

Subject: RE: Reg E Review - Error Resolution Process - Client notification letter for provisional credit & Final determination letter [I]  
From: Armen Brash <armen.brash@db.com>  
Date: Mon, 16 May 2016 12:16:05 -0400  
To: Derek Johnson <derek.johnson@db.com>, Paul Morris <[REDACTED]>, Stewart Oldfield <[REDACTED]>  
Cc: Margie Edwards <margie.edwards@db.com>, Michelle Yoo <michelle.yoo@db.com>, Judy Ekwughalu <judy.ekwughalu@db.com>

Classification: For internal use only

Derek,

JJ left DB in April. Strange that his emails aren't bouncing—I will need to look into that with HR.

Attached is the written statement of authorization.

I have copied Paul and Stew, the relationship managers, to see if they have a copy of the provisional credit letter.

Its possible that the final letter hasn't been sent yet, given the staff turnound on the team if not, we should get that sent out today.

As an FYI, we closed that account and opened a new one.

Best,  
Armen

---

{cid:image002.gif@01D1AF6C.B73C82E0}

Armen Brash

Director

Deutsche Bank Wealth Management

345 Park Avenue, 10154-0004 New York, NY, USA

Tel. [REDACTED] Fax [REDACTED]

Mobile [REDACTED]

Email armen.brash@db.com

{cid:image003.gif@01D1AF6C.B73C82E0}

From: Derek Johnson

Sent: Monday, May 16, 2016 11:43 AM

To: Jj Litchford

Cc: Margie Edwards; Michelle Yoo; Judy Ekwughalu; Armen Brash

Subject: Reg E Review - Error Resolution Process - Client notification letter for provisional credit & Final determination letter [I]

Classification: For internal use only

This is a follow-up to an email dated May 11, 2016.

Hello JJ,

An EFT error was processed for the following account:

Jeffrey Epstein # [REDACTED] provisional credit in the amount of \$334.33 on 3/8/2016.

Can you please provide a copy of the cardholders signed statement of disputed items, provisional credit letter and final notification letter that was sent to the client. I did look on dbForce and was unable to locate. I

EFTA01435221

have attached a copy of the email showing approval of provisional credit dated 3/8/2016. If you have any questions concerning my request, please let me know.

{cid:image003.png@01CF7FD6.9B34A040}

Derek Johnson  
Compliance Officer | Compliance Testing

Deutsche Bank Securities Inc.  
5022 Gate Parkway, 32256 Jacksonville, Florida, USA  
Tel. [REDACTED]  
Fax [REDACTED]  
Email derek.johnson@db.com

---  
This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.