

Clients+Products

Contact Information	KCP	AFS	Structured Notes	Periodic
communication Events/	Entertainment			
General Information	Current Offerings	September Offerings	General Current	
Offerings	September Offerings			
Relationship Name	Family Office Name	First	Last	
Claimed?	C/P/I	Email	Phone	Address City State Zip
Private Markets Focus	Capital Markets Focus	Research Focus	Notes	
To Do	Suntex AcTRS	RIN	Retrofit	ABR Astra Extell
RESOF	Proton Phoenix	Lease Monetization	AFS Focus	Research
Focus	Risk Factors	Notes	To Do	Interest Notes MS Buffered Jump
Plu	MS Apple Autocall	MS Crude Oil Autocall	HSBC SX5E Buffered	
Accelerated Return	CS XOP Accelerated Return	CS SPX Callable		
JP SPX Twin Win	MS SPX Buffered Jump	CIO View	Tax Topics	
Structured Notes	Other Interests	Frieze London (2015)	Invite	
Invite Sent/ response	Tailgate (\$375)	KKR/ (event)	Deposit	
Pref.	CD			
Algappan, Arun	Arun	Alagappan	P	[REDACTED]
[REDACTED]	210 East 86th St, Suite 601	New York	NY	
10028	IF(COUNTA(AI4,AJ4,AK4,AL4,AM4,AN4,A04,AP4)>0,"Y","")=		Y	Y
Amster, Yosi	Yosi	Amster	I	[REDACTED]
[REDACTED]	340 Madison Ave, 21st Fl	New York	NY	
10173	IF(COUNTA(AI5,AJ5,AK5,AL5,AM5,AN5,A05,AP5)>0,"Y","")=		Y	Y
Annandale Capital	P	325 North St. Paul Street Suite 3500		
Dallas TX	75201	General. Funds easier, but comfortable with direct		
PE	n/a	RIN, RESOF, Suntex, Astra follow up	sent 3/20. May	
have interest in second close	sent 3/20	sent 3/1	sent	
3/24	IF(COUNTA(AI6,AJ6,AK6,AL6,AM6,AN6,A06,AP6)>0,"Y","")=		Y	
Anschutz	[REDACTED]	555 Seventeenth Street,		
Suite 2400	Denver CO	80202	Telco, Rail, Oil&Gas, Sports/-	
Entertainment, Lodging/Hospitality		energy	Do not want funds.	
Looking at experiential travel ideas		meet w/ Scott in Denver	sent	
12/18	sent 3/18. Passed 4/1	Sent 10/7		
IF(COUNTA(AI7,AJ7,AK7,AL7,AM7,AN7,A07,AP7)>0,"Y","")=			Y	
Archer, Thomas J.	Thomas	Archer	I	[REDACTED]
[REDACTED]	90 Park Ave	New York	NY	10016
IF(COUNTA(AI8,AJ8,AK8,AL8,AM8,AN8,A08,AP8)>0,"Y","")=			Y	Y
Arcus	[REDACTED]	3060 Peachtree Rd. NW Suite		
1880	Atlanta GA	30305		
IF(COUNTA(AI9,AJ9,AK9,AL9,AM9,AN9,A09,AP9)>0,"Y","")=			Y	
Arcus	[REDACTED]	3060 Peachtree Rd. NW Suite 1880		
Atlanta GA	30305			
IF(COUNTA(AI10,AJ10,AK10,AL10,AM10,AN10,A010,AP10)>0,"Y","")=			Y	
Attori, Gregory	Gregory	Attorri	I	[REDACTED]
485 Park Ave, Suite 4B	New York	NY	10022	
IF(COUNTA(AI11,AJ11,AK11,AL11,AM11,AN11,A011,AP11)>0,"Y","")=			Y	Y
Augenblick, Andy	Andy	Augenblick		
[REDACTED]	[REDACTED]	[REDACTED]	730 Park Ave	
New York	NY	10021		
IF(COUNTA(AI12,AJ12,AK12,AL12,AM12,AN12,A012,AP12)>0,"Y","")=			Y	
Barry, Mitchell	Mitchell	Barry	C/P	[REDACTED]

[REDACTED] 7 Penn Plaza, Suite 1400 New York NY
 10001 IF(COUNTA(AI13,AJ13,AK13,AL13,AM13,AN13,A013,AP13)>0,"Y","")=
 Y Y
 BBR Partners Todd Whitenack P
 [REDACTED] [REDACTED] 140 E. 45th Street, 26th
 Floor New York NY 10017 Energy, EM, funds on
 Blackrock DNC list sent 3/16. Passed 3/24
 IF(COUNTA(AI14,AJ14,AK14,AL14,AM14,AN14,A014,AP14)>0,"Y","")= Y y
 Beller, Harry Harry Beller C/P [REDACTED] 12 Golar
 Dr Monsey NY 10952
 IF(COUNTA(AI15,AJ15,AK15,AL15,AM15,AN15,A015,AP15)>0,"Y","")= Y Y
 Bhalla, Ajay Ajay Bhalla P [REDACTED]
 IF(COUNTA(AI16,AJ16,AK16,AL16,AM16,AN16,A016,AP16)>0,"Y","")= Y Y
 Blank David Homrich [REDACTED] 3223 Howell Mill Road,
 Northwest Atlanta GA 30347
 IF(COUNTA(AI17,AJ17,AK17,AL17,AM17,AN17,A017,AP17)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 Blue Mountain Peter Greatrex P
 [REDACTED] [REDACTED] 280 Park Ave, 12th
 FL New York NY 10017 Sent 9/22
 IF(COUNTA(AI18,AJ18,AK18,AL18,AM18,AN18,A018,AP18)>0,"Y","")= Y
 Bluestem LLC P [REDACTED] 212 E. Main
 Street, Suite 201 Charlottesville VA 22902 General sent 3/1.
 Passed 3/12
 IF(COUNTA(AI19,AJ19,AK19,AL19,AM19,AN19,A019,AP19)>0,"Y","")= Y
 Brandis, Steve Steve Brandis [REDACTED]
 [REDACTED] 215 W 40th St, 10th Fl New York NY 10018
 IF(COUNTA(AI20,AJ20,AK20,AL20,AM20,AN20,A020,AP20)>0,"Y","")= Y
 Bright Group Darren Campf P [REDACTED] 200
 Greenbrier Road Summersville WV 26651
 IF(COUNTA(AI21,AJ21,AK21,AL21,AM21,AN21,A021,AP21)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 Bulloch, Roger Roger Bulloch P [REDACTED] Las
 Vegas NV maybe as a seller? n/a Makes direct investments
 into pvt companies. 12-24m hold meeting sent 8/12 sent
 3/1 IF(COUNTA(AI22,AJ22,AK22,AL22,AM22,AN22,A022,AP22)>0,"Y","")=
 Y Y Sent 10/1 Sent 10/1
 Caruso Affiliated Kelly Masuda [REDACTED]
 101 The Grove Drive Los Angeles CA 90036 Real Estate,
 general sent 2/26. Passed 3/20 Sent 10/7
 IF(COUNTA(AI23,AJ23,AK23,AL23,AM23,AN23,A023,AP23)>0,"Y","")= Y Y
 Caruso, Richard Gary Deilella P
 [REDACTED] 795 E. Lancaster Avenue Suite 200
 Villanova PA 19085 General, prefer funds or direct PE
 sent 7/28
 IF(COUNTA(AI24,AJ24,AK24,AL24,AM24,AN24,A024,AP24)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 Caruso, Richard Richard Caruso P [REDACTED] 795 E.
 Lancaster Avenue Suite 200 Villanova PA 19085 General,
 prefer funds or direct PE sent 7/28
 IF(COUNTA(AI25,AJ25,AK25,AL25,AM25,AN25,A025,AP25)>0,"Y","")= Y y

Cavalry Andrew Zaro P [REDACTED]
 IF(COUNTA(AI26,AJ26,AK26,AL26,AM26,AN26,A026,AP26)>0,"Y","")= Y
 Sent 10/1 Sent 10/1
 CFM Rob P [REDACTED] 720 University Avenue,
 Suite 200 Los Gatos CA 95032 sent 2/26. Passed 2/26
 Convey sending?
 IF(COUNTA(AI27,AJ27,AK27,AL27,AM27,AN27,A027,AP27)>0,"Y","")= Y
 Sent 10/1 Sent 10/1
 CFM Tim Sarhatt P [REDACTED] 720 University
 Avenue, Suite 200 Los Gatos CA 95032 sent 2/26. Passed
 2/26 Convey sending?
 IF(COUNTA(AI28,AJ28,AK28,AL28,AM28,AN28,A028,AP28)>0,"Y","")= Y
 Sent 10/1 Sent 10/1
 Cline, Michael Michael Cline C/P [REDACTED]
 [REDACTED] 51 Madison Ave, Fl 31 New York NY 10010
 IF(COUNTA(AI29,AJ29,AK29,AL29,AM29,AN29,A029,AP29)>0,"Y","")= Y Y
 Cold Spring Harbor Laboratory Dill Ayres P [REDACTED]
 [REDACTED] 1 Bungtown Rd Cold Spring Harbor NY 11724
 IF(COUNTA(AI30,AJ30,AK30,AL30,AM30,AN30,A030,AP30)>0,"Y","")= Y
 Cold Spring Harbor Laboratory Richard Leeds P
 [REDACTED] [REDACTED] 11 Harbor Park Dr Port
 Washington NY 11050
 IF(COUNTA(AI31,AJ31,AK31,AL31,AM31,AN31,A031,AP31)>0,"Y","")= Y Y
 Coleman, Gail Gail Coleman P [REDACTED]
 [REDACTED] 405 Lexington Ave, Fl 71 New York NY
 10174 IF(COUNTA(AI32,AJ32,AK32,AL32,AM32,AN32,A032,AP32)>0,"Y","")=
 Y Y
 Cox, Berry Cox Partners P [REDACTED] 2100
 McKinney Ave, Suite 1700 Dallas TX 75201
 IF(COUNTA(AI33,AJ33,AK33,AL33,AM33,AN33,A033,AP33)>0,"Y","")= Y
 Cross, Lisa Adreinne Cannella P
 [REDACTED] [REDACTED] 300 Old Country Rd, Suite 351
 Mineola NY 11510
 IF(COUNTA(AI34,AJ34,AK34,AL34,AM34,AN34,A034,AP34)>0,"Y","")= Y
 Crystal, Jonathan Jonathan Crystal I
 [REDACTED] [REDACTED] Financial Sq 32 Old
 Slip New York NY 10005
 IF(COUNTA(AI35,AJ35,AK35,AL35,AM35,AN35,A035,AP35)>0,"Y","")= Y Y
 Danziger, Thomas Thomas Danziger I
 [REDACTED] [REDACTED] 405 Park Ave New York
 NY 10022
 IF(COUNTA(AI36,AJ36,AK36,AL36,AM36,AN36,A036,AP36)>0,"Y","")= Y Y
 Dart Management Sharon Cornwell P
 [REDACTED] Windward 3, Regatta Office Park Grand
 Cayman Cayman Islands KY1-1206 General, Biotech?, Pre-IPO mezz
 financing, MSBs MSBs? Deep value focus, lots of cash collateral, separate
 real estate group sent 12/18 teaser sent 2/5
 IF(COUNTA(AI37,AJ37,AK37,AL37,AM37,AN37,A037,AP37)>0,"Y","")= N
 Do not send research emails
 Disney, Roy Shamrock Greg Martin P
 [REDACTED] 3500 W. Olive Avenue, Suite 700 Burbank CA

