

Subject: Re: ACATS for Southern Financial/Trust [I]  
From: Paul Morris <[REDACTED]>  
Date: Tue, 10 Sep 2013 05:40:15 -0400  
To: Jay Lipman <[REDACTED]>  
Amanda Kirby <[REDACTED]>  
Cc: Tazia Smith <[REDACTED]>,  
Vahe Stepanian <[REDACTED]>

Classification: For internal use only

Ok sounds good let's talk tuesday.

----- Original Message -----

From: Jay Lipman

Sent: 09/09/2013 05:37 PM EDT

To: Amanda Kirby

Cc: Paul Morris; Tazia Smith; Vahe Stepanian

Subject: Re: ACATS for Southern Financial/Trust [I]

Classification: For internal use only

We need to comb through the positions and consult the necessary product specialists, especially for the foreign exchange products. We will confirm the definitive list with you tomorrow upon consulting the necessary individuals.

Kind Regards,

Jay Lipman

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Jay Lipman

Analyst I Markets Coverage Group

Deutsche Bank Securities Inc  
Deutsche Asset & Wealth Management  
345 Park Avenue - 26th Floor  
New York, NY 10154  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

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From: Amanda Kirby/db/-  
dbcom

To: Paul Morris/db/-  
[REDACTED],

Cc: Jay Lipman/db/[REDACTED], Tazia Smith/db/-  
[REDACTED], Vahe Stepanian/db/-  
[REDACTED]

Date: 09/09/2013 05:20  
PM

Subject: Re: ACATS for Southern Financial/Trust  
[I]

Classification: For internal use only

Jay -- Do you have a list of what positions can't be transferred in kind?

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas  
345 Park Avenue, 27th Fl  
New York, NY, USA, 10154-0004  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

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From: Paul Morris/db/-  
dbcom

To: Amanda Kirby/db/[REDACTED], Jay Lipman/db/-  
[REDACTED],

Cc: Tazia Smith/db/[REDACTED], Vahe Stepanian/db/-  
[REDACTED]

Date: 09/09/2013 05:09  
PM

Subject: Re: ACATS for Southern Financial/Trust  
[I]

Classification: For internal use only

Thanks need to move this forward,

----- Original Message -----

From: Amanda Kirby

Sent: 09/09/2013 04:13 PM EDT

To: Jay Lipman

Cc: Tazia Smith; Vahe Stepanian; Paul Morris

Subject: Re: ACATS for Southern Financial/Trust [I]

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Thank you, Jay. I'll send the account docs to the client today and we can

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discuss the ACATs further when Paul is in the office tomorrow

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas  
345 Park Avenue, 27th Fl  
New York, NY, USA, 10154-0004  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

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From: Jay Lipman/db/-  
dbcom

To: Amanda Kirby/db/-  
[REDACTED],

Cc: Tazia Smith/db/[REDACTED], Vahe Stepanian/db/-  
[REDACTED]

Date: 09/09/2013 04:03  
PM

Subject: Re: ACATS for Southern Financial/Trust  
[I]

Classification: For internal use only

Hi Amanda,

As we discussed earlier, the ACAT's will be more complicated than usual due to the exotic nature of some of the assets in the accounts.

As for Jeepers, please find attached below the necessary brokerage documents for a corporate account. Please find Completion Instructions: Account Agreement – Please complete highlighted areas on pgs. 1, 6, 7, 14, and 15  
Corp Acct. Authorization – Please complete highlighted area and sign/date bottom of pages 1 + 2

[attachment "AWM Acct. Agreement.pdf" deleted by Amanda Kirby/db/dbcom]  
[attachment "Corporate Account Authorization.pdf" deleted by Amanda Kirby/db/dbcom] [attachment "New Corporate Info Sheet 6.2012.pdf" deleted by Amanda Kirby/db/dbcom]

Kind Regards,

Jay Lipman

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Jay Lipman  
Analyst I Markets Coverage Group

Deutsche Bank Securities Inc  
Deutsche Asset & Wealth Management  
345 Park Avenue - 26th Floor  
New York, NY 10154  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

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From: Amanda Kirby/db/-

EFTA01436609

dbcom

To: Jay Lipman/db/-  
[REDACTED],

Cc: Tazia Smith, Vahe Stepanian/db/-  
[REDACTED]

Date: 09/09/2013 10:59  
AM

Subject: ACATS for Southern Financial/Trust  
[I]

Classification: For internal use only

Hi Jay,

Wanted to touch base in regards to the ACATS for Southern Trust and Southern Financial. I know you were working on them on Friday. Would like to send them to the client today, if possible.

Also, Jeepers Inc was KYC approved on our end. I am going to work on getting those account docs prepared and send them over today. Would you mind sending me Brokerage accounts for them?

Thank you

Best,  
Amanda Kirby

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Amanda Kirby

EFTA01436610

Associate

Deutsche Bank Trust Company Americas  
345 Park Avenue, 27th Fl  
New York, NY, USA, 10154-0004  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

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