfield	201903 Deposits	Deposits		NTLP

NTLP - Deposit	THIRD LAKE CAPITAL	GABRIELLE E. WANEK		
Revenues	59.42094	66.70001		
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK	Revenues	
0.0297	0.03334			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues	
139.70918	156.82355			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015	GRAT FOR LEAH M	
FOX	Revenues	224.70611	252.23261	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC		
Revenues	985.33476	1106.03827		
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015	GRAT TRUST FOR	
GABRIEL	Revenues	449.77073	504.86764	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015	GRAT TR	
FOR STE	Revenues	0.21844	0.2452	
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.	Revenues		5101.34
6344.79163				
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	SOUTHERN FINANCIAL LLC	Revenues	3651.59	4490.72538
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.	Revenues	9.76	12.00285	
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI	
Revenues	835.73	1027.78075		
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CAMERON WANEK	Revenues	53.18	61.99459	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TRAVIS WAGNER	Revenues	186.08	216.92276	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
INCOMPASS SOLUTIONSINC.	Revenues	54.90	63.99968	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.	Revenues		62.32
72.64954				
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	SOUTHERN FINANCIAL LLC	Revenues	1234.07	1438.6171
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	

TJC MEDIA VENTURES INC.	Revenues	31.71	36.99447		
Stewart Oldfield	201807 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.		Revenues		51.82
60.61385					
Stewart Oldfield	201807 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Revenues	264.17	308.99965	
Stewart Oldfield	201807 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		SOUTHERN	
FINANCIAL	THE HAZE TRUST	Revenues	20431.50		23898.72555
Stewart Oldfield	201807 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST		Revenues			1.71
2.00019					
Stewart Oldfield	201807 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
THE JOCKEY CLUB PAC		Revenues	67.54	79.00154	
Stewart Oldfield	201807 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
EQUIBASE COMPANY		Revenues	837.82	979.99805	
Stewart Oldfield	201808 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
THE JOCKEY CLUB PAC		Revenues	71.4	83.0025	
Stewart Oldfield	201808 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
EQUIBASE COMPANY		Revenues	969.46	1126.99725	
Stewart Oldfield	201808 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.		Revenues		53.44
62.124					
Stewart Oldfield	201808 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		ASHLEY HOLDINGS	
Revenues	112.21	130.44413			
Stewart Oldfield	201808 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Revenues	286.45	332.99813	
Stewart Oldfield	201809 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Revenues	241.79	280.99625	
Stewart Oldfield	201809 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST		Revenues			18.07
21.00005					
Stewart Oldfield	201810 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
BLOOD-HORSE LLC	Revenues	450.55	510.00007		
Stewart Oldfield	201810 Deposits		Deposits		Deposit
Interest Revenues	Deposit	Interest Revenues		SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.		Revenues		63.39
71.75431					

Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB PAC	Revenues	71.56	81.00234	
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.	Revenues	84.81	96.00068	
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.	Revenues		66.29
75.03365				
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CAMERON WANEK	Revenues	107.46	122.99872	
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL GEW 2009 LLC	Revenues	321.51	368.00035	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE ADDISON AT MILLENIA HOLDINGS, LLC	Revenues			357.32
409.54232				
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
INCOMPASS SOLUTIONSINC.	Revenues	225.97	258.99552	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KEYHOLE PARTNERS, LLC	Revenues		236.26	270.7894
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	PARAMI CAPITAL	
MANA RAHUL VAID	Revenues	1055.67	1209.95617	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX	Revenues			35.49
40.67686				
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN	Revenues	0.87	0.99715	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2012 IRREVOCABLE TRUST	Revenues			34.03
39.00348				
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER	Revenues	420.71	478.99937	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB	Revenues	4687.54	5336.99867	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR CAMERON	Revenues			34.23
38.97257				
Stewart Oldfield	201902	Deposits	Deposits	Deposit

Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI	Revenues	0.11
0.12524		
Stewart Oldfield	201902 Deposits	Deposits
Interest Revenues	Deposit Interest Revenues	LINDEMANN JR
GEORGE SUN LAKE MULTIFAMILY HOLDINGS, LLC	Revenues	8.78
9.99647		
Stewart Oldfield	201902 Deposits	Deposits
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Revenues	34.23
38.97257		
Stewart Oldfield	201903 Deposits	Deposits
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB PAC	Revenues	117.59 131.99478
Stewart Oldfield	201903 Deposits	Deposits
Interest Revenues	Deposit Interest Revenues	LINDEMANN JR
GEORGE SUMMER COVE HOLDINGS, LLC	Revenues	169.57 190.34233
Stewart Oldfield	201903 Deposits	Deposits
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL KATI WANEK- FORSYTHE 2012 IRR TRUST	Revenues	127.39
142.99528		
Stewart Oldfield	201903 Deposits	Deposits
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB Revenues		2454.34 2754.99666
Stewart Oldfield	201903 Deposits	Deposits
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL TRAVIS WAGNER	Revenues	0.08 0.0898
Stewart Oldfield	201903 Deposits	Deposits
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL GEW 2009 LLC	Revenues	39.2 44.002
Stewart Oldfield	201802 Capital Markets	Capital Markets Equities
Transaction Revenues	Equities Transaction Revenues	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Revenues	4660.11 5686.96524
Stewart Oldfield	201803 Capital Markets	Capital Markets Equities
Transaction Revenues	Equities Transaction Revenues	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC	Revenues	292.86 360.15923
Stewart Oldfield	201808 Capital Markets	Capital Markets Equities
Transaction Revenues	Equities Transaction Revenues	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		
Revenues	-15.05 -17.49563	
Stewart Oldfield	201811 Other Products	Funds Solutions Mutual
Funds/Equities Transaction Revenues		Mutual Funds/Equities Transaction
Revenues external	DEERING/CROSBY	SPENCER DEERING
Revenues	-97.58 -110.4508	
Stewart Oldfield	201801 DPM	DPM Mutual Funds/Money Market
Non-Transaction Revenues		Mutual Funds/Money Market Non-Transaction
Revenues internal	DEERING/CROSBY	THE CHARLESMEAD FOUNDATION INC.
Revenues	18.55 23.07156	
Stewart Oldfield	201802 DPM	DPM Mutual Funds/Money Market
Non-Transaction Revenues		Mutual Funds/Money Market Non-Transaction
Revenues internal	DEERING/CROSBY	THE CHARLESMEAD FOUNDATION INC.

Revenues	1.05	1.28137			
Stewart Oldfield		201806	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues					Mutual Funds/Money Market Non-Transaction
Revenues internal		RASHID ALI	ALI RASHID		Revenues
1.24	1.44665				
Stewart Oldfield		201809	Other Products	Funds Solutions	Mutual
Funds/Money Market Non-Transaction Revenues				Mutual Funds/Money Market	
Non-Transaction Revenues internal			DEERING/CROSBY	SPENCER DEERING	
Revenues	-0.33	-0.38351			
Stewart Oldfield		201810	Other Products	Custody only	Mutual
Funds/Money Market Non-Transaction Revenues				Mutual Funds/Money Market	
Non-Transaction Revenues internal			ZUECH CHRIS	LONG TERM INSURED	
CREDIT FUND LLC Revenues		2817.06	3188.77107		
Stewart Oldfield		201811	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues					Mutual Funds/Money Market Non-Transaction
Revenues internal		RASHID ALI	ALI RASHID		Revenues
0.76	0.86024				
Stewart Oldfield		201802	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee			VOLPE NOEL	NOEL
VOLPE Revenues	679.43	829.1424			
Stewart Oldfield		201803	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee			RASHID ALI	ALI
RASHID Revenues	783.74	963.84345			
Stewart Oldfield		201804	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee			VOLPE NOEL	NOEL
VOLPE Revenues	655.32	791.13507			
Stewart Oldfield		201805	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee			DEERING/CROSBY	HEATHER
CROSBY Revenues	714.84	833.32473			
Stewart Oldfield		201806	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee			DEERING/CROSBY	HEATHER
CROSBY Revenues	714.29	833.32643			
Stewart Oldfield		201808	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat Fee			DEERING/CROSBY	HEATHER
CROSBY Revenues	716.84	833.3265			
Stewart Oldfield		201806	Partnerships	Partnerships	
Partnership Revenues	Partnership Revenues			MCM CAPITAL PARTNER	
MCM CAPITAL PARTNERS	Revenues		316622.98	369388.19962	
Stewart Oldfield		201808	Partnerships	Partnerships	
Partnership Revenues	Partnership Revenues			Echelon Investment	
Echelon Investment Partners	Revenues			4301.08	5000.0055
Stewart Oldfield		201808	DPM	DPM	Private Equity Fund Non-
Transaction Revenues	Private Equity Fund Non-Transaction Revenues/-			Revenues	26.32
internal	ELLIN HOWARD	HOWARD ELLIN			
30.597					
Stewart Oldfield		201810	DPM	DPM	Private Equity Fund Non-
Transaction Revenues	Private Equity Fund Non-Transaction Revenues/-			Revenues	
internal	BOIES FAMILY	CHRISTOPHER BOIES			
162.2	183.60229				
Stewart Oldfield		201901	Capital Markets	Capital Markets	Fixed
Income Transaction Revenues			Fixed Income Transaction Revenues		

JOCKEY CLUB	THE JOCKEY CLUB	Revenues	524.02	600.60552	
Stewart Oldfield	201805	Lending Lending Mortgages		Loans	
Interest Revenues	Loans - Mortgages private	- Interest Revenues			
VOLPE NOEL	NOEL VOLPE	Revenues	1221.83	1424.34832	
Stewart Oldfield	201903	Lending Lending Mortgages		Loans	
Interest Revenues	Loans - Mortgages private	- Interest Revenues			
LINDEMANN JR GEORGE	ADAM M. LINDEMANN	Revenues			
15924.50	17875.25126				
Stewart Oldfield	201903	Lending Lending Mortgages		Loans	
Interest Revenues	Loans - Mortgages private	- Interest Revenues			
LINDEMANN JR GEORGE	508 WEST 25TH STREET LLC	Revenues			
4282.6	4807.2185				
Stewart Oldfield	201805	Lending Lending Lombard Loans Interest			
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	SPENCER DEERING	Revenues	72.43	84.43527	
Stewart Oldfield	201806	Lending Lending Lombard Loans Interest			
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	SPENCER DEERING	Revenues	-2913.7224	-3399.29424	
Stewart Oldfield	201808	Lending Lending Lombard Loans Interest			
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	LYNN DEERING REVOCABLE TRUST				
Revenues	-1523.89366	-1771.52638			
Stewart Oldfield	201809	Lending Lending Lombard Loans Interest			
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues			
DEERING/CROSBY	HEATHER CROSBY	Revenues	-1968.61352	-2287.8242	
Stewart Oldfield	201801	DPM DPM Non-Transaction Revenues			
from Hedge Funds external	BOIES FAMILY CHRISTOPHER BOIES	Non-Transaction Revenues from Hedge Funds/-			
552.97	687.75644				
Stewart Oldfield	201802	DPM DPM Non-Transaction Revenues			
from Hedge Funds external	BOIES FAMILY CHRISTOPHER BOIES	Non-Transaction Revenues from Hedge Funds/-			
523.96	639.41459				
Stewart Oldfield	201803	DPM DPM Non-Transaction Revenues			
from Hedge Funds external	BOIES FAMILY CHRISTOPHER BOIES	Non-Transaction Revenues from Hedge Funds/-			
568.52	699.1659				
Stewart Oldfield	201808	DPM DPM Non-Transaction Revenues			
from Hedge Funds external	BOIES FAMILY CHRISTOPHER BOIES	Non-Transaction Revenues from Hedge Funds/-			
586.56	681.876				
Stewart Oldfield	201801	Deposits Deposits NTLP			
NTLP - Deposit Revenues	THIRD LAKE CAPITAL TODD R. WANEK 2016 GRAT				
	0.14373 0.17876				
Stewart Oldfield	201801	Deposits Deposits NTLP			
NTLP - Deposit Revenues	JOCKEY CLUB AXCIS INFORMATION NETWORK INC.				
	99.24577 123.43692				
Stewart Oldfield	201801	Deposits Deposits NTLP			
NTLP - Deposit	BOIES FAMILY CHRISTOPHER BOIES	Revenues			
1.1E-4	1.4E-4				
Stewart Oldfield	201801	Deposits Deposits NTLP			

NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues
16.90164	21.02141		
Stewart Oldfield	201801	Deposits	Deposits NTLP
NTLP - Deposit	GENERAL ATLANTIC FA	MADISON PARK CAPITAL, LLC	
Revenues	3.3E-4	4.1E-4	
Stewart Oldfield	201801	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK	Revenues
0.0268	0.03333		
Stewart Oldfield	201801	Deposits	Deposits NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL LLC	
Revenues	2819.34775	3506.56376	
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2016	GRAT
Revenues	5.0E-5	6.0E-5	
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	RASHID ALI	ALI RASHID	Revenues 0.75777
0.92474			
Stewart Oldfield	201802	Deposits	Deposits NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL LLC	
Revenues	2876.05337	3509.79173	
Stewart Oldfield	201803	Deposits	Deposits NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK	Revenues
4.0E-5	5.0E-5		
Stewart Oldfield	201803	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI FORSYTHE 2014	GRAT
Revenues	0.0271	0.03333	
Stewart Oldfield	201804	Deposits	Deposits NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.	Revenues
7.54468	9.10831		
Stewart Oldfield	201804	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues
0.02767	0.0334		
Stewart Oldfield	201804	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2016	GRAT
Revenues	5.0E-5	6.0E-5	
Stewart Oldfield	201804	Deposits	Deposits NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL LLC	
Revenues	840.58861	1014.8006	
Stewart Oldfield	201804	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues
7.29905	8.81178		
Stewart Oldfield	201805	Deposits	Deposits NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK	Revenues
4.0E-5	4.0E-5		
Stewart Oldfield	201805	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015	GRAT TRUST FOR
GABRIEL Revenues	214.45422	250.00001	
Stewart Oldfield	201805	Deposits	Deposits NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI FORSYTHE 2014	GRAT
Revenues	0.02859	0.03333	
Stewart Oldfield	201805	Deposits	Deposits NTLP

NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues	
0.02865	0.0334			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES	Revenues	
1.1E-4	1.3E-4			
Stewart Oldfield	201806	DPM	DPM	NTLP
BOIES FAMILY	DIAMOND B CAPITAL, INC.	Revenues		NTLP - Deposit
61.476				52.69447
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	STEVEN FORSYTHE	Revenues	
0.02857	0.03333			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE	2016	GRAT
Revenues	5.0E-5	6.0E-5		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC		
Revenues	16.75841	19.5512		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues	
24.24727	28.28808			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues	
7.69458	8.97688			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE	2015	GRAT TR
FOR STE	Revenues	106.86501	125	
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	THE HAZE TRUST	Revenues	
17347.65358	20291.55039			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER	2015	GRAT TRAVIS T.
WAGNER	Revenues	106.86501	125	
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
0.02853	0.03338			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues	
0.0285	0.03334			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues	
2.22322	2.6005			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER	2015	GRAT FOR LEAH M
FOX	Revenues	106.86501	125	
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TRAVIS WAGNER	Revenues	
107.82388	125.34526			
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB	Revenues	
418.99879	487.08608			
Stewart Oldfield	201808	Deposits	Deposits	NTLP

NTLP - Deposit	THIRD LAKE CAPITAL	Revenues	49551.84405
57604.0187			
Stewart Oldfield	201808	Deposits	Deposits
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE	2015 GRAT TR
FOR CAI	Revenues	107.52688	125
Stewart Oldfield	201808	Deposits	Deposits
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues
70.67107	82.15512		
Stewart Oldfield	201808	Deposits	Deposits
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues
0.02873	0.0334		
Stewart Oldfield	201808	Deposits	Deposits
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues
0.02867	0.03333		
Stewart Oldfield	201808	Deposits	Deposits
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.	
Revenues	44.33328	51.53744	
Stewart Oldfield	201809	Deposits	Deposits
NTLP - Deposit	THIRD LAKE CAPITAL	ROBERT S FORSYTHE REVOCABLE	
TRUST	Revenues	0.02868	0.03333
Stewart Oldfield	201809	Deposits	Deposits
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues
7.74191	8.99726		
Stewart Oldfield	201809	Deposits	Deposits
NTLP - Deposit	RASHID ALI	ALI RASHID	Revenues
1.78516			1.53608
Stewart Oldfield	201809	Deposits	Deposits
NTLP - Deposit	SOUTHERN FINANCIAL	THE HAZE TRUST	Revenues
9762.1991	11345.13968		
Stewart Oldfield	201810	Deposits	Deposits
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR	
GABRIEL	Revenues	441.71563	500.00001
Stewart Oldfield	201810	Deposits	Deposits
NTLP - Deposit	THIRD LAKE CAPITAL	TRAVIS WAGNER	Revenues
110.89545	125.52811		
Stewart Oldfield	201810	Deposits	Deposits
NTLP - Deposit	THIRD LAKE CAPITAL	Revenues	55965.85239
63350.54658			
Stewart Oldfield	201810	Deposits	Deposits
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues
15.56731	17.62142		
Stewart Oldfield	201810	Deposits	Deposits
NTLP - Deposit	SOUTHERN FINANCIAL	THE 2017 CATERPILLAR TRUST	
Revenues	6002.41689	6794.4358	
Stewart Oldfield	201810	Deposits	Deposits
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues
32.91775	37.26125		
Stewart Oldfield	201811	Deposits	Deposits
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues
0.02945	0.03333		
Stewart Oldfield	201811	Deposits	Deposits
			NTLP

NLTP - Deposit	THIRD LAKE CAPITAL	WANEK TRUST OF 2000		
Revenues	0.02945 0.03333			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NLTP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION		
Revenues	38.924 44.55241			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NLTP - Deposit	THIRD LAKE CAPITAL	CAITLIN A. FORSYTHE		
Revenues	0.02912 0.03333			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NLTP - Deposit	THIRD LAKE CAPITAL	TRAVIS WAGNER	Revenues	
110.28692	126.2344			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NLTP - Deposit	THIRD LAKE CAPITAL	SSW 2007 LLC	Revenues	
0.02912 0.03333				
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NLTP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE		
Revenues	0.02912 0.03333			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NLTP - Deposit	THIRD LAKE CAPITAL	ROBERT S FORSYTHE REVOCABLE		
TRUST Revenues	0.02912 0.03333			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NLTP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE		
NEW APO Revenues	1912.33151	2188.85465		
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NLTP - Deposit	ASHLEY HOLDINGS	ASHLEY HOLDINGS, INC.	Revenues	
0.00839 0.0096				
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NLTP - Deposit	RASHID ALI	ALI RASHID	Revenues	0.75521
0.86441				
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NLTP - Deposit	JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN		
Revenues	0.09044 0.10366			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NLTP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE		
NEW APO Revenues	398.23168	456.43324		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NLTP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE		
Revenues	0.02908 0.03333			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NLTP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT TRAVIS T.		
WAGNER Revenues	219.79596	251.91914		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NLTP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR CAI Revenues	0.21307 0.24421			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NLTP - Deposit	LINDEMANN JR GEORGE	ADDISON AT MILLENIA HOLDINGS,		
LLC Revenues	452.53351	518.67128		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NLTP - Deposit	THIRD LAKE CAPITAL	KEYHOLE PARTNERS, LLC		
Revenues	371.47841	425.76998		
Stewart Oldfield	201901	Deposits	Deposits	NTLP

NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC		
Revenues	964.21518	1105.13523		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CAMERON WANEK	Revenues	
21.91394	25.11666			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2014 GRAT		
Revenues	0.02908	0.03333		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	ALI RASHID	Revenues	1.71707
1.95497				
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB	Revenues	
11.56315	13.16522			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC		
Revenues	971.12135	1105.67021		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues	
429.16654	488.62756			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	VERONA APARTMENTS HOLDINGS, LLC		
Revenues	4.21546	4.79951		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	Revenues	796.9164	907.32917
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.	Revenues	
16.28983	18.28533			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	SOLSTICE LOOP HOLDINGS, LLC		
Revenues	195.94806	219.9517		
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues	
0.0297	0.03334			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
0.02973	0.03337			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SSW 2007 LLC	Revenues	
0.0297	0.03334			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues	
154.5581	173.49147			
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	VOLPE NOEL	
NOEL VOLPE	Revenues	205.83	256.00106	
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Revenues	117.39	146.00381
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	

AXCIS INFORMATION NETWORK INC.	Revenues	247.64	308.00225
Stewart Oldfield	201802 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		THIRD LAKE
CAPITAL GEW 2009 LLC	Revenues	16.39	20.00154
Stewart Oldfield	201802 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		SOUTHERN
FINANCIAL THE HAZE TRUST	Revenues	27764.12	33881.94384
Stewart Oldfield	201803 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Revenues	253.70	312.00026
Stewart Oldfield	201803 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		JOCKEY CLUB
INCOMPASS SOLUTIONS INC.	Revenues	83.75	102.99575
Stewart Oldfield	201803 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		THIRD LAKE
CAPITAL WANEK TRUST OF 2000	Revenues	195.15	239.99547
Stewart Oldfield	201804 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		SOUTHERN
FINANCIAL THE 2017 CATERPILLAR TRUST	Revenues		7423.59
8962.12903			
Stewart Oldfield	201805 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		THIRD LAKE
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST	Revenues		26.59
30.99729			
Stewart Oldfield	201805 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN	Revenues	3.43	3.99852
Stewart Oldfield	201805 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		THIRD LAKE
CAPITAL KATI WANEK- FORSYTHE 2012 IRR TRUST	Revenues		268.50
313.00388			
Stewart Oldfield	201805 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		JOCKEY CLUB
THE JOCKEY CLUB PAC	Revenues	92.64	107.99508
Stewart Oldfield	201805 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		THIRD LAKE
CAPITAL SHARI WAGNER	Revenues	107.23	125.00337
Stewart Oldfield	201805 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		THIRD LAKE
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR GABRIEL	Revenues		688.92
803.1085			
Stewart Oldfield	201805 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Revenues	166.42	194.00412
Stewart Oldfield	201806 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		JOCKEY CLUB
TJC HOLDINGS, INC	Revenues	8.57	9.99819
Stewart Oldfield	201806 Deposits	Deposits	Deposit
Interest Revenues	Deposit Interest Revenues		JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Revenues	240	279.996
Stewart Oldfield	201806 Deposits	Deposits	Deposit

Interest Revenues	Deposit Interest Revenues	SOUTHERN
FINANCIAL SOUTHERN FINANCIAL LLC	Revenues	695.68 811.61507
Stewart Oldfield	201807 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL Revenues	11660.36	13639.12307
Stewart Oldfield	201807 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN	Revenues	5.13 6.00056
Stewart Oldfield	201807 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL TRAVIS WAGNER	Revenues	75.72 88.56968
Stewart Oldfield	201807 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL GEW 2009 LLC	Revenues	14.53 16.99574
Stewart Oldfield	201808 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	ASHLEY HOLDINGS
ASHLEY HOLDINGS, INC.	Revenues	21.51 25.00538
Stewart Oldfield	201808 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB
INCOMPASS SOLUTIONSINC.	Revenues	118.71 138.00038
Stewart Oldfield	201809 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL CAITLIN A. FORSYTHE	Revenues	18.07 21.00005
Stewart Oldfield	201809 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL STEVEN FORSYTHE	Revenues	18.07 21.00005
Stewart Oldfield	201810 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB
INCOMPASS SOLUTIONSINC.	Revenues	69.79 78.99879
Stewart Oldfield	201810 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL CRW 2009 LLC	Revenues	12.37 14.00222
Stewart Oldfield	201810 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	SOUTHERN
FINANCIAL THE 2017 CATERPILLAR TRUST	Revenues	8331.52
9430.86406		
Stewart Oldfield	201811 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	THIRD LAKE
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR GABRIEL	Revenues	108.15
122.41499		
Stewart Oldfield	201811 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB
INCOMPASS SOLUTIONSINC.	Revenues	53.89 60.99809
Stewart Oldfield	201811 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	ASHLEY HOLDINGS
Revenues	111.63 126.354	
Stewart Oldfield	201811 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	SOUTHERN
FINANCIAL THE HAZE TRUST	Revenues	4941.94 5593.78189
Stewart Oldfield	201812 Deposits	Deposits Deposit
Interest Revenues	Deposit Interest Revenues	JOCKEY CLUB

BLOOD-HORSE LLC Revenues		473.53	542.00	244	
Stewart Oldfield	201812	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Revenues	179.98	206.00	511
Stewart Oldfield	201812	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
EQUIBASE COMPANY		Revenues	252.49	289.00	005
Stewart Oldfield	201901	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL TODD R WANER 2015 GRAT TRUST FOR GABRIEL		Revenues			94.15
107.91	002				
Stewart Oldfield	201901	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Revenues	149.2	171.00	558
Stewart Oldfield	201902	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL RONALD & JOYCE WANER IRR DY TR UAD 3/26		Revenues			96.61
109.99	532				
Stewart Oldfield	201902	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		LINDEMANN JR	
GEORGE SABAL CLUB HOLDINGS, LLC		Revenues	2.63	2.99	439
Stewart Oldfield	201902	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX		Revenues			34.23
38.97	257				
Stewart Oldfield	201902	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL TRAVIS WAGNER		Revenues	0.10	0.11	386
Stewart Oldfield	201902	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL KATI WANER- FORSYTHE 2012 IRR TRUST		Revenues			96.61
109.99	532				
Stewart Oldfield	201902	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Revenues	700.01	796.99	639
Stewart Oldfield	201902	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL STEVEN FORSYTHE		Revenues	9.66	10.99	839
Stewart Oldfield	201902	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL THIRD LAKE PARTNERS, LLC		Revenues	446.47	508.32	842
Stewart Oldfield	201903	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
BLOOD-HORSE LLC Revenues		601.34	675.00	415	
Stewart Oldfield	201903	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
EQUIBASE COMPANY		Revenues	1492.20	1674.99	945
Stewart Oldfield	201903	Deposits		Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER		Revenues			28.17
31.62	083				

Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL GABRIELLE E. WANEK		Revenues	29.40	33.0015
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KEYHOLE PARTNERS, LLC		Revenues	64.38	72.26655
Stewart Oldfield	201810	Capital Markets	Capital Markets	
Transaction Revenues from Equity	Options	Transaction Revenues from Equity		
Options/Listed DEERING/CROSBY	HEATHER CROSBY	Revenues		88.34
99.99646				
Stewart Oldfield	201801	Capital Markets	Capital Markets	Equities
Transaction Revenues	Equities	Transaction Revenues	THE NATIONAL	
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Revenues		40.2
49.99875				
Stewart Oldfield	201808	Capital Markets	Capital Markets	Equities
Transaction Revenues	Equities	Transaction Revenues	ADIKESHAVAR	
ADIKESHAVAR HOLDINGS LLC		Revenues	255.05	296.49563
Stewart Oldfield	201805	Other Products	Funds Solutions	Mutual
Funds/Equities Transaction Revenues		Mutual Funds/Equities Transaction		
Revenues external	DEERING/CROSBY	THE SANDRA E.C. DEERING 2018		
IRREVOCABLE Revenues		243.19	283.49874	
Stewart Oldfield	201808	Other Products	Funds Solutions	Mutual
Funds/Equities Transaction Revenues		Mutual Funds/Equities Transaction		
Revenues external	DEERING/CROSBY	2016 MAYSON-DEERING FAMILY		
TRUST Revenues		86.02	99.99825	
Stewart Oldfield	201807	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues		Mutual Funds/Money Market Non-Transaction		
Revenues internal	RASHID ALI	ALI RASHID		Revenues
1.73				2.02358
Stewart Oldfield	201807	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues		Mutual Funds/Money Market Non-Transaction		
Revenues internal	DEERING/CROSBY	THE CHARLESMEAD FOUNDATION INC.		
Revenues		0.21	0.24564	
Stewart Oldfield	201810	DPM	DPM	Mutual Funds/Money Market
Non-Transaction Revenues		Mutual Funds/Money Market Non-Transaction		
Revenues internal	DEERING/CROSBY	THE CHARLESMEAD FOUNDATION INC.		
Revenues		1.83	2.07147	
Stewart Oldfield	201901	Other Products	Custody only	Mutual
Funds/Money Market Non-Transaction Revenues		Mutual Funds/Money Market		
Non-Transaction Revenues internal		ZUECH CHRIS	LONG TERM INSURED	
CREDIT FUND LLC Revenues		11.21	12.84834	
Stewart Oldfield	201804	DPM	DPM	Mutual Funds Other Non -
Transaction Revenues	Mutual Funds	Other Non -Transaction Revenues/-		
internal	VOLPE NOEL	NOEL VOLPE		Revenues
2.84911				2.36
Stewart Oldfield	201803	DPM	DPM	Management/ Flat Fee
Revenues	Management/ Flat	Fee Revenues	DEERING/CROSBY	THE
CHARLESMEAD FOUNDATION INC.		Revenues	677.61	833.32478
Stewart Oldfield	201901	DPM	DPM	Private Equity Fund Non-
Transaction Revenues	Private	Equity Fund Non-Transaction Revenues/-		
internal	ELLIN HOWARD	HOWARD ELLIN		Revenues
				11.17

12.8025

Stewart Oldfield Revenues	201806	Other Products	Custody only	Custody
NATIONAL ORGANIZATION OF THE NEW APO		THE NATIONAL ORGANI	7747.98	9039.18087
Stewart Oldfield Transaction Revenues internal	201803	DPM	DPM	Private Equity Fund Transaction Revenues/-
ELLIN HOWARD		HOWARD ELLIN		Revenues 95.86
117.88863				
Stewart Oldfield Transaction Revenues internal	201803	DPM	DPM	Private Equity Fund Transaction Revenues/-
BOIES FAMILY		CHRISTOPHER BOIES		Revenues
191.71 235.76496				
Stewart Oldfield Revenues	201801	Lending	Lending	Lombard Loans Interest
DEERING/CROSBY MARON M DEERING		Revenues	273.46	340.11588
Stewart Oldfield Revenues	201802	Lending	Lending	Lombard Loans Interest
DEERING/CROSBY LYNN DEERING REVOCABLE TRUST		Revenues	-1382.38794	-1686.99712
Stewart Oldfield Revenues	201802	Lending	Lending	Lombard Loans Interest
DEERING/CROSBY MARON M DEERING		Revenues	213.59	260.65456
Stewart Oldfield Revenues	201802	Lending	Lending	Lombard Loans Interest
DEERING/CROSBY KATHRYN DEERING		Revenues	167.25	204.10354
Stewart Oldfield Revenues	201807	Lending	Lending	Lombard Loans Interest
DEERING/CROSBY SPENCER DEERING		Revenues	-2016.55748	-2358.76728
Stewart Oldfield Revenues	201810	Lending	Lending	Lombard Loans Interest
DEERING/CROSBY HEATHER CROSBY		Revenues	64.57	73.09001
Stewart Oldfield Revenues	201901	Lending	Lending	Lombard Loans Interest
DEERING/CROSBY SPENCER DEERING		Revenues	12.15	13.92572
Stewart Oldfield from Hedge Funds external	201810	DPM	DPM	Non-Transaction Revenues from Hedge Funds/-
BOIES FAMILY		CHRISTOPHER BOIES		Revenues
621.63 703.65408				
Stewart Oldfield from Hedge Funds external	201903	DPM	DPM	Non-Transaction Revenues from Hedge Funds/-
BOIES FAMILY		CHRISTOPHER BOIES		Revenues
595.39 668.32528				
Stewart Oldfield NTLP - Deposit	201801	Deposits	Deposits	NTLP
RASHID ALI		ALI RASHID	Revenues	1.0E-5
1.0E-5				
Stewart Oldfield NTLP - Deposit	201801	Deposits	Deposits	NTLP
JOCKEY CLUB		EQUIBASE COMPANY	Revenues	
270.00061 335.81326				
Stewart Oldfield NTLP - Deposit	201802	Deposits	Deposits	NTLP
JOCKEY CLUB		EQUIBASE COMPANY	Revenues	
284.81854 347.57831				

Stewart Oldfield	201802	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.		
Revenues	90.63414	110.60538		
Stewart Oldfield	201802	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
3.0E-5	4.0E-5			
Stewart Oldfield	201802	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SSW 2007 LLC	Revenues	
0.02731	0.03333			
Stewart Oldfield	201803	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues	
22.64982	27.85475			
Stewart Oldfield	201803	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL LLC		
Revenues	824.15923	1013.55102		
Stewart Oldfield	201803	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.		
Revenues	41.62023	51.18456		
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB	Revenues	
10.8132	13.05424			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	Revenues	51203.45686	
59690.42982				
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	THE 2017 CATERPILLAR TRUST		
Revenues	5785.57697	6744.53635		
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues	
133.56628	155.70489			
Stewart Oldfield	201805	DPM	DPM	NTLP
BOIES FAMILY	DIAMOND B CAPITAL, INC.	Revenues		NTLP - Deposit
83.894				71.96569
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues	
2.23075	2.6005			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues	
7.56339	8.81702			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues	
128.87211	150.34865			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB	Revenues	
11.1982	13.06438			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK	Revenues	
4.0E-5	4.0E-5			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TRAVIS WAGNER	Revenues	
107.24411	125.11634			

Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL		THE HAZE TRUST Revenues	
17366.6467	20260.79837			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT TRAVIS T.	
WAGNER Revenues	107.14439		125	
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	Revenues	75001.07145	87500.00001
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		ROBERT S FORSYTHE REVOCABLE	
TRUST Revenues	0.02857	0.03333		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	ADIKESHAVAR		ADIKESHAVAR HOLDINGS LLC	
Revenues	0.75514	0.88329		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		THE GRAYSON JOCKEY CLUB Revenues	
11.17371	13.06989			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	Revenues	74805.5057	87500.00002
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		GEW 2009 LLC Revenues	
7.54849	8.82947			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R. WANEK 2016 GRAT	
Revenues	0.15283	0.17877		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		CRW 2009 LLC Revenues	
7.68038	8.98374			
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL		THE 2017 CATERPILLAR TRUST	
Revenues	5827.13347	6774.04266		
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		LAURA A FORSYTHE REVOCABLE	
TRUST Revenues	57.30523		66.61733	
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R. WANEK Revenues	
0.02867	0.03333			
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		THE GEORGE LINDEMANN FAMILY	
LIMITED PART	Revenues	33.32466	38.73992	
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		CAITLIN A. FORSYTHE	
Revenues	42.92645	49.902		
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT FOR MARA	
WAGNER Revenues	107.52688		125	
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		THE JOCKEY CLUB FOUNDATION	
Revenues	58.3482	67.80936		
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB		THE JOCKEY CLUB Revenues	

438.69026	509.82389				
Stewart Oldfield	201809	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues		
73.72224	85.6763				
Stewart Oldfield	201809	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues		
0.02868	0.03333				
Stewart Oldfield	201809	Deposits	Deposits	NTLP	
NTLP - Deposit	SOUTHERN FINANCIAL	THE 2017 CATERPILLAR TRUST			
Revenues	5837.51197	6784.06454			
Stewart Oldfield	201810	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues		
0.02951	0.03341				
Stewart Oldfield	201810	Deposits	Deposits	NTLP	
NTLP - Deposit	RASHID ALI	ALI RASHID	Revenues		
90.13135	102.02418				
Stewart Oldfield	201810	Deposits	Deposits	NTLP	
NTLP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.			
Revenues	134.25257	151.96719			
Stewart Oldfield	201810	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2014 GRAT			
Revenues	0.02945	0.03334			
Stewart Oldfield	201810	Deposits	Deposits	NTLP	
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL LLC			
Revenues	679.83952	769.54434			
Stewart Oldfield	201810	Deposits	Deposits	NTLP	
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.			
Revenues	45.66685	51.69259			
Stewart Oldfield	201811	Deposits	Deposits	NTLP	
NTLP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES	Revenues		
1.2E-4	1.4E-4				
Stewart Oldfield	201811	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	SSW 2007 LLC	Revenues		
0.02945	0.03333				
Stewart Oldfield	201811	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	ROBERT S FORSYTHE REVOCABLE			
TRUST	Revenues	0.02945	0.03333		
Stewart Oldfield	201811	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR			
FOR CAI	Revenues	111.84032	126.59206		
Stewart Oldfield	201811	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR LEAH M			
FOX	Revenues	222.27411	251.59207		
Stewart Oldfield	201811	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR			
CAMERON	Revenues	222.27411	251.59207		
Stewart Oldfield	201811	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues		
0.03367	0.03811				
Stewart Oldfield	201812	Deposits	Deposits	NTLP	
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues		