91505 Yield n/a real estate referral? Merage connection?
sent 11/7, discussed 1/15, follow up 8/12 teaser sent 2/5 sent
3/1 sent 2/26 mentioned 11/6, sent 3/16 Sent 10/7
IF(COUNTA(AI38,AJ38,AK38,AL38,AM38,AN38,A038,AP38)>0,"Y","")= Y Y
(Y) Sent 10/1 Sent 10/1
Dock Street P [REDACTED] 510
Feheley Drive King of Prussia PA 19406 General n/a already
covered by PCS, just check in occasionally
IF(COUNTA(AI39,AJ39,AK39,AL39,AM39,AN39,A039,AP39)>0,"Y","")= Y Y
Dorrance Family DFE Enterprises Clint Gossage P
[REDACTED]
IF(COUNTA(AI40,AJ40,AK40,AL40,AM40,AN40,A040,AP40)>0,"Y","")= Y
Y sent 8/26 sent 9/29
Dorrance Family DFE Enterprises Jason Stiles P
[REDACTED]
IF(COUNTA(AI41,AJ41,AK41,AL41,AM41,AN41,A041,AP41)>0,"Y","")= Y
Y sent 9/11 sent 9/29
Duncan Investments Gregg Hammer P [REDACTED]
1100 Louisiana Street, Suite 5200 Houston TX 77002
IF(COUNTA(AI42,AJ42,AK42,AL42,AM42,AN42,A042,AP42)>0,"Y","")= Y
Y Sent 10/1 Sent 10/1
Dzialga, Kirsten & Mark Kristen Dzialga P [REDACTED]
[REDACTED] 15 Meadowcroft Lane Greenwich CT 6830
IF(COUNTA(AI43,AJ43,AK43,AL43,AM43,AN43,A043,AP43)>0,"Y","")= Y
Edge [REDACTED] 1380 W. Paces Ferry Rd. Suite
1000 Atlanta GA 30327
IF(COUNTA(AI44,AJ44,AK44,AL44,AM44,AN44,A044,AP44)>0,"Y","")= Y
Edge [REDACTED] 1380 W. Paces Ferry Rd. Suite
1000 Atlanta GA 30327
IF(COUNTA(AI45,AJ45,AK45,AL45,AM45,AN45,A045,AP45)>0,"Y","")= Y
Edge [REDACTED] 1380 W. Paces Ferry Rd. Suite
1000 Atlanta GA 30327
IF(COUNTA(AI46,AJ46,AK46,AL46,AM46,AN46,A046,AP46)>0,"Y","")= Y
Ehrenkraz, Pamela Pamela Ehrenkraz I
[REDACTED] [REDACTED] 51 West 52nd St New York
NY 10019
IF(COUNTA(AI47,AJ47,AK47,AL47,AM47,AN47,A047,AP47)>0,"Y","")= Y Y
Elysium Management Brad Wechsler P
[REDACTED] 800 Park Ave, 12th Fl New York NY
10021 IF(COUNTA(AI48,AJ48,AK48,AL48,AM48,AN48,A048,AP48)>0,"Y","")= Y
Essig Erin Enright [REDACTED] 174 Nassau Street
#320 Princeton NJ 08542
IF(COUNTA(AI49,AJ49,AK49,AL49,AM49,AN49,A049,AP49)>0,"Y","")= Y
Y Sent 10/1 Sent 10/1
EverWatch Financial Frohman Anderson P
[REDACTED] 8 Sound Shore Drive, Suite 200 Greenwich
CT 06830 General, but like industrial/agg services, oil & gas,
enviromental Maintain lvg. against some commercial RE. Used to have
large CL loan @ CS and BAML Send RESOF and Astra sent 8/12
sent 3/18. Passed 3/30 sent 3/16 Sent 10/7
IF(COUNTA(AI50,AJ50,AK50,AL50,AM50,AN50,A050,AP50)>0,"Y","")= Y

Y Sent 10/1 Sent 10/1
 EverWatch Financial Joe Monaco P [REDACTED] 8
 Sound Shore Drive, Suite 200 Greenwich CT 06830 General,
 but like industrial/agg services, oil & gas, enviromental Maintain
 lvg. against some commercial RE. Used to have large CL loan @ CS and
 BAML Send RESOF and Astra sent 8/12 sent 3/18. Passed 3/30
 sent 3/16 Sent 10/7
 IF(COUNTA(AI51,AJ51,AK51,AL51,AM51,AN51,A051,AP51)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 EverWatch Financial Matt Womble P [REDACTED] 8
 Sound Shore Drive, Suite 200 Greenwich CT 06830 General,
 but like industrial/agg services, oil & gas, enviromental Maintain
 lvg. against some commercial RE. Used to have large CL loan @ CS and
 BAML Send RESOF and Astra sent 8/12 sent 3/18. Passed 3/30
 sent 3/16 Sent 10/7
 IF(COUNTA(AI52,AJ52,AK52,AL52,AM52,AN52,A052,AP52)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 EverWatch Financial Tyler Webb P [REDACTED] 8
 Sound Shore Drive, Suite 200 Greenwich CT 06830 General,
 but like industrial/agg services, oil & gas, enviromental Maintain
 lvg. against some commercial RE. Used to have large CL loan @ CS and
 BAML Send RESOF and Astra sent 8/12 sent 3/18. Passed 3/30
 sent 3/16 Sent 10/7
 IF(COUNTA(AI53,AJ53,AK53,AL53,AM53,AN53,A053,AP53)>0,"Y","")= Y Y
 Falk, Charles Charles Falk I [REDACTED]
 [REDACTED] 25 Devonshire Lane Mendham NJ 7945
 IF(COUNTA(AI54,AJ54,AK54,AL54,AM54,AN54,A054,AP54)>0,"Y","")= Y Y
 Fine, Lonnie Lonnie Fine I [REDACTED]
 [REDACTED] 10 Fifth St Valley Stream NY 11581
 IF(COUNTA(AI55,AJ55,AK55,AL55,AM55,AN55,A055,AP55)>0,"Y","")= Y Y
 Fitzgibbons, John John Fitzgibbons P
 [REDACTED] [REDACTED] 405 Lexington Ave, Fl 71
 New York NY 10174
 IF(COUNTA(AI56,AJ56,AK56,AL56,AM56,AN56,A056,AP56)>0,"Y","")= Y Y
 Ford [REDACTED] 200 Crescent Court Suite 1365 Dallas
 TX 75021
 IF(COUNTA(AI57,AJ57,AK57,AL57,AM57,AN57,A057,AP57)>0,"Y","")= Y
 Forrestal Capital Annette Franqui P
 [REDACTED] [REDACTED] 1450 Brickell Avenue
 Miami FL 33131 General n/a sent 12/12, follow-up 1/5, 8/12
 sent 3/1 sent 2/26
 IF(COUNTA(AI58,AJ58,AK58,AL58,AM58,AN58,A058,AP58)>0,"Y","")=Y Sent
 9/14 Sent 9/14 Sent 9/14 Y Y Y (Y) Sent
 10/1 Sent 10/1
 Forrestal Capital Jonathan Fischer P
 [REDACTED] 1450 Brickell Avenue Miami FL
 33131 General n/a sent 12/12, follow-up 1/5, 8/12 sent 3/1
 sent 2/26
 IF(COUNTA(AI59,AJ59,AK59,AL59,AM59,AN59,A059,AP59)>0,"Y","")=Y Sent
 9/14 Sent 9/14 Sent 9/14 Y Y Y (Y) Sent
 10/1 Sent 10/1

