

Subject: RE: Southern Financial [I]
From: Bradley Gillin <[REDACTED]>
Date: Fri, 05 Oct 2018 16:05:07 -0400
To: Alka Babu <[REDACTED]>,
Vijay-A Sawant <[REDACTED]>,
Stewart Oldfield <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>,
Mayur Rathod <[REDACTED]>,
Vinita Advani <[REDACTED]>

Classification: For internal use only

Alka,

The case was passed to the next queue. Thank you!

Regards,
Brad

{cid:image001.gif@01D45CC5.2DD05E10}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154

[REDACTED]
[REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Alka Babu
Sent: Friday, October 05, 2018 11:40 AM
To: Bradley Gillin <[REDACTED]>; Vijay-A Sawant <[REDACTED]>
<[REDACTED]>; Stewart Oldfield <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>; Mayur Rathod
<[REDACTED]>; Vinita Advani <[REDACTED]>
Subject: RE: Southern Financial [I]

Classification: For internal use only

Hi Bradley,

KYC # 01977694 is duly complete and have assigned to you for further review and action. Thank you !

Have a great weekend J

Kind regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Alka Babu

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India

From: Bradley Gillin
Sent: Wednesday, September 26, 2018 7:12 PM
To: Vijay-A Sawant <> ; Stewart Oldfield
<>
Cc: Richard Iarossi <> ; Mayur Rathod
<> ; Alka Babu <>
Subject: RE: Southern Financial [I]

Importance: High

Classification: For internal use only

Vijay,

Purpose of the account required for following DB accts –

1. JEGE, INC Deposit acct [REDACTED]
 - a. This is a simple checking account for pay for costs associated for Jeffrey Epstein's airplanes
2. JEGE, LLC Deposit acct [REDACTED]
 - a. This is a simple checking account for daily expense including taxes and payments for Jeffrey Epstein's airplanes
3. JEEPERS INC Brokerage acct [REDACTED]
 - a. This is a brokerage account for a private company to hold and make investments in marketable securities
4. PLAN D, LLC Deposit acct [REDACTED]
 - a. This is a simple checking account for pay for costs associated for Jeffrey Epstein's airplanes
5. The 2007 Jeffrey E. Epstein Insurance Deposit acc [REDACTED]
 - a. This is a simple checking account for costs (taxes, etc.) related to this Trust
6. NES, LLC Deposit acct [REDACTED]
 - a. This is a simple checking account for pay for costs associated for Jeffrey Epstein's airplanes
7. THE HAZE TRUST Deposit [REDACTED]
 - a. [REDACTED] - This is a simple checking account for costs (taxes, etc.) related to this Trust
 - b. [REDACTED] – This is an interest bearing checking account for costs (taxes, etc.) related to this Trust

c. [REDACTED] - This is a brokerage account for the trust to hold and make investments in marketable securities

Finally, Estimated Annual Gross Income (Entity) and Estimated Annual Net Profit required for the following account holders:-

- The 2007 Jeffrey E. Epstein Insurance Trust#3
 - o Annual Income – 200,000, Net Profit – 100,000
- The Haze Trust
 - o Annual Income – 5,000,000; Net Profit - 4,000,000
- NES, LLC
 - o Annual income – 400,000; Net Profit – 200,000
- Plan D, LLC
 - o Annual income – 400,000; Net Profit – 200,000

Regards,
Brad

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Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154

[REDACTED]
[REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Vijay-A Sawant
Sent: Wednesday, September 26, 2018 6:02 AM
To: Stewart Oldfield <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Richard Iarossi
<[REDACTED]>; Mayur Rathod <[REDACTED]>; Alka Babu
<[REDACTED]>
Subject: RE: Southern Financial
Importance: High

Hello Stewart,

We have some good news in that regard. We have had 2 KYC's (01977698 & 01946825) which got approved in this past week. These cases cover high risked accounts Prytanee, LLC, [REDACTED], Neptune, LLC & Butterfly Trust.

We are in the process of submitting more cases on top of cases already submitted which are under review with AML at the moment, e.g. Epstein, Jeffrey E., Southern Trust Company, Inc and Zorro Management LLC, etc.

We are awaiting docs for the following entities from this relationship for high risk accounts only –

- Gratitude America Ltd (Docs of significant donor)
- Jeepers Inc (COGS for acct holder)
- Plan D, LLC (COGS for acct holder)

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Additionally we require following info from you to complete the cases in DBForce:

Purpose of the account required for following DB accts –

1. JEGE, INC Deposit acct [REDACTED]
2. JEGE, LLC Deposit acct [REDACTED]
3. JEEPERS INC Brokerage acct# [REDACTED]
4. PLAN D, LLC Deposit acct [REDACTED]
5. The 2007 Jeffrey E. Epstein Insurance Deposit acct [REDACTED]
6. NES, LLC Deposit acct [REDACTED]
7. THE HAZE TRUST Deposit [REDACTED], [REDACTED] & [REDACTED]

Finally, Estimated Annual Gross Income (Entity) and Estimated Annual Net Profit required for the following account holders:-

- The 2007 Jeffrey E. Epstein Insurance Trust#3
- The Haze Trust
- NES, LLC
- Plan D, LLC

We will be able to submit all the remaining cases from this relationship once the above info & docs are received. Let me know if any queries, thanks.

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India

[REDACTED]

[REDACTED]

From: Stewart Oldfield
Sent: Wednesday, September 26, 2018 1:36 AM
To: Vijay-A Sawant <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Richard Iarossi
<[REDACTED]>
Subject: Southern Financial

Vijay,

Have we had any feedback on the lasted KYCs you submitted for Southern Financial? Would be good to understand which ones we still need more info on. I think we are still waiting on COGS for Jeepers and Neptune. We also still need to resolve the BV70 issue with Gratitude America. All of this is with the client at this point. Is there anything else I need to be following up on?

Thanks

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Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas

EFTA01431680

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