0.02912	0.03333				
Stewart Oldfield		201812	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL			THE HAZE TRUST Revenues	
1191.65721	1363.97084				
Stewart Oldfield		201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			CAMERON WANEK Revenues	
21.94362	25.11667				
Stewart Oldfield		201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			STEVEN FORSYTHE Revenues	
0.02912	0.03333				
Stewart Oldfield		201812	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL			SOUTHERN FINANCIAL LLC	
Revenues	674.40168		771.92016		
Stewart Oldfield		201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			KATI FORSYTHE 2014 GRAT	
Revenues	0.02912	0.03333			
Stewart Oldfield		201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			CRW 2009 LLC Revenues	
0.12668	0.145				
Stewart Oldfield		201901	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB			THE JOCKEY CLUB FOUNDATION	
Revenues	37.59022		43.08403		
Stewart Oldfield		201901	Deposits	Deposits	NTLP
NTLP - Deposit	BOIES FAMILY			CHRISTOPHER BOIES Revenues	
1.2E-4	1.4E-4				
Stewart Oldfield		201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			STEVEN FORSYTHE Revenues	
0.02908	0.03333				
Stewart Oldfield		201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			KWF 2007 LLC Revenues	
0.02908	0.03333				
Stewart Oldfield		201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			SHARI WAGNER 2015 GRAT FOR LEAH M	
FOX Revenues	221.3927			252.06666	
Stewart Oldfield		201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			SHARI WAGNER 2015 GRAT FOR MARA	
WAGNER Revenues	221.3927			252.06666	
Stewart Oldfield		201902	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS		Revenues	77913.38287	88708.28206
Stewart Oldfield		201902	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB			TJC HOLDINGS, INC Revenues	
2.28404	2.60049				
Stewart Oldfield		201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			LAURA A FORSYTHE REVOCABLE	
TRUST Revenues	29.30628			33.36667	
Stewart Oldfield		201903	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI			ALI RASHID Revenues	
41.88081	47.01121				
Stewart Oldfield		201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL			GEW 2007 LLC Revenues	
0.02977	0.03342				

Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TRAVIS WAGNER Revenues	
133.84501	150.24102			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		SUN LAKE MULTIFAMILY HOLDINGS,	
LLC Revenues	333.69855		374.57662	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		ROBERT S FORSYTHE REVOCABLE	
TRUST Revenues	0.0297	0.03334		
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues	
1.36563	1.53292			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		WANEK TRUST OF 2000	
Revenues	0.0297	0.03334		
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		ADDISON AT MILLENIA HOLDINGS,	
LLC Revenues	645.49353		724.56649	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues	
20.79117	23.33809			
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB Revenues		2488.45	3095.00968	
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL SOUTHERN FINANCIAL LLC		Revenues	5288.87	6578.03206
Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.		Revenues	310.57	379.0041
Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL SOUTHERN FINANCIAL LLC		Revenues	3954.86	4826.3134
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB PAC		Revenues	99.20	121.99616
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL THE 2017 CATERPILLAR TRUST		Revenues		3547.35
4362.53103				
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CRW 2009 LLC		Revenues	25.21	31.00326
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY		Revenues	236.07	284.99551
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL SOUTHERN FINANCIAL LLC		Revenues	1073	1295.37925
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	

FINANCIAL	THE HAZE TRUST	Revenues	26115.19	31527.56313
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB	Revenues	1037.95	1209.99021	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY	Revenues	296.80	345.9946	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL STEVEN FORSYTHE	Revenues	26.59	30.99729	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	ASHLEY HOLDINGS	
Revenues	495389.23	577499.99487		
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN	Revenues	11.14	12.99648	
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	ASHLEY HOLDINGS	
ASHLEY HOLDINGS, INC.	Revenues	0.86	1.00332	
Stewart Oldfield	201806	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL GEW 2009 LLC	Revenues	14.57	16.99809	
Stewart Oldfield	201807	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.	Revenues	293.24	343.00283	
Stewart Oldfield	201807	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.	Revenues	20.52	24.00224	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CRW 2009 LLC	Revenues	15.48	17.9955	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST	Revenues		12.04	
13.9965				
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI	
ALI RASHID	Revenues	28.39	33.00338	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	THE 2017 CATERPILLAR TRUST	Revenues		7025.02
8166.58575				
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
BLOOD-HORSE LLC	Revenues	212.47	246.99638	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	SOUTHERN FINANCIAL LLC	Revenues	277.55	322.65188
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC MEDIA VENTURES INC.	Revenues	23.23	27.00488	

Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN	Revenues	7.74	8.99775	
Stewart Oldfield	201808	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST	Revenues		19.78	
22.99425				
Stewart Oldfield	201809	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL GEW 2009 LLC	Revenues	15.49	18.0017	
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	22165.80	25090.57732		
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TRAVIS WAGNER	Revenues	33.22	37.60338	
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2012 IRREVOCABLE TRUST	Revenues		43.29	
49.00212				
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL THE HAZE TRUST	Revenues	7000.15	7923.81979	
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Revenues		10.60	
11.99867				
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	RASHID ALI	
ALI RASHID	Revenues	110.43	124.99572	
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.	Revenues	335.72	380.00147	
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN	Revenues	12.37	14.0016	
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY	Revenues	1175.9	1331.00121	
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL THIRD LAKE PARTNERS, LLC	Revenues	425.01	486.46644	
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB	Revenues	2280.27	2609.99704	
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN	Revenues	34.07	38.99652	
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC	Revenues	10.48	11.99541	

Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CRW 2009 LLC	Revenues	321.51	368.00035	
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	PARAMI CAPITAL	
MANA RAHUL VAID	Revenues	968.99	1109.10595	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI	Revenues			0.12
0.13754				
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	7333.18	8404.92424		
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.	Revenues		335.03	383.99464
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	ASHLEY HOLDINGS	
Revenues	734.26	841.5721		
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK- FORSYTHE 2012 IRR TRUST	Revenues			34.03
39.00348				
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
BLOOD-HORSE LLC Revenues		589.35	671.00444	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC	Revenues	10.54	12.00032	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
INCOMPASS SOLUTIONSINC.	Revenues	83.44	95.00061	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE SUMMER COVE HOLDINGS, LLC	Revenues		2.63	2.99439
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE ADDISON AT MILLENIA HOLDINGS, LLC	Revenues			887.94
1010.96409				
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL Revenues	1665.48	1869.50134		
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE VERONA APARTMENTS HOLDINGS, LLC	Revenues		127.39	142.99528
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION	Revenues		145.21	162.99823
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE MIZNER COURT HOLDINGS, LLC	Revenues		352.28	395.43431

Stewart Oldfield	201803	Capital Markets	Capital Markets		
Transaction Revenues from Equity Options/					
Listed DEERING/CROSBY HEATHER CROSBY					
Revenues					829.40
1019.99612					
Stewart Oldfield	201806	Capital Markets	Capital Markets		
Transaction Revenues from Equity Options/					
Listed THE NATIONAL ORGANI					
THE NATIONAL ORGANIZATION OF THE					
NEW APO Revenues	275.15	321.00375			
Stewart Oldfield	201802	Capital Markets	Capital Markets	Equities	
Transaction Revenues					
ADIKESHAVAR HOLDINGS LLC				ADIKESHAVAR	
Revenues			40.97		49.99774
Stewart Oldfield	201803	Capital Markets	Capital Markets	Equities	
Transaction Revenues					
ORGANI THE NATIONAL ORGANIZATION OF THE				THE NATIONAL	
NEW APO Revenues					221.99
273.0033					
Stewart Oldfield	201808	Capital Markets	Capital Markets	Equities	
Transaction Revenues					
THE SANDRA E.C. DEERING				DEERING/CROSBY	
2018 IRREVOCABLE Revenues					86.02
99.99825					
Stewart Oldfield	201805	Other Products	Funds Solutions	Mutual	
Funds/Equities Transaction Revenues					
ADIKESHAVAR				ADIKESHAVAR HOLDINGS LLC	
Revenues external	55.89	65.15377			
Stewart Oldfield	201805	Other Products	Funds Solutions	Mutual	
Funds/Equities Transaction Revenues					
THE NATIONAL ORGANI				THE NATIONAL ORGANIZATION	
OF THE NEW APO Revenues		454.64	529.99658		
Stewart Oldfield	201809	Other Products	Funds Solutions	Mutual	
Funds/Equities Transaction Revenues					
DEERING/CROSBY				SPENCER DEERING	
Revenues external	-95.91	-111.46181			
Stewart Oldfield	201804	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues					
DEERING/CROSBY				THE CHARLESMEAD FOUNDATION INC.	
Revenues internal	1.04	1.25554			
Stewart Oldfield	201805	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues					
VOLPE NOEL				NOEL VOLPE	
Revenues internal	0.89	1.03752		Revenues	
Stewart Oldfield	201806	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues					
DEERING/CROSBY				THE CHARLESMEAD FOUNDATION INC.	
Revenues internal	0.08	0.09333			
Stewart Oldfield	201809	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues					
DEERING/CROSBY				THE CHARLESMEAD FOUNDATION INC.	
Revenues internal	1.80	2.09187			
Stewart Oldfield	201812	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues					
RASHID ALI				ALI RASHID	
Revenues internal	2.06	2.35788		Revenues	
Stewart Oldfield	201903	Other Products	Custody only	Mutual	

Funds/Money Market Non-Transaction Revenues internal				Mutual Funds/Money Market	
CREDIT FUND LLC Revenues	13.36	14.9966		ZUECH CHRIS	LONG TERM INSURED
Stewart Oldfield	201801	DPM	DPM	Mutual Funds Other Non -	
Transaction Revenues internal	Mutual Funds Other Non -	Transaction Revenues/-		Revenues	2.19
2.72381	VOLPE NOEL	NOEL VOLPE			
Stewart Oldfield	201802	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee Revenues			DEERING/CROSBY	THE
CHARLESMEAD FOUNDATION INC.				136.58	166.6754
Stewart Oldfield	201804	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee Revenues			DEERING/CROSBY	HEATHER
CROSBY Revenues	690.27	833.32846			
Stewart Oldfield	201807	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee Revenues			DEERING/CROSBY	THE
CHARLESMEAD FOUNDATION INC.				712.43	833.32937
Stewart Oldfield	201807	DPM	DPM	Management/ Flat Fee	
Revenues	Management/ Flat Fee Revenues			RASHID ALI	ALI
RASHID Revenues	816.31	954.8378			
Stewart Oldfield	201809	Partnerships		Partnerships	
Partnership Revenues	Partnership Revenues			MCM CAPITAL PARTNER	
MCM CAPITAL PARTNERS			32502	37772.1993	
Stewart Oldfield	201811	Partnerships		Partnerships	
Partnership Revenues	Partnership Revenues			Echelon Investment	
Echelon Investment Partners				1104.34	1250.00245
Stewart Oldfield	201803	DPM	DPM	Private Equity Fund Non-	
Transaction Revenues internal	Private Equity Fund Non-Transaction Revenues/-			Revenues	
215.64	265.19407				
Stewart Oldfield	201804	DPM	DPM	Private Equity Fund Non-	
Transaction Revenues internal	Private Equity Fund Non-Transaction Revenues/-			Revenues	51.19
61.79913	ELLIN HOWARD	HOWARD ELLIN			
Stewart Oldfield	201808	DPM	DPM	Private Equity Fund Non-	
Transaction Revenues internal	Private Equity Fund Non-Transaction Revenues/-			Revenues	
52.65	61.20563				
Stewart Oldfield	201901	Capital Markets		Capital Markets	
Transaction Revenues from FX / OTC Derivative	Transaction Revenues from			FX / OTC Derivative	
Revenues	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC			
344.77	395.15814				
Stewart Oldfield	201809	Other Products		Custody only	Custody
Revenues	Custody Revenues			THE NATIONAL ORGANI	THE
NATIONAL ORGANIZATION OF THE NEW APO				7800.59	9065.45567
Stewart Oldfield	201811	Other Products		Custody only	Custody
Revenues	Custody Revenues			ZUECH CHRIS	LONG TERM INSURED
CREDIT FUND LLC Revenues		98.16	111.1073		
Stewart Oldfield	201812	Other Products		Custody only	Custody
Revenues	Custody Revenues			THE NATIONAL ORGANI	THE
NATIONAL ORGANIZATION OF THE NEW APO				7534.12	8623.55375
Stewart Oldfield	201801	Lending		Lending Mortgages	Loans

Interest Revenues	Loans - Mortgages private - Interest Revenues	Revenues	1665.89	2071.95069
VOLPE NOEL	NOEL VOLPE			
Stewart Oldfield	201804	Lending Lending Mortgages		Loans
Interest Revenues	Loans - Mortgages private - Interest Revenues	Revenues	1459.97	1762.54878
VOLPE NOEL	NOEL VOLPE			
Stewart Oldfield	201903	Lending Lending Mortgages		Loans
Interest Revenues	Loans - Mortgages private - Interest Revenues			
LINDEMANN JR GEORGE	COAL CREEK FARM LC A FLORIDA LIMITED L			
Revenues	13355.56	14991.6161		
Stewart Oldfield	201803	Lending Lending Lombard Loans Interest		
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues		
DEERING/CROSBY	SPENCER DEERING	Revenues	5.56	6.83769
Stewart Oldfield	201804	Lending Lending Lombard Loans Interest		
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues		
DEERING/CROSBY	SPENCER DEERING	Revenues	35.57	42.94188
Stewart Oldfield	201805	Lending Lending Lombard Loans Interest		
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues		
DEERING/CROSBY	MARON M DEERING	Revenues	361.50	421.41863
Stewart Oldfield	201806	Lending Lending Lombard Loans Interest		
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues		
DEERING/CROSBY	HEATHER CROSBY	Revenues	101.80	118.76497
Stewart Oldfield	201810	Lending Lending Lombard Loans Interest		
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues		
DEERING/CROSBY	SPENCER DEERING	Revenues	34.57	39.13151
Stewart Oldfield	201811	Lending Lending Lombard Loans Interest		
Revenues	Loans (Margin Lending / Lombard)	Interest Revenues		
DEERING/CROSBY	SPENCER DEERING	Revenues	57.98	65.62756
Stewart Oldfield	201804	Other Products Funds Solutions Non-		
Transaction Revenues from Hedge Funds	Non-Transaction Revenues from			
Hedge Funds/external	BOIES FAMILY	DIAMOND B INVESTMENTS, INC.		
Revenues	113.22	136.68485		
Stewart Oldfield	201901	DPM DPM Non-Transaction Revenues		
from Hedge Funds	Non-Transaction Revenues from Hedge Funds/-			
external	BOIES FAMILY	CHRISTOPHER BOIES		Revenues
543.75	623.21906			
Stewart Oldfield	201801	Deposits Deposits NTLP		
NTLP - Deposit	VOLPE NOEL	NOEL VOLPE		Revenues
70.12168	87.21384			
Stewart Oldfield	201801	Deposits Deposits NTLP		
NTLP - Deposit	THIRD LAKE CAPITAL	KATI FORSYTHE 2014 GRAT		
Revenues	0.0268	0.03333		
Stewart Oldfield	201801	Deposits Deposits NTLP		
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK		Revenues
4.0E-5	5.0E-5			
Stewart Oldfield	201801	Deposits Deposits NTLP		
NTLP - Deposit	THIRD LAKE CAPITAL	Revenues		31468.74409
39139.25046				
Stewart Oldfield	201801	Deposits Deposits NTLP		
NTLP - Deposit	JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN		
Revenues	0.02501	0.03111		
Stewart Oldfield	201801	Deposits Deposits NTLP		

NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues	
7.07149	8.79517			
Stewart Oldfield	201802	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues	
6.0E-5	7.0E-5			
Stewart Oldfield	201802	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	THE HAZE TRUST	Revenues	
20190.61414	24639.61597			
Stewart Oldfield	201802	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.	Revenues	
29.21606	35.65382			
Stewart Oldfield	201803	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
0.02713	0.03337			
Stewart Oldfield	201803	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues	
0.02716	0.0334			
Stewart Oldfield	201803	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues	
7.28622	8.96059			
Stewart Oldfield	201803	Deposits	Deposits	NTLP
NTLP - Deposit	GENERAL ATLANTIC FA	MADISON PARK CAPITAL, LLC	Revenues	
3.3E-4	4.1E-4			
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK 2016 GRAT	Revenues	
0.14807	0.17876			
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues	
0.85387	1.03083			
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2009 LLC	Revenues	
7.42658	8.96574			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues	
42.32475	49.34008			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR LEAH M	Revenues	
FOX	107.22711	125		
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC MEDIA VENTURES INC.	Revenues	
8.23884	9.60443			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK	Revenues	
0.02859	0.03333			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	Revenues	75058.9749	87499.99999
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	STEVEN FORSYTHE	Revenues	
0.02859	0.03333			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	GENERAL ATLANTIC FA	MADISON PARK CAPITAL, LLC	Revenues	

Revenues	3.5E-4	4.1E-4				
Stewart Oldfield		201806	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		GEW 2009 LLC	Revenues		
7.56244	8.82272					
Stewart Oldfield		201806	Deposits	Deposits		NTLP
NTLP - Deposit	SOUTHERN FINANCIAL		SOUTHERN TRUST COMPANY INC.			
Revenues	44.0413	51.38078				
Stewart Oldfield		201806	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		AXCIS INFORMATION NETWORK INC.			
Revenues	151.86475	177.17301				
Stewart Oldfield		201806	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		THE JOCKEY CLUB	Revenues		
219.58025	256.1733					
Stewart Oldfield		201806	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		COALITION FOR HORSE RACING INTEGRITY, IN			
Revenues	0.05423	0.06327				
Stewart Oldfield		201806	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R. WANEK 2016 GRAT			
Revenues	0.15323	0.17877				
Stewart Oldfield		201806	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		TJC HOLDINGS, INC	Revenues		
2.22903	2.6005					
Stewart Oldfield		201807	Deposits	Deposits		NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT		LEON D. BLACK	Revenues		
4.0E-5	5.0E-5					
Stewart Oldfield		201807	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		TJC MEDIA VENTURES INC.	Revenues		
5.03385	5.88809					
Stewart Oldfield		201807	DPM	DPM	NTLP	NTLP - Deposit
BOIES FAMILY	DIAMOND B CAPITAL, INC.		Revenues			52.55707
61.476						
Stewart Oldfield		201807	Deposits	Deposits		NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		THE GEORGE LINDEMANN FAMILY			
LIMITED PART	Revenues	27.45944		32.11931		
Stewart Oldfield		201807	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R WANEK 2015 GRAT TRUST FOR			
CAMERON	Revenues	106.86501	125			
Stewart Oldfield		201807	Deposits	Deposits		NTLP
NTLP - Deposit	SOUTHERN FINANCIAL		SOUTHERN FINANCIAL LLC			
Revenues	228.45176	267.22002				
Stewart Oldfield		201808	Deposits	Deposits		NTLP
NTLP - Deposit	ASHLEY HOLDINGS		ASHLEY HOLDINGS, INC.	Revenues		
0.00824	0.00958					
Stewart Oldfield		201808	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		THE GRAYSON JOCKEY CLUB	Revenues		
11.24817	13.076					
Stewart Oldfield		201808	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		COALITION FOR HORSE RACING INTEGRITY, IN			
Revenues	0.05442	0.06326				
Stewart Oldfield		201808	DPM	DPM	NTLP	NTLP - Deposit
BOIES FAMILY	DIAMOND B CAPITAL, INC.		Revenues			52.88258

61.476

Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	ROBERT S FORSYTHE REVOCABLE		
TRUST Revenues	42.92645	49.902		
Stewart Oldfield	201808	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues	
7.60104	8.83621			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	Revenues	50323.61572	
58483.59				
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.		
Revenues	44.41224	51.61368		
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues	
2.23766	2.6005			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR LEAH M		
FOX Revenues	107.55927	125.00001		
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	Revenues	862.98188	1002.91439
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	STEVEN FORSYTHE	Revenues	
0.02868	0.03333			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007 LLC	Revenues	
0.02874	0.0334			
Stewart Oldfield	201809	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CAITLIN A. FORSYTHE		
Revenues	0.02868	0.03333		
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	LAURA A FORSYTHE REVOCABLE		
TRUST Revenues	0.02945	0.03334		
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues	
0.02945	0.03334			
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE		
NEW APO Revenues	29.23753	33.09542		
Stewart Oldfield	201810	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SSW 2007 LLC	Revenues	
0.02945	0.03334			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE GRAYSON JOCKEY CLUB	Revenues	
11.56827	13.09412			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	Revenues	51915.30693	
58762.93589				
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KEYHOLE PARTNERS, LLC		
Revenues	154.64627	175.04411		

Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK	Revenues	
4.0E-5	4.0E-5			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.		
Revenues	104.64521	118.44791		
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK	Revenues	
0.02945	0.03333			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	LAURA A FORSYTHE REVOCABLE		
TRUST Revenues	0.02945	0.03333		
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.		
Revenues	45.73643	51.76907		
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	PARAMI CAPITAL MANA	RAHUL VAID	Revenues	
773.62103	875.66164			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2014 GRAT		
Revenues	0.02945	0.03333		
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	ASHLEY HOLDINGS	ASHLEY HOLDINGS, INC.	Revenues	
0.00848	0.0096			
Stewart Oldfield	201811	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	ALI RASHID	Revenues	
1.01128			0.89344	
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC	Revenues	
107.75979	123.34186			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2009 LLC	Revenues	
0.12666	0.14498			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR MARA		
WAGNER Revenues	219.95121	251.75615		
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.	Revenues	
38.66618	44.25731			
Stewart Oldfield	201812	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	THIRD LAKE PARTNERS, LLC		
Revenues	874.88122	1001.38904		
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TRAVIS WAGNER	Revenues	
131.08247	150.24018			
Stewart Oldfield	201901	Deposits	Deposits	NTLP
NTLP - Deposit	PARAMI CAPITAL MANA	RAHUL VAID	Revenues	
766.99042	879.08607			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE		
Revenues	0.02928	0.03334		

Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT		LEON D. BLACK Revenues	
4.0E-5	4.0E-5			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		KATI FORSYTHE 2014 GRAT	
Revenues	0.02928	0.03334		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		CAMERON WANEK Revenues	
22.06022	25.11666			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		THIRD LAKE PARTNERS, LLC	
Revenues	883.08799	1005.43983		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SSW 2007 LLC Revenues	
0.02928	0.03334			
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		MIZNER COURT HOLDINGS, LLC	
Revenues	1.15556	1.31566		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		SABAL CLUB HOLDINGS, LLC	
Revenues	2.60779	2.9691		
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		KATI WANEK- FORSYTHE 2012 IRR	
TRUST Revenues	292.77004		333.33333	
Stewart Oldfield	201902	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		RONALD & JOYCE WANEK IRR DY TR UAD	
3/26 Revenues	292.77004		333.33333	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		Revenues	
77278.40398			68844.90332	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		Revenues	
516.15697			459.82804	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		KATI WANEK-FORSYTHE	
Revenues	522.67261	586.7		
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		CAMERON WANEK Revenues	
6.04306	6.78333			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TODD R WANEK 2015 GRAT TRUST FOR	
CAMERON Revenues	224.70611		252.23261	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT		LEON D. BLACK Revenues	
4.0E-5	4.0E-5			
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT FOR MARA	
WAGNER Revenues	224.70611		252.23261	
Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues	
202.79473	227.63708			

Stewart Oldfield	201903	Deposits	Deposits	NTLP
NTLP - Deposit	RASHID ALI	Revenues	808.31106	907.32916
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
INCOMPASS SOLUTIONSINC.	Revenues	68.34	84.99788	
Stewart Oldfield	201801	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY	Revenues	439.80	547.00125	
Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
BLOOD-HORSE LLC	Revenues	487.57	595.00605	
Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	VOLPE NOEL	
NOEL VOLPE	Revenues	149.14	182.003	
Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
TJC HOLDINGS, INC	Revenues	8.19	9.99467	
Stewart Oldfield	201802	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
INCOMPASS SOLUTIONSINC.	Revenues	77.85	95.00425	
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	VOLPE NOEL	
NOEL VOLPE	Revenues	220.37	271.01103	
Stewart Oldfield	201803	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.	Revenues		555.34
682.95713				
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	VOLPE NOEL	
NOEL VOLPE	Revenues	204.97	247.45004	
Stewart Oldfield	201804	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.	Revenues		196.31 236.99525	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	ASHLEY HOLDINGS	
ASHLEY HOLDINGS, INC.	Revenues	3797.56	4427.00557	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST	Revenues			26.59
30.99729				
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL	THE 2017 CATERPILLAR TRUST	Revenues		8191.39
9549.11289				
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL GEW 2009 LLC	Revenues	15.44	17.99918	
Stewart Oldfield	201805	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CRW 2009 LLC	Revenues	15.44	17.99918	
Stewart Oldfield	201805	Deposits	Deposits	Deposit

Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
TJC MEDIA VENTURES INC.	Revenues	12.87 15.0032	
Stewart Oldfield	201805	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE
CAPITAL CAITLIN A. FORSYTHE	Revenues	26.59 30.99729	
Stewart Oldfield	201806	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Revenues	115.72 135.00474	
Stewart Oldfield	201807	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB Revenues		1334.53 1560.99974	
Stewart Oldfield	201808	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
TJC HOLDINGS, INC	Revenues	9.46 10.99725	
Stewart Oldfield	201808	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB Revenues		1509.67 1754.99138	
Stewart Oldfield	201809	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE
CAPITAL CRW 2009 LLC	Revenues	15.49 18.0017	
Stewart Oldfield	201809	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
TJC HOLDINGS, INC	Revenues	9.47 11.00556	
Stewart Oldfield	201809	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	SOUTHERN
FINANCIAL THE HAZE TRUST	Revenues	17082.83 19852.81088	
Stewart Oldfield	201809	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Revenues	306.33 356.00141	
Stewart Oldfield	201809	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	ASHLEY HOLDINGS
Revenues 44.94 52.22702			
Stewart Oldfield	201809	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
INCOMPASS SOLUTIONS INC.	Revenues	79.16 91.99579	
Stewart Oldfield	201809	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	SOUTHERN
FINANCIAL SOUTHERN FINANCIAL LLC	Revenues	526.49 611.86035	
Stewart Oldfield	201810	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR CAMERON	Revenues		10.60
11.99867			
Stewart Oldfield	201810	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB Revenues		1815.45 2054.99863	
Stewart Oldfield	201810	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	SOUTHERN
FINANCIAL SOUTHERN FINANCIAL LLC	Revenues	943.63 1068.14198	
Stewart Oldfield	201810	Deposits	Deposits
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Revenues	201.42 227.99737	

Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR GABRIEL		Revenues	21.20	
23.99734				
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL CAMERON WANEK		Revenues	3.53 3.99578	
Stewart Oldfield	201810	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE		Revenues	576 652.0032	
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL SOUTHERN FINANCIAL LLC		Revenues	986.82 1116.98156	
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Revenues	174.04 196.99588	
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	SOUTHERN	
FINANCIAL THE 2017 CATERPILLAR TRUST		Revenues	8712.76	
9861.97304				
Stewart Oldfield	201811	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
BLOOD-HORSE LLC		Revenues	364.87 412.99635	
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX		Revenues	177.58	
203.25807				
Stewart Oldfield	201812	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.		Revenues	258.6 295.99356	
Stewart Oldfield	201901	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
THE JOCKEY CLUB PAC		Revenues	77.65 88.99855	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	ASHLEY HOLDINGS	
Revenues	333.42	379.61534		
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	JOCKEY CLUB	
EQUIBASE COMPANY		Revenues	1118.97 1274.00329	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	
GEORGE VERONA APARTMENTS HOLDINGS, LLC		Revenues	5.27 6.00016	
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST		Revenues	9.66	
10.99839				
Stewart Oldfield	201902	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	THIRD LAKE	
CAPITAL KEYHOLE PARTNERS, LLC		Revenues	78.20 89.03461	
Stewart Oldfield	201903	Deposits	Deposits	Deposit
Interest Revenues	Deposit	Interest Revenues	LINDEMANN JR	

GEORGE SUN LAKE MULTIFAMILY HOLDINGS, LLC 461.0781				Revenues	410.76
Stewart Oldfield	201903	Deposits	Deposits	Deposit	
Interest Revenues	Deposit	Interest Revenues		THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE 0.10103	2015	GRAT TR FOR STE	Revenues	0.09	
Stewart Oldfield	201903	Deposits	Deposits	Deposit	
Interest Revenues	Deposit	Interest Revenues		JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN		Revenues	3.56	3.9961	
Stewart Oldfield	201804	Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues		DEERING/CROSBY	
2016 MAYSON-DEERING FAMILY TRUST		Revenues	41.42	50.0043	
Stewart Oldfield	201805	Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues		DEERING/CROSBY	
THE SANDRA E.C. DEERING	2018	IRREVOCABLE	Revenues	738.15	860.49836
Stewart Oldfield	201809	Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues		DEERING/CROSBY	
SPENCER DEERING		Revenues	-397.61	-462.08246	
Stewart Oldfield	201811	Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues		DEERING/CROSBY	
HEATHER CROSBY		Revenues	234.12	265.00043	
Stewart Oldfield	201901	Capital Markets	Capital Markets	Equities	
Transaction Revenues	Equities	Transaction Revenues		ADIKESHAVAR	
ADIKESHAVAR HOLDINGS LLC		Revenues	4105.69	4705.73659	
Stewart Oldfield	201803	Other Products	Funds Solutions	Mutual	
Funds/Equities Transaction		Revenues	Mutual Funds/Equities	Transaction	
Revenues external	ADIKESHAVAR		ADIKESHAVAR HOLDINGS LLC		
Revenues	304.93	375.00291			
Stewart Oldfield	201810	Other Products	Funds Solutions	Mutual	
Funds/Equities Transaction		Revenues	Mutual Funds/Equities	Transaction	
Revenues external	DEERING/CROSBY		THE CHARLESMEAD FOUNDATION INC.		
Revenues	314.11	355.55681			
Stewart Oldfield	201801	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues		Mutual Funds/Money Market	NOEL VOLPE	Non-Transaction	
Revenues internal	VOLPE NOEL		NOEL VOLPE	Revenues	
0.73	0.90794				
Stewart Oldfield	201803	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues		Mutual Funds/Money Market	NOEL VOLPE	Non-Transaction	
Revenues internal	VOLPE NOEL		NOEL VOLPE	Revenues	
0.94	1.15601				
Stewart Oldfield	201805	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues		Mutual Funds/Money Market	THE CHARLESMEAD FOUNDATION INC.	Non-Transaction	
Revenues internal	DEERING/CROSBY		THE CHARLESMEAD FOUNDATION INC.		
Revenues	0.95	1.10746			
Stewart Oldfield	201810	DPM	DPM	Mutual Funds/Money Market	
Non-Transaction Revenues		Mutual Funds/Money Market	ALI RASHID	Non-Transaction	
Revenues internal	RASHID ALI		ALI RASHID	Revenues	
0.53	0.59993				
Stewart Oldfield	201809	DPM	DPM	Mutual Funds Other Non -	
Transaction Revenues	Mutual Funds	Other Non -	Transaction Revenues/-		
internal	RASHID ALI	ALI RASHID	Revenues	2.26	

2.62646

Stewart Oldfield Revenues	201806	DPM	DPM	Management/ Flat Fee	RASHID ALI	ALI
RASHID Revenues	820.91	957.71465				
Stewart Oldfield Revenues	201808	DPM	DPM	Management/ Flat Fee	RASHID ALI	ALI
RASHID Revenues	838.24	974.454				
Stewart Oldfield Revenues	201809	DPM	DPM	Management/ Flat Fee	DEERING/CROSBY	HEATHER
CROSBY Revenues	717.06	833.33128				
Stewart Oldfield Revenues	201809	DPM	DPM	Management/ Flat Fee	RASHID ALI	ALI
RASHID Revenues	829.31	963.78262				
Stewart Oldfield Revenues	201810	DPM	DPM	Management/ Flat Fee	DEERING/CROSBY	THE
CHARLESMEAD FOUNDATION INC.		Revenues			736.19	833.33027
Stewart Oldfield Fee Revenues	201811	Other Products	Other	Management/ Flat Fee	DEERING/CROSBY	SPENCER
DEERING Revenues	-124.04	-140.40088				
Stewart Oldfield Income Transaction Revenues	201812	Capital Markets	Capital Markets	Fixed		
RASHID ALI ALI RASHID		Revenues	Fixed Income Transaction Revenues		1638.13	1875.0036
Stewart Oldfield Revenues	201901	Other Products	Custody only	Custody		
CREDIT FUND LLC Revenues		2908.28	3333.32512	ZUECH CHRIS	LONG TERM INSURED	
Stewart Oldfield Transaction Revenues	201902	DPM	DPM	Private Equity Fund		
internal	ELLIN HOWARD	HOWARD ELLIN	Transaction Revenues/-			
72.0019			Revenues			63.24
Stewart Oldfield Revenues	201801	Lending	Lending	Lombard Loans Interest		
DEERING/CROSBY HEATHER CROSBY		Loans (Margin Lending / Lombard) Revenues		Interest Revenues	-2949.87614	-3668.90845
Stewart Oldfield Revenues	201802	Lending	Lending	Lombard Loans Interest		
DEERING/CROSBY HEATHER CROSBY		Loans (Margin Lending / Lombard) Revenues		Interest Revenues	169.82	207.23984
Stewart Oldfield Revenues	201805	Lending	Lending	Lombard Loans Interest		
DEERING/CROSBY HEATHER CROSBY		Loans (Margin Lending / Lombard) Revenues		Interest Revenues	776.38	905.06499
Stewart Oldfield Revenues	201809	Lending	Lending	Lombard Loans Interest		
DEERING/CROSBY SPENCER DEERING		Loans (Margin Lending / Lombard) Revenues		Interest Revenues	42.52	49.41462
Stewart Oldfield Revenues	201809	Lending	Lending	Lombard Loans Interest		
DEERING/CROSBY LYNN DEERING REVOCABLE TRUST		Loans (Margin Lending / Lombard) Revenues		Interest Revenues		475.72
552.858						
Stewart Oldfield Revenues	201810	Lending	Lending	Lombard Loans Interest		
DEERING/CROSBY MARON M DEERING		Loans (Margin Lending / Lombard) Revenues		Interest Revenues	786.45	890.22208
Stewart Oldfield	201801	Deposits	Deposits			NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	GEW 2007	LLC	Revenues		
6.0E-5	7.0E-5					

Stewart Oldfield	201801	DPM	DPM	NTLP	NTLP - Deposit
BOIES FAMILY	DIAMOND B CAPITAL, INC.	Revenues			23.3734 29.07067
Stewart Oldfield	201801	Deposits		Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB		FOUNDATION	
Revenues	28.82486	35.85092			
Stewart Oldfield	201801	Deposits		Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONS		SINC.	Revenues
26.99013	33.56897				
Stewart Oldfield	201801	Deposits		Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC			Revenues
3.0E-5	4.0E-5				
Stewart Oldfield	201801	Deposits		Deposits	NTLP
NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC			
Revenues	26.58025	33.05919			
Stewart Oldfield	201802	Deposits		Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB		FOUNDATION	
Revenues	25.86826	31.56833			
Stewart Oldfield	201802	Deposits		Deposits	NTLP
NTLP - Deposit	VOLPE NOEL	NOEL VOLPE			Revenues
59.47337	72.57833				
Stewart Oldfield	201802	Deposits		Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	BLOOD-HORSE LLC			Revenues
119.26185	145.5412				
Stewart Oldfield	201802	DPM	DPM	NTLP	NTLP - Deposit
BOIES FAMILY	DIAMOND B CAPITAL, INC.	Revenues			23.82158
29.07067					
Stewart Oldfield	201802	Deposits		Deposits	NTLP
NTLP - Deposit	LINDEMANN JR GEORGE	THE GEORGE LINDEMANN FAMILY			
LIMITED PART	Revenues	20.86999		25.46869	
Stewart Oldfield	201802	Deposits		Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK			Revenues
0.02731	0.03333				
Stewart Oldfield	201803	Deposits		Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC			Revenues
0.0271	0.03333				
Stewart Oldfield	201803	Deposits		Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2014 GRAT			
Revenues	0.0271	0.03333			
Stewart Oldfield	201803	Deposits		Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONS		SINC.	Revenues
2.98788	3.67449				
Stewart Oldfield	201803	Deposits		Deposits	NTLP
NTLP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES			Revenues
1.1E-4	1.4E-4				
Stewart Oldfield	201804	Deposits		Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.			
Revenues	42.44992	51.24767			
Stewart Oldfield	201804	Deposits		Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB			Revenues
573.72775	692.63282				
Stewart Oldfield	201804	Deposits		Deposits	NTLP

NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION		
Revenues	58.31956	70.40629		
Stewart Oldfield	201804	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues	
23.15573	27.95476			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
0.02862	0.03336			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK 2016 GRAT		
Revenues	0.15334	0.17876		
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KWF 2007 LLC	Revenues	
0.02859	0.03333			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR STE Revenues	107.22711	125		
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.	Revenues	
23.42995	27.31346			
Stewart Oldfield	201805	Deposits	Deposits	NTLP
NTLP - Deposit	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPANY INC.		
Revenues	44.01712	51.31296		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION		
Revenues	29.61842	34.55433		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE		
NEW APO Revenues	70.81292	82.61389		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2015 GRAT FOR MARA		
WAGNER Revenues	107.14439	125		
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES	Revenues	
1.1E-4	1.3E-4			
Stewart Oldfield	201806	Deposits	Deposits	NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR		
FOR STE Revenues	107.14439	125		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB	Revenues	
574.34416	671.81037			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	EQUIBASE COMPANY	Revenues	
434.84512	508.63834			
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION		
Revenues	71.20719	83.29105		
Stewart Oldfield	201807	Deposits	Deposits	NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.	Revenues	
30.46275	35.63228			
Stewart Oldfield	201807	Deposits	Deposits	NTLP

NTLP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.			
Revenues	130.34792	152.46796			
Stewart Oldfield	201807	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE	2016	GRAT	
Revenues	5.0E-5	6.0E-5			
Stewart Oldfield	201807	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER	2014	GRAT	
Revenues	0.0285	0.03334			
Stewart Oldfield	201808	Deposits	Deposits		NTLP
NTLP - Deposit	RASHID ALI	ALI RASHID			0.76375
Revenues	0.88786				
Stewart Oldfield	201808	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	STEVEN FORSYTHE		Revenues	
Revenues	42.92645	49.902			
Stewart Oldfield	201808	Deposits	Deposits		NTLP
NTLP - Deposit	THE NATIONAL ORGANI	THE NATIONAL ORGANIZATION OF THE			
NEW APO	Revenues	153.02896	177.89617		
Stewart Oldfield	201808	Deposits	Deposits		NTLP
NTLP - Deposit	ADIKESHAVAR	ADIKESHAVAR HOLDINGS LLC			
Revenues	1.97184	2.29226			
Stewart Oldfield	201808	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	INCOMPASS SOLUTIONSINC.		Revenues	
Revenues	22.98191	26.71647			
Stewart Oldfield	201809	Deposits	Deposits		NTLP
NTLP - Deposit	ELYSIUM MANAGEMENT	LEON D. BLACK		Revenues	
Revenues	4.0E-5	4.0E-5			
Stewart Oldfield	201809	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SSW 2007 LLC		Revenues	
Revenues	0.02868	0.03333			
Stewart Oldfield	201809	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	TRAVIS WAGNER		Revenues	
Revenues	107.96821	125.47525			
Stewart Oldfield	201809	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE	2015	GRAT TR	
FOR STE	Revenues	107.55927	125.00001		
Stewart Oldfield	201809	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	LAURA A FORSYTHE		REVOCABLE	
TRUST	Revenues	0.02868	0.03333		
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB	COALITION FOR HORSE RACING INTEGRITY, IN			
Revenues	3.60135	4.07655			
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	ROBERT S FORSYTHE		REVOCABLE	
TRUST	Revenues	0.02945	0.03334		
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	KATI WANEK-FORSYTHE			
Revenues	0.02945	0.03334			
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER	2015	GRAT FOR LEAH M	
FOX	Revenues	220.85781	250		
Stewart Oldfield	201810	Deposits	Deposits		NTLP

NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT FOR MARA		
WAGNER Revenues		220.85781	250		
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		STEVEN FORSYTHE Revenues		
0.02945	0.03334				
Stewart Oldfield	201810	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT TRAVIS T.		
WAGNER Revenues		220.85781	250		
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		TRAVIS WAGNER Revenues		
111.4777	126.1816				
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		CAITLIN A. FORSYTHE		
Revenues	0.02945	0.03333			
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		GEW 2007 LLC Revenues		
0.02951	0.0334				
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		SHARI WAGNER 2015 GRAT FOR MARA		
WAGNER Revenues		222.27411	251.59207		
Stewart Oldfield	201811	Deposits	Deposits		NTLP
NTLP - Deposit	LINDEMANN JR GEORGE		THE GEORGE LINDEMANN FAMILY		
LIMITED PART	Revenues	40.10188	45.39132		
Stewart Oldfield	201812	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		THE JOCKEY CLUB PAC Revenues		
14.66721	16.78809				
Stewart Oldfield	201812	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		Revenues	52252.91729	
59808.68909					
Stewart Oldfield	201812	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		GEW 2007 LLC Revenues		
0.02918	0.0334				
Stewart Oldfield	201812	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		EQUIBASE COMPANY Revenues		
119.1645	136.39569				
Stewart Oldfield	201812	Deposits	Deposits		NTLP
NTLP - Deposit	ADIKESHAVAR		ADIKESHAVAR HOLDINGS LLC		
Revenues	4.67931	5.35594			
Stewart Oldfield	201812	DPM	DPM	NTLP	NTLP - Deposit
BOIES FAMILY	DIAMOND B CAPITAL, INC.		Revenues		13.30683
15.231					
Stewart Oldfield	201812	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		WANEK TRUST OF 2000		
Revenues	0.02912	0.03333			
Stewart Oldfield	201901	Deposits	Deposits		NTLP
NTLP - Deposit	THIRD LAKE CAPITAL		Revenues	54868.7465	
62887.81379					
Stewart Oldfield	201901	Deposits	Deposits		NTLP
NTLP - Deposit	JOCKEY CLUB		BLOOD-HORSE LLC Revenues		
94.44389	108.24686				
Stewart Oldfield	201901	Deposits	Deposits		NTLP

NLTP - Deposit	THIRD LAKE CAPITAL	THIRD LAKE PARTNERS, LLC		
Revenues	875.55319	1003.51529		
Stewart Oldfield	201902	Deposits	Deposits	NLTP
NLTP - Deposit	THIRD LAKE CAPITAL	Revenues	60017.74425	
68333.20272				
Stewart Oldfield	201902	Deposits	Deposits	NLTP
NLTP - Deposit	THIRD LAKE CAPITAL	TRAVIS WAGNER	Revenues	
131.95782	150.24058			
Stewart Oldfield	201902	Deposits	Deposits	NLTP
NLTP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB	Revenues	
936.89504	1066.70185			
Stewart Oldfield	201902	Deposits	Deposits	NLTP
NLTP - Deposit	THIRD LAKE CAPITAL	CRW 2007 LLC	Revenues	
0.02931	0.03337			
Stewart Oldfield	201902	Deposits	Deposits	NLTP
NLTP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB PAC	Revenues	
20.49808	23.33809			
Stewart Oldfield	201902	Deposits	Deposits	NLTP
NLTP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES	Revenues	
1.2E-4	1.4E-4			
Stewart Oldfield	201902	Deposits	Deposits	NLTP
NLTP - Deposit	THIRD LAKE CAPITAL	TODD R. WANEK	Revenues	
0.02928	0.03334			
Stewart Oldfield	201902	Deposits	Deposits	NLTP
NLTP - Deposit	LINDEMANN JR GEORGE	SUN LAKE MULTIFAMILY HOLDINGS, LLC	Revenues	
9.34947	10.64484			
Stewart Oldfield	201902	Deposits	Deposits	NLTP
NLTP - Deposit	THIRD LAKE CAPITAL	SHARI WAGNER 2014 GRAT		
Revenues	0.02928	0.03334		
Stewart Oldfield	201903	Deposits	Deposits	NLTP
NLTP - Deposit	THIRD LAKE CAPITAL	THIRD LAKE PARTNERS, LLC		
Revenues	897.64363	1007.60497		
Stewart Oldfield	201903	Deposits	Deposits	NLTP
NLTP - Deposit	ASHLEY HOLDINGS	Revenues	217623.19529	
244282.03671				
Stewart Oldfield	201903	Deposits	Deposits	NLTP
NLTP - Deposit	LINDEMANN JR GEORGE	MARINA LANDING HOLDINGS, LLC		
Revenues	141.71212	159.07185		
Stewart Oldfield	201903	Deposits	Deposits	NLTP
NLTP - Deposit	JOCKEY CLUB	AXCIS INFORMATION NETWORK INC.		
Revenues	74.84211	84.01027		
Stewart Oldfield	201903	Deposits	Deposits	NLTP
NLTP - Deposit	PARAMI CAPITAL MANA	RAHUL VAID	Revenues	
786.06447	882.35737			
Stewart Oldfield	201903	Deposits	Deposits	NLTP
NLTP - Deposit	LINDEMANN JR GEORGE	MIZNER COURT HOLDINGS, LLC		
Revenues	277.23927	311.20108		
Stewart Oldfield	201903	Deposits	Deposits	NLTP
NLTP - Deposit	JOCKEY CLUB	THE JOCKEY CLUB FOUNDATION		
Revenues	82.48446	92.58881		
Stewart Oldfield	201903	Deposits	Deposits	NLTP

NTP - Deposit	BOIES FAMILY	CHRISTOPHER BOIES	Revenues
1.2E-4	1.3E-4		
Stewart Oldfield	201903	Deposits	Deposits
NTP - Deposit	THIRD LAKE CAPITAL	STEVEN FORSYTHE	Revenues
0.0297	0.03334		
Stewart Oldfield	201903	Deposits	Deposits
NTP - Deposit	ASHLEY HOLDINGS	ASHLEY FURNITURE INDUSTRIES	Revenues
0.0297	0.03334		
Stewart Oldfield	201903	Deposits	Deposits
NTP - Deposit	JOCKEY CLUB	TJC HOLDINGS, INC	Revenues
2.3167	2.6005		

AUM Data

Year	YearMonth	1.CRM relationship reference*	Manager client reference*	Code	Categorie	Investment Platform
Name	UBR description	Mandate	Type	EUR	USD	
2018	201801	Stewart	Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO			Deposits		
Deposits	Custody	1907169.09		2372041.55569		
2018	201801	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
SANDRA DEERING	Deposits		Deposits		Classic	Advisory
184.71	229.73306					
2018	201801	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST				Capital	Markets	Capital Markets
Classic	Advisory	8775.85	10914.96344			
2018	201801	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1				Other	Products	Funds Solutions
Classic	Advisory	279266.06		347337.16213		
2018	201801	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY	Other	Products		Funds	Solutions	Classic
Advisory	94390	117397.5625				
2018	201801	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC				Capital	Markets	Capital Markets
Advisory	839055.20		1043574.905			Classic
2018	201801	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC				Other	Products	Funds Solutions
Advisory	114430.43		142322.84731			Classic
2018	201801	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Lending	Lending	Lombard	Classic		Advisory
635948.35	790960.76031					
2018	201801	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Capital	Markets	Capital	Markets	Classic	Advisory
1399584	1740732.60					
2018	201801	Stewart	Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION				Deposits	Deposits	Classic
Advisory	86474.56		107552.734			
2018	201801	Stewart	Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB PAC	Deposits		Deposits			Classic
Advisory	50704.92		63064.24425			
2018	201801	Stewart	Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY	Deposits		Deposits			Classic
Advisory	810001.83		1007439.77606			
2018	201801	Stewart	Oldfield	50067	Volume	JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN	Deposits		Deposits			Deposits
Classic	Advisory	75.02	93.30613			
2018	201801	Stewart	Oldfield	50067	Volume	THIRD LAKE
CAPITAL	Deposits	Deposits		Classic		Advisory
6.293748805E7	7.827850076224E7					
2018	201801	Stewart	Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO			Deposits		
Deposits	Classic	Advisory		27481.97		34180.70019
2018	201801	Stewart	Oldfield	50067	Volume	VOLPE NOEL
NOEL VOLPE	Lending	Lending	Mortgages		Classic	Advisory

1592162.35	1980251.92281					
2018	201801	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	KATI WANEK-FORSYTHE 2016	GRAT	Deposits	Deposits	Deposits	
Classic	Advisory	0.15	0.18656			
2018	201801	Stewart Oldfield	50067	Volume	SK FAMILY	
OFFICE	ECHELON INVESTMENT PARTNERS	MASTER LP.	Capital	Markets	Capital	
Markets	Classic	Advisory	2085808.24	2594223.9985		
2018	201801	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	TODD R. WANEK 2016	GRAT	Deposits	Deposits	Classic	
Advisory	431.18	536.28013				
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
SANDRA E DEERING	GIFT TRUST UAD 12/09/11		Capital	Markets	Capital	
Classic	Advisory	1238813.08	1511785.54218			
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
SANDRA DEERING	Capital Markets	Capital	Markets	Classic	Advisory	
41538.08	50690.99592					
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2016	MAYSON-DEERING FAMILY TRUST		Capital	Markets	Capital	
Classic	Advisory	8464.98	10330.23834			
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
THE CHARLESMEAD	FOUNDATION INC.	Other	Products	Funds	Solutions	
Advisory	3136301.39	3827385.40129			Classic	
2018	201802	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
THE GRAYSON	JOCKEY CLUB	Deposits	Deposits	Deposits	Classic	
Advisory	32068.05	39134.24482				
2018	201802	Stewart Oldfield	50067	Volume	GENERAL ATLANTIC	
FA	MADISON PARK CAPITAL, LLC		Capital	Markets	Capital	
Classic	Advisory	2367419.18	2889079.99631			
2018	201802	Stewart Oldfield	50067	Volume	THE NATIONAL	
ORGANI	THE NATIONAL ORGANIZATION OF THE	NEW	APO	Deposits		
Deposits	Classic	Advisory	28641.52	34952.67893		
2018	201802	Stewart Oldfield	50067	Volume	SK FAMILY	
OFFICE	ECHELON INVESTMENT PARTNERS	MASTER LP.	Capital	Markets	Capital	
Markets	Classic	Advisory	2098792.97	2561262.00094		
2018	201802	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	SHARI WAGNER 2014	GRAT	Deposits	Deposits	Classic	
Advisory	81.94	99.99548				
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2004	DEERING FAMILY TRUST UAD 08/12/04	H	Other	Products	Funds	
Classic	Advisory	31.86	39.18143			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
THE CHARLESMEAD	FOUNDATION INC.	Other	Products	Funds	Solutions	
Advisory	2948237.87	3625742.93253			Classic	
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2013	MARON DEERING INSURANCE TRUST UAD 0		Other	Products	Funds	
Classic	Advisory	257.11	316.19388			
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
AXCIS	INFORMATION NETWORK INC.	Deposits	Deposits	Deposits	Classic	
Advisory	225925.15	277842.74947				
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
BLOOD-HORSE	LLC	Deposits	Deposits	Classic	Advisory	

380223.69	467599.09396						
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB		
THE GRAYSON JOCKEY CLUB	Other Products	Funds Solutions	Classic				
Advisory	1.123614637E7	1.381821280583E7					
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB		
EQUIBASE COMPANY	Deposits	Deposits	Classic				
Advisory	219293.64	269687.31847					
2018	201803	Stewart Oldfield	50067	Volume	RASHID ALI		
ALI RASHID	Deposits	Deposits	Classic	Advisory			
1224071.16	1505362.71257						
2018	201803	Stewart Oldfield	50067	Volume	VOLPE NOEL		
NOEL VOLPE	Lending	Lending Mortgages	Classic	Advisory			
1607893.01	1977386.8237						
2018	201803	Stewart Oldfield	50067	Volume	ADIKESHAVAR		
ADIKESHAVAR HOLDINGS LLC	Other Products	Funds Solutions	Classic				
Advisory	60329.18	74192.82556					
2018	201803	Stewart Oldfield	50067	Volume	BOIES FAMILY		
CHRISTOPHER BOIES	DPM	DPM	DPM	1394172.31			
1714553.10684							
2018	201803	Stewart Oldfield	50067	Volume	SOUTHERN		
FINANCIAL	SOUTHERN TRUST COMPANY INC.	Deposits					
Deposits	Classic	Advisory	83240.45		102369.10541		
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
HEATHER CROSBY	DPM	DPM	DPM	1608190.33	1977752.46783		
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
SANDRA DEERING	Other Products	Funds Solutions	Classic	Advisory			
7048.91	8509.7966						
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
ACE LIMITED PARTNERSHIP LLLP	Deposits	Deposits	Classic				
Advisory	1072.02	1294.19615					
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
HEATHER CROSBY	Other Products	Funds Solutions	Classic	Advisory			
1.027922572E7	1.240959525047E7						
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
SPENCER DEERING	Other Products	Funds Solutions	Classic	Advisory			
84278.89	101745.68996						
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
THE ROUSE COMPANY FOUNDATION	Other Products	Funds Solutions	Classic				
Advisory	2555726.48	3085400.79299					
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
THE CHARLESMEAD FOUNDATION INC.	Other Products	Funds Solutions	Classic				
Advisory	3301546.85	3985792.43466					
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
THE CHARLESMEAD FOUNDATION INC.	Capital Markets	Capital Markets	Classic				
Advisory	9664858.71	1.166790067765E7					
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
CHRISTOPHER J. CROSBY	Capital Markets	Capital Markets	Classic				
Advisory	118314.68	142835.39743					
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
MARON M DEERING	Capital Markets	Capital Markets	Classic	Advisory			
1363661.15	1646279.92334						

2018	201804	Stewart Oldfield	50067	Volume	JOCKEY CLUB
BLOOD-HORSE LLC	Deposits	Deposits		Classic	Advisory
401230.76	484385.83501				
2018	201804	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC	Other Products	Other Products		Funds	Solutions Classic
Advisory	61531.60	74284.0241			
2018	201804	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC	Capital Markets	Capital Markets		Capital	Markets Classic
Advisory	3004098.60	3626698.03485			
2018	201804	Stewart Oldfield	50067	Volume	SK FAMILY
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP.	Deposits	Deposits			
Deposits	Classic Advisory	75646.91			91324.7321
2018	201804	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KWF 2007 LLC	Deposits	Deposits			Classic
Advisory	82.83	99.99652			
2018	201804	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	THE HAZE TRUST	Deposits		Deposits	Classic
Advisory	4.091709875E7	4.939716746594E7			
2018	201804	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2016 GRAT	Deposits	Deposits			Deposits
Classic Advisory	0.16	0.19316			
2018	201804	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	SOUTHERN FINANCIAL LLC	Deposits		Deposits	Deposits
Classic Advisory	1681177.22	2029601.19885			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING	Capital Markets	Capital Markets		Classic	Advisory
975391.11	1137062.18648				
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1	Other Products	Other Products		Funds	Solutions
Classic Advisory	500145.64	583044.77983			
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Other Products	Funds Solutions		Classic	Advisory
2.303926826E7	2.68580269741E7				
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
BLOOD-HORSE LLC	Deposits	Deposits		Classic	Advisory
400698.83	467114.66107				
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Lending	Lending		Lombard	Classic
Advisory	5015372.25	5846670.20044			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Capital Markets	Capital Markets		Capital	Markets Classic
Advisory	1.292278442E7	1.506473593762E7			
2018	201805	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES	DPM	DPM		DPM	1422005.59
1657703.01655					
2018	201805	Stewart Oldfield	50067	Volume	VOLPE NOEL
NOEL VOLPE	Deposits	Deposits		Classic	Advisory
211911.01	247035.25991				
2018	201805	Stewart Oldfield	50067	Volume	GENERAL ATLANTIC
FA MADISON PARK CAPITAL, LLC	Capital Markets	Capital Markets		Capital	Markets
Classic Advisory	2481046.54	2892280.00401			

2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANER-FORSYTHE 2015 GRAT TR				FOR CAI Deposits	
Deposits		Classic Advisory	214454.21		249999.99531
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL STEVEN FORSYTHE Deposits				Deposits	Classic
Advisory	85.78	99.99804			
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER				Deposits	
Deposits		Classic Advisory	214454.21		249999.99531
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA DEERING		Other Products	Funds Solutions	Classic	Advisory
7475.19	8720.93041				
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING		Other Products	Funds Solutions	Classic	Advisory
1276974.26	1489782.02043				
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1				Other Products	Funds Solutions
Classic Advisory	457591.93		533849.62513		
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1				Capital Markets	Capital Markets
Classic Advisory	8245838.6		9620007.60269		
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC		Other Products	Funds Solutions	Classic	Advisory
5916.80	6902.83472				
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.				Capital Markets	Capital Markets
Advisory	1.042553167E7		1.216294652281E7		Classic
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Lending	Lending	Lombard	Classic
Advisory	128.57	149.99619			
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY		Capital Markets	Capital Markets		Classic
Advisory	125565.68		146491.20057		
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
293 RUMSTICK LLC		Other Products	Funds Solutions		Classic
Advisory	20482.05		23895.38363		
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.				Deposits	Classic
Advisory	455594.26		531519.04343		
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC HOLDINGS, INC		Deposits		Deposits	Classic
Advisory	6687.09	7801.49355			
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION				Deposits	Classic
Advisory	88855.24		103662.96574		
2018	201806	Stewart Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.	DPM	DPM	DPM		2810428.37
3278786.25786					
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST		Other Products	Funds Solutions		Classic
Advisory	1.063992831E7		1.241307236286E7		

2018	201806	Stewart Oldfield	50067	Volume	GENERAL ATLANTIC
FA	MADISON PARK CAPITAL, LLC		Capital Markets	Capital Markets	Capital Markets
Classic	Advisory	2397072.82	2796545.00545		
2018	201806	Stewart Oldfield	50067	Volume	SK FAMILY
OFFICE	ECHELON INVESTMENT PARTNERS MASTER LP.		Deposits		
Deposits	Classic Advisory		78279.46		91324.73201
2018	201806	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	GEW 2009 LLC	Deposits	Deposits		Classic
Advisory	22687.32	26468.16188			
2018	201806	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	SHARI WAGNER 2014 GRAT	Deposits	Deposits		Classic
Advisory	85.72	100.00524			
2018	201806	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	KATI WANER-FORSYTHE 2015 GRAT TR FOR CAI		Deposits		
Deposits	Classic Advisory		214288.78		250000.00519
2018	201806	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	TODD R WANER 2015 GRAT TRUST FOR GABRIEL		Deposits		
Deposits	Classic Advisory		428577.55		499999.99871
2018	201806	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO		Other Products		Funds
Solutions	Classic Advisory		667219.11		778411.17468
2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	FOUNDATION	Deposits	Deposits		Classic
Advisory	213621.57	249873.15043			
2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY		Deposits	Deposits		Classic
Advisory	1304535.37	1525915.02229			
2018	201807	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID	Capital Markets	Capital Markets	Classic	Advisory	
256792.34	300370.0001				
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	Deposits	Deposits	Classic	Advisory	
1.0212593606E8	1.1945670740938E8				
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	KATI WANER-FORSYTHE 2015 GRAT TR FOR CAI		Deposits		
Deposits	Classic Advisory		213730.02		250000.00439
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC		Deposits	Deposits		Classic
Advisory	3823.92	4472.83922			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP		Capital Markets	Capital Markets		Classic
Advisory	699840.59	818603.53812			
2018	201807	Stewart Oldfield	50067	Volume	ELYSIUM
MANAGEMENT	LEON D. BLACK	Capital Markets	Capital Markets		Classic
Advisory	162682.74	190290.00098			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	DPM	DPM	DPM		4227841.30129
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Deposits	Deposits		Classic
Advisory	156.54	183.10484			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY

HEATHER CROSBY	Capital Markets	Capital Markets	Classic	Advisory
4354110.97	5061654.00263			
2018	201808	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Other Products	Funds Solutions	Classic	
Advisory	1.305358641E7	1.517479420163E7		
2018	201808	Stewart Oldfield	50067	Volume RASHID ALI
ALI RASHID	Deposits	Deposits	Classic	Advisory
2291.26	2663.58976			
2018	201808	Stewart Oldfield	50067	Volume RASHID ALI
ALI RASHID	Capital Markets	Capital Markets	Classic	Advisory
363036.56	422030.001			
2018	201808	Stewart Oldfield	50067	Volume ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC	Deposits	Deposits	Classic	
Advisory	50929.13	59205.11363		
2018	201808	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL	Deposits	Deposits	Classic	Advisory
9.91036879E7	1.1520803718385E8			
2018	201808	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL TODD R WANER	2015 GRAT TRUST FOR	GABRIEL	Deposits	
Deposits	Classic Advisory	430107.53	500000.00363	
2018	201808	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL CAITLIN A. FORSYTHE	Deposits	Deposits	Classic	
Advisory	128779.35	149705.99438		
2018	201808	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL ROBERT S FORSYTHE	REVOCABLE TRUST	Deposits		
Deposits	Classic Advisory	128779.35	149705.99438	
2018	201808	Stewart Oldfield	50067	Volume DEERING/CROSBY
2011 ANTHONY W DEERING	TRUST UAD 11/21/1	Other Products	Funds Solutions	
Classic Advisory	480470.40	558546.84		
2018	201808	Stewart Oldfield	50067	Volume DEERING/CROSBY
LYNN DEERING	REVOCABLE TRUST	Other Products	Funds Solutions	Classic
Advisory	1.071527818E7	1.245651088425E7		
2018	201808	Stewart Oldfield	50067	Volume SOUTHERN
FINANCIAL	THE 2017 CATERPILLAR TRUST	Deposits		
Deposits	Classic Advisory	1.165426693E7	1.354808530613E7	
2018	201809	Stewart Oldfield	50067	Volume DEERING/CROSBY
SPENCER DEERING	Capital Markets	Capital Markets	Classic	Advisory
1044552.01	1213926.11842			
2018	201809	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL CRW 2007 LLC	Deposits	Deposits	Classic	
Advisory	86.14	100.1076		
2018	201809	Stewart Oldfield	50067	Volume SOUTHERN
FINANCIAL	SOUTHERN FINANCIAL LLC	Deposits	Deposits	
Classic Advisory	1322324.46	1536739.37119		
2018	201809	Stewart Oldfield	50067	Volume ASHLEY HOLDINGS
Deposits	Deposits	Classic Advisory		
1.7502659342367E8				1.5060585417E8
2018	201809	Stewart Oldfield	50067	Volume DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Capital Markets	Capital Markets	Classic	
Advisory	1950791.84	2267112.73686		
2018	201809	Stewart Oldfield	50067	Volume DEERING/CROSBY

ACE LIMITED PARTNERSHIP LLLP	Other Products	Funds Solutions	Classic
Advisory	2268483.85	2636318.50628	
2018 201809 Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Lending Lending Lombard	Classic	Advisory
291949.71	339289.35548		
2018 201809 Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST	Other Products	Funds Solutions	
Classic Advisory	187036.58	217364.56145	
2018 201809 Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC	Other Products	Funds Solutions	Classic
Advisory	63462.90	73753.40923	
2018 201810 Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Custody	
only Custody	3.025334456E7	3.424527337469E7	
2018 201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Other Products	Funds Solutions	Classic
1282834.30	1452104.28589		Advisory
2018 201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY	Deposits	Deposits	Classic
Advisory	630.96	714.21517	
2018 201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Capital Markets	Capital Markets	Classic
4536707.98	5135326.59796		Advisory
2018 201810 Stewart Oldfield	50067	Volume	JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Deposits	Deposits	Classic
Advisory	402757.71	455901.58984	
2018 201810 Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC HOLDINGS, INC	Deposits	Deposits	Classic
Advisory	6892.08	7801.48996	
2018 201810 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2009 LLC	Deposits	Deposits	Classic
Advisory	100.90	114.21376	
2018 201810 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST		Deposits	
Deposits	Classic Advisory	88.34	99.99646
2018 201810 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX		Deposits	
Deposits	Classic Advisory	441715.62	499999.99606
2018 201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Deposits		Deposits
Classic Advisory	5254.45	5947.77468	
2018 201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST	Other Products	Funds Solutions	
Classic Advisory	175633.34	198808.15922	
2018 201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST	Capital Markets	Capital Markets	
Classic Advisory	15285.15	17302.02554	
2018 201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC	Other Products	Funds Solutions	Classic
Advisory	61881.62	70046.89976	
2018 201810 Stewart Oldfield	50067	Volume	THE NATIONAL

ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Capital Markets	Capital
Markets Classic Advisory	1096434.63	1241109.17943	
2018	201810 Stewart Oldfield	50067	Volume DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Capital Markets	Capital Markets	Classic
Advisory	7302553.08	8266124.95891	
2018	201810 Stewart Oldfield	50067	Volume ELLIN HOWARD
HOWARD ELLIN	DPM DPM DPM	175134.31	198243.2822
2018	201811 Stewart Oldfield	50067	Volume THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Custody
only	Custody 3.068314709E7	3.473025419117E7	
2018	201811 Stewart Oldfield	50067	Volume THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	
Deposits	Custody 32186.05	36431.39	
2018	201811 Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB	Deposits	Deposits	Classic Advisory
1456031.33	1648081.86243		
2018	201811 Stewart Oldfield	50067	Volume JOCKEY CLUB
TJC MEDIA VENTURES INC.	Deposits	Deposits	Classic
Advisory	11394.88	12897.86467	
2018	201811 Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL SSW 2007 LLC	Deposits	Deposits	Classic
Advisory	88.35	100.00337	
2018	201811 Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL KWF 2007 LLC	Deposits	Deposits	Classic
Advisory	88.35	100.00337	
2018	201811 Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL TODD R. WANEK	Deposits	Deposits	Classic
Advisory	88.35	100.00337	
2018	201811 Stewart Oldfield	50067	Volume ELYSIUM
MANAGEMENT	LEON D. BLACK	Deposits	Deposits Classic
Advisory	0.13	0.14715	
2018	201811 Stewart Oldfield	50067	Volume DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1	Capital Markets	Capital Markets	
Classic Advisory	8631223.96	9769682.40032	
2018	201811 Stewart Oldfield	50067	Volume DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Deposits	Deposits	
Classic Advisory	83.93	95.00037	
2018	201811 Stewart Oldfield	50067	Volume DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC	Deposits	Deposits	Classic
Advisory	3.89	4.40309	
2018	201811 Stewart Oldfield	50067	Volume ZUECH CHRIS
LONG TERM INSURED CREDIT FUND LLC	Other Products	Other Products	Custody only
Custody 2.0048733653E8	2.2693161621831E8		
2018	201811 Stewart Oldfield	50067	Volume DEERING/CROSBY
THE SANDRA E.C. DEERING 2018 IRREVOCABLE	Deposits	Deposits	
Classic Advisory	133.46	151.06337	
2018	201811 Stewart Oldfield	50067	Volume PARAMI CAPITAL
MANA RAHUL VAID	Deposits	Deposits	Classic
Advisory	1547242.06	1751323.28771	
2018	201811 Stewart Oldfield	50067	Volume THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Funds

Solutions	Classic Advisory	658018.84	744811.525
2018 201812	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB	Deposits	Deposits	Classic Advisory
1934108.62	2213780.72645		
2018 201812	Stewart Oldfield	50067	Volume JOCKEY CLUB
BLOOD-HORSE LLC	Deposits	Deposits	Classic Advisory
323279.36	370025.55546		
2018 201812	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Other Products	Funds	Solutions Classic
Advisory	1.174096813E7	1.34387121216E7	
2018 201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL	Deposits	Deposits	Classic Advisory
1.0450583439E8	1.1961737804283E8		
2018 201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL GEW 2009 LLC	Deposits	Deposits	Classic
Advisory	379.98	434.92511	
2018 201812	Stewart Oldfield	50067	Volume ELYSIUM
MANAGEMENT	LEON D. BLACK	Deposits	Deposits Classic
Advisory	0.13	0.14879	
2018 201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Deposits	Deposits	
Deposits	Classic Advisory	439902.42	503512.30993
2018 201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST	Deposits	Deposits	
Deposits	Classic Advisory	87.37	100.0037
2018 201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI	Deposits	Deposits	
Deposits	Classic Advisory	425.81	487.38213
2018 201812	Stewart Oldfield	50067	Volume DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Lending	Lending	Lombard Classic
Advisory	10.48	11.99541	
2018 201812	Stewart Oldfield	50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Funds	
Solutions	Classic Advisory	605945.59	693565.32231
2019 201901	Stewart Oldfield	50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Custody	
only	Custody	2.932939526E7	3.361588637726E7
2019 201901	Stewart Oldfield	50067	Volume BOIES FAMILY
CHRISTOPHER BOIES	Deposits	Deposits	Classic
Advisory	0.35	0.40115	
2019 201901	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL WANEK TRUST OF 2000	Deposits	Deposits	Classic
Advisory	87.25	100.00159	
2019 201901	Stewart Oldfield	50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	Deposits	
Deposits	Classic Advisory	73564.78	84316.2726
2019 201901	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Deposits	Deposits	
Deposits	Classic Advisory	439591.90	503838.25618
2019 201901	Stewart Oldfield	50067	Volume LINDEMANN JR
GEORGE ADDISON AT MILLENIA HOLDINGS, LLC	Deposits	Deposits	

Deposits	Classic	Advisory	905067.02	1037342.56497
2019 201902	Stewart	Oldfield	50067	Volume
CHRISTOPHER BOIES	Deposits	Deposits	50067	Volume
Advisory	0.35	0.39849	50067	Volume
2019 201902	Stewart	Oldfield	50067	Volume
THE JOCKEY CLUB FOUNDATION	Other Products	Funds Solutions	50067	Volume
Advisory	6006953.58	6839216.99851	50067	Volume
2019 201902	Stewart	Oldfield	50067	Volume
CAPITAL Deposits	Deposits	Deposits	50067	Volume
1.2003548829E8	1.366664051926E8	1.366664051926E8	50067	Volume
2019 201902	Stewart	Oldfield	50067	Volume
CAPITAL GEW 2009 LLC	Deposits	Deposits	50067	Volume
Advisory	382.57	435.57507	50067	Volume
2019 201902	Stewart	Oldfield	50067	Volume
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Deposits	Deposits	50067	Volume
Deposits	Classic	Advisory	442785.38	504133.2944
2019 201902	Stewart	Oldfield	50067	Volume
CAPITAL KATI WANEK- FORSYTHE 2012 IRR TRUST	Deposits	Deposits	50067	Volume
Deposits	Classic	Advisory	878310.13	999999.99851
2019 201902	Stewart	Oldfield	50067	Volume
CAPITAL GEORGE SUN LAKE MULTIFAMILY HOLDINGS, LLC	Deposits	Deposits	50067	Volume
Deposits	Classic	Advisory	28048.42	31934.52859
2019 201903	Stewart	Oldfield	50067	Volume
CHRISTOPHER BOIES	Deposits	Deposits	50067	Volume
Advisory	0.36	0.4041	50067	Volume
2019 201903	Stewart	Oldfield	50067	Volume
BLOOD-HORSE LLC	Deposits	Deposits	50067	Volume
216321.26	242820.61435	242820.61435	50067	Volume
2019 201903	Stewart	Oldfield	50067	Volume
ALI RASHID	Deposits	Deposits	50067	Volume
125642.44	141033.6389	141033.6389	50067	Volume
2019 201903	Stewart	Oldfield	50067	Volume
ALI RASHID	DPM	DPM	50067	Volume
125642.44	DPM	DPM	50067	Volume
2019 201903	Stewart	Oldfield	50067	Volume
CAPITAL Deposits	Deposits	Deposits	50067	Volume
1.376898065E8	1.5455680779632E8	1.5455680779632E8	50067	Volume
2019 201903	Stewart	Oldfield	50067	Volume
CAPITAL CRW 2007 LLC	Deposits	Deposits	50067	Volume
Advisory	89.19	100.11578	50067	Volume
2019 201903	Stewart	Oldfield	50067	Volume
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR GABRIEL	Deposits	Deposits	50067	Volume
Deposits	Classic	Advisory	899541.46	1009735.28885
2019 201903	Stewart	Oldfield	50067	Volume
ASHLEY FURNITURE INDUSTRIES	Deposits	Deposits	50067	Volume
Advisory	3656.50	4104.42125	50067	Volume
2019 201903	Stewart	Oldfield	50067	Volume
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Capital	Capital	50067	Volume
Markets	Classic	Advisory	1154078.65	1295453.28463
2018 201801	Stewart	Oldfield	50067	Volume
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Other Products	Funds Solutions	50067	Volume
Classic	Advisory	190289.58	236672.66512	236672.66512