fund macro, vol? 20% IRR hurdle. Unconstrained. Size up to \$40mm
 with control. Structured and leverage ok sent 8/12 teaser
 sent 2/5 sent 3/1. Passed 3/30 sent 2/26. Passed 3/30 sent
 3/16. Passed 3/30
 IF(COUNTA(AI72,AJ72,AK72,AL72,AM72,AN72,A072,AP72)>0,"Y","")= Y
 Infinity Q [REDACTED] 888 7th Avenue New York
 NY 10106 Real Estate, yield, esoteric multi-asset for Wildcat
 fund macro, vol? 20% IRR hurdle. Unconstrained. Size up to \$40mm
 with control. Structured and leverage ok sent 8/12 teaser
 sent 2/5 sent 3/1. Passed 3/30 sent 2/26. Passed 3/30 sent
 3/16. Passed 3/30
 IF(COUNTA(AI73,AJ73,AK73,AL73,AM73,AN73,A073,AP73)>0,"Y","")= Y
 Ironsides AM P [REDACTED] 511 Tarrytown Center
 Rocky Mount NC 27804 General meeting in NC sent 8/12
 sent 3/1 sent 3/24
 IF(COUNTA(AI74,AJ74,AK74,AL74,AM74,AN74,A074,AP74)>0,"Y","")=Y Sent
 9/14 Sent 9/14 Sent 9/14 Y Y Y
 Jain, Neil Neil Jain C/P [REDACTED]
 [REDACTED] 158 Third St, PO Box 583 Mineola NY 11501
 IF(COUNTA(AI75,AJ75,AK75,AL75,AM75,AN75,A075,AP75)>0,"Y","")= Y Y
 Jesselson Capital Michael Jesselson Vrablic lead active
 P [REDACTED] [REDACTED] 130 Ave of
 America, Suite 4101 New York NY 10019
 IF(COUNTA(AI76,AJ76,AK76,AL76,AM76,AN76,A076,AP76)>0,"Y","")= Y
 JL Squared Group [REDACTED] 2929 Arch Street,
 13th Floor Philadelphia PA 19104
 IF(COUNTA(AI77,AJ77,AK77,AL77,AM77,AN77,A077,AP77)>0,"Y","")= Y Y
 JL Squared Group [REDACTED] 2929 Arch Street,
 13th Floor Philadelphia PA 19104
 IF(COUNTA(AI78,AJ78,AK78,AL78,AM78,AN78,A078,AP78)>0,"Y","")= Y Y
 Keenan, William Howard William Keenan P
 [REDACTED] [REDACTED] 410 Park Ave New
 York NY 10022
 IF(COUNTA(AI79,AJ79,AK79,AL79,AM79,AN79,A079,AP79)>0,"Y","")= Y Y
 Keiser, Jason Jason Keiser [REDACTED]
 IF(COUNTA(AI80,AJ80,AK80,AL80,AM80,AN80,A080,AP80)>0,"Y","")= Y
 Sent 10/1 Sent 10/1
 Kelly, Judy Judy Kelly C/P [REDACTED]
 445 Park Ave, Suite 1502 New York NY 10022
 IF(COUNTA(AI81,AJ81,AK81,AL81,AM81,AN81,A081,AP81)>0,"Y","")= Y Y
 Kimmel, Adam Adam Kimmel C/P [REDACTED]
 IF(COUNTA(AI82,AJ82,AK82,AL82,AM82,AN82,A082,AP82)>0,"Y","")= Y
 Y Y N
 Klein, Martin Martin Klein C/P [REDACTED]
 [REDACTED] 580 5th Ave, 5th Fl New York NY 10036
 IF(COUNTA(AI83,AJ83,AK83,AL83,AM83,AN83,A083,AP83)>0,"Y","")= Y Y
 Kristoff, Mark Mark Kristoff C/P
 [REDACTED] [REDACTED] 825 Third Ave, 9th Fl
 New York NY 10022
 IF(COUNTA(AI84,AJ84,AK84,AL84,AM84,AN84,A084,AP84)>0,"Y","")= Y Y
 Laffont, Philppe Philippe Laffont P [REDACTED]

[REDACTED] 9 West 57th St New York NY 10019
 IF(COUNTA(AI85,AJ85,AK85,AL85,AM85,AN85,A085,AP85)>0,"Y","")= Y
 Larocca, Robert Robert Larocca I [REDACTED]
 [REDACTED] 135 Crossways Park Drive Woodbury NY
 11797 IF(COUNTA(AI86,AJ86,AK86,AL86,AM86,AN86,A086,AP86)>0,"Y","")=
 Y Y
 Levy, Jared Jared Levy I [REDACTED]
 [REDACTED] 1633 Broadway New York NY 10019
 IF(COUNTA(AI87,AJ87,AK87,AL87,AM87,AN87,A087,AP87)>0,"Y","")= Y Y
 Lindemann Family Eran Schreiber
 [REDACTED]
 IF(COUNTA(AI88,AJ88,AK88,AL88,AM88,AN88,A088,AP88)>0,"Y","")= Y Y
 M20 Inc P [REDACTED] 515 South Figueroa Street,
 Suite 1050 Los Angeles CA 90071 Small operating
 businesses n/a Microcap activist w/ \$1-\$5mm EBITDA target 10%
 stakes sent 3/1. Passed 3/2
 IF(COUNTA(AI89,AJ89,AK89,AL89,AM89,AN89,A089,AP89)>0,"Y","")= Y
 Makan, Divesh ICONIQ Capital LLC ICONIQ Capital LLC
 [REDACTED] 394 Pacific Ave, 2nd Floor San Francisco
 CA 94111 Credit n/a need to focus on unique solutions
 sent 12/18 sent 2/26
 IF(COUNTA(AI90,AJ90,AK90,AL90,AM90,AN90,A090,AP90)>0,"Y","")= Y
 Makan, Divesh ICONIQ Capital LLC ICONIQ Capital LLC
 [REDACTED] 394 Pacific Ave, 2nd Floor San Francisco
 CA 94111 Credit n/a need to focus on unique solutions
 sent 12/18 sent 2/26
 IF(COUNTA(AI91,AJ91,AK91,AL91,AM91,AN91,A091,AP91)>0,"Y","")= Y
 Makan, Divesh ICONIQ Capital LLC ICONIQ Capital LLC
 [REDACTED] 394 Pacific Ave, 2nd Floor San Francisco
 CA 94111 Credit n/a need to focus on unique solutions
 sent 12/18 sent 2/26
 IF(COUNTA(AI92,AJ92,AK92,AL92,AM92,AN92,A092,AP92)>0,"Y","")=Y Sent
 9/14 Sent 9/14 Sent 9/14 Y Y
 Makena Capital Management P 2755 Sand Hill Rd, Suite 200
 Menlo Park CA 94025 Risk factors met 2/2 NDA and portfolio
 analysis
 IF(COUNTA(AI93,AJ93,AK93,AL93,AM93,AN93,A093,AP93)>0,"Y","")= Y
 Mariner Capital P 485 Lexington Ave, 28th Fl New York
 NY Risk factors met 11/21 Looking at various solutions
 across the street, all from IB providers Send white papers
 IF(COUNTA(AI94,AJ94,AK94,AL94,AM94,AN94,A094,AP94)>0,"Y","")= Y
 Meister, Robert Bob Meister [REDACTED]
 IF(COUNTA(AI95,AJ95,AK95,AL95,AM95,AN95,A095,AP95)>0,"Y","")= Y
 Sent 10/1 Sent 10/1
 Meister, Todd Todd Meister P [REDACTED]
 IF(COUNTA(AI96,AJ96,AK96,AL96,AM96,AN96,A096,AP96)>0,"Y","")= Y Y
 Merage [REDACTED] 660 Newport Center Drive. Suite 1300
 Newport Beach CA 92660 Real Estate trading with the HF?
 Want intros to other family offices to grow internal HF teaser sent 1/23,
 follow up 8/12 on Blackrock DNC list sent 2/26 sent 3/16
 IF(COUNTA(AI97,AJ97,AK97,AL97,AM97,AN97,A097,AP97)>0,"Y","")= N

Do not send research emails

Michael, Neal Neal Milch P [REDACTED] NY
IF(COUNTA(AI98,AJ98,AK98,AL98,AM98,AN98,A098,AP98)>0,"Y","")= Y Y
Moriwaki, Gary S. Gary Moriwaki I
[REDACTED] [REDACTED] 100 Park Ave, 3rd Fl
New York NY 10017
IF(COUNTA(AI99,AJ99,AK99,AL99,AM99,AN99,A099,AP99)>0,"Y","")= Y Y
Murray, Thomas Thomas Murray I [REDACTED]
IF(COUNTA(AI100,AJ100,AK100,AL100,AM100,AN100,A0100,AP100)>0,"Y","")=
Y Y
Murstein, Alvin Alvin Murstein P
[REDACTED] New York NY
IF(COUNTA(AI101,AJ101,AK101,AL101,AM101,AN101,A0101,AP101)>0,"Y","")=
Y Y
Murstein, Alvin Andrew Murstein P [REDACTED]
New York NY
IF(COUNTA(AI102,AJ102,AK102,AL102,AM102,AN102,A0102,AP102)>0,"Y","")=
Y Y
Nicholas Milo Gonzalez [REDACTED]
15 Enterprise, Ste. 550 Aliso Viejo CA 92656 DPM BRCM hedge
into diversified assets. Also want broad banking relationship
IF(COUNTA(AI103,AJ103,AK103,AL103,AM103,AN103,A0103,AP103)>0,"Y","")=
Y Sent 10/1 Sent 10/1
Nicholas Gosha Zandt [REDACTED] DPM
BRCM hedge into diversified assets. Also want broad banking relationship
IF(COUNTA(AI104,AJ104,AK104,AL104,AM104,AN104,A0104,AP104)>0,"Y","")=
Y Sent 10/1 Sent 10/1
Orix Bonnie Mitra P [REDACTED] 1717 Main Street,
Suite 1100 Dallas TX 75201 CLOs, consumer finance,
esoteric have complete portal access. Primarily focused on rates
research 10% ROI target, up to \$50mm size call on AcTRS, CLO
intro (Chris D'Auria) teaser sent 11/25, discussed 1/20 sent
12/18, met w/ Baxter 1/20 sent 12/5 Follod up 9/10 teaser
sent and call 2/5. passed 3/27 sent 3/1 sent 3/16 sent
7/28 Sent 9/10 Sent 10/7
IF(COUNTA(AI105,AJ105,AK105,AL105,AM105,AN105,A0105,AP105)>0,"Y","")=
Y Y
Parami Eric Attias P [REDACTED] 6 East 74th
Street New York NY 10021
IF(COUNTA(AI106,AJ106,AK106,AL106,AM106,AN106,A0106,AP106)>0,"Y","")= Y
Parami Rahul Vaid P [REDACTED] 6 East 74th
Street New York NY 10021
IF(COUNTA(AI107,AJ107,AK107,AL107,AM107,AN107,A0107,AP107)>0,"Y","")=
Y Y
Pensler, Sandy Sandy Pensler P [REDACTED]
NY
IF(COUNTA(AI108,AJ108,AK108,AL108,AM108,AN108,A0108,AP108)>0,"Y","")=
Y Y
Peregrine Group P [REDACTED] 2101 Cedar Springs Rd, Suite
1800 Dallas TX 75201 Energy n/a covered by brokers already
for public markets trading mineral rights in Permian mentioned

12/4 mentioned 12/4 sent 3/1
IF(COUNTA(AI109,AJ109,AK109,AL109,AM109,AN109,AO109,AP109)>0,"Y","")= Y
Persico, Anthony Anthony Persico I
[REDACTED] [REDACTED]
IF(COUNTA(AI110,AJ110,AK110,AL110,AM110,AN110,AO110,AP110)>0,"Y","")=
Y Sent 10/1 Sent 10/1
Rose, Simon Simon Rose C/P [REDACTED]
IF(COUNTA(AI111,AJ111,AK111,AL111,AM111,AN111,AO111,AP111)>0,"Y","")=
Y Y
Schaefer, Marla Marla Schaefer P [REDACTED]
IF(COUNTA(AI112,AJ112,AK112,AL112,AM112,AN112,AO112,AP112)>0,"Y","")=
Y Y
Schmidt, Eric Hillspire LLC P [REDACTED] 1010 El
Camino Real, Suite 200 Menlo Park CA 94025 General, including
real estate. Want yield. Like trophy assets. Want co-invest multi-
asset. FX and rates hedging looking at cheap assets in Europe. Could
use tax shield against GOOG share sales RESOF, Extel. Set up ISDA.
Deposits teaser sent 11/12, follow up 1/5, 8/12 teaser sent 2/5
sent 3/1 sent 2/24. passed 3/27 sent 3/16 Sent 9/14
Sent 10/7
IF(COUNTA(AI113,AJ113,AK113,AL113,AM113,AN113,AO113,AP113)>0,"Y","")= Y
Schmidt, Eric Hillspire LLC Chuck Chai P
[REDACTED] 1010 El Camino Real, Suite 200 Menlo Park
CA 94025 General, including real estate. Want yield. Like trophy
assets. Want co-invest multi-asset. FX and rates hedging looking at
cheap assets in Europe. Could use tax shield against GOOG share sales
RESOF, Extel. Set up ISDA. Deposits teaser sent 11/12, follow up 1/5,
8/12 teaser sent 2/5 sent 3/1 sent 2/24. passed 3/27 sent
3/16 Sent 9/14 Sent 10/7
IF(COUNTA(AI114,AJ114,AK114,AL114,AM114,AN114,AO114,AP114)>0,"Y","")= Y
Seelig, Mark Mark Seelig [REDACTED]
IF(COUNTA(AI115,AJ115,AK115,AL115,AM115,AN115,AO115,AP115)>0,"Y","")= Y
SK Family Office Charles Britton P
[REDACTED]
IF(COUNTA(AI116,AJ116,AK116,AL116,AM116,AN116,AO116,AP116)>0,"Y","")=Y
Sent 9/11 Sent 9/11- Interested Sent 9/11 Sent 9/11
Y Y Y y Y
Slome, Ian Ian Slome P [REDACTED]
150 East 58th Street, 34th Floor New York NY 10155
RE (US, Europe, R+C) via direct investment, PE or struct. Products; PE and
HF n/a Risk averse. Already seeing HF ideas from AFS send
RIN? teaser sent 2/5 passed 2/27 mentioned 2/26, sent 3/16. Passed
3/30
IF(COUNTA(AI117,AJ117,AK117,AL117,AM117,AN117,AO117,AP117)>0,"Y","")=
Y Y
Slome, Ian John Service P [REDACTED]
150 East 58th Street, 34th Floor New York NY 10155
RE (US, Europe, R+C) via direct investment, PE or struct. Products; PE and
HF n/a Risk averse. Already seeing HF ideas from AFS send
RIN? teaser sent 2/5 passed 2/27 mentioned 2/26, sent 3/16. Passed
3/30

IF(COUNTA(AI118,AJ118,AK118,AL118,AM118,AN118,AO118,AP118)>0,"Y","")=

Y Y (Y)

Snyder, Daniel Karl Schreiber P
[REDACTED] 11900 River Road Potomac MD
20854

IF(COUNTA(AI119,AJ119,AK119,AL119,AM119,AN119,AO119,AP119)>0,"Y","")=Y

Sent 9/14 Sent 9/14 Sent 9/14 Y Y Y

Sent 10/1 Sent 10/1

Sonen Capital David Hood P [REDACTED] 50 Osgood
Place, Ste. 320 San Francisco CA 94133

IF(COUNTA(AI120,AJ120,AK120,AL120,AM120,AN120,AO120,AP120)>0,"Y","")= Y

Sonen Capital P [REDACTED] 50 Osgood Place,
Ste. 320 San Francisco CA 94133

IF(COUNTA(AI121,AJ121,AK121,AL121,AM121,AN121,AO121,AP121)>0,"Y","")= Y

Spruce Private Investors LLC [REDACTED] 263
Tresser Blvd, 15th Floor Stamford CT 06901 Systematic
gamma decay Trading with CS Introduce AFS or CB&S

IF(COUNTA(AI122,AJ122,AK122,AL122,AM122,AN122,AO122,AP122)>0,"Y","")= Y

Spruce Private Investors LLC P [REDACTED]
263 Tresser Blvd, 15th Floor Stamford CT 06901 Systematic
gamma decay Trading with CS Introduce AFS or CB&S

IF(COUNTA(AI123,AJ123,AK123,AL123,AM123,AN123,AO123,AP123)>0,"Y","")= Y

Stiritz, William P. Lynn Morgan [REDACTED]

IF(COUNTA(AI124,AJ124,AK124,AL124,AM124,AN124,AO124,AP124)>0,"Y","")=

Y Sent 10/1 Sent 10/1

Stiritz, William P. William Stiritz P
[REDACTED] [REDACTED] 835 S 8th St St.
Louis MO 63102

IF(COUNTA(AI125,AJ125,AK125,AL125,AM125,AN125,AO125,AP125)>0,"Y","")= Y

Tharani, Haresh Haresh Tharani P [REDACTED]

IF(COUNTA(AI126,AJ126,AK126,AL126,AM126,AN126,AO126,AP126)>0,"Y","")=

Y Y

Thomson Stefan [REDACTED] 65 Queen Street West Suite 2400
Toronto ON, Canada M5H 2M8

IF(COUNTA(AI127,AJ127,AK127,AL127,AM127,AN127,AO127,AP127)>0,"Y","")=

Y Y Sent 10/1 Sent 10/1

True North Advisors P [REDACTED]
8350 N. Central Expressway, Ste. 725 Dallas TX 75206

IF(COUNTA(AI128,AJ128,AK128,AL128,AM128,AN128,AO128,AP128)>0,"Y","")=

Y Y

United Company Lois Clarke [REDACTED] 1005 Glenway
Avenue Bristol VA 24201

IF(COUNTA(AI129,AJ129,AK129,AL129,AM129,AN129,AO129,AP129)>0,"Y","")=

Y Y Sent 10/1 Sent 10/1

Wanek, Todd Thirlake Capital Joakim Bergander
[REDACTED]

IF(COUNTA(AI130,AJ130,AK130,AL130,AM130,AN130,AO130,AP130)>0,"Y","")=

Y sent 9/14 sent 9/29

Weiss, George George Weiss P Hartford CT

IF(COUNTA(AI131,AJ131,AK131,AL131,AM131,AN131,AO131,AP131)>0,"Y","")=

Y Y Y N

White Deer Energy Kristin P [REDACTED]
IF(COUNTA(AI132,AJ132,AK132,AL132,AM132,AN132,AO132,AP132)>0,"Y","")=
Y Y

Willett Advisors Brad Briner P
[REDACTED] New York NY Real Assets with
focus on natural resources and real estate n/a commodities
20% ownership cap. Funds or direct. Equity rates of return (~20%), \$30-
\$40mm size Intro to Todd Henderson sent 12/18 sent 12/5
sent 3/1 discussed 2/25 no interest sent 3/16. passed 4/13
IF(COUNTA(AI133,AJ133,AK133,AL133,AM133,AN133,AO133,AP133)>0,"Y","")=
Y Y

