

Subject: FW: Frieze New York invitation to Preview day, Wednesday, May 2
From: Stewart Oldfield <[REDACTED]>
Date: Mon, 12 Mar 2018 15:49:51 -0400
To: Ana A Zamora <[REDACTED]>

From: Sarah Rafferty
Sent: Wednesday, March 07, 2018 5:38 PM
To: Stewart Oldfield <[REDACTED]>
Subject: Frieze New York invitation to Preview day, Wednesday, May 2

We are following the same process as last year – as long as your invitees meet the criteria outlined below, you may extend the invitation without securing pre-approval in the compliance system. If the client accepts, you will then be required to log in to Compliance using ID 219401 in the amount of €152 pp. All details are below including the invitation. If you have any questions, just let me know. Thanks.

Criteria:

- Invitees should be limited to the wealth management client (or prospect) and/or their family members
- No sensitive counterparts (including public officials, regulatory agencies, etc.)
- DB payment of client travel & accommodation is not permitted
- Pending business at the time of invite is not permitted (i.e. specific discussions pertaining to investment type and amount have occurred and decision or action is pending with client, or discussion on moving assets from bank x to DB).
- Prospective business is ok – general relationship building.

If your list below meets the above criteria, you may extend the invitation for the Wednesday, May 2 Preview of Frieze New York (invitation is below). If you have any questions, please let me know. Thanks.

Wed. Preview

2

Rudy

Sahay

54 Madison

CEO

Stewart Oldfield

Wed. Preview

2

Leon

Black

Apollo

CEO

Stewart Oldfield

Wed. Preview

2

Michael

Bloomberg

Bloomberg LP

CEO

Stewart Oldfield

Wed. Preview

1

Andrew

Weinberg

Brightstar

Founding Partner

Stewart Oldfield

Wed. Preview

1

Renee

Noto

Brightstar

Partner

Stewart Oldfield

Wed. Preview

1

Roger

Bulloch

Brightstar

Partner

Stewart Oldfield

Wed. Preview

1

Rahul

Vaid

Crestline

Partner

Stewart Oldfield

Wed. Preview

1

Tom

Danziger

Danziger, Danziger & Muro

JD

Stewart Oldfield

Wed. Preview

2

Brad

Wechsler

Elysium

CEO

Stewart Oldfield

Wed. Preview

2

Barry

Cohen

Elysium

President

Stewart Oldfield

Wed. Preview

2

Frohman

Anderson

Everwatch

CEO

Stewart Oldfield

Wed. Preview

2

Matt

Womble

Everwatch

CIO

Stewart Oldfield

Wed. Preview

1

Edouard

Gerschel

Gerschel

Principal

Stewart Oldfield

Wed. Preview

1

Jonathan

Botwinick

Gerschel

Counsel

Stewart Oldfield

Wed. Preview

2

Katherine

Montrone

Liberty Lane

Partner

Stewart Oldfield

Wed. Preview

2

Adam

Lindemann

Lindemann Capital

Principal

Stewart Oldfield

Wed. Preview

1

Don

Marron

Marron Investments

Principal

Stewart Oldfield

Wed. Preview

1

Tony

Persico

Marron Investments

CIO

Stewart Oldfield

Wed. Preview

1

Todd

Meister

Meister Global

Principal

Stewart Oldfield

Wed. Preview

2

Jim

Burritt

Pacific Life

Head Private Investments

Stewart Oldfield

Wed. Preview

2

Ali

Rashid

pvt client

Stewart Oldfield

Wed. Preview

1

Greg

Martin

Shamrock

CEO

Stewart Oldfield

Wed. Preview

1

Stan

Gold

Shamrock

Chairman

Stewart Oldfield

Wed. Preview

2

Max

Loughman

Disney Family

pvt client

Stewart Oldfield

Wed. Preview

2

Barry

Bloom

TFMG

Principal

Stewart Oldfield

Wed. Preview

1

Steve

Warner

TFMG

Principal

Stewart Oldfield

Wed. Preview

1

Paul

Watson

Thirdlake

CIO

Stewart Oldfield

Wed. Preview

2

Ken

Jones

Thirdlake

CEO

Stewart Oldfield

Wed. Preview

2

Robert

Forsythe

Thirdlake

VP Investments

Stewart Oldfield

Wed. Preview

1

Brad

Briner

Willet

CIO

Stewart Oldfield

Wed. Preview

1

David

Homrich

AMB Family Office

CIO

Stewart Oldfield

Wed. Preview

1

Laura

Barillaro

Jockey Club

Treasurer

Stewart Oldfield

Wed. Preview

1

Kelly

Masuda

Caruso Affiliated

CFO

Stewart Oldfield

Wed. Preview

2

Heather

Crosby

Mathey's Lane

President

Stewart Oldfield

Wed. Preview

1

Jonathan

Sobel

Hilltop Holdings

CIO

Stewart Oldfield

Wed. Preview

1

Paul

Barrett

Alpha Group Capital

CEO

Stewart Oldfield

Invitation:

{Frieze New York – 2 May 2018}

