

Subject: FW: Butterfly / Paul Barrett [C]
From: Stewart Oldfield <[REDACTED]>
Date: Mon, 15 Oct 2018 10:43:15 -0400
To: Richard Iarossi <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>

Please send this morning. Liam needs this to open their new account. Thanks

From: Liam Osullivan
Sent: Monday, October 15, 2018 10:25 AM
To: Stewart Oldfield <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>; Bradley Gillin
<[REDACTED]>
Subject: RE: Butterfly / Paul Barrett [C]

Classification: Confidential

Hello, I forgot to say "Current ID" in my original email.

For Butterfly:

Approved KYC

Harry Beller – Contact Information

Erika Kellerhals - Contact Information

Darren Indyke – Current ID

Richard Kahn – Current ID

From: Liam Osullivan
Sent: Friday, October 12, 2018 11:40 AM
To: Stewart Oldfield <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>; Bradley Gillin
<[REDACTED]>

Subject: Butterfly / Paul Barrett [C]

Classification: Confidential

I have attached Paul's ID and the LTA that has his information.

For Butterfly:

Approved KYC

Harry Beller – Contact Information

Erika Kellerhals - Contact Information

Darren Indyke

Richard Kahn

There are documents that we will need if the account wants Margin and Options...as well as a completed e-delivery form.

Thanks!

{ [REDACTED] }

Liam O'Sullivan
AVP | Key Client Partners - US

DB Securities Inc
Deutsche Bank Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Email [REDACTED]

KCP products and services are intended and available only for persons who

EFTA01433864

are sophisticated institutional investors within the meaning of the FINRA Rule 4512(C)(3), and who are capable of evaluating the strategies, characteristics and investment risks of, and exercising independent judgment in evaluating, the ideas and products discussed herein. Trades and transactions are subject to relevant internal approvals of DBSI or its affiliates prior to execution, and the execution of any transaction or idea discussed herein is conditional on your becoming a client of Deutsche Bank. Key Client Partners (KCP) products, investment ideas and solutions and related matters discussed herein are provided for discussion purposes only, and strictly on a non-advisory basis. The KCP Americas desk does not provide investment advice. The information set forth herein is confidential and personal to you and is being presented for your information and for discussion purposes only. Any reproduction and/or redistribution thereof (in whole or in part) or disclosure of its content without our written consent is strictly forbidden. This communication does not create any legally binding obligation on the part of DBSI or any of its affiliates.