Winston Advisors Christopher Donabedian P
[REDACTED] 200 Technecenter Drive, Suite 200 Milford
OH 45150
IF(COUNTA(AI134,AJ134,AK134,AL134,AM134,AN134,AO134,AP134)>0,"Y","")= Y
Yorktown Partners, LLC Bryan H. Lawrence P
[REDACTED] 410 Park Ave, 19th Fl New York
NY 10022
IF(COUNTA(AI135,AJ135,AK135,AL135,AM135,AN135,AO135,AP135)>0,"Y","")= Y
Yorktown Partners, LLC George Petrides I
[REDACTED]
IF(COUNTA(AI136,AJ136,AK136,AL136,AM136,AN136,AO136,AP136)>0,"Y","")=
Y Y
Yorktown Partners, LLC Peter Leidel P
[REDACTED] NY
IF(COUNTA(AI137,AJ137,AK137,AL137,AM137,AN137,AO137,AP137)>0,"Y","")=
Y Y Y N
Zubillaga, Alex Alex Zubillaga C/P
[REDACTED] 345 Park Ave, 12th Fl
New York NY 10152
IF(COUNTA(AI138,AJ138,AK138,AL138,AM138,AN138,AO138,AP138)>0,"Y","")=
Y Y
Zuckerberg, Lloyd Lloyd Zuckerberg C/P
[REDACTED]
IF(COUNTA(AI139,AJ139,AK139,AL139,AM139,AN139,AO139,AP139)>0,"Y","")=
Y Y
Andrew Farkas Kimble prospect active [REDACTED]
[REDACTED] 717 Fifth Ave, 19th Fl New York NY 10022
IF(COUNTA(AI140,AJ140,AK140,AL140,AM140,AN140,AO140,AP140)>0,"Y","")= Y
Ari Glass C/P [REDACTED]
IF(COUNTA(AI141,AJ141,AK141,AL141,AM141,AN141,AO141,AP141)>0,"Y","")=
Y Y
Avi Kestenbaum Janco lead inactive C/P
[REDACTED]
IF(COUNTA(AI142,AJ142,AK142,AL142,AM142,AN142,AO142,AP142)>0,"Y","")=
Y Y
Alan Kufeld Robertson lead inactive I [REDACTED] 1350
Avenue of Americas New York NY 10019
IF(COUNTA(AI143,AJ143,AK143,AL143,AM143,AN143,AO143,AP143)>0,"Y","")=
Y Y
Anup Patel [REDACTED]

IF(COUNTA(AI144,AJ144,AK144,AL144,AM144,AN144,AO144,AP144)>0,"Y","")= Y
 William Berkman Convey lead active [REDACTED] 650
 Madison Ave New York NY 10022
 IF(COUNTA(AI145,AJ145,AK145,AL145,AM145,AN145,AO145,AP145)>0,"Y","")= Y
 Barry Bloom McCarthy prospect active [REDACTED]
 [REDACTED] 655 Madison Ave New York NY 10021
 IF(COUNTA(AI146,AJ146,AK146,AL146,AM146,AN146,AO146,AP146)>0,"Y","")= Y
 Louis Cailoa [REDACTED]
 IF(COUNTA(AI147,AJ147,AK147,AL147,AM147,AN147,AO147,AP147)>0,"Y","")= Y
 Robert Haier C/P [REDACTED]
 IF(COUNTA(AI148,AJ148,AK148,AL148,AM148,AN148,AO148,AP148)>0,"Y","")= Y
 Y Y
 Bryan R. Lawrence [REDACTED]
 IF(COUNTA(AI149,AJ149,AK149,AL149,AM149,AN149,AO149,AP149)>0,"Y","")= Y
 Bryce Markus Sohrab prospect active [REDACTED]
 IF(COUNTA(AI150,AJ150,AK150,AL150,AM150,AN150,AO150,AP150)>0,"Y","")= Y
 Ben Rechler Powers prospect inactive [REDACTED]
 IF(COUNTA(AI151,AJ151,AK151,AL151,AM151,AN151,AO151,AP151)>0,"Y","")= Y
 Brian Blechman C/P [REDACTED]
 IF(COUNTA(AI152,AJ152,AK152,AL152,AM152,AN152,AO152,AP152)>0,"Y","")= Y
 Y Y
 Brooks Duncan I [REDACTED]
 IF(COUNTA(AI153,AJ153,AK153,AL153,AM153,AN153,AO153,AP153)>0,"Y","")= Y
 Y Y
 Bruce Gross Kimble lead active C/P [REDACTED]
 [REDACTED] 700 Northwest 107th Ave Miami FL 33172
 IF(COUNTA(AI154,AJ154,AK154,AL154,AM154,AN154,AO154,AP154)>0,"Y","")= Y
 Y Y
 Cathy Handley C/P [REDACTED]
 IF(COUNTA(AI155,AJ155,AK155,AL155,AM155,AN155,AO155,AP155)>0,"Y","")= Y
 Y Y Sent 10/1 Sent 10/1
 Cary Brody C/P [REDACTED]
 IF(COUNTA(AI156,AJ156,AK156,AL156,AM156,AN156,AO156,AP156)>0,"Y","")= Y
 Y Y
 Caroline Conegliano I [REDACTED]
 IF(COUNTA(AI157,AJ157,AK157,AL157,AM157,AN157,AO157,AP157)>0,"Y","")= Y
 Y Y
 Christopher Goldberg [REDACTED]
 IF(COUNTA(AI158,AJ158,AK158,AL158,AM158,AN158,AO158,AP158)>0,"Y","")= Y
 Charles Krusen Jacoby lead active C/P [REDACTED]
 IF(COUNTA(AI159,AJ159,AK159,AL159,AM159,AN159,AO159,AP159)>0,"Y","")= Y
 Y Y
 Colby Rollins C/P [REDACTED]
 IF(COUNTA(AI160,AJ160,AK160,AL160,AM160,AN160,AO160,AP160)>0,"Y","")= Y
 Y Y
 Curtis Conway C/P [REDACTED]
 IF(COUNTA(AI161,AJ161,AK161,AL161,AM161,AN161,AO161,AP161)>0,"Y","")= Y
 Y Y
 David Manlowe Erskine prospect inactive [REDACTED]

IF(COUNTA(AI162,AJ162,AK162,AL162,AM162,AN162,AO162,AP162)>0,"Y","")= Y
 David Angstreich [REDACTED]
 IF(COUNTA(AI163,AJ163,AK163,AL163,AM163,AN163,AO163,AP163)>0,"Y","")= Y
 David Halliwill C/P [REDACTED]
 IF(COUNTA(AI164,AJ164,AK164,AL164,AM164,AN164,AO164,AP164)>0,"Y","")= Y
 Y Y
 David Cooper C/P [REDACTED]
 IF(COUNTA(AI165,AJ165,AK165,AL165,AM165,AN165,AO165,AP165)>0,"Y","")= Y
 Y Y
 Deepak Raj [REDACTED]
 IF(COUNTA(AI166,AJ166,AK166,AL166,AM166,AN166,AO166,AP166)>0,"Y","")= Y
 Daniel Heflin Sohrab prospect inactive P
 [REDACTED]
 IF(COUNTA(AI167,AJ167,AK167,AL167,AM167,AN167,AO167,AP167)>0,"Y","")= Y
 Y Y
 David Mitchell [REDACTED]
 IF(COUNTA(AI168,AJ168,AK168,AL168,AM168,AN168,AO168,AP168)>0,"Y","")= Y
 David Deutsch [REDACTED]
 IF(COUNTA(AI169,AJ169,AK169,AL169,AM169,AN169,AO169,AP169)>0,"Y","")= Y
 Edouard Gerschel C/P [REDACTED]
 IF(COUNTA(AI170,AJ170,AK170,AL170,AM170,AN170,AO170,AP170)>0,"Y","")= Y
 Y Y
 Erik Moody [REDACTED]
 IF(COUNTA(AI171,AJ171,AK171,AL171,AM171,AN171,AO171,AP171)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 Gene Lynch [REDACTED]
 IF(COUNTA(AI172,AJ172,AK172,AL172,AM172,AN172,AO172,AP172)>0,"Y","")= Y
 Ira Halperin I [REDACTED]
 IF(COUNTA(AI173,AJ173,AK173,AL173,AM173,AN173,AO173,AP173)>0,"Y","")= Y
 Y Y
 Richard Simon C/P [REDACTED]
 IF(COUNTA(AI174,AJ174,AK174,AL174,AM174,AN174,AO174,AP174)>0,"Y","")= Y
 Y Y
 Inder Sodhi Jensen prospect inactive C/P
 [REDACTED]
 IF(COUNTA(AI175,AJ175,AK175,AL175,AM175,AN175,AO175,AP175)>0,"Y","")= Y
 Y Y
 Jamie Smith [REDACTED]
 IF(COUNTA(AI176,AJ176,AK176,AL176,AM176,AN176,AO176,AP176)>0,"Y","")= Y
 John Straus [REDACTED]
 IF(COUNTA(AI177,AJ177,AK177,AL177,AM177,AN177,AO177,AP177)>0,"Y","")= Y
 John Barber Sohrab prospect inactive I
 [REDACTED]
 IF(COUNTA(AI178,AJ178,AK178,AL178,AM178,AN178,AO178,AP178)>0,"Y","")= Y
 Y Y
 Jonathon Botwinick C/P [REDACTED]
 IF(COUNTA(AI179,AJ179,AK179,AL179,AM179,AN179,AO179,AP179)>0,"Y","")= Y
 Y Y
 Jeffrey Coopersmith I [REDACTED]
 IF(COUNTA(AI180,AJ180,AK180,AL180,AM180,AN180,AO180,AP180)>0,"Y","")= Y
 Y Y