2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING		Capital Markets	Capital Markets	Classic	Advisory
935355.51		1163348.41557			
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING		Deposits	Deposits	Classic	Advisory
969.69		1206.05194			
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY		Capital Markets	Capital Markets	Classic	
Advisory		122392.76	152225.99525		
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP		Capital Markets	Capital Markets	Classic	
Advisory		3382529.11	4207020.58056		
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP		Deposits	Deposits	Classic	
Advisory		7135.58	8874.87763		
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
293 RUMSTICK LLC		Other Products	Funds Solutions	Classic	
Advisory		45789.23	56950.35481		
2018	201801	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY		Other Products	Funds Solutions	Classic	
Advisory		1547073.57	1924172.75269		
2018	201801	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.		Deposits	Deposits	Classic	
Advisory		7637.92	9499.663		
2018	201801	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB		Deposits	Deposits	Classic	
Advisory		31452.70	39119.29563		
2018	201801	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI FORSYTHE 2014 GRAT		Deposits	Deposits	Classic	
Advisory		80.4	99.9975		
2018	201801	Stewart Oldfield	50067	Volume	ELLIN HOWARD
HOWARD ELLIN		DPM	DPM	206740.53	257133.53419
2018	201801	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SSW 2007 LLC		Deposits	Deposits	Classic	
Advisory		80.4	99.9975		
2018	201801	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		SOUTHERN FINANCIAL LLC	Deposits	Deposits	
Classic Advisory		5638695.5	7013127.52813		
2018	201801	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Deposits	Deposits	Classic	
Advisory		198200.90	246512.36937		
2018	201802	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES		Deposits	Deposits	Classic	
Advisory		0.33	0.40272		
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP		Capital Markets	Capital Markets	Classic	
Advisory		1539304.27	1878489.96589		
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP		Capital Markets	Capital Markets	Classic	
Advisory		3333020.49	4067451.55497		
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY

293 RUMSTICK LLC		Other Products	Funds	Solutions	Classic
Advisory	46712.96		57006.16074		
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Capital Markets	Capital Markets	Classic	
Advisory	289403.89		353174.03716		
2018	201802	Stewart Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.	DPM	DPM	DPM	2377899.35	
2901869.47177					
2018	201802	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		SOUTHERN TRUST COMPANY INC.		Deposits	
Deposits		Classic Advisory	3360888.97		4101460.85454
2018	201802	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2016	GRAT		Deposits		Deposits
Classic Advisory	0.16	0.19526			
2018	201802	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI FORSYTHE 2014	GRAT	Deposits		Deposits	Classic
Advisory	81.94	99.99548			
2018	201802	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		SOUTHERN FINANCIAL LLC	Deposits		Deposits
Classic Advisory		5752106.73	7019583.44796		
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Capital Markets	Capital Markets	Classic	
Advisory	9425869.15		1.159193388067E7		
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Capital Markets	Capital Markets	Classic	
Advisory	279687.64		343959.85967		
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB
INCOMPASS SOLUTIONS INC.		Deposits	Deposits		Classic
Advisory	8963.64	11023.48447			
2018	201803	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		Capital Markets	Capital Markets	Classic	Advisory
256653.52		315632.4989			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST		Other Products	Funds	Solutions	Classic
Advisory	1.022088871E7		1.256964893556E7		
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST		Lending	Lending	Lombard	Classic
Advisory	3995073.83		4913141.79613		
2018	201803	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Deposits		Deposits	Classic
Advisory	58030.52		71365.93349		
2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2009 LLC		Deposits	Deposits		Classic
Advisory	21858.65		26881.76777		
2018	201803	Stewart Oldfield	50067	Volume	ELLIN HOWARD
HOWARD ELLIN	DPM	DPM	DPM	209085.65	257133.53237
2018	201803	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE		NEW APO	Other Products	Funds	
Solutions		Classic Advisory	752844.17		925847.76027
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11		Capital Markets	Capital Markets		

Classic Advisory	1230407.19	1485409.08013			
2018 201804 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
2013 MARON DEERING INSURANCE TRUST UAD 0		Other Products	Funds Solutions		
Classic Advisory	262.28 316.63753				
2018 201804 Stewart Oldfield		50067	Volume	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN		Deposits	Deposits		
Classic Advisory	157.22 189.80385				
2018 201804 Stewart Oldfield		50067	Volume	RASHID ALI	
ALI RASHID	DPM DPM DPM	2223230.48		2683994.997	
2018 201804 Stewart Oldfield		50067	Volume	ADIKESHAVAR	
ADIKESHAVAR HOLDINGS LLC		Deposits	Deposits	Classic	
Advisory	59932.94 72354.04182				
2018 201804 Stewart Oldfield		50067	Volume	SK FAMILY	
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP.			Capital Markets	Capital	
Markets Classic Advisory	2401238.36		2898895.01011		
2018 201804 Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL SHARI WAGNER 2014 GRAT		Deposits	Deposits	Classic	
Advisory	82.83 99.99652				
2018 201804 Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL TODD R. WANEK 2016 GRAT		Deposits	Deposits	Classic	
Advisory	444.22 536.2846				
2018 201804 Stewart Oldfield		50067	Volume	ELLIN HOWARD	
HOWARD ELLIN	DPM DPM DPM	212991.12		257133.52962	
2018 201804 Stewart Oldfield		50067	Volume	THE NATIONAL	
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Deposits	Deposits		
Deposits Classic Advisory	9503.50 11473.10038				
2018 201805 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
2016 MAYSON-DEERING FAMILY TRUST		Capital Markets	Capital Markets		
Classic Advisory	10293.87 12000.07895				
2018 201805 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
HEATHER CROSBY	Other Products	Funds Solutions	Classic Advisory		
1.008523835E7	1.175686660652E7				
2018 201805 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
SUPURLATIV LLC	Other Products	Funds Solutions	Classic Advisory		
10514.62	12257.41827				
2018 201805 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
GREEN EAGLES PARTNERS LLC	Other Products	Funds Solutions	Classic		
Advisory	128244.53 149501.06085				
2018 201805 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
GREEN EAGLES PARTNERS LLC	Capital Markets	Capital Markets	Classic		
Advisory	862534.45 1005499.53509				
2018 201805 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
2013 MARON DEERING INSURANCE TRUST UAD 0		Other Products	Funds Solutions		
Classic Advisory	272 317.084				
2018 201805 Stewart Oldfield		50067	Volume	RASHID ALI	
ALI RASHID	Capital Markets	Capital Markets	Classic Advisory		
168681.11	196640.00398				
2018 201805 Stewart Oldfield		50067	Volume	SOUTHERN	
FINANCIAL	THE HAZE TRUST	Deposits	Deposits	Classic	
Advisory	4.242770588E7 4.946009812961E7				
2018 201805 Stewart Oldfield		50067	Volume	RASHID ALI	

Deposits	Deposits	Classic Advisory	1715633.71
1999999.99743			
2018	201805	Stewart Oldfield	50067
FINANCIAL		THE 2017 CATERPILLAR TRUST	Volume
Deposits		Classic Advisory	1.157115394E7
2018	201805	Stewart Oldfield	50067
Deposits		Deposits	1.348907270556E7
1.7499999997935E8		Classic Advisory	1.501179498E8
2018	201805	Stewart Oldfield	50067
CAPITAL TODD R WANEK		2015 GRAT TRUST FOR CAMERON	Volume
Deposits		Classic Advisory	214454.21
2018	201805	Stewart Oldfield	50067
MANAGEMENT		LEON D. BLACK	Volume
Advisory		160300.24	Capital Markets
2018	201806	Stewart Oldfield	50067
2016 MAYSON-DEERING FAMILY TRUST		Other Products	Capital Markets
Classic Advisory		181246.40	Classic
2018	201806	Stewart Oldfield	50067
SPENCER DEERING		Other Products	Funds Solutions
83767.22		97727.02721	Classic Advisory
2018	201806	Stewart Oldfield	50067
SPENCER DEERING		Lending Lombard	Volume
233050.02		271887.80583	Classic Advisory
2018	201806	Stewart Oldfield	50067
THE CHARLESMEAD FOUNDATION INC.		Other Products	Funds Solutions
Advisory		3471946.02	Classic
2018	201806	Stewart Oldfield	50067
CHRISTOPHER J. CROSBY		Other Products	Funds Solutions
Advisory		97225.13	Classic
2018	201806	Stewart Oldfield	50067
DEERING FAMILY LTD PARTNERSHIP		Capital Markets	Capital Markets
Advisory		3560365.27	Classic
2018	201806	Stewart Oldfield	50067
INCOMPASS SOLUTIONS INC.		Deposits	Volume
Advisory		80769.73	Classic
2018	201806	Stewart Oldfield	50067
ALI RASHID		Capital Markets	Capital Markets
251472.16		293379.99546	Classic Advisory
2018	201806	Stewart Oldfield	50067
CAPITAL SSW 2007 LLC		Deposits	Volume
Advisory		85.72	Classic
2018	201806	Stewart Oldfield	50067
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER		Deposits	Volume
Deposits		Classic Advisory	214288.78
2018	201806	Stewart Oldfield	50067
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE		Deposits	Volume
Deposits		Classic Advisory	214288.78
2018	201806	Stewart Oldfield	50067
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART		Capital Markets	Capital
Markets		Classic Advisory	961085.16
2018	201807	Stewart Oldfield	50067
			Volume
			THE NATIONAL

ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Custody
only	Custody 3.144769535E7	3.678436925089E7	
2018	201807	Stewart Oldfield	50067 Volume THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	
Deposits	Custody 180634.03	211287.62489	
2018	201807	Stewart Oldfield	50067 Volume DEERING/CROSBY
SANDRA DEERING	Other Products	Funds Solutions	Classic Advisory
7482.47	8752.24516		
2018	201807	Stewart Oldfield	50067 Volume DEERING/CROSBY
MARON M DEERING	Other Products	Funds Solutions	Classic Advisory
1289038.02	1507787.77199		
2018	201807	Stewart Oldfield	50067 Volume DEERING/CROSBY
CHRISTOPHER J. CROSBY	Other Products	Funds Solutions	Classic
Advisory	98681.14	115427.32946	
2018	201807	Stewart Oldfield	50067 Volume DEERING/CROSBY
SPENCER DEERING	Other Products	Funds Solutions	Classic Advisory
85375.14	99863.30126		
2018	201807	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL SSW 2007 LLC	Deposits	Deposits	Classic
Advisory	85.49	99.99765	
2018	201807	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL CRW 2009 LLC	Deposits	Deposits	Classic
Advisory	23041.13	26951.20976	
2018	201807	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL GEW 2009 LLC	Deposits	Deposits	Classic
Advisory	22645.46	26488.39456	
2018	201807	Stewart Oldfield	50067 Volume SOUTHERN
FINANCIAL	SOUTHERN TRUST COMPANY INC.	Deposits	
Deposits	Classic Advisory	87986.26	102917.52832
2018	201807	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL KATI FORSYTHE 2014 GRAT	Deposits	Deposits	Classic
Advisory	85.49	99.99765	
2018	201807	Stewart Oldfield	50067 Volume SOUTHERN
FINANCIAL	THE 2017 CATERPILLAR TRUST	Deposits	
Deposits	Classic Advisory	11564850	1.3527405045E7
2018	201807	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST	Deposits		
Deposits	Classic Advisory	51.30	60.00561
2018	201807	Stewart Oldfield	50067 Volume DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP	Deposits	Deposits	Classic
Advisory	1256.53	1469.76314	
2018	201807	Stewart Oldfield	50067 Volume DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	Capital Markets	Capital Markets	Classic
Advisory	7151644.56	8365278.64183	
2018	201807	Stewart Oldfield	50067 Volume DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Other Products	Funds Solutions	Classic
Advisory	1.069220388E7	1.250667087844E7	
2018	201807	Stewart Oldfield	50067 Volume DEERING/CROSBY
MARON M DEERING	Capital Markets	Capital Markets	Classic Advisory
1495006.31	1748708.88081		
2018	201808	Stewart Oldfield	50067 Volume DEERING/CROSBY

SANDRA DEERING	Capital Markets	Capital Markets	Classic	Advisory
46918.97	54543.30263			
2018	201808	Stewart Oldfield	50067	Volume DEERING/CROSBY
CHRISTOPHER J. CROSBY	Capital Markets	Capital Markets	Classic	
Advisory	134853.68	156767.403		
2018	201808	Stewart Oldfield	50067	Volume JOCKEY CLUB
INCOMPASS SOLUTIONS INC.	Deposits	Deposits		Classic
Advisory	68945.72	80149.3995		
2018	201808	Stewart Oldfield	50067	Volume JOCKEY CLUB
EQUIBASE COMPANY	Other Products	Funds Solutions		Classic
Advisory	2708129.36	3148200.38101		
2018	201808	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Deposits	Deposits		Classic
Advisory	33744.50	39227.98125		
2018	201808	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB PAC	Deposits	Deposits		Classic
Advisory	50635.91	58864.24538		
2018	201808	Stewart Oldfield	50067	Volume ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC	Capital Markets	Capital Markets	Classic	
Advisory	3259001.21	3788588.90663		
2018	201808	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL GEW 2009 LLC	Deposits	Deposits		Classic
Advisory	22803.13	26508.63863		
2018	201808	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL TODD R. WANEK	Deposits	Deposits		Classic
Advisory	86.02	99.99825		
2018	201808	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL SHARI WAGNER 2014 GRAT	Deposits	Deposits		Classic
Advisory	86.02	99.99825		
2018	201808	Stewart Oldfield	50067	Volume DEERING/CROSBY
GREEN EAGLES PARTNERS LLC	Capital Markets	Capital Markets	Classic	
Advisory	926543.83	1077107.20238		
2018	201808	Stewart Oldfield	50067	Volume DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Other Products	Funds Solutions		Classic
Advisory	3725965.61	4331435.02163		
2018	201808	Stewart Oldfield	50067	Volume DEERING/CROSBY
THE SANDRA E.C. DEERING 2018 IRREVOCABLE	Capital Markets	Capital Markets		
Classic Advisory	172589.20	200634.945		
2018	201808	Stewart Oldfield	50067	Volume DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Other Products	Funds Solutions		
Classic Advisory	212138.77	246611.32013		
2018	201809	Stewart Oldfield	50067	Volume DEERING/CROSBY
SANDRA DEERING	Capital Markets	Capital Markets	Classic	Advisory
46877.68	54478.89581			
2018	201809	Stewart Oldfield	50067	Volume DEERING/CROSBY
CHRISTOPHER J. CROSBY	Other Products	Funds Solutions		Classic
Advisory	99251.92	115345.61883		
2018	201809	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB	Other Products	Funds Solutions	Classic	Advisory
2.36933552E7	2.753523274568E7			
2018	201809	Stewart Oldfield	50067	Volume JOCKEY CLUB

EQUIBASE COMPANY		Deposits	Deposits		Classic
Advisory	221166.72		257028.90365		
2018	201809	Stewart Oldfield	50067	Volume	JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN		Deposits			Deposits
Classic Advisory	163.32	189.80234			
2018	201809	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		Deposits	Deposits	Classic	Advisory
6835.17	7943.49282				
2018	201809	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Deposits	Deposits	Deposits	Classic
Advisory	49241.37	57225.85814			
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2007 LLC		Deposits	Deposits		Classic
Advisory	86.24	100.22382			
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2009 LLC		Deposits	Deposits		Classic
Advisory	22826.87	26528.24697			
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI FORSYTHE 2014 GRAT		Deposits	Deposits	Deposits	Classic
Advisory	86.05	100.00301			
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R WANER 2015 GRAT TRUST FOR		GABRIEL	Deposits		
Deposits		Classic Advisory	430237.06		499999.99928
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R WANER 2015 GRAT TRUST FOR		CAMERON	Deposits		
Deposits		Classic Advisory	215118.53		249999.99964
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX		Deposits			
Deposits		Classic Advisory	215118.53		249999.99964
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC		Other Products	Funds Solutions	Classic	Advisory
3840.57	4463.31843				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP		Deposits	Deposits	Deposits	Classic
Advisory	420.12	488.24246			
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE SANDRA E.C. DEERING 2018 IRREVOCABLE		Other Products	Funds Solutions		
Classic Advisory	2131669.18	2477319.33754			
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY		Lending	Lending Lombard	Classic	Advisory
729211.93	847453.64445				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		DPM	DPM	DPM	948687.17
1102516.79461					
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Lending	Lending	Other	Structured
DPM	86032.95	99983.19284			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA DEERING		Other Products	Funds Solutions	Classic	Advisory
7969.35	9020.90573				
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY

CHRISTOPHER J. CROSBY	Capital Markets	Capital Markets	Classic
Advisory	129104.82	146140.201	
2018 201810	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB	Other Products	Funds Solutions	Classic Advisory
2.278358589E7	2.578988004819E7		
2018 201810	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB PAC	Deposits	Deposits	Classic
Advisory	46701.93	52864.24966	
2018 201810	Stewart Oldfield	50067	Volume SOUTHERN
FINANCIAL	THE HAZE TRUST	Deposits	Deposits Classic
Advisory	6809229.43	7707707.25329	
2018 201810	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL STEVEN FORSYTHE	Deposits	Deposits	Classic
Advisory	88.34 99.99646		
2018 201810	Stewart Oldfield	50067	Volume DEERING/CROSBY
GREEN EAGLES PARTNERS LLC	Capital Markets	Capital Markets	Classic
Advisory	885055.28	1001838.3242	
2018 201810	Stewart Oldfield	50067	Volume DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Capital Markets	Capital Markets	Classic
Advisory	1827928.25	2069123.38259	
2018 201810	Stewart Oldfield	50067	Volume DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Other Products	Funds Solutions	Classic
Advisory	1.07792852E7	1.220161188214E7	
2018 201810	Stewart Oldfield	50067	Volume LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Capital Markets	Capital Markets	Capital
Markets Classic Advisory	892353.90	1010099.99711	
2018 201811	Stewart Oldfield	50067	Volume JOCKEY CLUB
INCOMPASS SOLUTIONS INC.	Deposits	Deposits	Classic
Advisory	17743.72	20084.11667	
2018 201811	Stewart Oldfield	50067	Volume JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Deposits	Deposits	Classic
Advisory	313935.63	355343.7396	
2018 201811	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB	Other Products	Funds Solutions	Classic Advisory
2.330152501E7	2.637499615882E7		
2018 201811	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Other Products	Funds Solutions	Classic
Advisory	1.268439248E7	1.435746384812E7	
2018 201811	Stewart Oldfield	50067	Volume RASHID ALI
ALI RASHID	Deposits	Deposits	Classic Advisory
2680.31 3033.84289			
2018 201811	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Deposits	Deposits	
Deposits	Classic Advisory	444548.20	503184.10758
2018 201811	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST		Deposits	
Deposits	Classic Advisory	88.35	100.00337
2018 201811	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Deposits	Deposits	
Deposits	Classic Advisory	444548.20	503184.10758
2018 201811	Stewart Oldfield	50067	Volume DEERING/CROSBY

DEERING FAMILY LTD PARTNERSHIP	Deposits	Deposits	Classic
Advisory	354.27 400.99821		
2018 201811 Stewart Oldfield		50067 Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST		Capital Markets	Capital Markets
Classic Advisory	16024.67	18138.32397	
2018 201811 Stewart Oldfield		50067 Volume	DEERING/CROSBY
MARON M DEERING	Lending Lombard	Classic Advisory	
298770.46	338178.28367		
2018 201811 Stewart Oldfield		50067 Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE	Deposits	Deposits	Classic
Advisory	88.35 100.00337		
2018 201811 Stewart Oldfield		50067 Volume	THIRD LAKE
CAPITAL KEYHOLE PARTNERS, LLC	Deposits	Deposits	Classic
Advisory	309292.53 350088.21471		
2018 201811 Stewart Oldfield		50067 Volume	DEERING/CROSBY
SANDRA DEERING	Deposits	Deposits	Classic Advisory
2.19 2.47886			
2018 201811 Stewart Oldfield		50067 Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE		Deposits	
Deposits	Classic Advisory	223680.63	253184.1051
2018 201812 Stewart Oldfield		50067 Volume	JOCKEY CLUB
INCOMPASS SOLUTIONSINC.	Deposits	Deposits	Classic
Advisory	115998.54 132771.92888		
2018 201812 Stewart Oldfield		50067 Volume	THIRD LAKE
CAPITAL KWF 2007 LLC	Deposits	Deposits	Classic
Advisory	87.37 100.0037		
2018 201812 Stewart Oldfield		50067 Volume	RASHID ALI
Deposits	Deposits	Classic Advisory	1310501.49
1500000.00545			
2018 201812 Stewart Oldfield		50067 Volume	THIRD LAKE
CAPITAL STEVEN FORSYTHE	Deposits	Deposits	Classic
Advisory	87.37 100.0037		
2018 201812 Stewart Oldfield		50067 Volume	LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART		Deposits	
Deposits	Classic Advisory	119015.41	136225.03829
2018 201812 Stewart Oldfield		50067 Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Capital Markets	Capital
Markets Classic Advisory	1001339.44	1146133.12302	
2019 201901 Stewart Oldfield		50067 Volume	JOCKEY CLUB
THE JOCKEY CLUB	Deposits	Deposits	Classic Advisory
1677625.01 1922809.90522			
2019 201901 Stewart Oldfield		50067 Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Other Products	Funds Solutions	Classic
Advisory	4986333.24 5715085.84303		
2019 201901 Stewart Oldfield		50067 Volume	THIRD LAKE
CAPITAL KWF 2007 LLC	Deposits	Deposits	Classic
Advisory	87.25 100.00159		
2019 201901 Stewart Oldfield		50067 Volume	THIRD LAKE
CAPITAL TODD R. WANEK	Deposits	Deposits	Classic
Advisory	87.25 100.00159		
2019 201901 Stewart Oldfield		50067 Volume	THIRD LAKE

Account Type	Year	Client Name	Product	Account No	Balance	Product	Account No	Balance
CAPITAL ADVISORY	2019	KATI WANEK-FORSYTHE	Deposits	87.25	100.00159	Deposits	50067	Volume
LYNN DEERING REVOCABLE TRUST	2019	STEWART OLDFIELD	Lending	10.47	12.00019	Lending	50067	Volume
CAPITAL ADVISORY	2019	TRAVIS WAGNER	Deposits	262194.02	300513.67603	Deposits	50067	Volume
CAPITAL ADVISORY	2019	SHARI WAGNER	Deposits	207651.70	237999.99596	Deposits	50067	Volume
CAPITAL ADVISORY	2019	CAMERON WANEK	Deposits	65741.83	75349.99845	Deposits	50067	Volume
DIAMOND B CAPITAL, INC.	2019	STEWART OLDFIELD	DPM	3211520.18551		DPM	50067	Volume
ORGANI THE NATIONAL ORGANIZATION OF THE	2019	STEWART OLDFIELD	Custody	1140017.24		NEW APO	50067	Volume
COALITION FOR HORSE RACING INTEGRITY, IN	2019	STEWART OLDFIELD	Deposits	4774.47	5435.97282	Deposits	50067	Volume
ALI RASHID	2019	STEWART OLDFIELD	Deposits	5151.20	5864.89876	Deposits	50067	Volume
CHRISTOPHER BOIES	2019	STEWART OLDFIELD	DPM	1610366.54319		DPM	50067	Volume
CAPITAL ADVISORY	2019	GEW 2007 LLC	Deposits	88.02	100.21517	Deposits	50067	Volume
MANA RAHUL VAID	2019	STEWART OLDFIELD	Deposits	1546944.99	1761274.21836	Deposits	50067	Volume
CAPITAL ADVISORY	2019	RONALD & JOYCE WANEK IRR DY TR UAD 3/26	Classic Advisory	878310.13	999999.99851	Classic Advisory	50067	Volume
CAPITAL ADVISORY	2019	SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Classic Advisory	442785.38	504133.2944	Classic Advisory	50067	Volume
CAPITAL ADVISORY	2019	TODD R WANEK 2015 GRAT TRUST FOR CAMERON	Classic Advisory	442785.38	504133.2944	Classic Advisory	50067	Volume
	2019	STEWART OLDFIELD	Classic Advisory	1.7622287234756E8		Classic Advisory	50067	Volume

Deposits	Deposits	Classic Advisory	1.5582676573E8
1.7741656412189E8			
2019	201902	Stewart Oldfield	50067 Volume
CAPITAL	THIRD LAKE PARTNERS, LLC		THIRD LAKE
Classic Advisory	1766175.98	2010879.66203	Deposits
2019	201902	Stewart Oldfield	50067 Volume
GEORGE	SUMMER COVE HOLDINGS, LLC		LINDEMANN JR
Classic Advisory	6703.25	7631.98529	Deposits
2019	201902	Stewart Oldfield	50067 Volume
GEORGE	SAN MARCO HOLDINGS, LLC	Deposits	LINDEMANN JR
Advisory	2920.38	3324.99865	Deposits Classic
2019	201902	Stewart Oldfield	50067 Volume
GEORGE	MARINA LANDING HOLDINGS, LLC	Deposits	LINDEMANN JR
Classic Advisory	5253.51	5981.38381	Deposits
2019	201903	Stewart Oldfield	50067 Volume
EQUIBASE COMPANY	Other Products	Funds Solutions	JOCKEY CLUB
Advisory	2749924.91	3086790.71148	Classic
2019	201903	Stewart Oldfield	50067 Volume
TJC HOLDINGS, INC	Deposits	Deposits	JOCKEY CLUB
Advisory	6950.10	7801.48725	Classic
2019	201903	Stewart Oldfield	50067 Volume
ALI RASHID	Capital Markets	Capital Markets	RASHID ALI
572888.64	643067.4984		Classic Advisory
2019	201903	Stewart Oldfield	50067 Volume
CAPITAL	GEW 2007 LLC	Deposits	THIRD LAKE
Advisory	89.29	100.22803	Classic
2019	201903	Stewart Oldfield	50067 Volume
CAPITAL	GEW 2009 LLC	Deposits	THIRD LAKE
Advisory	419127.55	470470.67488	Classic
2019	201903	Stewart Oldfield	50067 Volume
CAPITAL	SHARI WAGNER 2014 GRAT	Deposits	THIRD LAKE
Advisory	89.09	100.00353	Deposits Classic
2019	201903	Stewart Oldfield	50067 Volume
CAPITAL	LAURA A FORSYTHE REVOCABLE TRUST		THIRD LAKE
Deposits	Classic Advisory	89.09	Deposits
2019	201903	Stewart Oldfield	50067 Volume
CAPITAL	ROBERT S FORSYTHE REVOCABLE TRUST		THIRD LAKE
Deposits	Classic Advisory	89.09	Deposits
2019	201903	Stewart Oldfield	50067 Volume
GEORGE	SUMMER COVE HOLDINGS, LLC	Deposits	LINDEMANN JR
Classic Advisory	307743.31	345441.86548	Deposits
2019	201903	Stewart Oldfield	50067 Volume
GEORGE	ADAM M. LINDEMANN	Lending	LINDEMANN JR
Advisory	1.247216036E7	1.40000000041E7	Lending Mortgages Classic
2019	201903	Stewart Oldfield	50067 Volume
GEORGE	SAN MARCO HOLDINGS, LLC	Deposits	LINDEMANN JR
Advisory	564769.78	633954.07805	Deposits Classic
2018	201801	Stewart Oldfield	50067 Volume
SANDRA	DEERING	Capital Markets	DEERING/CROSBY
42302.56	52613.809	Capital Markets	Classic Advisory
2018	201801	Stewart Oldfield	50067 Volume
			DEERING/CROSBY

ACE LIMITED PARTNERSHIP LLLP	Deposits	Deposits	Classic
Advisory	1181.56 1469.56525		
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1	Capital Markets	Capital Markets	Capital Markets
Classic Advisory	7785099.26	9682717.20463	
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	Deposits	Deposits	Classic
Advisory	14803.55	18411.91531	
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY	Deposits	Deposits	Classic
Advisory	586.71 729.72056		
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Capital Markets	Capital Markets	Classic Advisory
4060175.35	5049843.09157		
2018 201801 Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Other Products	Funds Solutions	Classic Advisory
2.323429537E7	2.889765486645E7		
2018 201801 Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID	Capital Markets	Capital Markets	Classic Advisory
161463.32	200820.00425		
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	DPM	DPM	DPM
1198092.57181			963290.51
2018 201801 Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	THE HAZE TRUST	Deposits	Deposits
Advisory	3.958505308E7	4.923390976825E7	Classic
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Capital Markets	Capital Markets	Classic
Advisory	1.631181347E7	2.028781800331E7	
2018 201801 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2014 GRAT	Deposits	Deposits	Classic
Advisory	80.4 99.9975		
2018 201801 Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Deposits		
Deposits	Classic Advisory	45478.81	56564.26994
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	DPM DPM DPM	1592892.32	1981159.823
2018 201802 Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA DEERING	Other Products	Funds Solutions	Classic Advisory
7028.39 8577.09574			
2018 201802 Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1	Other Products	Funds Solutions	
Classic Advisory	281376.20	343377.44567	
2018 201802 Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	Capital Markets	Capital Markets	Classic
Advisory	9687127.73	1.182168632531E7	
2018 201802 Stewart Oldfield	50067	Volume	DEERING/CROSBY
2013 MARON DEERING INSURANCE TRUST UAD 0	Other Products	Funds Solutions	
Classic Advisory	271.09 330.82468		
2018 201802 Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC	Other Products	Funds Solutions	Classic

Advisory	55951.35	68280.22997			
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST		Capital Markets	Capital Markets	Classic	
Advisory	1.213619489E7	1.481040543401E7			
2018	201802	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Other Products	Funds Solutions	Classic	
Advisory	245952.30	300147.88931			
2018	201802	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Capital Markets	Capital Markets	Classic	
Advisory	2770235.46	3380656.84361			
2018	201802	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART		Deposits			
Deposits	Classic Advisory	62609.97	76406.07689		
2018	201802	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SSW 2007 LLC		Deposits	Deposits	Classic	
Advisory	81.94	99.99548			
2018	201802	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2009 LLC		Deposits	Deposits	Classic	
Advisory	22010.90	26861.00182			
2018	201802	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KWF 2007 LLC		Deposits	Deposits	Classic	
Advisory	81.94	99.99548			
2018	201802	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL THE HAZE TRUST		Deposits	Deposits	Classic	
Advisory	4.038122828E7	4.92792319315E7			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11		Other Products	Funds Solutions		
Classic Advisory	195504.63	240431.59397			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11		Capital Markets	Capital Markets		
Classic Advisory	1200534.61	1476417.46338			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP		Other Products	Funds Solutions	Classic	
Advisory	1963201.33	2414344.99564			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP		Capital Markets	Capital Markets	Classic	
Advisory	1486004.47	1827488.29721			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC		Other Products	Funds Solutions	Classic	Advisory
13665.57	16805.91799				
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY		Capital Markets	Capital Markets	Classic	Advisory
3772197.32	4639048.26413				
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.		Deposits	Deposits	Classic	
Advisory	12075.77	14850.78195			
2018	201803	Stewart Oldfield	50067	Volume	VOLPE NOEL
NOEL VOLPE		Deposits	Deposits	Classic	Advisory
282991.20	348022.57776				
2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		Deposits	Deposits	Classic	Advisory

8.485175566E7	1.0435068911066E8						
2018	201803	Stewart Oldfield		50067	Volume	VOLPE NOEL	
MANDY JANE VOLPE		DPM	DPM	DPM		120043.27	
147629.21344							
2018	201803	Stewart Oldfield		50067	Volume	LINDEMANN JR	
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART		Capital Markets	Capital Markets	Capital Markets	Classic	923649.99698	
Markets Classic Advisory		751057.08					
2018	201804	Stewart Oldfield		50067	Volume	THE NATIONAL	
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Deposits	Deposits	Deposits			
Deposits		Custody	82797.17	99956.88348			
2018	201804	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
SANDRA DEERING		Capital Markets	Capital Markets	Capital Markets	Classic	41057.2	
41057.2		49566.30471					
2018	201804	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
2016 MAYSON-DEERING FAMILY TRUST		Capital Markets	Capital Markets	Capital Markets	Classic	12287.92169	
Classic Advisory		10178.44					
2018	201804	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
2004 DEERING FAMILY TRUST UAD 08/12/04 H		Other Products	Funds Solutions	Funds Solutions	Classic		
Classic Advisory		32.50	39.23563				
2018	201804	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
SPENCER DEERING		Lending	Lending	Lombard	Classic	127963.40	
127963.40		154483.81465					
2018	201804	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
293 RUMSTICK LLC		Other Products	Funds Solutions	Funds Solutions	Classic		
Advisory		33345.09	40255.8599				
2018	201804	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
HEATHER CROSBY		Capital Markets	Capital Markets	Capital Markets	Classic	3907624.01	
3907624.01		4717479.08607					
2018	201804	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
GREEN EAGLES PARTNERS LLC		Capital Markets	Capital Markets	Capital Markets	Classic		
Advisory		812192.50	980519.39563				
2018	201804	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
HEATHER CROSBY		Lending	Lending	Lombard	Classic	1488998.95	
1488998.95		1797593.98239					
2018	201804	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
TJC MEDIA VENTURES INC.		Deposits	Deposits	Deposits	Classic		
Advisory		2561.62	3092.51575				
2018	201804	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB PAC		Deposits	Deposits	Deposits	Classic		
Advisory		69467.18	83864.25306				
2018	201804	Stewart Oldfield		50067	Volume	VOLPE NOEL	
NOEL VOLPE		DPM	DPM	DPM		1042818.61437	
2018	201804	Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL SSW 2007 LLC		Deposits	Deposits	Deposits	Classic		
Advisory		82.83	99.99652				
2018	201804	Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL CRW 2007 LLC		Deposits	Deposits	Deposits	Classic		
Advisory		82.92	100.10517				
2018	201804	Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL GEW 2009 LLC		Deposits	Deposits	Deposits	Classic		
Advisory		21897.16	26435.34641				

2018	201804	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		SOUTHERN TRUST COMPANY INC.		Deposits	
Deposits		Classic Advisory	84899.83		102495.31977
2018	201804	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI		THE NATIONAL ORGANIZATION OF THE NEW APO		Capital Markets	Capital
Markets		Classic Advisory	958748.56		1157449.19907
2018	201804	Stewart Oldfield	50067	Volume	ELYSIUM
MANAGEMENT		LEON D. BLACK		Capital Markets	Capital Markets Classic
Advisory		136889.63	165260.00582		
2018	201805	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES		Deposits	Deposits		Classic
Advisory		0.34	0.39636		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA DEERING		Other Products	Funds Solutions	Classic	Advisory
7470.27		8708.46725			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST			Other Products	Funds Solutions	
Classic Advisory		180866.13	210844.69105		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP			Capital Markets	Capital Markets	Classic
Advisory		1646292.12	1919165.03889		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING		Other Products	Funds Solutions	Classic	Advisory
86182.28		100466.99292			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION			Deposits	Deposits	Classic
Advisory		607.99	708.76434		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
293 RUMSTICK LLC			Other Products	Funds Solutions	Classic
Advisory		34580.69	40312.43937		
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION			Deposits	Deposits	Classic
Advisory		125482.70	146281.45753		
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB		Other Products	Funds Solutions	Classic	
Advisory		1.233496765E7	1.437948853799E7		
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB		Deposits	Deposits		Classic
Advisory		33606.85	39177.18539		
2018	201805	Stewart Oldfield	50067	Volume	VOLPE NOEL
NOEL VOLPE		DPM	DPM	DPM	1046477.10477
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		Deposits	Deposits	Classic	Advisory
1.0240691355E8		1.1938085947098E8			
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SSW 2007 LLC			Deposits	Deposits	Classic
Advisory		85.78	99.99804		
2018	201805	Stewart Oldfield	50067	Volume	GENERAL ATLANTIC
FA		MADISON PARK CAPITAL, LLC		Deposits	Deposits
Classic Advisory		1.05	1.22404		
2018	201805	Stewart Oldfield	50067	Volume	ELYSIUM

MANAGEMENT	LEON D. BLACK	Deposits	Deposits	Classic
Advisory	71490.59	83340.1553		
2018 201805	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	SOUTHERN FINANCIAL LLC	Deposits	Deposits	Deposits
Classic Advisory	1743244.14	2032186.85621		
2018 201805	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE	NEW APO	Deposits	
Deposits	Classic Advisory	10656.66		12423.0014
2018 201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	TODD R WANER 2015 GRAT TRUST FOR	GABRIEL	Deposits	
Deposits	Classic Advisory	428908.43		500000.00227
2018 201805	Stewart Oldfield	50067	Volume	VOLPE NOEL
MANDY JANE VOLPE	DPM DPM	DPM		127409.97
148528.17253				
2018 201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	SHARI WAGNER 2015 GRAT FOR LEAH M FOX		Deposits	
Deposits	Classic Advisory	214454.21		249999.99531
2018 201806	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE	NEW APO	Deposits	
Deposits	Custody	164335.94		191722.5244
2018 201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING	GIFT TRUST UAD 12/09/11	Other Products		Funds Solutions
Classic Advisory	203815.67	237781.55141		
2018 201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING	FAMILY TRUST	Capital Markets		Capital Markets
Classic Advisory	9730.25	11351.79616		
2018 201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP	LLLP	Capital Markets	Capital	Markets Classic
Advisory	1649645.64	1924559.08591		
2018 201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Deposits	Deposits		Classic Advisory
1865957.88	2176919.7607			
2018 201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD	PARTNERSHIP	Deposits		Deposits Classic
Advisory	705.44	823.00158		
2018 201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Lending Lending Lombard	Classic	Advisory	
170744.01	199198.49927			
2018 201806	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS	LLC	Capital Markets	Capital	Markets Classic
Advisory	3117837.02	3637424.55938		
2018 201806	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	CRW 2009 LLC	Deposits		Classic
Advisory	23083.73	26930.6336		
2018 201806	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	KATI WANER-FORSYTHE 2016 GRAT	Deposits		Deposits
Classic Advisory	0.16	0.18666		
2018 201806	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	TRAVIS WAGNER	Deposits		Classic
Advisory	214516.79	250266.01305		
2018 201806	Stewart Oldfield	50067	Volume	ASHLEY HOLDINGS

