

Subject: RE: intros  
From: [REDACTED]  
Date: Tue, 17 Apr 2018 22:57:22 -0400  
To: [REDACTED],  
[REDACTED]

Stew,

Thanks for the introduction to Paul.

Paul, very nice to meet you by email. Yes, as odd as it seems, we are an affiliate of UBS (that said, you can also reach me at Baxter.wasson@oconnorfunds.com). Our capital is all third party investor capital, which is a key differentiator that allows us to be much more flexible and fast moving than a bank.

In any case, I'd be happy to do a call between 3 and 4 tomorrow or later in the week to see if we can find a point of connection where our capital can be helpful.

Thanks.

Best regards,  
Baxter

BAXTER WASSON  
Co-Head of Capital Solutions

O'CONNOR  
787 7th Avenue – 13th Floor  
New York, NY 10019  
Direct: (1) 212-821-5942  
Mobile: (1) [REDACTED]

From: Paul Barrett  
<[REDACTED]<mailto:[REDACTED]>>  
Date: Tuesday, Apr 17, 2018, 6:37 PM  
To: Stewart Oldfield  
<[REDACTED]<mailto:[REDACTED]>>  
Cc: Wasson, Baxter [REDACTED]<mailto:[REDACTED]>  
Subject: Re: intros

Got it

Nice to meet you Baxter. Please let me know when you have time to chat.

Best,

Paul Barrett  
Alpha Group Capital LLC  
142 W 57th Street, 11th Floor, New York, NY 10019  
646-876-5277<tel:646-876-5277> (o) 646-342-6930<tel:[REDACTED]> (c)  
[REDACTED]<mailto:[REDACTED]>

On Apr 17, 2018, at 6:26 PM, Stewart Oldfield  
<[REDACTED]<mailto:[REDACTED]>> wrote:

Yes, O'Connor is a UBS subsidiary. Baxter can give you all the details

From: Paul Barrett [mailto:[REDACTED]]  
Sent: Tuesday, April 17, 2018 6:22 PM  
To: Stewart Oldfield  
<[REDACTED]<mailto:[REDACTED]>>  
Cc: [REDACTED]  
Subject: Re: intros

A UBS email address? Sure it is correct?

Paul Barrett  
Alpha Group Capital LLC  
142 W 57th Street, 11th Floor, New York, NY 10019  
646-876-5277<tel:646-876-5277> (o) 646-342-6930<tel:[REDACTED]> (c)  
[REDACTED]<mailto:[REDACTED]>

On Apr 17, 2018, at 5:49 PM, Stewart Oldfield  
<[REDACTED]<mailto:[REDACTED]>> wrote:  
Baxter, Paul. Paul, Baxter.

Paul has been building an inventory finance business for third party sellers on Amazon. It's growing to the point where he needs to be looking at external funding sources for debt against the receivables pool.

Baxter used to run credit structuring at DB and is now at O'Connor Capital Solutions. I think he's a great first call on this and you'll both get along well.

All the best,

Stew

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<image003.png>

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154  
Tel. 212 454-2881  
Mobile [REDACTED]  
Email [REDACTED] <mailto:[REDACTED]>

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