Jeff Kirwood [REDACTED]
 IF(COUNTA(AI181,AJ181,AK181,AL181,AM181,AN181,AO181,AP181)>0,"Y","")= Y
 John Lummis C/P [REDACTED]
 IF(COUNTA(AI182,AJ182,AK182,AL182,AM182,AN182,AO182,AP182)>0,"Y","")= Y
 Y Y
 Julie Richardson C/P [REDACTED]
 IF(COUNTA(AI183,AJ183,AK183,AL183,AM183,AN183,AO183,AP183)>0,"Y","")= Y
 Y Y
 Kevin Ryan C/P [REDACTED]
 IF(COUNTA(AI184,AJ184,AK184,AL184,AM184,AN184,AO184,AP184)>0,"Y","")= Y
 Y Y
 Ken Jones [REDACTED]
 IF(COUNTA(AI185,AJ185,AK185,AL185,AM185,AN185,AO185,AP185)>0,"Y","")= Y
 Lara Brody [REDACTED]
 IF(COUNTA(AI186,AJ186,AK186,AL186,AM186,AN186,AO186,AP186)>0,"Y","")= Y
 Lou Cortese Robertson prospect active [REDACTED]
 191 Glen Rd Mountainside NJ 7092
 IF(COUNTA(AI187,AJ187,AK187,AL187,AM187,AN187,AO187,AP187)>0,"Y","")= Y
 Matt Ireland C/P [REDACTED]
 IF(COUNTA(AI188,AJ188,AK188,AL188,AM188,AN188,AO188,AP188)>0,"Y","")= Y
 Y Y
 William McBride I [REDACTED]
 IF(COUNTA(AI189,AJ189,AK189,AL189,AM189,AN189,AO189,AP189)>0,"Y","")= Y
 Y Y
 Milton Cooper Janco lead inactive C/P [REDACTED]
 [REDACTED] 3333 New Hyde Park Rd, Suite 100 New Hyde Park
 NY 11042
 IF(COUNTA(AI190,AJ190,AK190,AL190,AM190,AN190,AO190,AP190)>0,"Y","")= Y
 Y Y
 Mel Manion [REDACTED]
 IF(COUNTA(AI191,AJ191,AK191,AL191,AM191,AN191,AO191,AP191)>0,"Y","")= Y
 Matt Serra [REDACTED]
 IF(COUNTA(AI192,AJ192,AK192,AL192,AM192,AN192,AO192,AP192)>0,"Y","")= Y
 Neil Blechman [REDACTED]
 IF(COUNTA(AI193,AJ193,AK193,AL193,AM193,AN193,AO193,AP193)>0,"Y","")= Y
 Phillip Shefter C/P [REDACTED]
 IF(COUNTA(AI194,AJ194,AK194,AL194,AM194,AN194,AO194,AP194)>0,"Y","")= Y
 Y Y
 Robert Hull LaRoe lead active [REDACTED]
 [REDACTED] TX
 IF(COUNTA(AI195,AJ195,AK195,AL195,AM195,AN195,AO195,AP195)>0,"Y","")= Y
 Lewis Ranieri Sabbagh prospect active C/P [REDACTED]
 IF(COUNTA(AI196,AJ196,AK196,AL196,AM196,AN196,AO196,AP196)>0,"Y","")= Y
 Y Y
 Richard Merage Convey lead active [REDACTED]
 IF(COUNTA(AI197,AJ197,AK197,AL197,AM197,AN197,AO197,AP197)>0,"Y","")= Y
 Rich Joslin [REDACTED]
 IF(COUNTA(AI198,AJ198,AK198,AL198,AM198,AN198,AO198,AP198)>0,"Y","")= Y
 Ross Blechman [REDACTED]
 IF(COUNTA(AI199,AJ199,AK199,AL199,AM199,AN199,AO199,AP199)>0,"Y","")= Y
 Russell Artzt Convey lead active C/P [REDACTED]

IF(COUNTA(AI200,AJ200,AK200,AL200,AM200,AN200,AO200,AP200)>0,"Y","")=
 Y Y
 Russell Blank [REDACTED]
 IF(COUNTA(AI201,AJ201,AK201,AL201,AM201,AN201,AO201,AP201)>0,"Y","")= Y
 Steven Blicht C/P [REDACTED]
 IF(COUNTA(AI202,AJ202,AK202,AL202,AM202,AN202,AO202,AP202)>0,"Y","")=
 Y Y
 Sonny Jain C/P [REDACTED]
 IF(COUNTA(AI203,AJ203,AK203,AL203,AM203,AN203,AO203,AP203)>0,"Y","")=
 Y Y Sent 10/1 Sent 10/1
 Todd Angkatavanich I [REDACTED]
 IF(COUNTA(AI204,AJ204,AK204,AL204,AM204,AN204,AO204,AP204)>0,"Y","")=
 Y Y
 Catherine Moreatis C/P
 IF(COUNTA(AI205,AJ205,AK205,AL205,AM205,AN205,AO205,AP205)>0,"Y","")=
 Y Y
 James Alterbaum I
 IF(COUNTA(AI206,AJ206,AK206,AL206,AM206,AN206,AO206,AP206)>0,"Y","")=
 Y Y
 John Binko C/P
 IF(COUNTA(AI207,AJ207,AK207,AL207,AM207,AN207,AO207,AP207)>0,"Y","")=
 Y Y
 IF(COUNTA(AI208,AJ208,AK208,AL208,AM208,AN208,AO208,AP208)>0,"Y","")=
 IF(COUNTA(AI209,AJ209,AK209,AL209,AM209,AN209,AO209,AP209)>0,"Y","")=
 IF(COUNTA(AI210,AJ210,AK210,AL210,AM210,AN210,AO210,AP210)>0,"Y","")=
 For internal use only

Paul Contacts

First Name	Last Name	Messaging ID	CI0 Outlook	Tax
Topics	Intermediary	Client/Prospect	Intermediary	Client/Prospect
Arun	Alagappan	[REDACTED]	Y	Y
P		IF(H2="I", "I", IF(I2="C/P", "C/P", "NA"))=C/P		0
James	Alterbaum	Y	Y	I
		IF(H3="I", "I", IF(I3="C/P", "C/P", "NA"))=I		
Yosi	Amster	[REDACTED]	Y	Y
I		IF(H4="I", "I", IF(I4="C/P", "C/P", "NA"))=I		
Todd	Angkatavanich	[REDACTED]		Y
Y		IF(H5="I", "I", IF(I5="C/P", "C/P", "NA"))=I		
David	Angstreich	[REDACTED]	0	0
		IF(H6="I", "I", IF(I6="C/P", "C/P", "NA"))=NA		
Thomas	Archer	[REDACTED]	Y	Y
0		IF(H7="I", "I", IF(I7="C/P", "C/P", "NA"))=I		I
Russell	Artzt	[REDACTED]	Y	Y
P		IF(H8="I", "I", IF(I8="C/P", "C/P", "NA"))=C/P		0
Eric	Attias	[REDACTED]	0	0
		IF(H9="I", "I", IF(I9="C/P", "C/P", "NA"))=NA		
Gregory	Attorri	[REDACTED]	Y	Y
I		IF(H10="I", "I", IF(I10="C/P", "C/P", "NA"))=I		Y
Andy	Augenblick	[REDACTED]		0
0		IF(H11="I", "I", IF(I11="C/P", "C/P", "NA"))=NA		
Dill	Ayres	[REDACTED]	0	0
		IF(H12="I", "I", IF(I12="C/P", "C/P", "NA"))=NA		
John	Barber	[REDACTED]	Y	Y
I		IF(H13="I", "I", IF(I13="C/P", "C/P", "NA"))=I		Y
Mitchell	Barry	[REDACTED]	Y	Y
0		IF(H14="I", "I", IF(I14="C/P", "C/P", "NA"))=C/P		Y
Harry	Beller	[REDACTED]	Y	Y
P		IF(H15="I", "I", IF(I15="C/P", "C/P", "NA"))=C/P		0
Joakim	Bergander	[REDACTED]		0
		IF(H16="I", "I", IF(I16="C/P", "C/P", "NA"))=NA		0
William	Berkman	[REDACTED]	0	0
		IF(H17="I", "I", IF(I17="C/P", "C/P", "NA"))=NA		
Ajay	Bhalla	[REDACTED]	Y	Y
P		IF(H18="I", "I", IF(I18="C/P", "C/P", "NA"))=C/P		0
John	Binko	Y	Y	Y
		IF(H19="I", "I", IF(I19="C/P", "C/P", "NA"))=C/P		0
Russell	Blank	[REDACTED]	0	0
		IF(H20="I", "I", IF(I20="C/P", "C/P", "NA"))=NA		
Brian	Blechman	[REDACTED]	Y	Y
0		IF(H21="I", "I", IF(I21="C/P", "C/P", "NA"))=C/P		Y
Neil	Blechman	[REDACTED]	0	0
		IF(H22="I", "I", IF(I22="C/P", "C/P", "NA"))=NA		
Ross	Blechman	[REDACTED]	0	0
		IF(H23="I", "I", IF(I23="C/P", "C/P", "NA"))=NA		
Steven	Blicht	[REDACTED]		Y
Y		C/P	IF(H24="I", "I", IF(I24="C/P", "C/P", "NA"))=C/P	Y
Barry	Bloom	[REDACTED]	0	0
		IF(H25="I", "I", IF(I25="C/P", "C/P", "NA"))=NA		

