

Subject: Re: Epstein - negative media [I]
From: Kimberly Hart <[REDACTED]>
Date: Wed, 31 Oct 2018 21:00:10 -0400
To: Stewart Oldfield <[REDACTED]>

Were you able to obtain the additional details from the client as discussed with Andrew?

Kimberly Hart
Managing Director | Divisional Control Officer

Wealth Management Americas

Deutsche Bank Trust Company Americas
345 Park Avenue, New York, NY 10154

[REDACTED]
[REDACTED]
[REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc

On Oct 22, 2018, at 3:39 PM, Stewart Oldfield <[REDACTED]>
wrote:

> Might be easiest to discuss. Please let me know when

From: Kimberly Hart
Sent: Monday, October 22, 2018 2:30 PM
To: Richard Iarossi <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Stewart Oldfield
<[REDACTED]>; Andrew Gallivan <[REDACTED]>
Subject: FW: Epstein - negative media [I]

Classification: For internal use only

It is hard for me to comment without additional information. I am comforted by the fact that the case was dismissed but it would be helpful to know the following:

(1) Why was this suit brought against Mr. Epstein? What was his connection to Hoffenberg?

(2) What was told to you by Mr. Epstein or his representatives regarding the claims? Did he serve as a "consultant" to TFC?

(3) Why were the claims dismissed?

(4) Has Andrew reviewed the information and is he comfortable maintaining the relationship with Mr. Epstein and his related entities?

<image001.gif>

Kimberly Hart
Managing Director | Divisional Control Officer

Wealth Management Americas

Deutsche Bank Trust Company Americas
345 Park Avenue, 14th floor, New York, NY 10154

[REDACTED]
[REDACTED]
[REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Richard Iarossi
Sent: Monday, October 22, 2018 1:36 PM
To: Kimberly Hart <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Stewart Oldfield
<[REDACTED]>
Subject: RE: Epstein - negative media [I]

Classification: For internal use only

Hi Kim,

I hope you had a nice weekend.

Just wanted to follow up on the below. Attached is the Dismissal Notice for the latest lawsuit filed against Jeffrey Epstein. Compliance has asked that we obtain your approval to continue the KYC approval process.

Please let me know if you have any questions or would like to discuss.

Thank You,

Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Richard Iarossi
Sent: Thursday, October 18, 2018 5:22 PM
To: Kimberly Hart <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Stewart Oldfield
<[REDACTED]>
Subject: RE: Epstein - negative media [I]

Classification: For internal use only

Hi Kim,

AML Compliance (Yoonsun) has requested that we receive your approval to move forward on all Southern Financial/Epstein KYC remediation's.

As per your discussions with Stew regarding the latest lawsuit against Jeffrey, please find the attached 'Notice of Dismissal' dated 10/5/18.

As this occurred after your approval on 10/2/17, please confirm again that we are comfortable moving forward.

Thank You,

Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Kimberly Hart
Sent: Tuesday, October 09, 2018 12:15 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>
Subject: RE: Epstein - negative media [I]

Classification: For internal use only

Was this returned as an RDC alert – or part of the regular Lexis/Nexis due

diligence?

<image001.gif>

Kimberly Hart
Managing Director | Divisional Control Officer

Wealth Management Americas

Deutsche Bank Trust Company Americas
345 Park Avenue, 14th floor, New York, NY 10154

[REDACTED]
[REDACTED]
[REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Stewart Oldfield
Sent: Tuesday, October 09, 2018 11:03 AM
To: Kimberly Hart <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>
Subject: Epstein - negative media

Kim,

This just surfaced in our latest KYC reviews. Let's discuss what info I need from the client and then next steps. Thanks

http://www.classactionsreporter.com/sites/default/files/-towers_ponzi_scheme_complaint.pdf

EFTA01431695

<image002.png>

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. [REDACTED]
Mobil [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.