

Subject: RE: Next steps: Napa Conference [I]
From: Stewart Oldfield <[REDACTED]>
Date: Mon, 30 Jul 2018 09:53:23 -0400
To: Sarah Rafferty <[REDACTED]>

Thanks. When will you have the names for nextgen?

From: Sarah Rafferty
Sent: Friday, July 27, 2018 10:13 AM
To: Stewart Oldfield <[REDACTED]>
Subject: Next steps: Napa Conference [I]

Classification: For internal use only

You nominated:

Brad

Wechsler

Brad

Briner

Kelly

Masuda

Greg

Martin

Ken

Jones

Robert

Forsythe

Frohman

Anderson

Matt

Womble

Glenn

Warren

Heather

Crosby

James

Burritt

Chuck

Chai

Paul

Barrett

George

Lindemann

Adam

Lindemann

See compliance pre-approval details below. If you have any questions, please let me know. Please keep me apprised of clients that express interest in attending. Thanks.

Compliance:

This is a high-priced event and requires compliance pre-approval. Compliance will ask you to confirm the following criteria:

1. Attendees must only be connected to principals who are making decisions with respect to their own private wealth;
2. Attendees must not be family officers or trustees in respect to any funds/-investments managed by DB;
3. Attendees must not be attending on behalf of principals who are public officials,
4. The attendees must not be involved in pending business decisions with DB (see below for further details):

Pending Business - Clients: Pending business at time of invite is NOT OK (specific discussions pertaining to

investment type and amount have occurred, and decision or action is pending with client). Clients: Prospective

business is OK (general relationship building, positioning DB capabilities, new investment vehicles etc.) Prospects:

Prospective business is OK (general relationships building, positioning DB capabilities) Prospects: Pending

business/specific pitches at time of invite is NOT OK (discussion on moving assets from bank x to DB).

Parent ID – 3MAC

Cost per person if 1 person per hotel room - \$3,771.99 and if couple cost pp is \$3,056.61

<https://www.concursolutions.com/Expense/Request/default.asp>

Save the date:

Deutsche Bank Wealth Management will host our annual wine-themed event in Napa Valley from Wednesday, October 24 through Friday, October 26. We will learn from the experience of winery owners, the journey from being a wine enthusiast to becoming a grower and producer. If you are interested in joining us, please let me know and I will forward details to you in the coming weeks. In the meantime, please do not hesitate to contact me with any questions. I hope you will be able to join us for this very special experience.

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