

Subject: CLEARED: Fircosoft Alert\_Caroline Sophie Camille Lang [I]  
From: PWMUS AMLKYC <pwmus.amlkyc@db.com>  
Date: Mon, 04 Jun 2018 08:59:21 -0400  
To: Vijay-A Sawant <[REDACTED]>  
Cc: PWMUS AMLKYC [REDACTED],  
Stewart Oldfield <[REDACTED]>,  
Bradley Gillin <[REDACTED]>

Classification: For internal use only

Good morning Vijay,

AML Compliance has reviewed the Fircosoft Alert generated 5/31/18 for Caroline Lang, Grantor/Trustee of The Pierre Trust; Grantor/UBO of The Pierre Trust which owns 50% of Prytanee, LLC, existing client of the Private Bank. Caroline is a Chairman at Sotheby's (Contemporary Art).

Our Party: Caroline Sophie Camille Lang, YOB 1961, is Caroline is a Chairman at Sotheby's (Contemporary Art) Switzerland.

Photo: <http://www.sothebys.com/en/specialists/caroline-lang/bio.html>

Alert Subject: Caroline Lang, is an actress and a PEP due to her father, Jack Lang, a former member of the French Legislature.

<https://www.imdb.com/name/nm0485701/>

Photo: <https://www.gettyimages.co.uk/photos/caroline-lang?-sort=mostpopular&mediatype=photography&phrase=caroline%20lang#>

AML Compliance clears this alert from AML perspective as a false positive as the occupation, physical appearance and location of Our Party do not match that of the Alert Subject.

Regards,

Carl Timcke

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{cid:1\_\_=0ABBF490DFF5ECF48f9e8a93df9386909@db.com}

Carl Timcke  
Associate | AML Compliance Officer

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{cid:image002.png@01D16A61.6AA97F00}

From: Vijay-A Sawant  
Sent: Friday, June 01, 2018 5:41 AM  
To: PWMUS AMLKYC [REDACTED]  
Cc: Stewart Oldfield <[REDACTED]>; Bradley Gillin  
<[REDACTED]>  
Subject: FW: Fircosoft\_alert\_ Caroline Sophie Camille Lang

Hello Team,

We are seeking your updated approval for the attached Fircosoft alert on Caroline Lang, relating to KYC Case#01946825.

Thanks in advance.

EFTA01436450

Regards,

{cid:1\_\_=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative

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