

Subject: RE: Alpha Capital [I]  
From: Stewart Oldfield <[REDACTED]>  
Date: Thu, 31 Jan 2019 12:30:58 -0500  
To: Yoonsun Chung <[REDACTED]>

Classification: For internal use only

Perfect. Thanks

From: Yoonsun Chung  
Sent: Thursday, January 31, 2019 12:28 PM  
To: Stewart Oldfield <[REDACTED]>  
Subject: RE: Alpha Capital [I]

Classification: For internal use only

Sure. I am a bit jammed today. Tomorrow, I will get you in the morning?  
between 10:30 and 11:30?

{cid:image001.gif@01D4B960.63B37010}

Yoonsun Chung  
Vice President

Deutsche Bank Trust Company Americas

WM Americas  
345 Park Avenue, New York, NY 10154-0004  
Tel. [REDACTED]  
Email [REDACTED]

From: Stewart Oldfield  
Sent: Thursday, January 31, 2019 12:21 PM  
To: Yoonsun Chung <[REDACTED]>  
Subject: FW: Alpha Capital [I]

Classification: For internal use only

Yoonsun,

Can we discuss this when you get a chance?

Thanks,

Stew

From: Andrew Gallivan  
Sent: Thursday, January 31, 2019 12:07 PM  
To: Stewart Oldfield <[REDACTED]>  
Subject: Re: Alpha Capital [I]

No I would try to grab Yoonsun to see what we would need to do if we wanted to onboard this type relationship

Sent from my iPhone

On Jan 31, 2019, at 12:04 PM, Stewart Oldfield <[REDACTED]> wrote:

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Were you able to have discussions about this? Thanks

From: Stewart Oldfield  
Sent: Thursday, January 24, 2019 10:59 AM  
To: Andrew Gallivan <[REDACTED]>  
Subject: Fwd: Alpha Capital

Can we chat about this later today, tmw or Monday? Thanks

Stewart Oldfield, CFA, CAIA

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

Begin forwarded message:

From: Alan Brody <[REDACTED]>  
Date: January 24, 2019 at 10:35:41 AM EST  
To: Stewart Oldfield <[REDACTED]>  
Cc: Liam Osullivan <[REDACTED]>, Davide-A Sferrazza <[REDACTED]>  
[REDACTED]  
Subject: Alpha Capital

Classification: Public

Hi Stewart

I spoke to Paul Barrett today. He wants to open a Pershing account in IWP (KCP's new name) with DVP away instructions. Paul says he can sign the KCP onboarding agreement for Alpha. Would you mind getting the KYC and onboarding going with Paul? Happy to discuss if you have any questions.

Best

Alan

Alan Brody  
Managing Director | Head of KCP Americas Global Investments & Trading

Deutsche Bank Wealth Management

345 Park Avenue

New York, NY 10154-0004

Work

Email: