

Subject: RE: Question [C]
From: Liam Osullivan <[REDACTED]>
Date: Wed, 12 Sep 2018 11:14:16 -0400
To: Stewart Oldfield <[REDACTED]>
Cc: Martin Zeman <[REDACTED]>, Davide-A Sferrazza <[REDACTED]>

Classification: Confidential

Davide is going to call Paul.

From: Stewart Oldfield
Sent: Wednesday, September 12, 2018 11:08 AM
To: Liam Osullivan <[REDACTED]>
Cc: Martin Zeman <[REDACTED]>
Subject: Fwd: Question

Stewart Oldfield, CFA, CAIA

Director

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

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Mobile [REDACTED]

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Securities offered through Deutsche Bank Securities Inc.

Begin forwarded message:

From: Paul Barrett <[REDACTED]>
Date: September 12, 2018 at 6:55:58 AM PDT
To: Martin Zeman <[REDACTED]>, Stewart Oldfield
<[REDACTED]>
Cc: 'Richard Kahn' <[REDACTED]>, 'Jeanne Brennan'
<[REDACTED]>
Subject: RE: Question

Any response yet?

Paul Barrett

Alpha Group Capital LLC

142 W 57th Street, 11th Floor, New York, NY 10019

646-876-5277 (o) [REDACTED]
[REDACTED]

{cid:image001.jpg@01D44A7E.CF21CAA0}

From: Martin Zeman <[REDACTED]>
Sent: Friday, September 07, 2018 3:38 PM
To: Paul Barrett <[REDACTED]>; Stewart Oldfield
<[REDACTED]>
Cc: 'Richard Kahn' <[REDACTED]>; 'Jeanne Brennan'

<[REDACTED]>
Subject: RE: Question

Paul - we need to get back to you on this one.

Martin

From: Paul Barrett [mailto:[REDACTED]]
Sent: Friday, September 07, 2018 1:11 PM
To: Stewart Oldfield <[REDACTED]>; Martin Zeman
<[REDACTED]>
Cc: Richard Kahn <[REDACTED]>; Jeanne Brennan
<[REDACTED]>
Subject: Question

Hi Guys,

If we segregate IM for non-cleared trades does that mean we have to post cash in a separate account?

Thanks

Paul

Paul Barrett

Alpha Group Capital LLC

142 W 57th Street, 11th Floor, New York, NY 10019

646-876-5277 (o) [REDACTED]
[REDACTED]

{cid:image003.jpg@01D44A7E.CF1D84E0}

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