Deposits	Deposits	Classic Advisory	1.500021429E8
1.7500000001429E8			
2018 CAPITAL ADVISORY	201806 STEWART FORSYTHE	Oldfield Deposits	50067 Volume THIR Classic
2018 CAPITAL ADVISORY	201806 STEWART FORSYTHE	85.72 100.00524	50067 Volume THIR Classic
2018 CAPITAL DEPOSITS	201806 SHARI WAGNER	2015 GRAT FOR LEAH M FOX	50067 Volume THIR Classic
2018 CAPITAL ADVISORY	201806 STEWART FORSYTHE	Classic Advisory	214288.78 250000.00519
2018 MANAGEMENT ADVISORY	201806 LEON D. BLACK	Capital Markets	50067 Volume ELYSIUM Classic
2018 HEATHER SANDRA	201806 CROSBY DEERING	DPM DPM DPM	3496224.64 4078870.47626
2018 SANDRA DEERING	201807 STEWART DEERING	Oldfield Deposits	50067 Volume DEERING/CR Classic
181.02 211.7391			
2018 SANDRA DEERING	201807 STEWART DEERING	Oldfield Capital Markets	50067 Volume DEERING/CR Classic
45141.66		52802.1997	
2018 HEATHER SANDRA	201807 CROSBY DEERING	Deposits	50067 Volume DEERING/CR Classic
14697.67		17191.8646	
2018 INCOMPASS SOLUTIONS	201807 INC.	Deposits	50067 Volume JOCKEY CLUB Classic
Advisory		91388.24	106896.82433
2018 BLOOD-HORSE LLC	201807 LLC	Deposits	50067 Volume JOCKEY CLUB Classic
177591.14		207728.35646	
2018 ALI RASHID	201807 RASHID	DPM DPM DPM	2327103.82 2722013.33825
2018 CAPITAL ADVISORY	201807 CRW 2007	LLC Deposits	50067 Volume THIR Classic
Advisory		85.58 100.10292	
2018 CAPITAL ADVISORY	201807 TODD R. WANEK	2016 GRAT	50067 Volume THIR Classic
Advisory		458.48 536.28406	
2018 DEPOSITS	201807 STEWART DEPOSITS	Oldfield Deposits	50067 Volume ASHLEY HOLDINGS Classic
1.7500000003458E8			
2018 CAPITAL ADVISORY	201807 STEWART FORSYTHE	Oldfield Deposits	50067 Volume THIR Classic
Advisory		85.49 99.99765	
2018 CAPITAL DEPOSITS	201807 SHARI WAGNER	2015 GRAT FOR MARA WAGNER	50067 Volume THIR Classic
Deposits		Classic Advisory	213730.02 250000.00439
2018 ORGANI SOLUTIONS	201807 THE NATIONAL ORGANIZATION OF THE	NEW APO	50067 Volume THE NATIONAL Other Products Funds
Solutions		Classic Advisory	671725.02 785716.75589
2018 SPENCER DEERING	201807 DEERING	Lending Lending Lombard	50067 Volume DEERING/CR Classic
260895.44		305169.39617	

2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Other Products	Funds Solutions	Classic		
Advisory	3532718.54	4132220.87623			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Deposits	Deposits	Classic		
Advisory	7091.97	8295.47731			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Lending	Lending Lombard	Classic	Advisory	
211865.32	247818.8648				
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST	Other Products	Funds Solutions	Classic	Advisory	
Classic Advisory	184681.52	216021.97394			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY	Other Products	Funds Solutions	Classic		
Advisory	98595.27	114617.00138			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING	Capital Markets	Capital Markets	Classic	Advisory	
1046564.97	1216631.77763				
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING	Other Products	Funds Solutions	Classic	Advisory	
84550	98289.375				
2018	201808	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Capital Markets	Capital Markets	Classic	Advisory	
4351517.42	5058639.00075				
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TRAVIS WAGNER	Deposits	Deposits	Classic		
Advisory	215676.43	250723.84988			
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R WANER	2015 GRAT TRUST FOR CAMERON	Deposits			
Deposits	Classic Advisory	215053.76		249999.996	
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC	Other Products	Funds Solutions	Classic	Advisory	
4127.28	4797.963				
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC	Other Products	Funds Solutions	Classic		
Advisory	63732.43	74088.94988			
2018	201809	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY	Other Products	Funds Solutions	Classic		
Advisory	2884985.98	3352786.45666			
2018	201809	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC HOLDINGS, INC	Deposits	Deposits	Classic		
Advisory	6712.98	7801.48971			
2018	201809	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Deposits	Deposits	Classic		
Advisory	175044.59	203428.07027			
2018	201809	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Deposits	Deposits	Classic		
Advisory	33770.94	39246.89792			
2018	201809	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB PAC	Deposits	Deposits	Classic		
Advisory	49790.69	57864.25038			

2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	Deposits	Deposits	Classic	Advisory	
1.006472313E8	1.1696717985533E8				
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	SHARI WAGNER 2014 GRAT	Deposits	Deposits	Deposits	Classic
Advisory	86.05	100.00301			
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	TRAVIS WAGNER	Deposits	Deposits	Deposits	Classic
Advisory	215965.09	250983.82934			
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Deposits	Deposits	Deposits	Classic
Deposits	Classic	Advisory	215118.53	249999.99964	
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP	Capital Markets	Capital Markets	Capital Markets	Capital Markets	Classic
Advisory	733483.15	852417.44277			
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1	Capital Markets	Capital Markets	Capital Markets	Capital Markets	Classic
Classic	Advisory	9018953.84	1.048137720516E7		
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Other Products	Funds Solutions	Funds Solutions	Funds Solutions	Classic
Advisory	1.074361306E7	1.248568991768E7			
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Capital Markets	Capital Markets	Capital Markets	Capital Markets	Classic
Advisory	8703584.79	1.01148710637E7			
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Lending	Lending	Lombard	Lombard	Classic
Advisory	3056104.91	3551652.32116			
2018	201809	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Funds	Funds	Classic
Solutions	Classic	Advisory	690858.25	802880.91524	
2018	201809	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	Deposits	Deposits	Classic
Deposits	Classic	Advisory	55249.60	64208.32264	
2018	201809	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE	THE GEORGE LINDEMANN FAMILY LIMITED PART	Capital Markets	Capital Markets	Capital Markets	Classic
Markets	Classic	Advisory	974874.16	1132950.00504	
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING	Other Products	Funds Solutions	Funds Solutions	Funds Solutions	Classic
80030.64	90590.68295				
2018	201810	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Deposits	Deposits	Deposits	Deposits	Classic
Advisory	139013.89	157356.77279			
2018	201810	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Deposits	Deposits	Deposits	Deposits	Classic
Advisory	34687.09	39264.05153			
2018	201810	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Capital Markets	Capital Markets	Capital Markets	Capital Markets	Classic
4483918.02	5075571.00274				
2018	201810	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID	Capital Markets	Capital Markets	Capital Markets	Capital Markets	Classic
551557.05	624335.00275				

2018	201810	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID	DPM	DPM	DPM	2330419.35	2637918.18324
2018	201810	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Capital Markets	Capital Markets	Classic	
Advisory	3032760.59	3432933.34985			
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI FORSYTHE 2014 GRAT		Deposits	Deposits	Classic	
Advisory	88.34	99.99646			
2018	201810	Stewart Oldfield	50067	Volume	ASHLEY HOLDINGS
ASHLEY HOLDINGS, INC.		Deposits	Deposits	Classic	
Advisory	25.42	28.77417			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC		Deposits	Deposits	Classic	
Advisory	4195.86	4749.50373			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Other Products	Funds Solutions	Classic	
Advisory	5157783.16	5838352.64796			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11		Capital Markets	Capital Markets		
Classic Advisory	1330960.75	1506581.02096			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING Lending Lombard		Lending	Classic Advisory		
405830.08	459379.35906				
2018	201810	Stewart Oldfield	50067	Volume	ZUECH CHRIS
LONG TERM INSURED CREDIT FUND LLC		Other Products	Custody only		
Custody	4.174212642E7	4.725000000112E7			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE SANDRA E.C. DEERING 2018 IRREVOCABLE		Capital Markets	Capital Markets		
Classic Advisory	156435.55	177077.22082			
2018	201811	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY		Other Products	Funds Solutions	Classic	
Advisory	2971123.92	3363015.16505			
2018	201811	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION		Deposits	Deposits	Classic	
Advisory	151260.19	171211.40907			
2018	201811	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB		Deposits	Deposits	Classic	
Advisory	34704.82	39282.38576			
2018	201811	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY		Deposits	Deposits	Classic	
Advisory	110422.93	124987.71447			
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2007 LLC		Deposits	Deposits	Classic	
Advisory	88.54	100.21843			
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2009 LLC		Deposits	Deposits	Classic	
Advisory	100.98	114.29926			
2018	201811	Stewart Oldfield	50067	Volume	RASHID ALI
Deposits	Deposits	Classic Advisory			1325205.41
1500000.00358					
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE

CAPITAL TRAVIS WAGNER	Deposits	Deposits	Classic
Advisory	222984.84	252396.54041	
2018 201811 Stewart Oldfield		50067	Volume THIRD LAKE
CAPITAL TODD R WANEK	2015 GRAT TRUST FOR CAMERON	Deposits	
Deposits	Classic Advisory	444548.20	503184.10758
2018 201811 Stewart Oldfield		50067	Volume DEERING/CROSBY
2004 DEERING FAMILY TRUST UAD 08/12/04 H	Other Products	Funds Solutions	Classic
Classic Advisory	21.70	24.56223	
2018 201811 Stewart Oldfield		50067	Volume DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1	Deposits	Deposits	
Classic Advisory	83.93	95.00037	
2018 201811 Stewart Oldfield		50067	Volume LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Capital Markets	Capital	Classic
Markets Classic Advisory	836690.52	947049.99959	
2018 201811 Stewart Oldfield		50067	Volume BOIES FAMILY
DIAMOND B CAPITAL, INC.	Other Products	Funds Solutions	Classic
Advisory	2721326.85	3080269.86152	
2018 201811 Stewart Oldfield		50067	Volume DEERING/CROSBY
CHRISTOPHER J. CROSBY	Deposits	Deposits	Classic
Advisory	12.13	13.72995	
2018 201812 Stewart Oldfield		50067	Volume ASHLEY HOLDINGS
ASHLEY HOLDINGS, INC.	Deposits	Deposits	Classic
Advisory	25.17	28.80958	
2018 201812 Stewart Oldfield		50067	Volume THIRD LAKE
CAPITAL SHARI WAGNER	2015 GRAT FOR MARA WAGNER	Deposits	
Deposits	Classic Advisory	439902.42	503512.30993
2019 201901 Stewart Oldfield		50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	Deposits	
Deposits	Custody	1126873.73	1291566.32564
2019 201901 Stewart Oldfield		50067	Volume JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Other Products	Funds Solutions	Classic
Advisory	1.282516812E7	1.469956644074E7	
2019 201901 Stewart Oldfield		50067	Volume JOCKEY CLUB
THE JOCKEY CLUB	Capital Markets	Capital Markets	Classic Advisory
4459596.04	5111366.00125		
2019 201901 Stewart Oldfield		50067	Volume JOCKEY CLUB
EQUIBASE COMPANY	Deposits	Deposits	Classic
Advisory	369667.14	423693.99251	
2019 201901 Stewart Oldfield		50067	Volume LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Deposits	Deposits	
Deposits	Classic Advisory	118898.43	136275.43554
2019 201902 Stewart Oldfield		50067	Volume JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Deposits	Deposits	Classic
Advisory	291452.53	331833.27803	
2019 201902 Stewart Oldfield		50067	Volume JOCKEY CLUB
EQUIBASE COMPANY	Other Products	Funds Solutions	Classic
Advisory	2267577.61	2581750.48786	
2019 201902 Stewart Oldfield		50067	Volume JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Deposits	Deposits	Classic
Advisory	82919.69	94408.21305	
2019 201902 Stewart Oldfield		50067	Volume JOCKEY CLUB

THE GRAYSON JOCKEY CLUB	Other Products	Funds Solutions	Classic
Advisory	1.553238973E7	1.768440232709E7	
2019	201902	Stewart Oldfield	50067 Volume JOCKEY CLUB
THE JOCKEY CLUB	Capital Markets	Capital Markets	Classic Advisory
4498156.43	5121376.00338		
2019	201902	Stewart Oldfield	50067 Volume RASHID ALI
Deposits	Deposits	Classic Advisory	1593832.80
1814658.33444			
2019	201902	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL ROBERT S FORSYTHE	REVOCABLE TRUST		Deposits
Deposits	Classic Advisory	87918.84	100099.99528
2019	201902	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL TRAVIS WAGNER	Deposits	Deposits	Classic
Advisory	263944.9	300514.4659	
2019	201903	Stewart Oldfield	50067 Volume JOCKEY CLUB
THE JOCKEY CLUB	Other Products	Funds Solutions	Classic Advisory
2.478264253E7	2.781851623994E7		
2019	201903	Stewart Oldfield	50067 Volume JOCKEY CLUB
THE JOCKEY CLUB	FOUNDATION	Deposits	Deposits Classic
Advisory	247453.40	277766.4415	
2019	201903	Stewart Oldfield	50067 Volume JOCKEY CLUB
THE JOCKEY CLUB	PAC	Deposits	Deposits Classic
Advisory	62373.50	70014.25375	
2019	201903	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL KATI FORSYTHE	2014 GRAT	Deposits	Deposits Classic
Advisory	89.09	100.00353	
2019	201903	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL SHARI WAGNER	2015 GRAT FOR MARA WAGNER	Deposits	
Deposits	Classic Advisory	449412.21	504465.20573
2019	201903	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL STEVEN FORSYTHE	Deposits	Deposits	Classic
Advisory	89.09	100.00353	
2019	201903	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE	2015 GRAT TR FOR CAI	Deposits	
Deposits	Classic Advisory	436.88	490.3978
2019	201903	Stewart Oldfield	50067 Volume THIRD LAKE
CAPITAL GABRIELLE E. WANEK	Deposits	Deposits	Classic
Advisory	178262.81	200100.00423	
2019	201903	Stewart Oldfield	50067 Volume LINDEMANN JR
GEORGE THE GEORGE LINDEMANN	FAMILY LIMITED PART	Capital Markets	Capital
Markets	Classic Advisory	890022.27	999049.99808
2019	201903	Stewart Oldfield	50067 Volume LINDEMANN JR
GEORGE SOLSTICE LOOP HOLDINGS, LLC		Deposits	Deposits
Classic Advisory	391896.12	439903.3947	
2018	201801	Stewart Oldfield	50067 Volume BOIES FAMILY
CHRISTOPHER BOIES	Deposits	Deposits	Classic
Advisory	0.32	0.398	
2018	201801	Stewart Oldfield	50067 Volume DEERING/CROSBY
SANDRA E DEERING GIFT TRUST	UAD 12/09/11	Deposits	Deposits
Classic Advisory	4885.98	6076.93763	
2018	201801	Stewart Oldfield	50067 Volume DEERING/CROSBY

ACE LIMITED PARTNERSHIP LLLP	Other Products	Funds Solutions	Classic
Advisory	1985283.59	2469196.46506	
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
2004 DEERING FAMILY TRUST UAD 08/12/04 H	Other Products	Funds Solutions	
Classic Advisory	31.42	39.07863	
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Other Products	Funds Solutions	Classic Advisory
1185419.78	1474365.85138		
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Other Products	Funds Solutions	Classic Advisory
9379514.17	1.166577074894E7		
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Other Products	Funds Solutions	Classic
Advisory	3222435.54	4007904.20287	
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Lending Lending Lombard	Classic	Advisory
31886.18	39658.43638		
2018 201801 Stewart Oldfield	50067	Volume	JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Deposits	Deposits	Classic
Advisory	297737.32	370310.79175	
2018 201801 Stewart Oldfield	50067	Volume	JOCKEY CLUB
BLOOD-HORSE LLC	Deposits	Deposits	Classic Advisory
322684.69	401339.08319		
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
KATHRYN DEERING	Deposits	Deposits	Classic Advisory
1198.34	1490.43538		
2018 201801 Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Other Products	Funds Solutions	Classic
Advisory	4496126.17	5592056.92394	
2018 201801 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KWF 2007 LLC	Deposits	Deposits	Classic
Advisory	80.4	99.9975	
2018 201801 Stewart Oldfield	50067	Volume	GENERAL ATLANTIC
FA MADISON PARK CAPITAL, LLC	Capital Markets	Capital Markets	Capital Markets
Classic Advisory	2364482.41	2940824.99744	
2018 201801 Stewart Oldfield	50067	Volume	ELYSIUM
MANAGEMENT LEON D. BLACK	Capital Markets	Capital Markets	Classic
Advisory	134617.09	167430.00569	
2018 201801 Stewart Oldfield	50067	Volume	ELYSIUM
MANAGEMENT LEON D. BLACK	Deposits	Deposits	Classic
Advisory	65913.69	81980.15194	
2018 201801 Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Funds	
Solutions	Classic Advisory	776613.60	965913.165
2018 201801 Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Other Products	Funds Solutions	Classic
Advisory	1.230646355E7	1.530616404031E7	
2018 201801 Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC	Capital Markets	Capital Markets	Classic
Advisory	2759494.69	3432121.52069	
2018 201801 Stewart Oldfield	50067	Volume	THIRD LAKE

CAPITAL GEW 2009 LLC	Deposits	Deposits	Classic
Advisory	21214.46	26385.48463	
2018 201801 Stewart Oldfield		50067	Volume THIRD LAKE
CAPITAL CRW 2009 LLC	Deposits	Deposits	Classic
Advisory	21585.20	26846.5925	
2018 201801 Stewart Oldfield		50067	Volume BOIES FAMILY
CHRISTOPHER BOIES	DPM	DPM	DPM 1327329.25
1650865.75469			
2018 201801 Stewart Oldfield		50067	Volume VOLPE NOEL
NOEL VOLPE	DPM	DPM	DPM 880317.40
1094894.76626			
2018 201802 Stewart Oldfield		50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	Deposits	
Deposits	Custody 75434.92	92057.00462	
2018 201802 Stewart Oldfield		50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Custody	
only	Custody 2.947189388E7	3.596602569646E7	
2018 201802 Stewart Oldfield		50067	Volume DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST		Other Products	Funds Solutions
Classic Advisory	91863.82	112106.01274	
2018 201802 Stewart Oldfield		50067	Volume JOCKEY CLUB
TJC MEDIA VENTURES INC.	Deposits	Deposits	Classic
Advisory	536.23	654.38828	
2018 201802 Stewart Oldfield		50067	Volume JOCKEY CLUB
THE JOCKEY CLUB	Capital Markets	Capital Markets	Classic Advisory
4169224.40	5087912.99654		
2018 201802 Stewart Oldfield		50067	Volume RASHID ALI
ALI RASHID	DPM	DPM	DPM 2206325.25
2692489.01884			
2018 201802 Stewart Oldfield		50067	Volume VOLPE NOEL
NOEL VOLPE	Lending	Lending Mortgages	Classic Advisory
1621519.55	1978821.38284		
2018 201802 Stewart Oldfield		50067	Volume DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	DPM	DPM	DPM 816153.24
995992.60643			
2018 201802 Stewart Oldfield		50067	Volume ELYSIUM
MANAGEMENT	LEON D. BLACK	Capital Markets	Capital Markets Classic
Advisory	145958.13	178120.00395	
2018 201802 Stewart Oldfield		50067	Volume THIRD LAKE
CAPITAL TODD R. WANEK 2016 GRAT	Deposits	Deposits	Classic
Advisory	439.45	536.28281	
2018 201803 Stewart Oldfield		50067	Volume DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Deposits	Deposits	
Classic Advisory	160.22	197.03856	
2018 201803 Stewart Oldfield		50067	Volume DEERING/CROSBY
SANDRA DEERING	Other Products	Funds Solutions	Classic Advisory
6991.74	8598.44185		
2018 201803 Stewart Oldfield		50067	Volume DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP	Deposits	Deposits	Classic
Advisory	6319.24	7771.40135	
2018 201803 Stewart Oldfield		50067	Volume DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1	Other Products	Funds Solutions	
Classic Advisory	309385.01	380481.6853	

2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION	Deposits	Deposits		Deposits	Classic
Advisory	16475.85	20262.00033			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC	Other Products	Other Products		Funds Solutions	Classic
Advisory	117847.81	144929.23674			
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Deposits	Deposits		Deposits	Classic
Advisory	203433.53	250182.55519			
2018	201803	Stewart Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.	DPM	DPM	DPM	2493364.35	
3066339.47763					
2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2007 LLC	Deposits	Deposits		Deposits	Classic
Advisory	81.49	100.2164			
2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANER-FORSYTHE 2016	GRAT	Deposits		Deposits	Deposits
Classic Advisory	0.15	0.18447			
2018	201803	Stewart Oldfield	50067	Volume	ELYSIUM
MANAGEMENT	LEON D. BLACK	Capital Markets	Capital Markets	Capital Markets	Classic
Advisory	136428.69	167780.00296			
2018	201803	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	THE 2017 CATERPILLAR TRUST	Deposits		Deposits	
Deposits	Classic Advisory	1.220431156E7	1.500886235649E7		
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST	Other Products	Other Products		Funds Solutions	
Classic Advisory	172273.57	207977.26739			
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP	Other Products	Other Products		Funds Solutions	Classic
Advisory	2082659.92	2514291.18843			
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Deposits	Deposits		Deposits	Classic
Advisory	6050.54	7304.51442			
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC	Deposits	Deposits		Deposits	Classic
Advisory	133.56	161.24031			
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Lending	Lending	Lombard	Classic	Advisory
59371.03	71675.67597				
2018	201804	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Deposits	Deposits		Classic	Advisory
1721183.24	2077898.46649				
2018	201804	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Capital Markets	Capital Markets		Classic	Advisory
4206523.09	5078325.0004				
2018	201804	Stewart Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.	DPM	DPM	DPM	2636835.34	
3183319.46422					
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Other Products	Other Products		Funds Solutions	Classic
Advisory	1.045040252E7	1.261624844227E7			

2018	201804	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES		DPM DPM	DPM	1383547.18	
1670287.33306					
2018	201804	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2007 LLC		Deposits	Deposits		Classic
Advisory	83.01	100.21383			
2018	201805	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Deposits	Deposits		
Deposits	Custody	108958.22	127018.04497		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY		Capital Markets	Capital Markets		Classic
Advisory	125505.13	146307.6053			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Other Products	Funds Solutions		Classic
Advisory	60837.09	70920.83767			
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.		Deposits	Deposits		Classic
Advisory	24716.52	28813.28319			
2018	201805	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Deposits	Deposits		Classic
Advisory	83942.61	97856.09761			
2018	201805	Stewart Oldfield	50067	Volume	SK FAMILY
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP.			Capital Markets	Capital	
Markets Classic Advisory		2055990.14		2396770.50571	
2018	201805	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Other Products	Funds		
Solutions Classic Advisory		1346541.69		1569730.97512	
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP		Other Products	Funds Solutions		Classic
Advisory	2225618.72	2596518.07969			
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP		Deposits	Deposits		Classic
Advisory	400.38	467.10333			
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC		Capital Markets	Capital Markets		Classic
Advisory	863087.03	1006920.48355			
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY		Other Products	Funds Solutions		Classic
Advisory	2263132.74	2640283.81112			
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Capital Markets	Capital Markets		Classic Advisory
4366463.81		5094135.00394			
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN		Deposits	Deposits		
Classic Advisory	162.69	189.80229			
2018	201806	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		DPM DPM DPM	2308948.40		2693734.65086
2018	201806	Stewart Oldfield	50067	Volume	SK FAMILY
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP.			Capital Markets	Capital	
Markets Classic Advisory		2852602.75		3327988.99829	
2018	201806	Stewart Oldfield	50067	Volume	THIRD LAKE

CAPITAL GEW 2007 LLC	Deposits	Deposits	Classic
Advisory	85.91	100.2269	
2018 201806 Stewart Oldfield		50067	Volume THIRD LAKE
CAPITAL KWF 2007 LLC	Deposits	Deposits	Classic
Advisory	85.72	100.00524	
2018 201806 Stewart Oldfield		50067	Volume SOUTHERN
FINANCIAL THE HAZE TRUST	Deposits	Deposits	Classic
Advisory	3.47332934E7	4.052159674511E7	
2018 201806 Stewart Oldfield		50067	Volume THIRD LAKE
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST	Deposits	Deposits	
Deposits	Classic Advisory	85.72	100.00524
2018 201806 Stewart Oldfield		50067	Volume THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER	Deposits	Deposits	
Deposits	Classic Advisory	214288.78	250000.00519
2018 201806 Stewart Oldfield		50067	Volume LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Deposits	Deposits	
Deposits	Classic Advisory	82565.19	96324.67891
2018 201807 Stewart Oldfield		50067	Volume DEERING/CROSBY
CHRISTOPHER J. CROSBY	Capital Markets	Capital Markets	Classic
Advisory	129877.92	151918.20302	
2018 201807 Stewart Oldfield		50067	Volume JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Other Products	Funds Solutions	Classic
Advisory	1.260790047E7	1.474746117976E7	
2018 201807 Stewart Oldfield		50067	Volume RASHID ALI
ALI RASHID	Deposits	Deposits	Classic Advisory
34102.17	39889.30825		
2018 201807 Stewart Oldfield		50067	Volume ELYSIUM
MANAGEMENT LEON D. BLACK	Deposits	Deposits	Classic
Advisory	71249.17	83340.15415	
2018 201807 Stewart Oldfield		50067	Volume THIRD LAKE
CAPITAL TODD R WANEEK 2015 GRAT TRUST FOR GABRIEL	Deposits	Deposits	
Deposits	Classic Advisory	427460.03	499999.99709
2018 201807 Stewart Oldfield		50067	Volume THIRD LAKE
CAPITAL CAITLIN A. FORSYTHE	Deposits	Deposits	Classic
Advisory	85.49	99.99765	
2018 201807 Stewart Oldfield		50067	Volume ELLIN HOWARD
HOWARD ELLIN	DPM	DPM	DPM
2018 201807 Stewart Oldfield		50067	Volume DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1	Capital Markets	Capital Markets	Capital Markets
Classic Advisory	8506051.81	9949528.80216	
2018 201807 Stewart Oldfield		50067	Volume DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Deposits	Deposits	
Classic Advisory	4788.68	5601.319	
2018 201808 Stewart Oldfield		50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	Deposits	
Deposits	Custody	404532.84	470269.4265
2018 201808 Stewart Oldfield		50067	Volume JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Deposits	Deposits	Classic
Advisory	571162.75	663976.69688	
2018 201808 Stewart Oldfield		50067	Volume JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Deposits	Deposits	Classic

Advisory	220534.50		256371.35625			
2018	201808	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Other Products		Funds Solutions	Classic	
Advisory	5074158.47		5898709.22138			
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST				Deposits		
Deposits		Classic Advisory	171915.70		199852.00125	
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
SPENCER DEERING		Lending Lending Lombard		Classic Advisory		
297897.53		346305.87863				
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2004 DEERING FAMILY TRUST UAD 08/12/04 H		Other Products		Funds Solutions		
Classic Advisory	21.02	24.43575				
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
293 RUMSTICK LLC		Other Products		Funds Solutions	Classic	
Advisory	20618.89		23969.45963			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
ACE LIMITED PARTNERSHIP LLLP		Other Products		Funds Solutions	Classic	
Advisory	2264835.84		2632871.664			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
THE SANDRA E.C. DEERING 2018 IRREVOCABLE		Other Products		Funds Solutions		
Classic Advisory	2132127.09		2478597.74213			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2016 MAYSON-DEERING FAMILY TRUST		Other Products		Funds Solutions		
Classic Advisory	187207.43		217628.63738			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
HEATHER CROSBY		Lending Lending Lombard		Classic Advisory		
239848.36		278823.7185				
2018	201808	Stewart Oldfield	50067	Volume	ELLIN HOWARD	
HOWARD ELLIN	DPM	DPM	DPM		198243.28726	
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
HEATHER CROSBY	DPM	DPM	DPM		3421266.07	3977221.80638
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL KWF 2007 LLC		Deposits		Deposits	Classic	
Advisory	86.05	100.00301				
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL TODD R. WANEK		Deposits		Deposits	Classic	
Advisory	86.05	100.00301				
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL CAITLIN A. FORSYTHE		Deposits		Deposits	Classic	
Advisory	86.05	100.00301				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
SPENCER DEERING		Lending Lending Lombard		Classic Advisory		
360747.79		419243.04415				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2013 MARON DEERING INSURANCE TRUST UAD 0		Other Products		Funds Solutions		
Classic Advisory	385.17	447.62532				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
45 SOUTH BATTERY PARTNERS LLC		Deposits		Deposits	Classic	
Advisory	8.07	9.37855				
2018	201809	Stewart Oldfield	50067	Volume	ELLIN HOWARD	

HOWARD ELLIN	DPM	DPM	DPM	170583.22		198243.28913
2018	201809	Stewart	Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.	DPM	DPM		DPM		2482191.34
2884678.66578						
2018	201810	Stewart	Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES			Deposits	Deposits		Classic
Advisory	0.35	0.39618				
2018	201810	Stewart	Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY			Other Products	Funds Solutions		Classic
Advisory	2965815.33	3357154.66279				
2018	201810	Stewart	Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.			Deposits	Deposits		Classic
Advisory	98753.24	111783.73002				
2018	201810	Stewart	Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY			Deposits	Deposits		Classic
Advisory	458668.84	519190.19344				
2018	201810	Stewart	Oldfield	50067	Volume	JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN			Deposits	Deposits		Deposits
Classic Advisory	10804.05	12229.6444				
2018	201810	Stewart	Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC			Deposits	Deposits		Classic
Advisory	50514.47	57179.85432				
2018	201810	Stewart	Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2009 LLC			Deposits	Deposits		Classic
Advisory	100.94	114.25903				
2018	201810	Stewart	Oldfield	50067	Volume	THIRD LAKE
CAPITAL KWF 2007 LLC			Deposits	Deposits		Classic
Advisory	88.34	99.99646				
2018	201810	Stewart	Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER			Deposits	Deposits		Deposits
Deposits	Classic Advisory			441715.62		499999.99606
2018	201810	Stewart	Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI			Deposits	Deposits		Deposits
Deposits	Classic Advisory			220857.81		249999.99803
2018	201810	Stewart	Oldfield	50067	Volume	THIRD LAKE
CAPITAL CAITLIN A. FORSYTHE			Deposits	Deposits		Classic
Advisory	88.34	99.99646				
2018	201810	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC			Other Products	Funds Solutions		Classic
Advisory	135331.21	153188.16316				
2018	201810	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP			Other Products	Funds Solutions		Classic
Advisory	3320236.22	3758341.38923				
2018	201810	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC			Other Products	Funds Solutions		Classic Advisory
1355.80	1534.69781					
2018	201810	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP			Capital Markets	Capital Markets		Classic
Advisory	688117.92	778915.07954				
2018	201810	Stewart	Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC			Capital Markets	Capital Markets		Classic

Advisory	311819.74	352964.35469				
2018 201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
MARON M DEERING	Capital Markets	Capital Markets	Classic	Advisory		
1462677.72	1655678.04515					
2018 201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
LYNN DEERING REVOCABLE TRUST	Lending	Lending	Lombard	Classic		
Advisory	2259217.99	2557321.80378				
2018 201810	Stewart Oldfield	50067	Volume	THIRD LAKE		
CAPITAL KATI WANEK-FORSYTHE	Deposits	Deposits	Deposits	Classic		
Advisory	88.34 99.99646					
2018 201811	Stewart Oldfield	50067	Volume	JOCKEY CLUB		
THE JOCKEY CLUB PAC	Deposits	Deposits	Deposits	Classic		
Advisory	46703.99	52864.24628				
2018 201811	Stewart Oldfield	50067	Volume	JOCKEY CLUB		
THE JOCKEY CLUB	Capital Markets	Capital Markets	Classic	Advisory		
4492902.20	5085516.00018					
2018 201811	Stewart Oldfield	50067	Volume	ASHLEY HOLDINGS		
Deposits	Deposits	Classic	Advisory	1.5568346038E8		
1.7621810880412E8						
2018 201811	Stewart Oldfield	50067	Volume	THIRD LAKE		
CAPITAL CAITLIN A. FORSYTHE	Deposits	Deposits	Deposits	Classic		
Advisory	88.35 100.00337					
2018 201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
DEERING FAMILY LTD PARTNERSHIP	Capital Markets	Capital Markets	Capital Markets	Classic		
Advisory	1856218.55	2101053.77675				
2018 201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
ACE LIMITED PARTNERSHIP LLLP	Deposits	Deposits	Deposits	Classic		
Advisory	341.87 386.96265					
2018 201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Other Products	Other Products	Funds	Solutions		
Classic	Advisory	220395.80	249466.00602			
2018 201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
SPENCER DEERING	Other Products	Funds	Solutions	Classic	Advisory	
75960.1 85979.23719						
2018 201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
SPENCER DEERING	Lending	Lending	Lombard	Classic	Advisory	
472131.39	534405.52034					
2018 201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY		
LYNN DEERING REVOCABLE TRUST	Deposits	Deposits	Deposits	Classic		
Advisory	1494.43 1691.54532					
2018 201811	Stewart Oldfield	50067	Volume	THE NATIONAL		
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	Deposits	Deposits			
Deposits	Classic	Advisory	63478.47	71851.28019		
2018 201812	Stewart Oldfield	50067	Volume	THE NATIONAL		
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Other Products	Custody			
only	Custody	2.472768144E7	2.830330417623E7			
2018 201812	Stewart Oldfield	50067	Volume	JOCKEY CLUB		
AXCIS INFORMATION NETWORK INC.	Deposits	Deposits	Deposits	Classic		
Advisory	186015.17	212912.96358				
2018 201812	Stewart Oldfield	50067	Volume	JOCKEY CLUB		
TJC HOLDINGS, INC	Deposits	Deposits	Deposits	Classic		

Advisory	6815.91	7801.49059					
2018	201812	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE GRAYSON JOCKEY CLUB	Deposits			Deposits		Classic	
Advisory	34335.79		39300.74523				
2018	201812	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION			Other Products		Funds Solutions	Classic	
Advisory	4569588.79		5230351.32903				
2018	201812	Stewart Oldfield		50067	Volume	RASHID ALI	
ALI RASHID	Deposits		Deposits		Classic	Advisory	
2265.63	2593.2401						
2018	201812	Stewart Oldfield		50067	Volume	RASHID ALI	
ALI RASHID	DPM	DPM	DPM	2176897.50		2491676.87851	
2018	201812	Stewart Oldfield		50067	Volume	BOIES FAMILY	
CHRISTOPHER BOIES	DPM	DPM	DPM	DPM		1353947.12	
1549727.87356							
2018	201812	Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL SSW 2007 LLC	Deposits		Deposits		Classic		
Advisory	87.37	100.0037					
2018	201812	Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL GEW 2007 LLC	Deposits		Deposits		Classic		
Advisory	87.56	100.22117					
2018	201812	Stewart Oldfield		50067	Volume	SOUTHERN	
FINANCIAL	SOUTHERN FINANCIAL LLC		Deposits		Deposits		
Classic Advisory		1348803.36		1543840.32586			
2018	201812	Stewart Oldfield		50067	Volume	ASHLEY HOLDINGS	
Deposits	Deposits		Classic	Advisory		153958141	
1.762204881886E8							
2018	201812	Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL KATI WANKE-FORSYTHE	Deposits		Deposits		Classic		
Advisory	87.37	100.0037					
2018	201812	Stewart Oldfield		50067	Volume	LINDEMANN JR	
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Capital Markets		Capital Markets		Capital		
Markets Classic Advisory		750174.73		858649.99596			
2018	201812	Stewart Oldfield		50067	Volume	SOUTHERN	
FINANCIAL	THE 2017 CATERPILLAR TRUST		Deposits				
Deposits	Classic Advisory			1.190881061E7		1.363082462421E7	
2019	201901	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB	Other Products		Funds Solutions		Classic	Advisory	
2.233720105E7	2.560178298346E7						
2019	201901	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE GRAYSON JOCKEY CLUB	Deposits		Deposits		Classic		
Advisory	34304.86		39318.51529				
2019	201901	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN	Deposits		Deposits		Deposits		
Classic Advisory		271.32	310.97342				
2019	201901	Stewart Oldfield		50067	Volume	BOIES FAMILY	
CHRISTOPHER BOIES	DPM	DPM	DPM	DPM		1328919.68	
1523141.29123							
2019	201901	Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL CRW 2007 LLC	Deposits		Deposits		Classic		
Advisory	87.35	100.11621					

