

Subject: Fwd: Alpha Capital
From: Stewart Oldfield <[REDACTED]>
Date: Thu, 24 Jan 2019 10:58:35 -0500
To: Andrew Gallivan <[REDACTED]>

Can we chat about this later today, tmw or Monday? Thanks
Stewart Oldfield, CFA, CAIA

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Begin forwarded message:

From: Alan Brody <[REDACTED]>
Date: January 24, 2019 at 10:35:41 AM EST
To: Stewart Oldfield <[REDACTED]>
Cc: Liam Osullivan <[REDACTED]>, Davide-A Sferrazza <[REDACTED]>
Subject: Alpha Capital

Classification: Public

Hi Stewart

I spoke to Paul Barrett today. He wants to open a Pershing account in IWP (KCP's new name) with DVP away instructions. Paul says he can sign the KCP onboarding agreement for Alpha. Would you mind getting the KYC and onboarding going with Paul? Happy to discuss if you have any questions.

Best

Alan

Alan Brody
Managing Director | Head of KCP Americas Global Investments & Trading

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