

Subject: Re: Alpha Capital [I]
From: Andrew Gallivan [REDACTED]
Date: Thu, 31 Jan 2019 12:07:22 -0500
To: Stewart Oldfield <[REDACTED]>

No I would try to grab Yoonsun to see what we would need to do if we wanted to onboard this type relationship

Sent from my iPhone

On Jan 31, 2019, at 12:04 PM, Stewart Oldfield <[REDACTED]> wrote:

Classification: For internal use only

Were you able to have discussions about this? Thanks

From: Stewart Oldfield
Sent: Thursday, January 24, 2019 10:59 AM
To: Andrew Gallivan [REDACTED]
Subject: Fwd: Alpha Capital

Can we chat about this later today, tmw or Monday? Thanks

Stewart Oldfield, CFA, CAIA

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Mobile [REDACTED]

Email [REDACTED]

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Begin forwarded message:

From: Alan Brody [REDACTED]
Date: January 24, 2019 at 10:35:41 AM EST
To: Stewart Oldfield <[REDACTED]>
Cc: Liam Osullivan [REDACTED] Davide-A Sferrazza [REDACTED]
[REDACTED]
Subject: Alpha Capital

Classification: Public

Hi Stewart

I spoke to Paul Barrett today. He wants to open a Pershing account in IWP (KCP's new name) with DVP away instructions. Paul says he can sign the KCP onboarding agreement for Alpha. Would you mind getting the KYC and onboarding going with Paul? Happy to discuss if you have any questions.

Best

Alan

Alan Brody
Managing Director | Head of KCP Americas Global Investments & Trading

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