

Subject: Assistance required in resolving Rejection Points - KYC # 01977703
[I]
From: Mayur Rathod <mayur.rathod@db.com>
Date: Tue, 23 Oct 2018 05:16:45 -0400
To: Bradley Gillin <bradley.gillin@db.com>
Cc: Stewart Oldfield <[REDACTED]>,
Vijay-A Sawant <vijay-a.sawant@db.com>,
Vinita Advani <vinita.advani@db.com>

Classification: For internal use only

Hi Brad,

Good Morning!!

We require your assistance in resolving below rejection point –

1. Signing authority for Southern Financial LLC brokerage account: Please address discrepancies between the KYC and NetX. The attached LLC resolution is for the banking account only. In addition NETX has the signatories as Jeffrey Epstein, Jean Anne Wiebracht, Harry Beller, Darren Indyke and Paul Barrett has limited trading authority. The KYC only lists Jeffrey Epstein, Jean Anne Wiebracht and Darren Indyke. Please help in getting Corporate resolution which shows Jeffrey Epstein, Jean Anne Wiebracht, Harry Beller, Darren Indyke as signatories and Paul Barrett having limited trading authority.

Thanks & Regards

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