

Subject: RE: Apple transfer to Merrill Lynch [I]
From: Tazia Smith <[REDACTED]>
Date: Thu, 30 Mar 2017 13:42:35 -0400
To: Mary Murray <[REDACTED]>,
Zbynek Kozelsky <[REDACTED]>,
Joshua Shoshan <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>,
Vahe Stepanian <[REDACTED]>

Classification: For internal use only

Mary –

Please see attached LOA. I've spoken with Rich Kahn. The move is not same name to same name because shares are held in Southern Financial account here at DB, and going to Southern Trust over at Merrill.

Given resource constraints on your desk, should I be following up directly with Pershing?

Please advise.

TDS

From: Tazia Smith
Sent: Thursday, March 30, 2017 11:09 AM
To: Mary Murray; Joshua Shoshan
Cc: Stewart Oldfield; Vahe Stepanian; Zbynek Kozelsky
Subject: RE: Apple transfer to Merrill Lynch [I]

Classification: For internal use only

Vahe's out. Josh, would you like to take care of that? Need me to?

From: Mary Murray
Sent: Thursday, March 30, 2017 11:07 AM
To: Tazia Smith
Cc: Stewart Oldfield; Joshua Shoshan; Vahe Stepanian; Zbynek Kozelsky
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We need a signed LOA from the client for below that also references his DBSI account number.

We're shorthanded so it would be great if Vahe could ask the client for that. Thank you.

From: Tazia Smith
Sent: Thursday, March 30, 2017 11:04 AM
To: Zbynek Kozelsky
Cc: Mary Murray; Stewart Oldfield; Joshua Shoshan; Vahe Stepanian
Subject: FW: Apple transfer to Merrill Lynch [I]
Importance: High

Classification: For internal use only

Ziggy –

Stock transfer for JE. I spoke with Rich Kahn on this. Given constrained resources, please advise on next step to effectuate these instructions:.

From: Richard Kahn [mailto:████████████████████]
Sent: Thursday, March 30, 2017 10:52 AM
To: Tazia Smith
Cc: Stewart Oldfield; Jeanne Brennan
Subject: Apple transfer to Merrill Lynch

Can you please transfer 100,000 shares from southern financial Llc to Merrill southern trust account

1. Please send shares to
 - DTCC Participant # 2251
 - Agent ID 26320
 - FFC 603118.1 Southern Trust Company, Inc.

Please call me with any questions. Thank you.

Richard Kahn

HBRK Associates Inc.

575 Lexington Avenue, 4th Floor

New York, NY 10022

Phone ██████████

Fax ██████████

Cell ██████████

