

Subject: Fwd: Question  
From: Stewart Oldfield <[REDACTED]>  
Date: Wed, 12 Sep 2018 11:08:17 -0400  
To: Liam Osullivan <[REDACTED]>  
Cc: Martin Zeman <[REDACTED]>

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154  
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Begin forwarded message:

From: Paul Barrett <[REDACTED]>  
Date: September 12, 2018 at 6:55:58 AM PDT  
To: Martin Zeman <[REDACTED]>, Stewart Oldfield  
<[REDACTED]>  
Cc: 'Richard Kahn' <[REDACTED]>, 'Jeanne Brennan'  
<[REDACTED]>  
Subject: RE: Question

> Any response yet?

Paul Barrett

Alpha Group Capital LLC

142 W 57th Street, 11th Floor, New York, NY 10019  
[REDACTED]

[REDACTED]

From: Martin Zeman [REDACTED]  
Sent: Friday, September 07, 2018 3:38 PM  
To: Paul Barrett <[REDACTED]>; Stewart Oldfield  
<[REDACTED]>  
Cc: 'Richard Kahn' <[REDACTED]>; 'Jeanne Brennan'  
<[REDACTED]>  
Subject: RE: Question

Paul - we need to get back to you on this one.

Martin

From: Paul Barrett [mailto:[REDACTED]]  
Sent: Friday, September 07, 2018 1:11 PM  
To: Stewart Oldfield <[REDACTED]>; Martin Zeman  
<[REDACTED]>  
Cc: Richard Kahn <[REDACTED]>; Jeanne Brennan  
<[REDACTED]>  
Subject: Question

Hi Guys,

If we segregate IM for non-cleared trades does that mean we have to post cash in a separate account?

Thanks

Paul

Paul Barrett

Alpha Group Capital LLC

142 W 57th Street, 11th Floor, New York, NY 10019

[REDACTED]

[REDACTED]

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