Jonathon	Botwinick			Y	Y	
Y	0	C/P	IF(H26="I", "I", IF(I26="C/P", "C/P", "NA"))=C/P			
Steve	Brandis			0	0	
			IF(H27="I", "I", IF(I27="C/P", "C/P", "NA"))=NA			
Charles	Britton			Y	Y	Y
0	C/P		IF(H28="I", "I", IF(I28="C/P", "C/P", "NA"))=C/P			
Cary	Brody				Y	Y
Y	0	C/P	IF(H29="I", "I", IF(I29="C/P", "C/P", "NA"))=C/P			
Lara	Brody			0	0	
			IF(H30="I", "I", IF(I30="C/P", "C/P", "NA"))=NA			
Louis	Caioia		0	0		IF(H31="I", "I", IF(I31="C/-
			P", "C/P", "NA"))=NA			
Adreinne	Cannella			0	0	
			IF(H32="I", "I", IF(I32="C/P", "C/P", "NA"))=NA			
Michael	Cline		Y	Y	Y	0 C/-
P			IF(H33="I", "I", IF(I33="C/P", "C/P", "NA"))=C/P			
Gail	Coleman			Y	Y	Y
0	C/P		IF(H34="I", "I", IF(I34="C/P", "C/P", "NA"))=C/P			
Caroline	Conegliano					Y
Y	Y	I	0			IF(H35="I", "I", IF(I35="C/P", "C/P", "NA"))=I
Curtis	Conway		Y	Y	Y	0 C/-
P			IF(H36="I", "I", IF(I36="C/P", "C/P", "NA"))=C/P			
David	Cooper			Y	Y	Y
0	C/P		IF(H37="I", "I", IF(I37="C/P", "C/P", "NA"))=C/P			
Milton	Cooper		Y	Y	Y	0 C/-
P			IF(H38="I", "I", IF(I38="C/P", "C/P", "NA"))=C/P			
Jeffrey	Coopersmith			Y	Y	Y
I	0		IF(H39="I", "I", IF(I39="C/P", "C/P", "NA"))=I			
Lou	Cortese		0	0		
			IF(H40="I", "I", IF(I40="C/P", "C/P", "NA"))=NA			
Jonathan	Crystal			Y	Y	Y
Y	I	0				IF(H41="I", "I", IF(I41="C/P", "C/P", "NA"))=I
Thomas	Danziger			Y	Y	Y
I	0		IF(H42="I", "I", IF(I42="C/P", "C/P", "NA"))=I			
David	Deutsch		0	0		
			IF(H43="I", "I", IF(I43="C/P", "C/P", "NA"))=NA			
Brooks	Duncan		Y	Y	Y	I
0			IF(H44="I", "I", IF(I44="C/P", "C/P", "NA"))=I			
Kristen	Dzialga		0	0		
			IF(H45="I", "I", IF(I45="C/P", "C/P", "NA"))=NA			
Pamela	Ehrenkranz			Y	Y	Y
I	0		IF(H46="I", "I", IF(I46="C/P", "C/P", "NA"))=I			
Charles	Falk		Y	Y	Y	I
0			IF(H47="I", "I", IF(I47="C/P", "C/P", "NA"))=I			
Andrew	Farkas		0	0		
			IF(H48="I", "I", IF(I48="C/P", "C/P", "NA"))=NA			
Lonnie	Fine			Y	Y	Y
I	0		IF(H49="I", "I", IF(I49="C/P", "C/P", "NA"))=I			
John	Fitzgibbons			Y	Y	Y
0	C/P		IF(H50="I", "I", IF(I50="C/P", "C/P", "NA"))=C/P			
Peter	Gaslow			Y	Y	Y

0	C/P	IF(H51="I", "I", IF(I51="C/P", "C/P", "NA"))=C/P					
Edouard	Gerschel			Y	Y		Y
0	C/P	IF(H52="I", "I", IF(I52="C/P", "C/P", "NA"))=C/P					
Ari	Glass		Y	Y	Y		0 C/-
P		IF(H53="I", "I", IF(I53="C/P", "C/P", "NA"))=C/P					
Don	Glickman		0	0			IF(H54="I", "I", IF(I54="C/P", "C/- P", "NA"))=NA
Rich	Gold			0	0		
		IF(H55="I", "I", IF(I55="C/P", "C/P", "NA"))=NA					
Christopher	Goldberg				0		0
		IF(H56="I", "I", IF(I56="C/P", "C/P", "NA"))=NA					
Peter	Greatrex						0
0		IF(H57="I", "I", IF(I57="C/P", "C/P", "NA"))=NA					
Bruce	Gross		Y	Y	Y		0 C/-
P		IF(H58="I", "I", IF(I58="C/P", "C/P", "NA"))=C/P					
Robert	Haier			Y	Y		Y
0	C/P	IF(H59="I", "I", IF(I59="C/P", "C/P", "NA"))=C/P					
David	Halliwill			Y	Y		Y
0	C/P	IF(H60="I", "I", IF(I60="C/P", "C/P", "NA"))=C/P					
Ira	Halperin				Y		Y
Y	I		0				IF(H61="I", "I", IF(I61="C/P", "C/P", "NA"))=I
Cathy	Handley		Y	Y	Y		0 C/-
P		IF(H62="I", "I", IF(I62="C/P", "C/P", "NA"))=C/P					
Daniel	Heflin			Y	Y		Y
0	C/P	IF(H63="I", "I", IF(I63="C/P", "C/P", "NA"))=C/P					
Heidi	Holterbosch			Y	Y		Y
0	C/P	IF(H64="I", "I", IF(I64="C/P", "C/P", "NA"))=C/P					
Robert	Hull		0	0			
		IF(H65="I", "I", IF(I65="C/P", "C/P", "NA"))=NA					
Matt	Ireland		Y	Y	Y	0	C/P
		IF(H66="I", "I", IF(I66="C/P", "C/P", "NA"))=C/P					
Sonny	Jain		Y	Y	Y	0	C/-
P		IF(H67="I", "I", IF(I67="C/P", "C/P", "NA"))=C/P					
Neil	Jain		Y	Y	Y	0	C/-
P		IF(H68="I", "I", IF(I68="C/P", "C/P", "NA"))=C/P					
Michael	Jesselson					0	0
		IF(H69="I", "I", IF(I69="C/P", "C/P", "NA"))=NA					
Ken	Jones		0	0			
		IF(H70="I", "I", IF(I70="C/P", "C/P", "NA"))=NA					
Rich	Joslin		0	0			
		IF(H71="I", "I", IF(I71="C/P", "C/P", "NA"))=NA					
William	Keenan			Y	Y		Y
0	C/P	IF(H72="I", "I", IF(I72="C/P", "C/P", "NA"))=C/P					
William	Howard Keenan				0		0
		IF(H73="I", "I", IF(I73="C/P", "C/P", "NA"))=NA					
Judy	Kelly			Y	Y		Y
0	C/P	IF(H74="I", "I", IF(I74="C/P", "C/P", "NA"))=C/P					
Avi	Kestenbaum				Y		Y
Y	0	C/P					IF(H75="I", "I", IF(I75="C/P", "C/P", "NA"))=C/P
Adam	Kimmel		Y	Y	Y	0	C/-
P		IF(H76="I", "I", IF(I76="C/P", "C/P", "NA"))=C/P					

Jeff Kirwood					0	0		
	IF(H77="I","I",IF(I77="C/P","C/P","NA"))=NA							
Martin Klein				Y	Y	Y	0	C/-
	P IF(H78="I","I",IF(I78="C/P","C/P","NA"))=C/P							
Mark Kristoff		Y	Y	Y	0	C/P		
	IF(H79="I","I",IF(I79="C/P","C/P","NA"))=C/P							
Charles Krusen						Y	Y	
Y 0	C/P	IF(H80="I","I",IF(I80="C/P","C/P","NA"))=C/P						
Alan Kufeld	Y	Y	Y	I	0			
	IF(H81="I","I",IF(I81="C/P","C/P","NA"))=I							
Philippe Laffont					0	0		
	IF(H82="I","I",IF(I82="C/P","C/P","NA"))=NA							
Robert Larocca					Y	Y	Y	
I 0	IF(H83="I","I",IF(I83="C/P","C/P","NA"))=I							
Bryan H. Lawrence							0	
0	IF(H84="I","I",IF(I84="C/P","C/P","NA"))=NA							
Bryan R. Lawrence							0	
0	IF(H85="I","I",IF(I85="C/P","C/P","NA"))=NA							
Richard Leeds			Y	Y	Y	0		C/-
	P IF(H86="I","I",IF(I86="C/P","C/P","NA"))=C/P							
Peter Leidel					Y	Y	Y	
0	C/P	IF(H87="I","I",IF(I87="C/P","C/P","NA"))=C/P						
Jared Levy			Y	Y	Y	I		
0	IF(H88="I","I",IF(I88="C/P","C/P","NA"))=I							
John Lummis			Y	Y	Y	0		C/-
P	IF(H89="I","I",IF(I89="C/P","C/P","NA"))=C/P							
Gene Lynch					0	0		
	IF(H90="I","I",IF(I90="C/P","C/P","NA"))=NA							
Mel Manion					0	0		
	IF(H91="I","I",IF(I91="C/P","C/P","NA"))=NA							
David Manlowe					0	0		
	IF(H92="I","I",IF(I92="C/P","C/P","NA"))=NA							
Bryce Markus					0	0		
	IF(H93="I","I",IF(I93="C/P","C/P","NA"))=NA							
Kelly Masuda					0	0		
	IF(H94="I","I",IF(I94="C/P","C/P","NA"))=NA							
William McBride			Y	Y	Y	I		
0	IF(H95="I","I",IF(I95="C/P","C/P","NA"))=I							
Todd Meister			Y	Y	Y	0		C/-
P	IF(H96="I","I",IF(I96="C/P","C/P","NA"))=C/P							
Richard Merage					0	0		
	IF(H97="I","I",IF(I97="C/P","C/P","NA"))=NA							
Neal Milch			Y	Y	Y	0		C/-
P	IF(H98="I","I",IF(I98="C/P","C/P","NA"))=C/P							
David Mitchell					0	0		
	IF(H99="I","I",IF(I99="C/P","C/P","NA"))=NA							
Erik Moody		0	0					
	IF(H100="I","I",IF(I100="C/P","C/P","NA"))=NA							
Catherine Moreatis		Y	Y	Y	0	C/P		
	IF(H101="I","I",IF(I101="C/P","C/P","NA"))=C/P							
Gary Moriwaki					Y	Y		

