

Subject: RE: trading capabilities
From: Stewart Oldfield <[REDACTED]>
Date: Tue, 25 Apr 2017 16:02:01 -0400
To: Richard Kahn <[REDACTED]>
Cc: Vahe Stepanian <[REDACTED]>

Generally I think we can just do listed options in your wealth management brokerage accounts. We don't have investment banking/OTC capabilities for you unless we can trade via long form confirmation. Copying Vahe who can clarify.

Thanks

From: Richard Kahn [mailto:[REDACTED]]
Sent: Tuesday, April 25, 2017 3:48 PM
To: Stewart Oldfield
Subject: trading capabilities

are we able to do any of the following trades in our accounts

Trade fx forwards and options
CDS
Equity derivatives

If so would this be out of your Wealth Management group or Investment Bank?

please advise

thank you

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