

Subject: Re: Alpha Capital  
From: Stewart Oldfield <[REDACTED]>  
Date: Thu, 24 Jan 2019 10:57:43 -0500  
To: Alan Brody <[REDACTED]>  
Cc: Liam Osullivan <[REDACTED]>  
Davide-A Sferrazza <[REDACTED]>

Great, thanks. I'm out of the office and back Monday. Let's chat about this before we kick off the process.

Stewart Oldfield, CFA, CAIA

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On Jan 24, 2019, at 10:35 AM, Alan Brody <[REDACTED]> wrote:

Classification: Public

Hi Stewart

I spoke to Paul Barrett today. He wants to open a Pershing account in IWP (KCP's new name) with DVP away instructions. Paul says he can sign the KCP onboarding agreement for Alpha. Would you mind getting the KYC and onboarding going with Paul? Happy to discuss if you have any questions.

Best

Alan

Alan Brody  
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