Y	I	0	IF(H102="I", "I", IF(I102="C/P", "C/P", "NA"))=I					
Thomas	Murray		Y	Y	Y	I	0	
			IF(H103="I", "I", IF(I103="C/P", "C/P", "NA"))=I					
Alvin	Murstein					Y	Y	
Y	0	C/P	IF(H104="I", "I", IF(I104="C/P", "C/P", "NA"))=C/P					
Andrew	Murstein				Y	Y	Y	
0	C/P		IF(H105="I", "I", IF(I105="C/P", "C/P", "NA"))=C/P					
Anup	Patel		0	0				
			IF(H106="I", "I", IF(I106="C/P", "C/P", "NA"))=NA					
Sandy	Pensler				Y	Y	Y	
0	C/P		IF(H107="I", "I", IF(I107="C/P", "C/P", "NA"))=C/P					
Anthony	Persico				0	0		
			IF(H108="I", "I", IF(I108="C/P", "C/P", "NA"))=NA					
George	Petrides					Y	Y	
Y	I	0	IF(H109="I", "I", IF(I109="C/P", "C/P", "NA"))=I					
Deepak	Raj		0	0				
			IF(H110="I", "I", IF(I110="C/P", "C/P", "NA"))=NA					
Lewis	Ranieri		Y	Y	Y	0		C/-
P			IF(H111="I", "I", IF(I111="C/P", "C/P", "NA"))=C/P					
Ben	Rechler		0	0				
			IF(H112="I", "I", IF(I112="C/P", "C/P", "NA"))=NA					
Julie	Richardson				Y	Y		
Y	0	C/P	IF(H113="I", "I", IF(I113="C/P", "C/P", "NA"))=C/P					
Colby	Rollins		Y	Y	Y	0		C/-
P			IF(H114="I", "I", IF(I114="C/P", "C/P", "NA"))=C/P					
Simon	Rose		Y	Y	Y	0		C/-
P			IF(H115="I", "I", IF(I115="C/P", "C/P", "NA"))=C/P					
Kevin	Ryan				Y	Y	Y	
0	C/P		IF(H116="I", "I", IF(I116="C/P", "C/P", "NA"))=C/P					
Marla	Schaefer				Y	Y	Y	
0	C/P		IF(H117="I", "I", IF(I117="C/P", "C/P", "NA"))=C/P					
Karl	Schreiber				0	0		
			IF(H118="I", "I", IF(I118="C/P", "C/P", "NA"))=NA					
Mark	Seelig		0	0				
			IF(H119="I", "I", IF(I119="C/P", "C/P", "NA"))=NA					
Matt	Serra		0	0				
			IF(H120="I", "I", IF(I120="C/P", "C/P", "NA"))=NA					
Phillip	Shefter				Y	Y	Y	
0	C/P		IF(H121="I", "I", IF(I121="C/P", "C/P", "NA"))=C/P					
Richard	Simon		Y	Y	Y	0		C/-
P			IF(H122="I", "I", IF(I122="C/P", "C/P", "NA"))=C/P					
Jamie	Smith		0	0				
			IF(H123="I", "I", IF(I123="C/P", "C/P", "NA"))=NA					
Inder	Sodhi		Y	Y	Y	0		C/-
P			IF(H124="I", "I", IF(I124="C/P", "C/P", "NA"))=C/P					
John	Straus		0	0				
			IF(H125="I", "I", IF(I125="C/P", "C/P", "NA"))=NA					
Haresh	Tharani				Y	Y	Y	
0	C/P		IF(H126="I", "I", IF(I126="C/P", "C/P", "NA"))=C/P					
Brad	Wechsler		0	0				
			IF(H127="I", "I", IF(I127="C/P", "C/P", "NA"))=NA					

George Weiss Y Y Y 0 C/P
IF(H128="I","I",IF(I128="C/P","C/P","NA"))=C/P
Andrew Zaro [REDACTED] 0 0 IF(H129="I","I",IF(I129="C/-
P","C/P","NA"))=NA
Alex Zubillaga [REDACTED] Y Y
Y 0 C/P IF(H130="I","I",IF(I130="C/P","C/P","NA"))=C/P
Lloyd Zuckerberg [REDACTED] Y Y Y
0 C/P IF(H131="I","I",IF(I131="C/P","C/P","NA"))=C/P
Kristin [REDACTED] Y Y Y 0 C/-
P IF(H132="I","I",IF(I132="C/P","C/P","NA"))=C/P
For internal use only

Stew Contacts

Client/ Prospect	Email	Address1	City	State	Zip
Alex Green		911 Carriage Way			
Southlake	TX	76092			
Annandale		325 North St. Paul Street Suite 3500	Dallas	TX	
75201					
Anschutz			555 Seventeenth Street,		
Suite 2400	Denver	CO	80202		
Arcus		3060 Peachtree Rd. NW Suite 1880			
Atlanta	GA	30305			
Arcus		3060 Peachtree Rd. NW Suite			
1880	Atlanta	GA	30305		
BBR		140 E. 45th Street, 26th Floor			
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Blank		3223 Howell Mill Road, Northwest			
Atlanta	GA	30347			
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201	Charlottesville	VA	22902		
Bright Group		200 Greenbrier Road			
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Caruso Affiliated			101 The Grove		
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Caruso (Richard)			795 E. Lancaster Avenue		
Suite 200	Villanova	PA	19085		
Caruso (Richard)			795 E. Lancaster		
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CFM		720 University Avenue, Suite 200			
Los Gatos	CA	95032			
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TX	75201				
Dock Street			510 Fehleley		
Drive	King of Prussia	PA	19406		
Donabedian			200 Technecenter Drive, Suite		
200	Milford	OH	45150		
Dorrance					
Dorrance					
Duncan		1100 Louisiana Street, Suite 5200			
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1000	Atlanta	GA	30327		
Edge		1380 W. Paces Ferry Rd. Suite			
1000	Atlanta	GA	30327		
Edge		1380 W. Paces Ferry Rd. Suite			
1000	Atlanta	GA	30327		
Essig		174 Nassau Street #320			
Princeton	NJ	08542			
Everwatch			8 Sound Shore Drive, Suite		
200	Greenwich	CT	06830		
Everwatch			8 Sound Shore Drive, Suite		

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 Greenwich CT 06830
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 Miami FL 33131
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 Miami FL 33131
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 Washington DC 20007
 Glenmede [REDACTED] 1650 Market Street
 Suite 1200 Philadelphia PA 19103
 Granite Group 187 Danbury Road Wilton CT 06897
 Guilford [REDACTED] P.O. Box 13439 Richmond
 VA 23225
 Highlander [REDACTED] 300 Crescent Court Suite
 550 Dallas TX 75201
 Hillspire [REDACTED] 1010 El Camino Real, Suite 200
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 Menlo Park CA 94025
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 Francisco CA 94111
 Illig [REDACTED] 8900 State Line Road 5th Floor
 Leawood KS 66206
 Illig [REDACTED] 8900 State Line Road 5th Floor Leawood
 KS 66206
 Infinity Q [REDACTED] 888 7th Avenue New York
 NY 10106
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 NY 10106
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 Mount NC 27804
 JL squared [REDACTED] 2929 Arch Street, 13th
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 m20 [REDACTED] 515 South Figueroa Street, Suite
 1050 Los Angeles CA 90071
 Nicholas [REDACTED] 15 Enterprise, Ste. 550
 Aliso Viejo CA 92656
 Nicholas [REDACTED]
 Orix [REDACTED] 1717 Main Street, Suite 1100 Dallas
 TX 75201
 Parami [REDACTED] 6 East 74th Street New York

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 320 San Francisco CA 94133
 Spruce [REDACTED] 263 Tresser Blvd, 15th Floor
 Stamford CT 06901
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 Stamford CT 06901
 Stiritz [REDACTED]
 Stiritz [REDACTED]
 Thomson [REDACTED] 65 Queen Street West Suite 2400 Toronto
 ON, Canada M5H 2M8
 True North [REDACTED] 8350 N. Central
 Expressway, Ste. 725 Dallas TX 75206
 United Company [REDACTED] 1005 Glenway Avenue Bristol
 VA 24201
 Willett [REDACTED] New York NY
 DO NOT SEND:
 Merage [REDACTED] 660 Newport Center Drive. Suite 1300
 Newport Beach CA 92660
 DART [REDACTED] Windward 3, Regatta Office Park
 Grand Cayman Cayman Islands KY1-1206

Notes 10.15

Relationship Name	First	Last	Email	JPM Twin-Win
SPX MS Accelerated Return	SPX/RTY		MS Accelerated Return	EuroStoxx
50 MS Accelerated return	SPX		MS Phoenix AutoCall	AAPL
CS Digital		SPX/RTY	CS Digital	EuroStoxx
50 JPM 12M AutoCall		SPX/RTY		JPM 15M AutoCall
SPX/RTY				
Forrestal Capital	Annette	Franqui	[REDACTED]	X
SK Family Office	Charles	Britton	[REDACTED]	X
Ironsides AM			[REDACTED]	
Forrestal Capital	Jonathan		Fischer	
[REDACTED]		Stewart	has met with the prospect numerous times both professionally and socially and believes they would be interested in this offering	
Snyder, Daniel	Karl	Schreiber	[REDACTED]	
Makan, Divesh	ICONIQ	Capital LLC	[REDACTED]	

Sent 10.7.2015