2019	201901	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	GEW 2009	LLC	Deposits	Deposits	Classic
Advisory		379.77	435.27339		
2019	201901	Stewart Oldfield	50067	Volume	RASHID ALI
Deposits		Deposits	Classic	Advisory	1583264.26
1814658.3316					
2019	201901	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER	DEERING	Deposits	Deposits	Classic	Advisory
1116.75		1279.96301			
2019	201901	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE	NEW APO	Other Products	Funds	
Solutions		Classic	Advisory	643341.34	737365.67684
2019	201901	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE	NEW APO	Capital Markets	Capital	
Markets	Classic	Advisory	1080049.71	1237898.97512	
2019	201901	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	CAITLIN A. FORSYTHE	Deposits	Deposits	Deposits	Classic
Advisory		87.25	100.00159		
2019	201901	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	TODD R WANEK 2015 GRAT TRUST FOR	GABRIEL	Deposits	Deposits	
Deposits		Classic	Advisory	879529.07	1008072.24358
2019	201902	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		Capital Markets	Capital Markets	Classic	Advisory
678718.55		772755.0051			
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	SSW 2007 LLC	Deposits	Deposits	Deposits	Classic
Advisory		87.83	99.99885		
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	CRW 2009 LLC	Deposits	Deposits	Deposits	Classic
Advisory		382.61	435.62062		
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	SHARI WAGNER 2014 GRAT	Deposits	Deposits	Deposits	Classic
Advisory		87.83	99.99885		
2019	201902	Stewart Oldfield	50067	Volume	ELYSIUM
MANAGEMENT	LEON D. BLACK	Deposits	Deposits	Deposits	Classic
Advisory		0.13	0.14801		
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	WANEK TRUST OF 2000	Deposits	Deposits	Deposits	Classic
Advisory		7685301.48	8750100.00005		
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	KATI WANEK-FORSYTHE	Deposits	Deposits	Deposits	Classic
Advisory		87.83	99.99885		
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	LAURA A FORSYTHE REVOCABLE TRUST	Deposits	Deposits	Deposits	
Deposits		Classic	Advisory	87918.84	100099.99528
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	STEVEN FORSYTHE	Deposits	Deposits	Deposits	Classic
Advisory		87918.84	100099.99528		
2019	201902	Stewart Oldfield	50067	Volume	ASHLEY HOLDINGS
ASHLEY HOLDINGS, INC.		Deposits	Deposits	Deposits	Classic
Advisory		25.35	28.86224		

2019	201902	Stewart Oldfield	50067	Volume	ELLIN HOWARD
HOWARD ELLIN	DPM	DPM	DPM	174451.01	198621.19744
2019	201902	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE	NEW APO	Deposits		
Deposits	Classic Advisory		74757.02		85114.60512
2019	201902	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE	THE GEORGE LINDEMANN FAMILY LIMITED PART		Deposits		
Deposits	Classic Advisory		137154.76		156157.552
2019	201902	Stewart Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.	DPM	DPM	DPM	2848543.55	
3243209.25885					
2019	201903	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Deposits	Deposits		Classic
Advisory	2956004.29		3318114.81553		
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2009 LLC		Deposits	Deposits		Classic
Advisory	463674.31		520474.41298		
2019	201903	Stewart Oldfield	50067	Volume	RASHID ALI
Deposits	Deposits		Classic Advisory		1616622.12
1814658.3297					
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL WANEK TRUST OF 2000		Deposits	Deposits		Classic
Advisory	89.09	100.00353			
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR CAMERON			Deposits		
Deposits	Classic Advisory		449412.21		504465.20573
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TRAVIS WAGNER		Deposits	Deposits		Classic
Advisory	267719.70		300515.36326		
2019	201903	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE 508 WEST 25TH STREET LLC			Lending	Lending	Mortgages
Classic Advisory		3563474.38	3999999.99156		
2019	201903	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE	THE GEORGE LINDEMANN FAMILY LIMITED PART		Deposits		
Deposits	Classic Advisory		139161.95		156209.28888
2019	201903	Stewart Oldfield	50067	Volume	ELLIN HOWARD
HOWARD ELLIN	DPM	DPM	DPM	176945.38	198621.18906
2019	201903	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE COAL CREEK FARM LC A FLORIDA LIMITED L			Lending	Lending	
Mortgages	Classic Advisory		8819599.12		9900000.0122
2019	201903	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE	NEW APO	Deposits		
Deposits	Classic Advisory		76532.03		85907.20368
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Other Products	Funds Solutions	Classic	
Advisory	3265723.98		4061744.20013		
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Capital Markets	Capital Markets	Classic	
Advisory	9847455.42		1.224777267863E7		
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Other Products	Funds Solutions	Classic	

Advisory		56526.84		70305.25725			
2018	201801	Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL CRW 2007 LLC		Deposits		Deposits		Classic	
Advisory		0.09	0.11194				
2018	201801	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
LYNN DEERING REVOCABLE TRUST		Lending	Lending	Lombard		Classic	
Advisory		6641184.64		8259973.396			
2018	201801	Stewart Oldfield		50067	Volume	LINDEMANN JR	
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART		Capital Markets	Capital	Markets	Capital	Capital	
Markets Classic	Advisory		956381.91		1189500.00056		
2018	201802	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
MARON M DEERING		Other Products	Funds	Solutions	Classic	Advisory	
1197701.97		1461615.59908					
2018	201802	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
THE ROUSE COMPANY FOUNDATION		Other Products	Funds	Solutions	Classic		
Advisory		2450157.22		2990049.36343			
2018	201802	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
MARON M DEERING		Capital Markets	Capital	Markets	Classic	Advisory	
1367524.86		1668858.9629					
2018	201802	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB		Deposits		Deposits	Classic	Advisory	
1682322	2053021.6527						
2018	201802	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB		Other Products	Funds	Solutions	Classic	Advisory	
2.300944966E7		2.807958189259E7					
2018	201802	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
BLOOD-HORSE LLC		Deposits		Deposits	Classic	Advisory	
357785.55		436623.59594					
2018	201802	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE GRAYSON JOCKEY CLUB		Other Products	Funds	Solutions	Classic		
Advisory		1.158559339E7		1.413847889348E7			
2018	201802	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB PAC		Deposits		Deposits	Classic		
Advisory		66427.05		81064.25047			
2018	201802	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
EQUIBASE COMPANY		Deposits		Deposits	Classic		
Advisory		854455.62		1042734.91587			
2018	201802	Stewart Oldfield		50067	Volume	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN		Deposits		Deposits	Classic	Advisory	
Classic Advisory		76.45		93.29576			
2018	201802	Stewart Oldfield		50067	Volume	ADIKESHAVAR	
ADIKESHAVAR HOLDINGS LLC		Deposits		Deposits	Classic		
Advisory		149640.71		182614.04045			
2018	201802	Stewart Oldfield		50067	Volume	BOIES FAMILY	
CHRISTOPHER BOIES		DPM	DPM	DPM		1413113.73	
1724493.34041							
2018	201802	Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL		Deposits		Deposits	Classic	Advisory	
6.414551976E7		7.827998503913E7					
2018	201802	Stewart Oldfield		50067	Volume	DEERING/CROSBY	
HEATHER CROSBY		DPM	DPM	DPM		1621756	1979109.9346

2018	201802	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	TODD R. WANEK	Deposits	Deposits		Classic
Advisory	81.94	99.99548			
2018	201803	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES		Deposits	Deposits		Classic
Advisory	0.33	0.40583			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST			Capital Markets		Capital Markets
Classic Advisory	8366.09	10288.61748			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST			Other Products		Funds Solutions
Classic Advisory	170938.32	210219.94593			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING	Lending	Lending Lombard	Classic Advisory		
62777.17	77203.36367				
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY		Other Products	Funds Solutions		Classic
Advisory	92715.58	114021.62029			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
293 RUMSTICK LLC		Other Products	Funds Solutions		Classic
Advisory	32690.37	40202.61703			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING		Capital Markets	Capital Markets		Classic Advisory
1331805.16	1637853.98577				
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Deposits	Deposits		Classic Advisory
1990658.79	2448112.17995				
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION			Other Products		Funds Solutions Classic
Advisory	4379747.03	5386212.89749			
2018	201803	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID	DPM	DPM	DPM	2178958.93	2679683.69212
2018	201803	Stewart Oldfield	50067	Volume	VOLPE NOEL
NOEL VOLPE	DPM	DPM	DPM	851231.61	1046844.63398
2018	201803	Stewart Oldfield	50067	Volume	SK FAMILY
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP.			Deposits		
Deposits			Classic Advisory	103511.02	127297.8524
2018	201803	Stewart Oldfield	50067	Volume	SK FAMILY
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP.			Capital Markets		Capital
Markets Classic Advisory	836983.25			1029322.00085	
2018	201803	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		THE HAZE TRUST	Deposits		Deposits Classic
Advisory	4.011737017E7	4.933634183507E7			
2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2014 GRAT			Deposits		Deposits Classic
Advisory	81.31	99.99504			
2018	201804	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI		THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products		Custody
only	Custody	3.035350674E7	3.664427101186E7		
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11			Other Products		Funds Solutions

Classic Advisory	194293.19		234560.45363		
2018 201804 Stewart Oldfield			50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1			Other Products	Funds Solutions	
Classic Advisory	401261.35		484422.76479		
2018 201804 Stewart Oldfield			50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP		Capital Markets	Capital	Markets	Classic
Advisory	3303679.80		3988367.43855		
2018 201804 Stewart Oldfield			50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY		Other Products	Funds Solutions	Classic	
Advisory	2511323.32		3031795.07807		
2018 201804 Stewart Oldfield			50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Other Products	Funds Solutions	Classic	Advisory
2.200926857E7	2.657068948113E7				
2018 201804 Stewart Oldfield			50067	Volume	JOCKEY CLUB
TJC HOLDINGS, INC		Deposits	Deposits		Classic
Advisory	6462.20	7801.49095			
2018 201804 Stewart Oldfield			50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB		Deposits	Deposits		Classic
Advisory	32439.59		39162.69503		
2018 201804 Stewart Oldfield			50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY		Deposits	Deposits		Classic
Advisory	151670.11		183103.7403		
2018 201804 Stewart Oldfield			50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		DPM	DPM	DPM	826446.87
997727.98381					
2018 201804 Stewart Oldfield			50067	Volume	ELYSIUM
MANAGEMENT	LEON D. BLACK	Deposits	Deposits		Classic
Advisory	68428.37		82610.14968		
2018 201804 Stewart Oldfield			50067	Volume	THIRD LAKE
CAPITAL TODD R. WANEK		Deposits	Deposits		Classic
Advisory	82.83	99.99652			
2018 201804 Stewart Oldfield			50067	Volume	THIRD LAKE
CAPITAL KATI FORSYTHE 2014 GRAT		Deposits	Deposits		Classic
Advisory	82.83	99.99652			
2018 201804 Stewart Oldfield			50067	Volume	RASHID ALI
Deposits	Deposits	Classic	Advisory		1656657.69
1999999.99625					
2018 201804 Stewart Oldfield			50067	Volume	DEERING/CROSBY
HEATHER CROSBY	DPM	DPM	DPM	1638698.19	1978318.38988
2018 201804 Stewart Oldfield			50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Other Products	Funds		
Solutions	Classic	Advisory	767115.08		926099.68033
2018 201805 Stewart Oldfield			50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Other Products	Custody		
only	Custody	3.130108833E7	3.64892437207E7		
2018 201805 Stewart Oldfield			50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11		Other Products	Funds Solutions		
Classic Advisory	204713.44		238644.69268		
2018 201805 Stewart Oldfield			50067	Volume	DEERING/CROSBY
MARON M DEERING		Other Products	Funds Solutions	Classic	Advisory
1269972.29	1480470.19707				

2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1			Capital Markets	Capital Markets	Capital Markets
Classic Advisory	8229367.88		9593385.60611		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.			Capital Markets	Capital Markets	Classic
Advisory	1.040618731E7		1.213101285663E7		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP			Other Products	Funds Solutions	Classic
Advisory	3483693.23		4061115.38287		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY			Capital Markets	Capital Markets	Classic Advisory
4164404.61	4854654.67411				
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING			Lending Lending Lombard	Classic Advisory	
101295.54	118085.27576				
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN			Deposits		Deposits
Classic Advisory	162.81	189.79576			
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2009 LLC			Deposits	Deposits	Classic
Advisory	23086.62	26913.22727			
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R. WANEK			Deposits	Deposits	Classic
Advisory	85.78	99.99804			
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R. WANEK 2016 GRAT			Deposits	Deposits	Classic
Advisory	460.03	536.27997			
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST			Deposits		
Deposits	Classic Advisory		85.78	99.99804	
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE SANDRA E.C. DEERING 2018 IRREVOCABLE			Other Products	Funds Solutions	
Classic Advisory	2047776.47		2387195.4199		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE SANDRA E.C. DEERING 2018 IRREVOCABLE			Capital Markets	Capital Markets	
Classic Advisory	220375.59		256902.84404		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY DPM DPM DPM			1699485.50	1981175.22163	
2018	201806	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO			Other Products	Custody	
only Custody	3.10007913E7	3.616707317015E7			
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11			Capital Markets	Capital Markets	
Classic Advisory	1316609.32		1536022.26318		
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2004 DEERING FAMILY TRUST UAD 08/12/04 H			Other Products	Funds Solutions	
Classic Advisory	33.74	39.36277			
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY			Other Products	Funds Solutions	Classic Advisory
7328464.23	8549752.79393				
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY

THE ROUSE COMPANY FOUNDATION	Other Products	Funds Solutions	Classic
Advisory	2676952.83	3123067.01912	
2018 201806 Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Other Products	Funds Solutions	Classic
Advisory	3493541.35	4075740.01598	
2018 201806 Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC	Other Products	Funds Solutions	Classic
Advisory	128123.49	149475.26961	
2018 201806 Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Deposits	Deposits	Classic Advisory
658740.72	768519.86099		
2018 201806 Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.	Deposits	Deposits	Classic
Advisory	18093.38	21108.64178	
2018 201806 Stewart Oldfield	50067	Volume	GENERAL ATLANTIC
FA MADISON PARK CAPITAL, LLC	Deposits	Deposits	Deposits
Classic Advisory	1.05	1.22498	
2018 201806 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2007 LLC	Deposits	Deposits	Classic
Advisory	85.81	100.11024	
2018 201806 Stewart Oldfield	50067	Volume	ELYSIUM
MANAGEMENT	LEON D. BLACK	Deposits	Deposits Classic
Advisory	71435.44	83340.15608	
2018 201806 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI FORSYTHE 2014 GRAT	Deposits	Deposits	Classic
Advisory	85.72	100.00524	
2018 201806 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R. WANEK 2016 GRAT	Deposits	Deposits	Classic
Advisory	459.68	536.28567	
2018 201806 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST	Deposits	Deposits	
Deposits	Classic Advisory	85.72	100.00524
2018 201806 Stewart Oldfield	50067	Volume	ELLIN HOWARD
HOWARD ELLIN	DPM DPM DPM	220403.32	257133.53327
2018 201806 Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Capital Markets	Capital Markets	Capital
Markets Classic Advisory	1101046.66	1284536.08589	
2018 201807 Stewart Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.	DPM DPM DPM	DPM	2803100.17
3278786.26885			
2018 201807 Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC	Capital Markets	Capital Markets	Classic
Advisory	3232744.31	3781341.01941	
2018 201807 Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC	Deposits	Deposits	Classic
Advisory	46447.10	54329.17287	
2018 201807 Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES	DPM DPM DPM	DPM	1456751.90
1703962.69743			
2018 201807 Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KWF 2007 LLC	Deposits	Deposits	Classic

Advisory	85.49	99.99765				
2018	201807	Stewart Oldfield	50067	Volume	SOUTHERN	
FINANCIAL		SOUTHERN FINANCIAL LLC	Deposits		Deposits	
Classic Advisory		456903.51	534440.03565			
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE			Deposits			
Deposits		Classic Advisory	213730.02		250000.00439	
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
GREEN EAGLES PARTNERS LLC			Capital Markets	Capital Markets	Classic	
Advisory		892049.28	1043430.04282			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
THE ROUSE COMPANY FOUNDATION			Other Products	Funds Solutions	Classic	
Advisory		2676335.10	3130509.16647			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
THE CHARLESMEAD FOUNDATION INC.			Deposits	Deposits	Classic	
Advisory		14508.73	16970.86148			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
LYNN DEERING REVOCABLE TRUST			Lending	Lending	Lombard	Classic
Advisory		4402873.88	5150041.57744			
2018	201808	Stewart Oldfield	50067	Volume	BOIES FAMILY	
CHRISTOPHER BOIES			Deposits	Deposits	Classic	
Advisory		0.34	0.39525			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
SANDRA DEERING			Other Products	Funds Solutions	Classic	Advisory
7722.96	8977.941					
2018	201808	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB			Deposits	Deposits	Classic	Advisory
1256996.35	1461258.25689					
2018	201808	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
TJC MEDIA VENTURES INC.			Deposits	Deposits	Classic	
Advisory		90399.78	105089.74425			
2018	201808	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN			Deposits		Deposits	
Classic Advisory		163.27	189.80138			
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL CRW 2007 LLC			Deposits	Deposits	Classic	
Advisory		86.11	100.10288			
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL KATI FORSYTHE 2014 GRAT			Deposits	Deposits	Classic	
Advisory		86.02	99.99825			
2018	201808	Stewart Oldfield	50067	Volume	SOUTHERN	
FINANCIAL			SOUTHERN FINANCIAL LLC	Deposits	Deposits	
Classic Advisory		460436.19	535257.07088			
2018	201808	Stewart Oldfield	50067	Volume	ASHLEY HOLDINGS	
Deposits			Deposits	Classic	Advisory	
1.761914695365E8						
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2013 MARON DEERING INSURANCE TRUST UAD 0			Other Products	Funds Solutions		
Classic Advisory		1909.13	2219.36363			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
SANDRA E DEERING GIFT TRUST UAD 12/09/11			Capital Markets	Capital Markets		

Classic Advisory	1410281.88	1639452.6855			
2018 201808 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
LYNN DEERING REVOCABLE TRUST	Capital Markets	Capital Markets	Classic		
Advisory	8703513.02	1.011783388575E7			
2018 201808 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
2016 MAYSON-DEERING FAMILY TRUST	Capital Markets	Capital Markets	Classic		
Classic Advisory	11897.77	13831.15763			
2018 201808 Stewart Oldfield		50067	Volume	THE NATIONAL	
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	Deposits			
Deposits	Classic Advisory	54554.03	63419.05988		
2018 201808 Stewart Oldfield		50067	Volume	LINDEMANN JR	
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Deposits	Deposits			
Deposits	Classic Advisory	99973.99	116219.76338		
2018 201809 Stewart Oldfield		50067	Volume	BOIES FAMILY	
CHRISTOPHER BOIES	Deposits	Deposits	Classic		
Advisory	0.34 0.39513				
2018 201809 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
SPENCER DEERING	Other Products	Funds Solutions	Classic Advisory		
84861.32	98621.58304				
2018 201809 Stewart Oldfield		50067	Volume	JOCKEY CLUB	
INCOMPASS SOLUTIONSINC.	Deposits	Deposits	Classic		
Advisory	80975.15	94105.27057			
2018 201809 Stewart Oldfield		50067	Volume	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.	Deposits	Deposits	Classic		
Advisory	248339.21	288607.4129			
2018 201809 Stewart Oldfield		50067	Volume	RASHID ALI	
ALI RASHID	DPM DPM	DPM	2316898.85	2692583.99853	
2018 201809 Stewart Oldfield		50067	Volume	ADIKESHAVAR	
ADIKESHAVAR HOLDINGS LLC	Capital Markets	Capital Markets	Classic		
Advisory	3226196.47	3749324.22761			
2018 201809 Stewart Oldfield		50067	Volume	BOIES FAMILY	
CHRISTOPHER BOIES	DPM DPM	DPM	1374956.34		
1597905.51054					
2018 201809 Stewart Oldfield		50067	Volume	THIRD LAKE	
CAPITAL CRW 2009 LLC	Deposits	Deposits	Classic		
Advisory	23225.72	26991.7705			
2018 201809 Stewart Oldfield		50067	Volume	SOUTHERN	
FINANCIAL	THE HAZE TRUST	Deposits	Deposits	Classic	
Advisory	1.952439819E7	2.269027935651E7			
2018 201809 Stewart Oldfield		50067	Volume	SOUTHERN	
FINANCIAL	SOUTHERN TRUST COMPANY INC.	Deposits			
Deposits	Classic Advisory	88824.48	103227.36943		
2018 201809 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
GREEN EAGLES PARTNERS LLC	Capital Markets	Capital Markets	Classic		
Advisory	927320.50	1077685.51908			
2018 201809 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
DEERING FAMILY LTD PARTNERSHIP	Other Products	Funds Solutions	Classic		
Advisory	3756126.78	4365182.73738			
2018 201809 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
THE SANDRA E.C. DEERING 2018 IRREVOCABLE	Capital Markets	Capital Markets	Classic		
Classic Advisory	165046.41	191808.68538			

2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION		Other Products	Funds Solutions	Classic	
Advisory	2770521.85	3219761.96798			
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11		Other Products	Funds Solutions	Classic	
Advisory	213315.30	247904.3759			
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11		Capital Markets	Capital Markets	Classic	
Advisory	1404869.72	1632669.3451			
2018	201809	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Capital Markets	Capital	Classic	
Markets Advisory	1147220.17	1333241.92057			
2018	201809	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL THE 2017 CATERPILLAR TRUST		Deposits	Deposits	Classic	
Deposits	Classic Advisory	1.167502394E7	1.356812907187E7		
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA DEERING	Deposits	Deposits	Classic	Advisory	
198.63	224.83923				
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY		Other Products	Funds Solutions	Classic	
Advisory	96992.76	109790.95468			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING	Capital Markets	Capital Markets	Classic	Advisory	
992465.30	1123421.09633				
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Other Products	Funds Solutions	Classic	Advisory	
1.000302007E7	1.132291856824E7				
2018	201810	Stewart Oldfield	50067	Volume	JOCKEY CLUB
INCOMPASS SOLUTIONSINC.	Deposits	Deposits	Classic		
Advisory	5839.33	6609.82959			
2018	201810	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Other Products	Funds Solutions	Classic		
Advisory	1.233583357E7	1.396354680956E7			
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SSW 2007 LLC	Deposits	Deposits	Classic		
Advisory	88.34	99.99646			
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2007 LLC	Deposits	Deposits	Classic		
Advisory	88.53	100.21153			
2018	201810	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL SOUTHERN TRUST COMPANY INC.		Deposits	Deposits		
Deposits	Classic Advisory	91333.70	103385.18172		
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TRAVIS WAGNER	Deposits	Deposits	Classic		
Advisory	221820.33	251089.52254			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2004 DEERING FAMILY TRUST UAD 08/12/04 H		Other Products	Funds Solutions	Classic	
Advisory	21.66	24.51804			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1		Capital Markets	Capital Markets	Classic	
Advisory	8512726.53	9635980.79563			

2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD	FOUNDATION INC.	Capital Markets	Capital Markets	Classic	
Advisory	4194870.73	4748383.92282			
2018	201810	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	THE 2017 CATERPILLAR TRUST	Deposits			
Deposits	Classic Advisory	1.200483377E7	1.358887158595E7		
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD	FOUNDATION INC.	DPM	DPM	DPM	885602.42
1002457.65932					
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE SANDRA E.C. DEERING	2018 IRREVOCABLE	Other Products	Funds Solutions		
Classic Advisory	2088281.11	2363829.80246			
2018	201811	Stewart Oldfield	50067	Volume	JOCKEY CLUB
BLOOD-HORSE LLC	Deposits	Deposits	Classic	Advisory	
205531.84	232641.4897				
2018	201811	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID	DPM	DPM	DPM	2347243.18	2656844.55545
2018	201811	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC	Capital Markets	Capital Markets	Classic		
Advisory	3081618.51	3488083.99147			
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	Deposits	Deposits	Classic	Advisory	
1.0383061364E8	1.1752587157913E8				
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2007 LLC	Deposits	Deposits	Classic		
Advisory	88.45	100.11656			
2018	201811	Stewart Oldfield	50067	Volume	ASHLEY HOLDINGS
ASHLEY HOLDINGS, INC.	Deposits	Deposits	Classic		
Advisory	25.44	28.79554			
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING	GIFT TRUST UAD 12/09/11	Capital Markets	Capital Markets		
Classic Advisory	1355380.02	1534154.64464			
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Capital Markets	Capital Markets	Classic	Advisory	
1492110.31	1688919.65989				
2018	201811	Stewart Oldfield	50067	Volume	ZUECH CHRIS
LONG TERM INSURED CREDIT FUND LLC	Deposits	Deposits			
Custody 0.01	0.01132				
2018	201811	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Capital Markets	Capital Markets		
Markets Classic	Advisory	1116822.07	1264130.90103		
2018	201812	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY	Other Products	Funds Solutions	Classic		
Advisory	2943670.17	3369324.87659			
2018	201812	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Other Products	Funds Solutions	Classic	Advisory	
2.186754313E7	2.502958986659E7				
2018	201812	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY	Deposits	Deposits	Classic		
Advisory	357493.49	409187.04865			
2018	201812	Stewart Oldfield	50067	Volume	ADIKESHAVAR

ADIKESHAVAR HOLDINGS LLC		Deposits	Deposits	Classic
Advisory	36571.85	41860.13951		
2018	201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL CRW 2009 LLC		Deposits	Deposits	Classic
Advisory	380.03	434.98234		
2018	201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL TODD R. WANEK		Deposits	Deposits	Classic
Advisory	87.37	100.0037		
2018	201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL KATI FORSYTHE 2014 GRAT		Deposits	Deposits	Classic
Advisory	87.37	100.0037		
2018	201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL TRAVIS WAGNER		Deposits	Deposits	Classic
Advisory	220602.95	252502.13657		
2018	201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR GABRIEL		Deposits	Deposits	
Deposits		Classic Advisory	879964.72	1007207.61851
2018	201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL CAMERON WANEK		Deposits	Deposits	Classic
Advisory	65830.86	75350.00236		
2018	201812	Stewart Oldfield	50067	Volume ZUECH CHRIS
LONG TERM INSURED CREDIT FUND LLC			Deposits	Deposits
Custody	0.01	0.01145		
2018	201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL KEYHOLE PARTNERS, LLC		Deposits	Deposits	Classic
Advisory	306478.34	350795.10796		
2018	201812	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE		Deposits	Deposits	
Deposits		Classic Advisory	425.81	487.38213
2018	201812	Stewart Oldfield	50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Deposits	Deposits	
Deposits		Classic Advisory	67888.49	77705.16565
2019	201901	Stewart Oldfield	50067	Volume JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.		Deposits	Deposits	Classic
Advisory	209720.33	240370.95623		
2019	201901	Stewart Oldfield	50067	Volume JOCKEY CLUB
EQUIBASE COMPANY		Other Products	Funds Solutions	Classic
Advisory	2945054.01	3375473.65356		
2019	201901	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL SSW 2007 LLC		Deposits	Deposits	Classic
Advisory	87.25	100.00159		
2019	201901	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL SHARI WAGNER 2014 GRAT		Deposits	Deposits	Classic
Advisory	87.25	100.00159		
2019	201901	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL KATI FORSYTHE 2014 GRAT		Deposits	Deposits	Classic
Advisory	87.25	100.00159		
2019	201901	Stewart Oldfield	50067	Volume ELYSIUM
MANAGEMENT LEON D. BLACK		Deposits	Deposits	Classic
Advisory	0.13	0.149		
2019	201901	Stewart Oldfield	50067	Volume PARAMI CAPITAL

MANA	RAHUL VAID	Deposits	Deposits	Classic
Advisory	1533980.83	1758172.1283		
2019	201901	Stewart Oldfield	50067	Volume ZUECH CHRIS
LONG TERM INSURED CREDIT FUND LLC			Deposits	Deposits
Custody	0.01	0.01146		
2019	201901	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL KEYHOLE PARTNERS, LLC		Deposits	Deposits	Classic
Advisory	742956.81	851539.94778		
2019	201901	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL KATI WANER-FORSYTHE 2015 GRAT TR FOR CAI		Deposits	Deposits	
Deposits		Classic Advisory	426.13	488.4089
2019	201901	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL THIRD LAKE PARTNERS, LLC			Deposits	Deposits
Classic Advisory		1751106.37	2007030.56598	
2019	201902	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB		Other Products	Funds Solutions	Classic Advisory
2.445616983E7		2.784457215994E7		
2019	201902	Stewart Oldfield	50067	Volume JOCKEY CLUB
TJC HOLDINGS, INC		Deposits	Deposits	Classic
Advisory	6852.13	7801.49261		
2019	201902	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB PAC		Deposits	Deposits	Classic
Advisory	61494.23	70014.25557		
2019	201902	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL KWF 2007 LLC		Deposits	Deposits	Classic
Advisory	87.83	99.99885		
2019	201902	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL TODD R. WANER		Deposits	Deposits	Classic
Advisory	87.83	99.99885		
2019	201902	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL SHARI WAGNER		Deposits	Deposits	Classic
Advisory	1087347.94	1237999.99709		
2019	201902	Stewart Oldfield	50067	Volume LINDEMANN JR
GEORGE SOLSTICE LOOP HOLDINGS, LLC			Deposits	Deposits
Classic Advisory		5155.52	5869.8173	
2019	201902	Stewart Oldfield	50067	Volume LINDEMANN JR
GEORGE VERONA APARTMENTS HOLDINGS, LLC			Deposits	Deposits
Classic Advisory		12646.37	14398.52456	
2019	201902	Stewart Oldfield	50067	Volume LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART			Capital Markets	Capital
Markets Classic Advisory		844363.44	961349.99461	
2019	201903	Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB		Deposits	Deposits	Classic Advisory
1355595.12		1521655.52221		
2019	201903	Stewart Oldfield	50067	Volume THIRD LAKE
CAPITAL TODD R. WANER		Deposits	Deposits	Classic
Advisory	89.09	100.00353		
2019	201903	Stewart Oldfield	50067	Volume ELYSIUM
MANAGEMENT		LEON D. BLACK	Deposits	Deposits Classic
Advisory	0.13	0.14593		
2019	201903	Stewart Oldfield	50067	Volume ZUECH CHRIS

LONG TERM INSURED CREDIT FUND LLC				Deposits		Deposits
Custody	0.01	0.01123				
2019	201903	Stewart Oldfield		50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER		Deposits		Deposits		Classic
Advisory		212026.73	238000.00443			
2018	201801	Stewart Oldfield		50067	Volume	THE NATIONAL
ORGANI		THE NATIONAL ORGANIZATION OF THE NEW APO		Other Products		Custody
only		Custody	2.965385895E7	3.688198706907E7		
2018	201801	Stewart Oldfield		50067	Volume	DEERING/CROSBY
SUPURLATIV LLC		Other Products	Funds Solutions	Classic		Advisory
18044.86		22443.29463				
2018	201801	Stewart Oldfield		50067	Volume	DEERING/CROSBY
HEATHER CROSBY		Deposits	Deposits	Classic		Advisory
239.03		297.29356				
2018	201801	Stewart Oldfield		50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Deposits	Deposits	Classic		Advisory
1360536.12		1692166.79925				
2018	201801	Stewart Oldfield		50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB		Other Products	Funds Solutions	Classic		
Advisory		1.154526495E7	1.435942328156E7			
2018	201801	Stewart Oldfield		50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Capital Markets	Capital Markets	Classic		Advisory
4086888.84		5083067.99475				
2018	201801	Stewart Oldfield		50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.		DPM	DPM	DPM		2223713.86
2765744.11338						
2018	201801	Stewart Oldfield		50067	Volume	GENERAL ATLANTIC
FA		MADISON PARK CAPITAL, LLC		Deposits		Deposits
Classic		Advisory	0.98	1.21888		
2018	201801	Stewart Oldfield		50067	Volume	RASHID ALI
ALI RASHID		DPM	DPM	DPM	2297117.95	2857040.45032
2018	201801	Stewart Oldfield		50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Other Products	Funds Solutions	Classic		
Advisory		252642.29	314223.84819			
2018	201801	Stewart Oldfield		50067	Volume	SK FAMILY
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP.		Deposits		Deposits		
Deposits		Classic	Advisory	102350.03		127297.84981
2018	201801	Stewart Oldfield		50067	Volume	THIRD LAKE
CAPITAL GEW 2007 LLC		Deposits		Deposits		Classic
Advisory		0.17	0.21144			
2018	201802	Stewart Oldfield		50067	Volume	DEERING/CROSBY
2004 DEERING FAMILY TRUST UAD 08/12/04 H		Other Products	Funds Solutions	Classic		
Classic		Advisory	32.06	39.12442		
2018	201802	Stewart Oldfield		50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP		Other Products	Funds Solutions	Classic		
Advisory		3146927.03	3840352.40106			
2018	201802	Stewart Oldfield		50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC		Other Products	Funds Solutions	Classic		
Advisory		118895.07	145093.59868			
2018	201802	Stewart Oldfield		50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC		Capital Markets	Capital Markets	Classic		

Advisory	823997.35	1005565.16607				
2018 201802 Stewart Oldfield		50067	Volume	JOCKEY CLUB		
INCOMPASS SOLUTIONS INC. Deposits		Deposits		Classic		
Advisory	87648.18	106961.45646				
2018 201802 Stewart Oldfield		50067	Volume	ELLIN HOWARD		
HOWARD ELLIN DPM DPM DPM		210704.74		257133.52946		
2018 201802 Stewart Oldfield		50067	Volume	GENERAL ATLANTIC		
FA MADISON PARK CAPITAL, LLC		Deposits		Deposits		
Classic Advisory	1	1.22035				
2018 201802 Stewart Oldfield		50067	Volume	ELYSIUM		
MANAGEMENT LEON D. BLACK		Deposits	Deposits	Classic		
Advisory	67693.81	82610.14103				
2018 201802 Stewart Oldfield		50067	Volume	THE NATIONAL		
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Other Products	Funds	Solutions		
Solutions Classic Advisory		778490.56		950030.9549		
2018 201802 Stewart Oldfield		50067	Volume	THIRD LAKE		
CAPITAL GEW 2009 LLC		Deposits	Deposits	Classic		
Advisory	21632.86	26399.6607				
2018 201803 Stewart Oldfield		50067	Volume	DEERING/CROSBY		
SANDRA DEERING Capital Markets		Capital Markets	Classic	Advisory		
40080.01	49290.3963					
2018 201803 Stewart Oldfield		50067	Volume	DEERING/CROSBY		
MARON M DEERING Other Products		Funds Solutions	Classic	Advisory		
1193130.17	1467311.48306					
2018 201803 Stewart Oldfield		50067	Volume	DEERING/CROSBY		
2011 ANTHONY W DEERING TRUST UAD 11/21/1		Capital Markets	Capital Markets			
Classic Advisory	7459701.74	9173941.19985				
2018 201803 Stewart Oldfield		50067	Volume	DEERING/CROSBY		
CHRISTOPHER J. CROSBY Capital Markets		Capital Markets	Classic			
Advisory	115548.06	142101.00419				
2018 201803 Stewart Oldfield		50067	Volume	DEERING/CROSBY		
DEERING FAMILY LTD PARTNERSHIP		Deposits	Deposits	Classic		
Advisory	5268.21	6478.84466				
2018 201803 Stewart Oldfield		50067	Volume	DEERING/CROSBY		
GREEN EAGLES PARTNERS LLC		Capital Markets	Capital Markets	Classic		
Advisory	793086.39	975337.64242				
2018 201803 Stewart Oldfield		50067	Volume	JOCKEY CLUB		
EQUIBASE COMPANY Other Products		Funds Solutions	Classic			
Advisory	2462490.77	3028371.14894				
2018 201803 Stewart Oldfield		50067	Volume	JOCKEY CLUB		
THE JOCKEY CLUB Capital Markets		Capital Markets	Classic	Advisory		
4142619.94	5094594.00221					
2018 201803 Stewart Oldfield		50067	Volume	DEERING/CROSBY		
LYNN DEERING REVOCABLE TRUST		Capital Markets	Capital Markets	Classic		
Advisory	1.163274843E7	1.430595401921E7				
2018 201803 Stewart Oldfield		50067	Volume	ADIKESHAVAR		
ADIKESHAVAR HOLDINGS LLC		Capital Markets	Capital Markets	Classic		
Advisory	2942690.82	3618921.17044				
2018 201803 Stewart Oldfield		50067	Volume	GENERAL ATLANTIC		
FA MADISON PARK CAPITAL, LLC		Deposits	Deposits			
Classic Advisory	0.99	1.2175				

