

Subject: Re: [C]
From: Tazia Smith <[REDACTED]>
Date: Wed, 29 Oct 2014 13:16:39 -0400
To: jeffrey E. <jeevacation@gmail.com>
Cc: Daniel Sabba <[REDACTED]>,
Paul Morris <[REDACTED]>,
Richard Kahn <[REDACTED]>,
Stewart Oldfield <[REDACTED]>,
Vahe Stepanian <[REDACTED]>

Classification: Confidential

Jeffrey - Thus far you have bought 72,700 shares of Citi 5.8% pfd at \$24.23238. Please let us know if you want to modify.

TDS

From: Tazia Smith/db/-
dbcom

To: "jeffrey E."
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn
<[REDACTED]>, Stewart
Oldfield/db/dbcom@DBAMERICAS, Vahe Stepanian/db/-
dbcom@DBAMERICAS

Date: 10/29/2014 10:57
AM

Subject: Re:
[C]

EFTA01433000

Classification: Confidential

Jeffrey - Just tried your cell. You are done on 36,600 at \$24.22315. Do you want to pull back the throttle by reducing the total share for today's order or using a limit? Please let us know.

(Embedded image moved to file: pic17043.gif)

From: Tazia Smith/db/-
dbcom

To: "jeffrey E."
<jeevacation@gmail.com>@DEUBAINT,

Cc: Richard Kahn <[REDACTED]>, Daniel Sabba/db/-
dbcom@DBAMERICAS, Vahe
Stepanian/db/dbcom@DBAmericas, Paul Morris, Stewart Oldfield/
db/dbcom@DBAMERICAS

Date: 10/29/2014 10:02
AM

Subject: Re:
[C]

Classification: Confidential

Jeffrey - done on 14,900 at 24.19. The size of the vwap order is lifting this bid. Suggest we work just 30-50k shares total on the day. Please let us know.

From: "jeffrey E."
<jeevacation@gmail.com>

To: Tazia Smith/db/dbcom@DBAMERICAS, Richard Kahn
<[REDACTED]> ,

Date: 10/29/2014 08:43
AM

Subject: Re:
[C]

vwap ok

On Wed, Oct 29, 2014 at 8:39 AM, Tazia Smith <[REDACTED]> wrote:
Classification: Confidential

Good Morning Jeffrey - Re-enter order?
Use limit and/or participate % volume?

Thanks,
Tazia

Fro Tazia Smith/db/-
dbcom

m:

To: "jeffrey E."
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn
<
[REDACTED]>, Stewart Oldfield/db/dbcom@DBAMERICAS,
Vahe
Stepanian/db/-
dbcom@DBAMERICAS

Dat 10/28/2014 04:14
PM

e:

Sub Trade Report 10/28/14
[C]

jec

t:

Classification: Confidential

Jeffrey -

Today you executed the following:

B 49,033 Citi 5.8% pfd at \$24.0205

This leaves 150,967 shares to buy. Re-enter tomorrow?
Your other Citi pfd is held in Haze. Would you like this booked there as

well?

Thank You,
Tazia

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Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

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Fro Tazia Smith/db/-
dbcom

m:

To: "jeffrey E."
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn
<[REDACTED]>, Stewart Oldfield/db/dbcom@DBAMERICAS,
Vahe
Stepanian/db/-
dbcom@DBAMERICAS

Dat 10/28/2014 02:24
PM

EFTA01433004

e:

Sub Re:
[C]

jec

t:

Classification: Confidential

Jeffrey - Thus far done on 36,300 Citi 5.8% pfd at \$23.9964. Participating 25% of the volume now. Please let us know if you would like us to be more aggressive. Not enough volume to get done on 200k in one day.

Tazia

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VWAP from time of Order Entry: \$24.0117
(Embedded image moved to file: pic24230.gif)

(Embedded image moved to file: pic30491.gif)

Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

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Fro Tazia Smith/db/-
dbcom

m:

To: "jeffrey E."
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn
<
[REDACTED]>, Stewart Oldfield/db/dbcom@DBAMERICAS,
Vahe
Stepanian/db/-
dbcom@DBAMERICAS

Dat 10/28/2014 01:11
PM

e:

Sub Re:
[C]

jec

t:

Classification: Confidential

Jeffrey - thus far done on 35,100 Citi 5.8% pfd at \$23.9945 working at 24.05 so to continue to participate. given low avg daily volume, unlikely we would get it all 200k done in one day, so working not to push price. please come back to me if you want to be more aggressive.

Thanks!
Tazia

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Fro Tazia Smith/db/-
dbcom

m:

To: "jeffrey E."
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn
<
[REDACTED]>, Vahe Stepanian/db/dbcom@DBAMERICAS,
Stewart
Oldfield/db/-
dbcom@DBAMERICAS

Dat 10/28/2014 11:36
AM

e:

Sub Re:
[C]

jec

t:

Classification: Confidential

Jeffrey - Thus far you have purchased 34,900 shares of Citi 5.8% pfd at

EFTA01433007

\$23.9945; the pfd is presently \$24, we're working aggressively at that level. Please let us know if you want us to bid higher.

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From Tazia Smith/db/-
dbcom
:

To: "jeffrey E."
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn
<
[REDACTED]>, Vahe Stepanian/db/-
dbcom@DBAMERICAS

Date 10/28/2014 11:06
AM
:

Subj Re:
[C]

ect:

Classification: Confidential

Jeffrey - How aggressive do you want us to be? Buying 200k shares, Citi
5.8% pfd has traded 15.6k shares this morning, 28k dailyavg. Do you want
to
give us a limit?

EFTA01433008

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Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic14074.gif)

From Tazia Smith/db/-
dbcom
:

To: "jeffrey E."
<jeevacation@gmail.com>@DEUBAINT,

Cc: Richard Kahn <[REDACTED]>,
Daniel Sabba/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAmericas,
Paul
Morris

Date 10/28/2014 10:57
AM
:

Subj Re:
[C]

ect:

Classification: Confidential

Citi 5.8% Perpetual Preferred (cusip: 172967366) , trading ~23.92, buying \$5mm worth. got it.

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Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic21566.gif)

From: "jeffrey E."
<jeevacation@gmail.com>

To: Tazia Smith/db/dbcom@DBAMERICAS, Richard Kahn
<

[REDACTED]> ,

Date: 10/28/2014 10:54
AM

Subject

:

buy \$5MM of this issue.

Citi 5.80% PFD

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please note

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