2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	KWF 2007 LLC	Deposits	Deposits		Classic
Advisory	81.31	99.99504			
2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	GEW 2009 LLC	Deposits	Deposits		Classic
Advisory	21483.29	26420.15004			
2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	TODD R. WANEK	Deposits	Deposits		Classic
Advisory	81.31	99.99504			
2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	KATI FORSYTHE 2014 GRAT	Deposits	Deposits		Classic
Advisory	81.31	99.99504			
2018	201803	Stewart Oldfield	50067	Volume	RASHID ALI
Deposits	Deposits	Classic	Advisory		406570.17
499999.99507					
2018	201803	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Capital Markets	Capital Markets		Capital
Markets	Classic	Advisory	971889.74	1195230.00225	
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA DEERING	Deposits	Deposits			Classic
154.44	186.44769				Advisory
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP	Capital Markets	Capital Markets	Capital Markets		Classic
Advisory	1523765	1839565.29625			
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY	Other Products	Funds Solutions	Funds Solutions		Classic
Advisory	95382.07	115150.00401			
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC	Other Products	Funds Solutions	Funds Solutions		Classic
Advisory	121056.25	146145.15781			
2018	201804	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Deposits	Deposits	Deposits		Classic
Advisory	174958.68	211218.86643			
2018	201804	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID	Capital Markets	Capital Markets	Capital Markets		Classic
269182.03	324970.00572				Advisory
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Lending	Lending	Lombard		Classic
Advisory	4869579.52	5878799.87552			
2018	201804	Stewart Oldfield	50067	Volume	VOLPE NOEL
NOEL VOLPE	Lending	Lending	Mortgages		Classic
1637926.54	1977386.81542				Advisory
2018	201804	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	Deposits	Deposits	Classic		Advisory
8.644903901E7	1.0436560234485E8				
2018	201804	Stewart Oldfield	50067	Volume	GENERAL ATLANTIC
FA	MADISON PARK CAPITAL, LLC	Deposits	Deposits		Deposits
Classic	Advisory	1.01	1.21932		
2018	201804	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	CRW 2009 LLC	Deposits	Deposits		Classic
Advisory	22279.75	26897.22819			

2018	201804	Stewart Oldfield	50067	Volume	VOLPE NOEL
MANDY JANE VOLPE		DPM DPM	DPM	121875.66	
147134.39054					
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11		Capital Markets	Capital Markets	Classic	Capital Markets
Classic Advisory		1314658.62	1532563.28627		
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2004 DEERING FAMILY TRUST UAD 08/12/04 H		Other Products	Funds Solutions	Classic	Funds Solutions
Classic Advisory		33.7 39.28578			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Deposits	Deposits	Classic	Classic
Advisory		607.99 708.76434			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY		Other Products	Funds Solutions	Classic	Classic
Advisory		98178.10 114451.12008			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Capital Markets	Capital Markets	Classic	Capital Markets
Advisory		305208.66 355796.9954			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING		Capital Markets	Capital Markets	Classic	Advisory
1454527.06		1695614.9202			
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC HOLDINGS, INC		Deposits	Deposits	Classic	Classic
Advisory		6692.25 7801.49044			
2018	201805	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		DPM DPM DPM	2312382.81	2695660.26076	
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2007 LLC		Deposits	Deposits	Classic	Classic
Advisory		85.97 100.21953			
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KWF 2007 LLC		Deposits	Deposits	Classic	Classic
Advisory		85.78 99.99804			
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2014 GRAT		Deposits	Deposits	Classic	Classic
Advisory		85.78 99.99804			
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TRAVIS WAGNER		Deposits	Deposits	Classic	Classic
Advisory		214512.34 250067.76036			
2018	201805	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Capital Markets	Capital Markets	Classic	Capital
Markets Classic Advisory		452437.35	527428.84076		
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA DEERING		Capital Markets	Capital Markets	Classic	Advisory
43741.23		51030.70598			
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY		Capital Markets	Capital Markets	Classic	Advisory
4046342.10		4720665.01097			
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2013 MARON DEERING INSURANCE TRUST UAD 0		Other Products	Funds Solutions	Classic	Funds Solutions
Classic Advisory		272.16 317.51546			
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY

45 SOUTH BATTERY PARTNERS LLC Advisory	60612.62	Other Products	70713.71312	Funds Solutions	Classic
2018 201806 Stewart Oldfield			50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC Advisory	305557.59	Capital Markets	356478.76237	Capital Markets	Classic
2018 201806 Stewart Oldfield			50067	Volume	DEERING/CROSBY
MARON M DEERING 1455606.12	1698182.8799	Capital Markets	Capital Markets	Classic	Advisory
2018 201806 Stewart Oldfield			50067	Volume	RASHID ALI
ALI RASHID 34190.83	39888.73182	Deposits	Deposits	Classic	Advisory
2018 201806 Stewart Oldfield			50067	Volume	SOUTHERN
FINANCIAL Classic Advisory	457403.75	SOUTHERN FINANCIAL LLC	Deposits	Deposits	Deposits
2018 201806 Stewart Oldfield			50067	Volume	RASHID ALI
Deposits 1999999.99483	Deposits	Classic	Advisory		1714310.20
2018 201806 Stewart Oldfield			50067	Volume	ASHLEY HOLDINGS
ASHLEY HOLDINGS, INC. Advisory	2467.39 2878.58054	Deposits	Deposits	Deposits	Classic
2018 201807 Stewart Oldfield			50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES Advisory	0.34 0.3977	Deposits	Deposits	Deposits	Classic
2018 201807 Stewart Oldfield			50067	Volume	DEERING/CROSBY
SPENCER DEERING 95.84 112.10405	Deposits	Deposits	Deposits	Classic	Advisory
2018 201807 Stewart Oldfield			50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB 2.232877073E7	2.611796312288E7	Other Products	Funds Solutions	Classic	Advisory
2018 201807 Stewart Oldfield			50067	Volume	JOCKEY CLUB
TJC HOLDINGS, INC Advisory	6669.65 7801.48961	Deposits	Deposits	Deposits	Classic
2018 201807 Stewart Oldfield			50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB 4316796.62	5049357.00641	Capital Markets	Capital Markets	Classic	Advisory
2018 201807 Stewart Oldfield			50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC. 1000045.1797		DPM	DPM	DPM	854958.69
2018 201807 Stewart Oldfield			50067	Volume	SK FAMILY
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP. Deposits	Classic Advisory		956965.72	Deposits	1119362.80268
2018 201807 Stewart Oldfield			50067	Volume	SK FAMILY
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP. Markets Classic Advisory	1998570.15			Capital Markets	Capital
2018 201807 Stewart Oldfield			50067	Volume	THIRD LAKE
CAPITAL GEW 2007 LLC Advisory	85.68 100.21989	Deposits	Deposits	Deposits	Classic
2018 201807 Stewart Oldfield			50067	Volume	RASHID ALI
Deposits 2000000.00006	Deposits	Classic	Advisory		1709840.13
2018 201807 Stewart Oldfield			50067	Volume	THIRD LAKE

CAPITAL SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Deposits				
Deposits	Classic Advisory	213730.02		250000.00439	
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST	Deposits				
Deposits	Classic Advisory	85.49	99.99765		
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R WANER 2015 GRAT TRUST FOR CAMERON	Deposits				
Deposits	Classic Advisory	213730.02		250000.00439	
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX	Deposits				
Deposits	Classic Advisory	213730.02		250000.00439	
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Capital Markets			Capital Markets	Classic
Advisory	1857577.96	2172808.93981			
2018	201807	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Deposits				
Deposits	Classic Advisory	82378.33		96357.9326	
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1	Other Products			Funds Solutions	
Classic Advisory	471694.88	551741.50114			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST	Capital Markets			Capital Markets	
Classic Advisory	10091.24	11803.72343			
2018	201808	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products			Custody	
only	Custody	3.162059818E7	3.675894538426E7		
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Other Products		Funds Solutions	Classic	Advisory
9741002.08	1.132391491801E7				
2018	201808	Stewart Oldfield	50067	Volume	JOCKEY CLUB
BLOOD-HORSE LLC	Deposits		Deposits	Classic	Advisory
127124.74	147782.51025				
2018	201808	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY	Deposits		Deposits	Classic	
Advisory	212013.20	246465.345			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	DPM		DPM	DPM	861427.85
1001409.87563					
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SSW 2007 LLC	Deposits		Deposits	Classic	
Advisory	86.02	99.99825			
2018	201808	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	THE HAZE TRUST	Deposits	Deposits	Classic	
Advisory	3.065994326E7	3.564218403975E7			
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANER-FORSYTHE 2015 GRAT TR FOR STE	Deposits				
Deposits	Classic Advisory	215053.76		249999.996	
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC	Other Products		Funds Solutions	Classic	
Advisory	133070.89	154694.90963			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY

DEERING FAMILY LTD PARTNERSHIP Advisory	Capital Markets	Capital Markets	Classic
2018 201808 Stewart Oldfield	1963679.79	2282777.75588	50067
ACE LIMITED PARTNERSHIP LLLP Advisory	Capital Markets	Capital Markets	Classic
2018 201808 Stewart Oldfield	739823.47	860044.78388	50067
LYNN DEERING REVOCABLE TRUST Advisory	Lending	Lending	Lombard Classic
2018 201808 Stewart Oldfield	3100928.79	3604829.71838	50067
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART Markets Classic Advisory	Capital Markets	Capital Markets	Capital
2018 201809 Stewart Oldfield	978494.62	1137499.99575	50067
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO Deposits	Custody	Deposits	Deposits
2018 201809 Stewart Oldfield	27664.66	32150.48462	50067
TJC MEDIA VENTURES INC. Advisory	Deposits	Deposits	JOCKEY CLUB Classic
2018 201809 Stewart Oldfield	42509.07	49401.9157	50067
THE GRAYSON JOCKEY CLUB Advisory	Other Products	Funds Solutions	JOCKEY CLUB Classic
2018 201809 Stewart Oldfield	1.304074649E7	1.515530353335E7	50067
THE JOCKEY CLUB FOUNDATION Advisory	Other Products	Funds Solutions	JOCKEY CLUB Classic
2018 201809 Stewart Oldfield	5066816.94	5888401.30682	50067
Deposits	Deposits	Classic Advisory	RASHID ALI 1725963.75
2005828.77206			
2018 201809 Stewart Oldfield			50067
293 RUMSTICK LLC Advisory	Other Products	Funds Solutions	DEERING/CROSBY Classic
2018 201809 Stewart Oldfield	6012.70	6987.65931	50067
THE CHARLESMEAD FOUNDATION INC. Advisory	Capital Markets	Capital Markets	DEERING/CROSBY Classic
2018 201809 Stewart Oldfield	7086291.94	8235334.17807	50067
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART Deposits	Classic Advisory	Deposits	DEERING/CROSBY
2018 201810 Stewart Oldfield	100042.50	116264.39138	50067
SANDRA DEERING 44620.34	Capital Markets	Capital Markets	DEERING/CROSBY Classic Advisory
2018 201810 Stewart Oldfield	50507.99386		50067
THE JOCKEY CLUB FOUNDATION Advisory	Other Products	Funds Solutions	JOCKEY CLUB Classic
2018 201810 Stewart Oldfield	4793798.45	5426340.15547	50067
MANAGEMENT Advisory	LEON D. BLACK	Deposits	Volume ELYSIUM Deposits Classic
2018 201810 Stewart Oldfield	0.13	0.14716	50067
CAPITAL KATI WANER-FORSYTHE 2015 GRAT TR FOR STE Deposits	Classic Advisory	Deposits	THIRD LAKE
2018 201810 Stewart Oldfield	220857.81	249999.99803	50067
Deposits	Deposits	Classic Advisory	ASHLEY HOLDINGS 1.5462396566E8
1.7502659792884E8			
2018 201810 Stewart Oldfield			50067
			Volume THIRD LAKE

CAPITAL LAURA A FORSYTHE REVOCABLE TRUST				Deposits	
Deposits	Classic Advisory	88.34	99.99646		
2018	201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY	
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Other Products		Funds Solutions		
Classic Advisory	215921.31	244412.12685			
2018	201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY	
45 SOUTH BATTERY PARTNERS LLC	Deposits		Deposits	Classic	
Advisory	171.76 194.42373				
2018	201810 Stewart Oldfield	50067	Volume	DEERING/CROSBY	
MARON M DEERING	Lending Lending Lombard		Classic Advisory		
296537.66	335665.80424				
2018	201810 Stewart Oldfield	50067	Volume	THE NATIONAL	
ORGANI THE NATIONAL ORGANIZATION OF THE	NEW APO		Other Products	Funds	
Solutions	Classic Advisory	656439.90		743057.14481	
2018	201810 Stewart Oldfield	50067	Volume	BOIES FAMILY	
DIAMOND B CAPITAL, INC.	DPM DPM	DPM		2721206.64	
3080269.85615					
2018	201811 Stewart Oldfield	50067	Volume	BOIES FAMILY	
CHRISTOPHER BOIES	Deposits		Deposits	Classic	
Advisory	0.35 0.39617				
2018	201811 Stewart Oldfield	50067	Volume	JOCKEY CLUB	
TJC HOLDINGS, INC	Deposits		Deposits	Classic	
Advisory	6892.38 7801.48492				
2018	201811 Stewart Oldfield	50067	Volume	JOCKEY CLUB	
COALITION FOR HORSE RACING INTEGRITY, IN	Deposits		Deposits	Deposits	
Classic Advisory	24966.56	28259.64926			
2018	201811 Stewart Oldfield	50067	Volume	ADIKESHAVAR	
ADIKESHAVAR HOLDINGS LLC	Deposits		Deposits	Classic	
Advisory	30615.42 34653.5939				
2018	201811 Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL CRW 2009 LLC	Deposits		Deposits	Classic	
Advisory	101.02 114.34454				
2018	201811 Stewart Oldfield	50067	Volume	SOUTHERN	
FINANCIAL	SOUTHERN FINANCIAL LLC		Deposits	Deposits	
Classic Advisory	1361750.77	1541365.69656			
2018	201811 Stewart Oldfield	50067	Volume	DEERING/CROSBY	
HEATHER CROSBY	Deposits		Deposits	Classic Advisory	
3774.97 4272.88854					
2018	201811 Stewart Oldfield	50067	Volume	DEERING/CROSBY	
DEERING FAMILY LTD PARTNERSHIP	Other Products		Funds Solutions	Classic	
Advisory	3310564.89 3747228.39899				
2018	201811 Stewart Oldfield	50067	Volume	DEERING/CROSBY	
293 RUMSTICK LLC	Deposits		Deposits	Classic	
Advisory	2.12 2.39963				
2018	201811 Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2013 MARON DEERING INSURANCE TRUST UAD 0	Other Products		Funds Solutions		
Classic Advisory	290.73 329.07729				
2018	201811 Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2016 MAYSON-DEERING FAMILY TRUST	Other Products		Funds Solutions		
Classic Advisory	178510.86	202056.44244			
2018	201811 Stewart Oldfield	50067	Volume	DEERING/CROSBY	

MARON M DEERING	Other Products	Funds Solutions	Classic	Advisory
1295325.94	1466179.43149			
2018	201811	Stewart Oldfield	50067	Volume
HOWARD ELLIN	DPM	DPM	DPM	ELLIN HOWARD
2018	201811	Stewart Oldfield	50067	Volume
FINANCIAL	THE 2017 CATERPILLAR TRUST			SOUTHERN
Deposits	Classic Advisory		1.202312544E7	Deposits
2018	201812	Stewart Oldfield	50067	Volume
COALITION FOR HORSE RACING INTEGRITY, IN	Deposits			Deposits
Classic Advisory	4749.23	5435.96866		
2018	201812	Stewart Oldfield	50067	Volume
ADIKESHAVAR HOLDINGS LLC		Capital Markets	Capital Markets	Classic
Advisory	2744612.56	3141483.53618		
2018	201812	Stewart Oldfield	50067	Volume
CAPITAL SHARI WAGNER 2014 GRAT	Deposits			THIRD LAKE
Advisory	87.37	100.0037		Deposits
2018	201812	Stewart Oldfield	50067	Volume
CAPITAL CAITLIN A. FORSYTHE	Deposits			THIRD LAKE
Advisory	87.37	100.0037		Deposits
2018	201812	Stewart Oldfield	50067	Volume
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR CAMERON	Deposits			THIRD LAKE
Deposits	Classic Advisory		439902.42	Deposits
2019	201901	Stewart Oldfield	50067	Volume
ADIKESHAVAR HOLDINGS LLC	Deposits			ADIKESHAVAR
Advisory	2892645.53	3315405.67421		Deposits
2019	201901	Stewart Oldfield	50067	Volume
CAPITAL GEW 2007 LLC	Deposits			THIRD LAKE
Advisory	87.44	100.21936		Deposits
2019	201901	Stewart Oldfield	50067	Volume
CAPITAL CRW 2009 LLC	Deposits			THIRD LAKE
Advisory	379.81	435.31923		Deposits
2019	201901	Stewart Oldfield	50067	Volume
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST	Deposits			THIRD LAKE
Deposits	Classic Advisory		87.25	Deposits
2019	201901	Stewart Oldfield	50067	Volume
ASHLEY HOLDINGS, INC.	Deposits			ASHLEY HOLDINGS
Advisory	25.16	28.83713		Deposits
2019	201902	Stewart Oldfield	50067	Volume
THE JOCKEY CLUB	Deposits	Deposits		JOCKEY CLUB
2810685.13	3200105.55477			Classic
2019	201902	Stewart Oldfield	50067	Volume
ADIKESHAVAR HOLDINGS LLC	Deposits			ADIKESHAVAR
Advisory	2913364.06	3317010.65051		Deposits
2019	201902	Stewart Oldfield	50067	Volume
ALI RASHID	DPM	DPM	DPM	RASHID ALI
2019	201902	Stewart Oldfield	50067	Volume
CAPITAL SHARI WAGNER 2012 IRREVOCABLE TRUST	Deposits			THIRD LAKE
Deposits	Classic Advisory		878310.13	Deposits
2019	201902	Stewart Oldfield	50067	Volume
LONG TERM INSURED CREDIT FUND LLC		Other Products		ZUECH CHRIS
Custody	225350.32	256572.60684		Custody only

2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANЕК-FORSYTHE 2015 GRAT TR FOR STE				Deposits	
Deposits		Classic Advisory	429.80	489.34879	
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KEYHOLE PARTNERS, LLC		Deposits		Deposits	Classic
Advisory		748508.32	852214.14774		
2019	201902	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO				Capital Markets	Capital
Markets		Classic Advisory	1122503.61	1278026.48517	
2019	201902	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE MIZNER COURT HOLDINGS, LLC		Deposits		Deposits	
Classic Advisory		3466.68	3946.98851		
2019	201903	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.		Deposits		Deposits	Classic
Advisory		4096.9	4598.77025		
2019	201903	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB		Other Products		Funds Solutions	Classic
Advisory		1.597939129E7	1.793686672303E7		
2019	201903	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Capital Markets		Capital Markets	Classic
4574795.55		5135208.00488			Advisory
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KWF 2007 LLC		Deposits		Deposits	Classic
Advisory		89.09	100.00353		
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANЕК-FORSYTHE		Deposits		Deposits	Classic
Advisory		1568017.82	1760100.00295		
2019	201903	Stewart Oldfield	50067	Volume	PARAMI CAPITAL
MANA RAHUL VAID		Deposits		Deposits	Classic
Advisory		1572128.94	1764714.73515		
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KEYHOLE PARTNERS, LLC		Deposits		Deposits	Classic
Advisory		759886.50	852972.59625		
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL THIRD LAKE PARTNERS, LLC		Deposits		Deposits	
Classic Advisory		1795287.25	2015209.93813		
2019	201903	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE SUN LAKE MULTIFAMILY HOLDINGS, LLC		Deposits		Deposits	
Deposits		Classic Advisory	667397.10	749153.24475	
2019	201903	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Other Products		Funds	
Solutions		Classic Advisory	663338.25	744597.18563	
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA DEERING		Other Products		Funds Solutions	Classic
6704.70		8338.97063			Advisory
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION		Deposits		Deposits	Classic
Advisory		16433.03	20438.58106		
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION		Other Products		Funds Solutions	Classic
Advisory		2385466.21	2966923.59869		

2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC		Deposits		Deposits	Classic
Advisory		3901.62 4852.63988			
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Deposits		Deposits	Classic
Advisory		159.71 198.63931			
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Capital Markets		Capital Markets	Classic
Advisory		294643.57 366462.94019			
2018	201801	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		Deposits		Deposits	Classic Advisory
0.03	0.03731				
2018	201801	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Lending		Lending	Other Structured
DPM		161316.64 200637.571			
2018	201801	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R. WANEK		Deposits		Deposits	Classic
Advisory		80.4 99.9975			
2018	201801	Stewart Oldfield	50067	Volume	VOLPE NOEL
MANDY JANE VOLPE		DPM DPM		DPM	124205.56
154480.66526					
2018	201801	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		SOUTHERN TRUST COMPANY INC.		Deposits	
Deposits		Classic Advisory		4902083.31	6096966.11681
2018	201801	Stewart Oldfield	50067	Volume	DZIALGA KIRSTEN &
M MARK F. DZIALGA		Deposits		Deposits	Classic
Advisory		138.40 172.135			
2018	201801	Stewart Oldfield	50067	Volume	VOLPE NOEL
NOEL VOLPE		Deposits		Deposits	Classic Advisory
210365.04		261641.5185			
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP		Other Products		Funds Solutions	Classic
Advisory		2009057.42 2451753.22249			
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY		Other Products		Funds Solutions	Classic Advisory
8686424.88		1.060047860232E7			
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1		Capital Markets		Capital Markets	Classic
Classic Advisory		7771006.68 9483348.00194			
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC		Other Products		Funds Solutions	Classic Advisory
18703.09		22824.31588			
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY		Capital Markets		Capital Markets	Classic
Advisory		120204.04 146691.00021			
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY		Capital Markets		Capital Markets	Classic Advisory
3969826.65		4844577.95232			
2018	201802	Stewart Oldfield	50067	Volume	JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.		Deposits		Deposits	Classic
Advisory		271902.4 331816.09384			

2018	201802	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY		Other Products	Funds	Solutions	Classic
Advisory		2152205.86	2626444.42125		
2018	201802	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		Capital Markets	Capital Markets	Classic	Advisory
260662.92		318099.99442			
2018	201802	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		Deposits	Deposits	Classic	Advisory
2273.32	2774.24606				
2018	201802	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST		Other Products	Funds	Solutions	Classic
Advisory		1.238281472E7	1.511136794355E7		
2018	201803	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI		THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits		
Deposits		Custody 67080.79	82495.95554		
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST			Deposits		Deposits
Classic Advisory		59.25	72.86565		
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING		Capital Markets	Capital Markets	Classic	Advisory
889669.13		1094115.09607			
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION		Other Products	Funds	Solutions	Classic
Advisory		2452784.94	3016434.91921		
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP		Capital Markets	Capital Markets	Classic	Advisory
Advisory		3220302.75	3960328.32195		
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Other Products	Funds	Solutions	Classic
Advisory		56609.94	69618.90422		
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Other Products	Funds	Solutions	Classic
2.180453887E7		2.681522190233E7			Advisory
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB PAC		Deposits	Deposits		Classic
Advisory		67949.46	83564.24591		
2018	201803	Stewart Oldfield	50067	Volume	JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN		Deposits	Deposits		Deposits
Classic Advisory		75.87	93.30493		
2018	201803	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		DPM	DPM	DPM	810731.36
997037.42653					
2018	201803	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2007 LLC		Deposits	Deposits		Classic
Advisory		81.4	100.10572		
2018	201804	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES		Deposits	Deposits		Classic
Advisory		0.33	0.39839		
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC		Other Products	Funds	Solutions	Classic
12497.58		15087.70346			Advisory

2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	Deposits			Deposits	Classic
Advisory	12378.18	14943.55781			
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY	Deposits			Deposits	Classic
Advisory	490.59	592.26478			
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC	Deposits			Deposits	Classic
Advisory	3262.39	3938.52033			
2018	201804	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC	Capital Markets			Capital Markets	Classic
Advisory	286255.85	345582.37491			
2018	201804	Stewart Oldfield	50067	Volume	JOCKEY CLUB
INCOMPASS SOLUTIONS INC.	Deposits			Deposits	Classic
Advisory	22634.05	27324.95686			
2018	201804	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Other Products			Funds Solutions	Classic
Advisory	4512491.87	5447705.81006			
2018	201804	Stewart Oldfield	50067	Volume	VOLPE NOEL
NOEL VOLPE	Deposits			Deposits	Classic Advisory
280796.58	338991.67121				
2018	201804	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	THE 2017 CATERPILLAR TRUST			Deposits	
Deposits	Classic Advisory	1.115917155E7		1.347190985374E7	
2018	201804	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Capital Markets			Capital Markets	Capital
Markets Classic Advisory	850693.73	1027000.00554			
2018	201804	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Deposits			Deposits	
Deposits	Classic Advisory	63324.38		76448.35776	
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP	Other Products			Funds Solutions	Classic
Advisory	2217297.72	2584814.8171			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING	Lending Lending Lombard			Classic Advisory	
178727.93	208352.0844				
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION	Other Products			Funds Solutions	Classic
Advisory	2677752.17	3121589.59218			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	Other Products			Funds Solutions	Classic
Advisory	3474148.49	4049988.60222			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP	Capital Markets			Capital Markets	Classic
Advisory	3554068.90	4143155.82018			
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
INCOMPASS SOLUTIONS INC.	Deposits			Deposits	Classic
Advisory	70289.85	81940.39264			
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Deposits			Deposits	Classic
Advisory	275609.64	321291.93784			

2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	PAC	Deposits	Deposits		Classic
Advisory		72283.29	84264.24532		
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Capital Markets	Capital Markets	Capital Markets	Classic	Advisory
4362922.58		5086076.99764			
2018	201805	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY		Deposits	Deposits		Classic
Advisory		126974.26	148020.2436		
2018	201805	Stewart Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.	DPM	DPM	DPM		2730705.09
3183319.45867					
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST		Other Products	Other Products	Funds Solutions	Classic
Advisory		1.075312067E7	1.253545042105E7		
2018	201805	Stewart Oldfield	50067	Volume	VOLPE NOEL
NOEL VOLPE		Lending Lending Mortgages		Classic	Advisory
1693764.16		1974505.56952			
2018	201805	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	DPM	DPM	DPM		856069.43
997962.93802					
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2007 LLC		Deposits	Deposits		Classic
Advisory		85.87	100.10296		
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2009 LLC		Deposits	Deposits		Classic
Advisory		22690.17	26451.06568		
2018	201805	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		SOUTHERN TRUST COMPANY INC.		Deposits	
Deposits		Classic Advisory	88034.23		102625.90362
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2016	GRAT		Deposits		Deposits
Classic Advisory		0.16	0.18652		
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI FORSYTHE 2014	GRAT	Deposits	Deposits		Classic
Advisory		85.78	99.99804		
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST			Deposits		
Deposits		Classic Advisory	85.78	99.99804	
2018	201805	Stewart Oldfield	50067	Volume	ASHLEY HOLDINGS
ASHLEY HOLDINGS, INC.		Deposits	Deposits		Classic
Advisory		2467.7	2876.72128		
2018	201805	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART		Capital Markets	Capital Markets	Capital	
Markets Classic Advisory			963499.89	1123199.99677	
2018	201806	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES		Deposits	Deposits		Classic
Advisory		0.34	0.39666		
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Deposits	Deposits		Classic
Advisory		1712.42	1997.79479		

2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Other Products	Funds Solutions	Classic	Advisory	
2.202160786E7	2.569150880988E7				
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
BLOOD-HORSE LLC	Deposits	Deposits	Classic	Advisory	
386616.32	451045.92973				
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	PAC	Deposits	Deposits	Classic	
Advisory	72741.82	84864.2443			
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY	Deposits	Deposits	Classic		
Advisory	503188.29	587044.61853			
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST		Capital Markets	Capital	Markets	Classic
Advisory	1.214047908E7	1.416368991868E7			
2018	201806	Stewart Oldfield	50067	Volume	ADIKESHAVAR
ADIKESHAVAR HOLDINGS LLC		Deposits	Deposits	Classic	
Advisory	95693.78	111641.14843			
2018	201806	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES	DPM	DPM	DPM	1457510.95	
1700405.14982					
2018	201806	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL	Deposits	Deposits	Classic	Advisory	
1.0234213856E8	1.19397455951E8				
2018	201806	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	THE 2017 CATERPILLAR TRUST		Deposits		
Deposits	Classic	Advisory	1.157751181E7	1.350690415314E7	
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE SANDRA E.C. DEERING	2018 IRREVOCABLE	Other Products	Funds	Solutions	
Classic	Advisory	2052247.15	2394254.13755		
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE SANDRA E.C. DEERING	2018 IRREVOCABLE	Capital Markets	Capital	Markets	
Classic	Advisory	214479.54	250222.55534		
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
CHRISTOPHER J. CROSBY	Deposits	Deposits	Classic		
Advisory	575.03	672.61259			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Other Products	Funds Solutions	Classic	Advisory	
9297461.63	1.087524086861E7				
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Capital Markets	Capital Markets	Classic	Advisory	
4198193.55	4910626.99544				
2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.	Deposits	Deposits	Classic		
Advisory	15101.55	17664.28304			
2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB	Deposits	Deposits	Classic		
Advisory	33521.13	39209.66576			
2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	PAC	Deposits	Deposits	Classic	
Advisory	46904.55	54864.25214			

2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	FOUNDATION	Other Products	Funds Solutions	Classic	
Advisory	4905302.26	5737732.05352			
2018	201807	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL	THE HAZE TRUST	Deposits	Deposits	Classic	
Advisory	3.469530716E7	4.058310078505E7			
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R. WANEK	Deposits	Deposits		Classic	
Advisory	85.49	99.99765			
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2014 GRAT	Deposits	Deposits		Classic	
Advisory	85.49	99.99765			
2018	201807	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits	Deposits			
Deposits	Classic Advisory	53530.38		62614.48549	
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2004 DEERING FAMILY TRUST UAD 08/12/04 H	Other Products	Funds Solutions			
Classic Advisory	20.86	24.39994			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC	Other Products	Funds Solutions		Classic	
Advisory	129010.84	150903.97955			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
293 RUMSTICK LLC	Other Products	Funds Solutions		Classic	
Advisory	20460.12	23932.20236			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC	Other Products	Funds Solutions		Classic Advisory	
4239.77	4959.25897				
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP	Other Products	Funds Solutions		Classic	
Advisory	2248944.82	2630590.75596			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE SANDRA E.C. DEERING 2018 IRREVOCABLE	Capital Markets	Capital Markets			
Classic Advisory	208375.17	243736.43635			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.	Other Products	Funds Solutions		Classic	
Advisory	3405943.50	3983932.11195			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Capital Markets	Capital Markets			
Classic Advisory	1355279.22	1585270.10363			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC	Capital Markets	Capital Markets		Classic	
Advisory	315136.36	368615.00029			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Other Products	Funds Solutions		Classic Advisory	
1340026.96	1557781.34101				
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2009 LLC	Deposits	Deposits		Classic	
Advisory	23201.56	26971.8135			
2018	201808	Stewart Oldfield	50067	Volume	ELYSIUM
MANAGEMENT	LEON D. BLACK	Deposits	Deposits		Classic
Advisory	0.13	0.15113			

2018	201808	Stewart Oldfield	50067	Volume	RASHID ALI
Deposits		Deposits	Classic Advisory		1720430.11
2000000.00	288				
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER	2015 GRAT TRAVIS T. WAGNER	Deposits		Deposits	
Deposits		Classic Advisory	215053.76		249999.996
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL STEVEN FORSYTHE	Deposits	Deposits		Deposits	Classic
Advisory	128779.35		149705.99	438	
2018	201808	Stewart Oldfield	50067	Volume	ASHLEY HOLDINGS
ASHLEY HOLDINGS, INC.	Deposits	Deposits		Deposits	Classic
Advisory	24.71	28.72538			
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER	2015 GRAT FOR LEAH M FOX	Deposits		Deposits	
Deposits		Classic Advisory	215053.76		249999.996
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Lending Lending Lombard	Classic Advisory			
216242.62	251382.04	575			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING	Capital Markets Capital Markets	Classic Advisory			
1547549.78	1799026.61	925			
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Lending Lending Other Structured				DPM 8910.19
10358.09	588				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Other Products Funds Solutions	Classic Advisory			
9759805.56	1.13423580	3155E7			
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Capital Markets Capital Markets	Classic Advisory			
4353435.20	5059344.71	768			
2018	201809	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Deposits	Deposits			Classic Advisory
1316070.80	1529471.68	022			
2018	201809	Stewart Oldfield	50067	Volume	JOCKEY CLUB
BLOOD-HORSE LLC	Deposits	Deposits			Classic Advisory
72135.88	83832.71	294			
2018	201809	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Capital Markets Capital Markets	Classic Advisory			
4359630	5066544.00	45			
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SSW 2007 LLC	Deposits	Deposits			Classic
Advisory	86.05	100.00			301
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER	2015 GRAT TRAVIS T. WAGNER	Deposits		Deposits	
Deposits		Classic Advisory	215118.53		249999.99964
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE	2015 GRAT TR FOR STE	Deposits		Deposits	
Deposits		Classic Advisory	215118.53		249999.99964
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL STEVEN FORSYTHE	Deposits	Deposits			Classic
Advisory	86.05	100.00			301

2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST				Deposits	
Deposits		Classic Advisory	86.05	100.00301	
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2004 DEERING FAMILY TRUST UAD 08/12/04 H		Other Products		Funds Solutions	
Classic Advisory		21.06	24.47488		
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC		Other Products		Funds Solutions	Classic
Advisory		133294.60	154908.3194		
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
DEERING FAMILY LTD PARTNERSHIP		Deposits		Deposits	Classic
Advisory		743.31	863.83772		
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Other Products		Funds Solutions	Classic
Advisory		4000977.88	4649736.44324		
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY
MARON M DEERING		Capital Markets		Capital Markets	Classic Advisory
1537823.59		1787181.68512			
2018	201810	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Deposits		Deposits	
Deposits		Custody	30293.49	34290.71601	
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING		Deposits		Deposits	Classic Advisory
105.15		119.02454			
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY		Deposits		Deposits	Classic Advisory
15497.94		17542.89319			
2018	201810	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Deposits		Deposits	Classic Advisory
882140.84		998539.32384			
2018	201810	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		Deposits		Deposits	Classic Advisory
270394.03		306072.52226			
2018	201810	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES		DPM	DPM	DPM	1451515.33
1643042.7778					
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		Deposits		Deposits	Classic Advisory
1.1193170461E8		1.2670109303329E8			
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TODD R. WANEK		Deposits		Deposits	Classic
Advisory		88.34	99.99646		
2018	201810	Stewart Oldfield	50067	Volume	RASHID ALI
Deposits		Deposits		Classic Advisory	1325146.87
1499999.9995					
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER		Deposits		Deposits	
Deposits		Classic Advisory	441715.62	499999.99606	
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2013 MARON DEERING INSURANCE TRUST UAD 0		Other Products		Funds Solutions	
Classic Advisory		374.01	423.36062		

2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION		Other Products		Funds Solutions	Classic
Advisory		2867422.74	3245779.17054		
2018	201811	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		Capital Markets	Capital Markets	Classic	Advisory
554872.34		628060.00165			
2018	201811	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		THE HAZE TRUST	Deposits	Deposits	Classic
Advisory		6819604.59	7719110.43542		
2018	201811	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		SOUTHERN TRUST COMPANY INC.		Deposits	
Deposits		Classic Advisory	91472.86		103538.13023
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST				Deposits	
Deposits		Classic Advisory	88.35		100.00337
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY		Capital Markets	Capital Markets	Classic	Advisory
3512220.18		3975482.02174			
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1		Other Products		Funds Solutions	
Classic Advisory		497083.88	562649.24377		
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Deposits		Deposits	Classic
Advisory		92978.96	105242.88482		
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST			Deposits		Deposits
Classic Advisory		83.93	95.00037		
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL WANEK TRUST OF 2000		Deposits		Deposits	Classic
Advisory		88.35	100.00337		
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING		Capital Markets	Capital Markets	Classic	Advisory
985815.87		1115844.98325			
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI				Deposits	
Deposits		Classic Advisory	223680.63		253184.1051
2018	201811	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART				Deposits	
Deposits		Classic Advisory	120305.65		136173.96524
2018	201812	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.		Deposits		Deposits	Classic
Advisory		6542.51	7488.55695		
2018	201812	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB PAC		Deposits		Deposits	Classic
Advisory		44001.62	50364.25425		
2018	201812	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Capital Markets	Capital Markets	Classic	Advisory
4451472.13		5095155			
2018	201812	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		Capital Markets	Capital Markets	Classic	Advisory
610936.13		699277.4944			

2018	201812	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		SOUTHERN TRUST COMPANY INC.		Deposits	
Deposits		Classic Advisory	90603.15		103704.36549
2018	201812	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		WANEK TRUST OF 2000		Deposits	Classic
Advisory		87.37 100.0037			
2018	201812	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		THIRD LAKE PARTNERS, LLC		Deposits	Deposits
Classic Advisory		1749762.43	2002778.07738		
2019	201901	Stewart Oldfield	50067	Volume	JOCKEY CLUB
BLOOD-HORSE LLC		Deposits		Deposits	Classic Advisory
283331.68		324740.60503			
2019	201901	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.		Deposits		Deposits	Classic
Advisory		32408.72	37145.25443		
2019	201901	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		DPM DPM DPM	2128453.49		2439526.96756
2019	201901	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		Deposits		Deposits	Classic Advisory
1.0973749275E8		1.2577562731541E8			
2019	201901	Stewart Oldfield	50067	Volume	ZUECH CHRIS
LONG TERM INSURED CREDIT FUND LLC				Other Products	Custody only
Custody		226390.76	259477.76957		
2019	201901	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER		Deposits	
Deposits		Classic Advisory	439591.90		503838.25618
2019	201901	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		TODD R WANEK 2015 GRAT TRUST FOR CAMERON		Deposits	
Deposits		Classic Advisory	439591.90		503838.25618
2019	201901	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		STEVEN FORSYTHE		Deposits	Classic
Advisory		87.25 100.00159			
2019	201902	Stewart Oldfield	50067	Volume	JOCKEY CLUB
INCOMPASS SOLUTIONS INC.		Deposits		Deposits	Classic
Advisory		38362.85	43678.02287		
2019	201902	Stewart Oldfield	50067	Volume	JOCKEY CLUB
BLOOD-HORSE LLC		Deposits		Deposits	Classic Advisory
279686.88		318437.49722			
2019	201902	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE GRAYSON JOCKEY CLUB		Deposits		Deposits	Classic
Advisory		34689.46	39495.68468		
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		CRW 2007 LLC		Deposits	Classic
Advisory		87.93 100.11271			
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		KATI FORSYTHE 2014 GRAT		Deposits	Classic
Advisory		87.83 99.99885			
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL		CAMERON WANEK		Deposits	Classic
Advisory		66180.67	75350.00183		
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE

CAPITAL TODD R WANEK 2015 GRAT TRUST FOR GABRIEL Deposits						
Deposits	Classic Advisory		886087.44			1008854.85481
2019	201902	Stewart Oldfield	50067	Volume		THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX Deposits						
Deposits	Classic Advisory		442785.38			504133.2944
2019	201902	Stewart Oldfield	50067	Volume		THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO Other Products Funds						
Solutions	Classic Advisory		649776.54			739803.07962
2019	201903	Stewart Oldfield	50067	Volume		JOCKEY CLUB
AXCIS INFORMATION NETWORK INC. Deposits Deposits Classic						
Advisory	224526.32		252030.7942			
2019	201903	Stewart Oldfield	50067	Volume		JOCKEY CLUB
EQUIBASE COMPANY Deposits Deposits Classic						
Advisory	608384.19		682911.25328			
2019	201903	Stewart Oldfield	50067	Volume		JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN Deposits Deposits						
Classic Advisory	13640.06		15310.96735			
2019	201903	Stewart Oldfield	50067	Volume		BOIES FAMILY
CHRISTOPHER BOIES DPM DPM DPM 1491015.57						
1673664.97733						
2019	201903	Stewart Oldfield	50067	Volume		ZUECH CHRIS
LONG TERM INSURED CREDIT FUND LLC Other Products Custody only						
Custody	225907.17		253580.79833			
2019	201903	Stewart Oldfield	50067	Volume		THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE Deposits						
Deposits	Classic Advisory		436.88		490.3978	
2019	201903	Stewart Oldfield	50067	Volume		THIRD LAKE
CAPITAL CAITLIN A. FORSYTHE Deposits Deposits Classic						
Advisory	89.09	100.00353				
2019	201903	Stewart Oldfield	50067	Volume		ASHLEY HOLDINGS
Deposits Deposits Classic Advisory 4.3524639058E8						
4.8856407342605E8						
2019	201903	Stewart Oldfield	50067	Volume		LINDEMANN JR
GEORGE ADDISON AT MILLENIA HOLDINGS, LLC Deposits						
Deposits	Classic Advisory		1290987.06			1449132.97485
2019	201903	Stewart Oldfield	50067	Volume		LINDEMANN JR
GEORGE MIZNER COURT HOLDINGS, LLC Deposits Deposits						
Classic Advisory	554478.54		622402.16115			
2018	201801	Stewart Oldfield	50067	Volume		DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11 Capital Markets Capital Markets						
Classic Advisory	1261892.74		1569479.09538			
2018	201801	Stewart Oldfield	50067	Volume		DEERING/CROSBY
2016 MAYSON-DEERING FAMILY TRUST Other Products Funds Solutions						
Classic Advisory	93749.69		116601.17694			
2018	201801	Stewart Oldfield	50067	Volume		DEERING/CROSBY
ACE LIMITED PARTNERSHIP LLLP Capital Markets Capital Markets Classic						
Advisory	1556189.94		1935511.23788			
2018	201801	Stewart Oldfield	50067	Volume		DEERING/CROSBY
SPENCER DEERING Other Products Funds Solutions Classic Advisory						
110005.52 136819.3655						
2018	201801	Stewart Oldfield	50067	Volume		DEERING/CROSBY

2013	MARON DEERING INSURANCE TRUST UAD 0	Other Products	Funds Solutions
Classic Advisory	265.73	330.50169	
2018	201801 Stewart Oldfield	50067	Volume JOCKEY CLUB
INCOMPASS SOLUTIONS INC.	Deposits	Deposits	Classic
Advisory	80970.39	100706.92256	
2018	201801 Stewart Oldfield	50067	Volume JOCKEY CLUB
TJC HOLDINGS, INC	Deposits	Deposits	Classic
Advisory	6272.55	7801.48406	
2018	201801 Stewart Oldfield	50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Capital Markets	Capital Markets	Classic
Markets Classic Advisory	2220636.79	2761917.00756	
2018	201802 Stewart Oldfield	50067	Volume DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Other Products	Funds Solutions	Classic
Classic Advisory	196483.01	239778.04125	
2018	201802 Stewart Oldfield	50067	Volume DEERING/CROSBY
SPENCER DEERING	Other Products	Funds Solutions	Classic
Advisory	142318.84	173678.7964	
2018	201802 Stewart Oldfield	50067	Volume DEERING/CROSBY
SPENCER DEERING	Capital Markets	Capital Markets	Classic
Advisory	919329.69	1121903.98719	
2018	201802 Stewart Oldfield	50067	Volume DEERING/CROSBY
CHRISTOPHER J. CROSBY	Other Products	Funds Solutions	Classic
Advisory	93878.19	114564.24917	
2018	201802 Stewart Oldfield	50067	Volume JOCKEY CLUB
TJC HOLDINGS, INC	Deposits	Deposits	Classic
Advisory	6392.83	7801.49009	
2018	201802 Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Deposits	Deposits	Classic
Advisory	77604.76	94704.96887	
2018	201802 Stewart Oldfield	50067	Volume JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Other Products	Funds Solutions	Classic
Advisory	4511606.59	5505739.10211	
2018	201802 Stewart Oldfield	50067	Volume DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Lending	Lending	Lombard Classic
Advisory	3041017.95	3711106.25528	
2018	201802 Stewart Oldfield	50067	Volume VOLPE NOEL
NOEL VOLPE	Deposits	Deposits	Classic
Advisory	178420.11	217734.98124	
2018	201802 Stewart Oldfield	50067	Volume VOLPE NOEL
NOEL VOLPE	DPM	DPM	DPM
Advisory	869284.42	1060831.24194	
2018	201802 Stewart Oldfield	50067	Volume LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Capital Markets	Capital Markets	Classic
Markets Classic Advisory	825582.82	1007499.99439	
2018	201802 Stewart Oldfield	50067	Volume THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Capital Markets	Capital Markets	Classic
Markets Classic Advisory	2181072.64	2661671.99622	
2018	201802 Stewart Oldfield	50067	Volume DZIALGA KIRSTEN &
M MARK F. DZIALGA	Deposits	Deposits	Classic
Advisory	141.10	172.19139	
2018	201802 Stewart Oldfield	50067	Volume SK FAMILY
OFFICE ECHELON INVESTMENT PARTNERS MASTER LP.	Deposits	Deposits	

Deposits	Classic	Advisory	104312.57	127297.8448
2018	201802	Stewart Oldfield	50067	Volume
CAPITAL GEW 2007 LLC	Deposits	Deposits	Deposits	THIRD LAKE Classic
Advisory	0.17	0.20746		
2018	201802	Stewart Oldfield	50067	Volume
CAPITAL CRW 2007 LLC	Deposits	Deposits	Deposits	THIRD LAKE Classic
Advisory	0.09	0.10983		
2018	201802	Stewart Oldfield	50067	Volume
MANDY JANE VOLPE	DPM	DPM	DPM	VOLPE NOEL
150074.16432			122976.33	
2018	201803	Stewart Oldfield	50067	Volume
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO	Custody	2.976892641E7	3.660982569902E7	Other Products
only	Custody			Custody
2018	201803	Stewart Oldfield	50067	Volume
HEATHER CROSBY	Other Products	Funds Solutions	Classic	DEERING/CROSBY
8584915.94	1.055772962301E7			Advisory
2018	201803	Stewart Oldfield	50067	Volume
SPENCER DEERING	Other Products	Funds Solutions	Classic	DEERING/CROSBY
81743.4	100528.03332			Advisory
2018	201803	Stewart Oldfield	50067	Volume
THE CHARLESMEAD FOUNDATION INC.	Deposits	Deposits	Deposits	DEERING/CROSBY
Advisory	14623.17	17983.57447		Classic
2018	201803	Stewart Oldfield	50067	Volume
DEERING FAMILY LTD PARTNERSHIP	Other Products	Funds Solutions	Classic	DEERING/CROSBY
Advisory	3096737.38	3808367.62992		Classic
2018	201803	Stewart Oldfield	50067	Volume
TJC HOLDINGS, INC	Deposits	Deposits	Deposits	JOCKEY CLUB
Advisory	6343.71	7801.49456		Classic
2018	201803	Stewart Oldfield	50067	Volume
THE GRAYSON JOCKEY CLUB	Deposits	Deposits	Deposits	JOCKEY CLUB
Advisory	31832.62	39147.75608		Classic
2018	201803	Stewart Oldfield	50067	Volume
CAPITAL SSW 2007 LLC	Deposits	Deposits	Deposits	THIRD LAKE
Advisory	81.31	99.99504		Classic
2018	201803	Stewart Oldfield	50067	Volume
FA MADISON PARK CAPITAL, LLC	Capital Markets	Capital Markets	Capital Markets	GENERAL ATLANTIC
Classic	Advisory	2240368.35	2755204.99683	Capital Markets
2018	201803	Stewart Oldfield	50067	Volume
MANAGEMENT LEON D. BLACK	Deposits	Deposits	Deposits	ELYSIUM
Advisory	67173.64	82610.14247		Classic
2018	201803	Stewart Oldfield	50067	Volume
FINANCIAL SOUTHERN FINANCIAL LLC	Deposits	Deposits	Deposits	SOUTHERN
Classic	Advisory	1648318.45	2027102.02981	Deposits
2018	201803	Stewart Oldfield	50067	Volume
CAPITAL TODD R. WANEK 2016 GRAT	Deposits	Deposits	Deposits	THIRD LAKE
Advisory	436.07	536.27889		Classic
2018	201803	Stewart Oldfield	50067	Volume
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART	Deposits	Deposits	Deposits	LINDEMANN JR
Deposits	Classic	Advisory	62144.77	76425.63815
2018	201804	Stewart Oldfield	50067	Volume
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Deposits	Deposits	Deposits	DEERING/CROSBY
				Deposits

Classic Advisory	4085.48	4932.19573			
2018 201804 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
MARON M DEERING	Other Products	Funds Solutions	Classic	Advisory	
1196951.16	1445019.28791				
2018 201804 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
SPENCER DEERING	Capital Markets	Capital Markets	Classic	Advisory	
911666.89	1100609.85296				
2018 201804 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
2011 ANTHONY W DEERING TRUST UAD	11/21/1	Capital Markets	Capital Markets	Classic	
Classic Advisory	7617661.63	9196422.00282			
2018 201804 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
SPENCER DEERING	Deposits	Deposits	Classic	Advisory	
81.76	98.70476				
2018 201804 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
DEERING FAMILY LTD PARTNERSHIP	Other Products	Funds Solutions	Classic	Advisory	
Advisory	3287779.09	3969171.3064			
2018 201804 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
HEATHER CROSBY	Deposits	Deposits	Classic	Advisory	
199.87	241.29306				
2018 201804 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
45 SOUTH BATTERY PARTNERS LLC	Other Products	Funds Solutions	Classic	Advisory	
Advisory	58208.19	70271.83738			
2018 201804 Stewart Oldfield		50067	Volume	JOCKEY CLUB	
AXCIS INFORMATION NETWORK INC.	Deposits	Deposits	Classic	Advisory	
Advisory	261990.27	316287.75346			
2018 201804 Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE GRAYSON JOCKEY CLUB	Other Products	Funds Solutions	Classic	Advisory	
Advisory	1.158413462E7	1.398494651999E7			
2018 201804 Stewart Oldfield		50067	Volume	RASHID ALI	
ALI RASHID	Deposits	Deposits	Classic	Advisory	
4443.19	5364.04113				
2018 201804 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
LYNN DEERING REVOCABLE TRUST	Capital Markets	Capital Markets	Classic	Advisory	
Advisory	1.191743201E7	1.438731979407E7			
2018 201804 Stewart Oldfield		50067	Volume	GENERAL ATLANTIC	
FA MADISON PARK CAPITAL, LLC	Capital Markets	Capital Markets	Classic	Advisory	
Classic Advisory	2294421.21	2769940.00577			
2018 201805 Stewart Oldfield		50067	Volume	DEERING/CROSBY	
SANDRA DEERING	Capital Markets	Capital Markets	Classic	Advisory	
43699.68	50942.90196				
2018 201805 Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB	Deposits	Deposits	Classic	Advisory	
683490.72	796779.30684				
2018 201805 Stewart Oldfield		50067	Volume	JOCKEY CLUB	
EQUIBASE COMPANY	Other Products	Funds Solutions	Classic	Advisory	
Advisory	3290273.72	3835636.58909			
2018 201805 Stewart Oldfield		50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION	Other Products	Funds Solutions	Classic	Advisory	
Advisory	4802148.93	5598105.11515			
2018 201805 Stewart Oldfield		50067	Volume	ADIKESHAVAR	
ADIKESHAVAR HOLDINGS LLC	Capital Markets	Capital Markets	Classic	Advisory	

Advisory	3156649.09	3679863.67667				
2018	201805	Stewart Oldfield	50067	Volume	SK FAMILY	
OFFICE ECHELON		INVESTMENT PARTNERS MASTER LP.		Deposits		
Deposits		Classic Advisory	78339.89		91324.72677	
2018	201805	Stewart Oldfield	50067	Volume	RASHID ALI	
ALI RASHID		Deposits		Deposits	Classic Advisory	
117779.31		137301.23063				
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL SHARI WAGNER		2015 GRAT TRAVIS T. WAGNER		Deposits		
Deposits		Classic Advisory	214454.21		249999.99531	
2018	201805	Stewart Oldfield	50067	Volume	ELLIN HOWARD	
HOWARD ELLIN		DPM DPM DPM	220573.47		257133.52265	
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL KATI WANER-FORSYTHE		2015 GRAT TR FOR STE		Deposits		
Deposits		Classic Advisory	214454.21		249999.99531	
2018	201805	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL CAITLIN A. FORSYTHE		Deposits		Deposits	Classic	
Advisory		85.78 99.99804				
2018	201805	Stewart Oldfield	50067	Volume	LINDEMANN JR	
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART		Deposits		Deposits		
Deposits		Classic Advisory	82603.77		96295.34488	
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
SPENCER DEERING		Capital Markets Capital Markets		Classic	Advisory	
976654.74		1139414.25242				
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
THE GRAYSON JOCKEY CLUB		Other Products		Funds Solutions	Classic	
Advisory		1.239817001E7 1.446432504216E7				
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
THE GRAYSON JOCKEY CLUB		Deposits		Deposits	Classic	
Advisory		33594.59 39193.12842				
2018	201806	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Other Products		Funds Solutions	Classic	
Advisory		4824632.28 5628657.24946				
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
LYNN DEERING REVOCABLE TRUST		Lending Lending		Lombard	Classic	
Advisory		4027306.80 4698457.47822				
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
THE CHARLESMEAD FOUNDATION INC.		DPM DPM		DPM	856122.02	
998794.75463						
2018	201806	Stewart Oldfield	50067	Volume	SOUTHERN	
FINANCIAL		SOUTHERN TRUST COMPANY INC.		Deposits		
Deposits		Classic Advisory	88082.60		102761.56529	
2018	201806	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL TODD R. WANER		Deposits		Deposits	Classic	
Advisory		85.72 100.00524				
2018	201806	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL CAITLIN A. FORSYTHE		Deposits		Deposits	Classic	
Advisory		85.72 100.00524				
2018	201806	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL TODD R WANER 2015 GRAT TRUST FOR CAMERON		Deposits		Deposits		
Deposits		Classic Advisory	214288.78		250000.00519	

2018	201806	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits			
Deposits	Classic Advisory	48102.82			56119.15495
2018	201806	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Lending Lending Other Structured				DPM
88593.65	103357.78177				
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING	Capital Markets Capital Markets			Classic	Advisory
1005854.62	1176548.14901				
2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
AXCIS INFORMATION NETWORK INC.	Deposits			Deposits	Classic
Advisory	391043.76	457403.88607			
2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB	Deposits	Deposits		Classic	Advisory
1723032.47	2015431.08015				
2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY	Other Products	Funds Solutions			Classic
Advisory	2260733.01	2644379.40179			
2018	201807	Stewart Oldfield	50067	Volume	JOCKEY CLUB
COALITION FOR HORSE RACING INTEGRITY, IN	Deposits			Deposits	
Classic Advisory	162.26	189.79552			
2018	201807	Stewart Oldfield	50067	Volume	GENERAL ATLANTIC
FA	MADISON PARK CAPITAL, LLC	Capital Markets			Capital Markets
Classic Advisory	2423732.58	2835039.99883			
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2016 GRAT	Deposits			Deposits	
Classic Advisory	0.16	0.18715			
2018	201807	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL TRAVIS WAGNER	Deposits	Deposits			Classic
Advisory	214152.29	250493.93361			
2018	201807	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Capital Markets		Capital	Markets
Markets	Classic Advisory	1112056.41		1300772.38278	
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
HEATHER CROSBY	Lending Lending Other Structured				DPM
218528.79	255613.12566				
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE SANDRA E.C. DEERING 2018 IRREVOCABLE	Other Products	Funds Solutions			
Classic Advisory	2068209.47	2419184.61706			
2018	201807	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE	THE GEORGE LINDEMANN FAMILY LIMITED PART	Capital Markets		Capital	Markets
Markets	Classic Advisory	1011926.14		1183650.00596	
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2013 MARON DEERING INSURANCE TRUST UAD 0	Other Products	Funds Solutions			
Classic Advisory	271.86	317.99464			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SANDRA E DEERING GIFT TRUST UAD 12/09/11	Other Products	Funds Solutions			
Classic Advisory	206266.21	241269.58584			
2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
LYNN DEERING REVOCABLE TRUST	Capital Markets	Capital Markets			Classic
Advisory	1.264303991E7	1.478856378273E7			

2018	201807	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Other Products		Funds Solutions	Classic
Advisory	62689.52		73327.93155		
2018	201808	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB		Other Products		Funds Solutions	Classic
Advisory	2.378846877E7		2.765409494513E7		
2018	201808	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC HOLDINGS, INC		Deposits		Deposits	Classic
Advisory	6710.96		7801.491		
2018	201808	Stewart Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.		DPM	DPM	DPM	2640091.75
Advisory	3069106.65938				
2018	201808	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID		DPM	DPM	DPM	2313772.38
Advisory					2689760.39177
2018	201808	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES		DPM	DPM	DPM	1344450.09
Advisory	1562923.22963				
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL GEW 2007 LLC		Deposits		Deposits	Classic
Advisory	86.21		100.21913		
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KWF 2007 LLC		Deposits		Deposits	Classic
Advisory	86.02		99.99825		
2018	201808	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL		SOUTHERN TRUST COMPANY INC.		Deposits	
Deposits		Classic Advisory		88666.55	103074.86438
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR		FOR CAI		Deposits	
Deposits		Classic Advisory		215053.76	249999.996
2018	201808	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR MARA WAGNER				Deposits	
Deposits		Classic Advisory		215053.76	249999.996
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2011 ANTHONY W DEERING TRUST UAD 11/21/1		Capital Markets		Capital Markets	Capital Markets
Classic Advisory	8969928.26		1.042754160225E7		
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION		Other Products		Funds Solutions	Classic
Advisory	2723816.12		3166436.2395		
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Other Products		Funds Solutions	Classic
Advisory	3999139.93		4649000.16863		
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Capital Markets		Capital Markets	Classic
Advisory	7156705.88		8319670.5855		
2018	201808	Stewart Oldfield	50067	Volume	DEERING/CROSBY
45 SOUTH BATTERY PARTNERS LLC		Capital Markets		Capital Markets	Classic
Advisory	327821.52		381092.517		
2018	201808	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Capital Markets		Capital Markets	Capital
Markets Classic Advisory			1147466.37		1333929.65513
2018	201808	Stewart Oldfield	50067	Volume	THE NATIONAL

ORGANI Solutions	THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Funds	Classic Advisory	673374.23	782797.54238
2018	201809	Stewart Oldfield	50067	Volume	THE NATIONAL	
ORGANI only	THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products	Custody	Custody	3.097739992E7	3.600038531702E7
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
SANDRA DEERING	Other Products	Funds Solutions	Classic Advisory			
7737.24	8991.83346					
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
MARON M DEERING	Other Products	Funds Solutions	Classic Advisory			
1331665.95	1547595.5838					
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
CHRISTOPHER J. CROSBY	Capital Markets	Capital Markets	Classic			
Advisory	135084.8	156988.80032				
2018	201809	Stewart Oldfield	50067	Volume	RASHID ALI	
ALI RASHID	Capital Markets	Capital Markets	Classic Advisory			
361186.16	419752.49584					
2018	201809	Stewart Oldfield	50067	Volume	ELYSIUM	
MANAGEMENT	LEON D. BLACK	Deposits	Deposits		Classic	
Advisory	0.13	0.15108				
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL KATI WANEK-FORSYTHE	2015 GRAT TR	FOR CAI	Deposits			
Deposits	Classic Advisory		215118.53		249999.99964	
2018	201809	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL LAURA A FORSYTHE	REVOCABLE TRUST					
Deposits	Classic Advisory		86.05	100.00301		
2018	201809	Stewart Oldfield	50067	Volume	ASHLEY HOLDINGS	
ASHLEY HOLDINGS, INC.	Deposits	Deposits			Classic	
Advisory	24.74	28.75159				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
GREEN EAGLES PARTNERS LLC		Deposits	Deposits		Classic	
Advisory	20.19	23.46381				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2013 MARON DEERING	INSURANCE TRUST UAD 0	Deposits			Deposits	
Classic Advisory	-21.51	-24.99785				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2011 ANTHONY W DEERING	TRUST UAD 11/21/1	Other Products	Funds Solutions			
Classic Advisory	459727.09	534271.83764				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2016 MAYSON-DEERING	FAMILY TRUST	Capital Markets	Capital Markets			
Classic Advisory	11606.94	13489.00532				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
45 SOUTH BATTERY PARTNERS LLC		Capital Markets	Capital Markets		Classic	
Advisory	327649.05	380777.34346				
2018	201809	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
HEATHER CROSBY	DPM	DPM	DPM	3408589.92	3961292.77553	
2018	201810	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
BLOOD-HORSE LLC	Deposits	Deposits	Classic Advisory			
368056.30	416621.32879					
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL CRW 2007 LLC		Deposits	Deposits		Classic	

Advisory	88.44	100.10966				
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL SHARI WAGNER 2014 GRAT		Deposits		Deposits		Classic
Advisory	88.34	99.99646				
2018	201810	Stewart Oldfield	50067	Volume	SOUTHERN	
FINANCIAL		SOUTHERN FINANCIAL LLC	Deposits	Deposits		
Classic Advisory		1359679.04	1539088.68933			
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR		GABRIEL	Deposits			
Deposits		Classic Advisory	883431.25		1000000.00344	
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR		CAMERON	Deposits			
Deposits		Classic Advisory	441715.62		499999.99606	
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
293 RUMSTICK LLC		Other Products	Funds Solutions		Classic	
Advisory	6183.77	6999.71845				
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
ACE LIMITED PARTNERSHIP LLLP		Other Products	Funds Solutions		Classic	
Advisory	2040707.45	2309978.79802				
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
2011 ANTHONY W DEERING TRUST UAD 11/21/1		Other Products	Funds Solutions			
Classic Advisory		496283.16	561767.72296			
2018	201810	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL WANEK TRUST OF 2000		Deposits	Deposits		Classic	
Advisory	88.34	99.99646				
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
HEATHER CROSBY	DPM	DPM	DPM	3522625.22	3987435.61778	
2018	201810	Stewart Oldfield	50067	Volume	DEERING/CROSBY	
HEATHER CROSBY	Lending	Lending	Other Structured		DPM	
13643.52		15443.78246				
2018	201810	Stewart Oldfield	50067	Volume	THE NATIONAL	
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Deposits		Deposits		
Deposits		Classic Advisory	62777.54		71061.0364	
2018	201810	Stewart Oldfield	50067	Volume	LINDEMANN JR	
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART		Deposits		Deposits		
Deposits		Classic Advisory	102748.32		116305.96082	
2018	201811	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB FOUNDATION		Other Products	Funds Solutions		Classic	
Advisory	4929423.72	5579614.70867				
2018	201811	Stewart Oldfield	50067	Volume	BOIES FAMILY	
CHRISTOPHER BOIES	DPM	DPM	DPM	1368368.88		
1548856.73527						
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL SHARI WAGNER 2014 GRAT		Deposits		Deposits		Classic
Advisory	88.35	100.00337				
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL KATI FORSYTHE 2014 GRAT		Deposits		Deposits		Classic
Advisory	88.35	100.00337				
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL TODD R WANEK 2015 GRAT TRUST FOR		GABRIEL	Deposits			
Deposits		Classic Advisory	889096.38		1006368.19253	

2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL STEVEN FORSYTHE		Deposits	Deposits		Classic
Advisory		88.35 100.00337			
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX		Deposits	Deposits		Classic
Deposits		Classic Advisory	444548.20		503184.10758
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
GREEN EAGLES PARTNERS LLC		Deposits	Deposits		Classic
Advisory		41.61 47.09836			
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC		Deposits	Deposits		Classic
Advisory		-30.71 -34.76065			
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
2013 MARON DEERING INSURANCE TRUST UAD 0		Deposits	Deposits		Deposits
Classic Advisory		83.93 95.00037			
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE ROUSE COMPANY FOUNDATION		Deposits	Deposits		Classic
Advisory		90.34 102.25585			
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
THE CHARLESMEAD FOUNDATION INC.		Capital Markets	Capital Markets		Classic
Advisory		793219.37 897845.0049			
2018	201811	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CAMERON WANEK		Deposits	Deposits		Classic
Advisory		66569.48 75349.99441			
2018	201811	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING		Deposits	Deposits		Classic Advisory
0.78 0.88288					
2018	201812	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO		Deposits	Deposits		Deposits
Deposits		Custody 5669106.02	6488858.75049		
2018	201812	Stewart Oldfield	50067	Volume	BOIES FAMILY
CHRISTOPHER BOIES		Deposits	Deposits		Classic
Advisory		0.35 0.40061			
2018	201812	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION		Deposits	Deposits		Classic
Advisory		116772.02 133657.25409			
2018	201812	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL CRW 2007 LLC		Deposits	Deposits		Classic
Advisory		87.47 100.11816			
2018	201812	Stewart Oldfield	50067	Volume	SOUTHERN
FINANCIAL THE HAZE TRUST		Deposits	Deposits		Classic
Advisory		2383314.41 2727941.67369			
2018	201812	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL LAURA A FORSYTHE REVOCABLE TRUST		Deposits	Deposits		Deposits
Deposits		Classic Advisory	87.37 100.0037		
2018	201812	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL SHARI WAGNER 2015 GRAT FOR LEAH M FOX		Deposits	Deposits		Deposits
Deposits		Classic Advisory	439902.42		503512.30993
2018	201812	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SUPURLATIV LLC		Deposits	Deposits		Classic
Advisory		-30.37 -34.7615			

2018	201812	Stewart Oldfield	50067	Volume	ZUECH CHRIS
LONG TERM INSURED CREDIT FUND LLC			Other Products		Custody only
Custody	64170.83	73449.93202			
2018	201812	Stewart Oldfield	50067	Volume	PARAMI CAPITAL
MANA RAHUL VAID		Deposits	Deposits		Classic
Advisory	1533063.40	1754744.36764			
2018	201812	Stewart Oldfield	50067	Volume	BOIES FAMILY
DIAMOND B CAPITAL, INC.	DPM	DPM	DPM	2760903.52	
3160130.16899					
2018	201812	Stewart Oldfield	50067	Volume	ELLIN HOWARD
HOWARD ELLIN	DPM	DPM	DPM	174728.40	199994.12664
2018	201812	Stewart Oldfield	50067	Volume	DEERING/CROSBY
SPENCER DEERING	Deposits	Deposits		Classic	Advisory
4280.1	4899.00246				
2019	201901	Stewart Oldfield	50067	Volume	JOCKEY CLUB
INCOMPASS SOLUTIONS INC.	Deposits	Deposits	Deposits		Classic
Advisory	24029.58	27541.50312			
2019	201901	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC HOLDINGS, INC	Deposits	Deposits	Deposits		Classic
Advisory	6806.69	7801.48774			
2019	201901	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB FOUNDATION	Deposits	Deposits	Deposits		Classic
Advisory	112770.65	129252.0805			
2019	201901	Stewart Oldfield	50067	Volume	JOCKEY CLUB
THE JOCKEY CLUB PAC	Deposits	Deposits	Deposits		Classic
Advisory	52230.73	59864.25119			
2019	201901	Stewart Oldfield	50067	Volume	RASHID ALI
ALI RASHID	Capital Markets	Capital Markets	Capital Markets	Classic	Advisory
660725.04	757290.0046				
2019	201901	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL ROBERT S FORSYTHE REVOCABLE TRUST			Deposits		
Deposits	Classic	Advisory	87.25	100.00159	
2019	201901	Stewart Oldfield	50067	Volume	ELLIN HOWARD
HOWARD ELLIN	DPM	DPM	DPM	173294.24	198621.19318
2019	201901	Stewart Oldfield	50067	Volume	THIRD LAKE
CAPITAL KATI WANEK-FORSYTHE 2015 GRAT TR FOR STE			Deposits		
Deposits	Classic	Advisory	426.13	488.4089	
2019	201901	Stewart Oldfield	50067	Volume	LINDEMANN JR
GEORGE THE GEORGE LINDEMANN FAMILY LIMITED PART			Capital Markets	Capital	
Markets	Classic	Advisory	834227.63	956149.99812	
2019	201902	Stewart Oldfield	50067	Volume	THE NATIONAL
ORGANI THE NATIONAL ORGANIZATION OF THE NEW APO			Other Products	Custody	
only	Custody	3.005232097E7	3.421607004039E7		
2019	201902	Stewart Oldfield	50067	Volume	JOCKEY CLUB
TJC MEDIA VENTURES INC.	Deposits	Deposits	Deposits		Classic
Advisory	1612.80	1836.25344			
2019	201902	Stewart Oldfield	50067	Volume	JOCKEY CLUB
EQUIBASE COMPANY	Deposits	Deposits	Deposits		Classic
Advisory	1287499.63	1465882.70374			
2019	201902	Stewart Oldfield	50067	Volume	ZUECH CHRIS
LONG TERM INSURED CREDIT FUND LLC			Deposits		Deposits

Custody	0.01	0.01139				
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	CAITLIN A. FORSYTHE	Deposits		Deposits	Classic	
Advisory	87918.84	100099.99528				
2019	201902	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	KATI WANEK-FORSYTHE 2015 GRAT TR FOR CAI	Deposits		Deposits		
Deposits	Classic Advisory	429.80	489.34879			
2019	201902	Stewart Oldfield	50067	Volume	LINDEMANN JR	
GEORGE	ADDISON AT MILLENIA HOLDINGS, LLC	Deposits		Deposits		
Deposits	Classic Advisory	1232954.37	1403780.19796			
2019	201902	Stewart Oldfield	50067	Volume	LINDEMANN JR	
GEORGE	SABAL CLUB HOLDINGS, LLC	Deposits		Deposits		
Classic Advisory	7823.38	8907.3093				
2019	201903	Stewart Oldfield	50067	Volume	THE NATIONAL	
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Deposits		Deposits		
Deposits	Custody 979221.18	1099175.77455				
2019	201903	Stewart Oldfield	50067	Volume	THE NATIONAL	
ORGANI	THE NATIONAL ORGANIZATION OF THE NEW APO	Other Products		Custody		
only	Custody 2.864085074E7	3.214935495566E7				
2019	201903	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
INCOMPASS	SOLUTIONSINC.	Deposits		Deposits	Classic	
Advisory	48869.49	54856.00253				
2019	201903	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
THE GRAYSON	JOCKEY CLUB	Deposits		Deposits	Classic	
Advisory	35199.79	39511.76428				
2019	201903	Stewart Oldfield	50067	Volume	JOCKEY CLUB	
THE JOCKEY CLUB	FOUNDATION	Other Products		Funds Solutions	Classic	
Advisory	6181676.57	6938931.94983				
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	SSW 2007 LLC	Deposits		Deposits	Classic	
Advisory	89.09	100.00353				
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	SHARI WAGNER 2015 GRAT TRAVIS T. WAGNER	Deposits		Deposits		
Deposits	Classic Advisory	449412.21	504465.20573			
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	CAMERON WANEK	Deposits		Deposits	Classic	
Advisory	18129.18	20350.00455				
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	KATI WANEK- FORSYTHE 2012 IRR TRUST	Deposits		Deposits		
Deposits	Classic Advisory	766146.99	859999.99628			
2019	201903	Stewart Oldfield	50067	Volume	THIRD LAKE	
CAPITAL	SHARI WAGNER 2015 GRAT FOR LEAH M FOX	Deposits		Deposits		
Deposits	Classic Advisory	449412.21	504465.20573			
2019	201903	Stewart Oldfield	50067	Volume	LINDEMANN JR	
GEORGE	Deposits	Deposits		Classic Advisory		
919656.05	1032313.91614					
2019	201903	Stewart Oldfield	50067	Volume	LINDEMANN JR	
GEORGE	MARINA LANDING HOLDINGS, LLC	Deposits		Deposits		
Classic Advisory	283424.24	318143.7094				
2019	201903	Stewart Oldfield	50067	Volume	BOIES FAMILY	
DIAMOND B CAPITAL, INC.	Other Products	Funds Solutions		Classic		

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