

Clients+Products

Contact Information	KCP	AFS	Structured Notes	Periodic
communication Events/	Entertainment			
General Information	Current Offerings	September Offerings	General Current	
Offerings	September Offerings			
Relationship Name	Family Office Name	First	Last	
Claimed?	C/P/I	Email	Phone	Address
Private Markets Focus	Capital Markets Focus	Research Focus	Notes	
To Do	Suntex	AcTRS	RIN	Retrofit
RESOF	Proton	Phoenix	Lease Monetization	Panda
Research Focus	Risk Factors	Notes	To Do	Interest Notes
Buffered Jump Plu	MS Apple Autocall			MS Crude Oil Autocall
HSBC SX5E Buffered	Accelerated Return	CS X0P	Accelerated Return	
CS SPX Callable	JP SPX Twin Win	MS SPX	Buffered Jump	CIO View
Tax Topics	Structured Notes	Other Interests	Frieze London	
(2015) Invite	Invite Sent/ response	Tailgate (\$375)	KKR/ (event)	
Jackson Hole	Deposit Pref.	CD		
Algappan, Arun	Arun	Alagappan	P	
10028	IF(COUNTA(AJ4,AK4,AL4,AM4,AN4,A04,AP4,AQ4)>0,"Y","")=			Y
Amster, Yosi	Yosi	Amster	I	
10173	IF(COUNTA(AJ5,AK5,AL5,AM5,AN5,A05,AP5,AQ5)>0,"Y","")=			Y
Annandale Capital	P			
PE	n/a	RIN, RESOF, Suntex,	Astra follow up	sent 3/20. May
have interest in second close				sent 3/20
3/24	IF(COUNTA(AJ6,AK6,AL6,AM6,AN6,A06,AP6,AQ6)>0,"Y","")=			Y
Anschutz	Scott	Carpenter		
Rail, Oil&Gas, Sports/Entertainment,	Lodging/Hospitality	energy	Do not	
want funds. Looking at experiential travel ideas		meet w/	Scott in	
Denver	sent 12/18	sent 3/18.	Passed 4/1	Sent 10/7
IF(COUNTA(AJ7,AK7,AL7,AM7,AN7,A07,AP7,AQ7)>0,"Y","")=			Y	Y
Archer, Thomas J.	Thomas	Archer	I	
IF(COUNTA(AJ8,AK8,AL8,AM8,AN8,A08,AP8,AQ8)>0,"Y","")=			Y	Y
Arcus				
IF(COUNTA(AJ9,AK9,AL9,AM9,AN9,A09,AP9,AQ9)>0,"Y","")=			Y	
Arcus				
IF(COUNTA(AJ10,AK10,AL10,AM10,AN10,A010,AP10,AQ10)>0,"Y","")=				Y
Attori, Gregory	Gregory	Attorri	I	
IF(COUNTA(AJ11,AK11,AL11,AM11,AN11,A011,AP11,AQ11)>0,"Y","")=				Y
Augenblick, Andy	Andy	Augenblick		
IF(COUNTA(AJ12,AK12,AL12,AM12,AN12,A012,AP12,AQ12)>0,"Y","")=				Y

Barry, Mitchell Mitchell Barry C/P [REDACTED]
[REDACTED] [REDACTED] [REDACTED]
10001 IF(COUNTA(AJ13,AK13,AL13,AM13,AN13,A013,AP13,AQ13)>0,"Y","")= Y Y
BBR Partners Todd Whitenack P [REDACTED]
[REDACTED] [REDACTED] [REDACTED] Energy, EM, funds on
Blackrock DNC list sent 3/16. Passed 3/24
IF(COUNTA(AJ14,AK14,AL14,AM14,AN14,A014,AP14,AQ14)>0,"Y","")= Y y
Beller, Harry Harry Beller C/P [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED]
IF(COUNTA(AJ15,AK15,AL15,AM15,AN15,A015,AP15,AQ15)>0,"Y","")= Y Y
Bhalla, Ajay Ajay Bhalla P [REDACTED]
IF(COUNTA(AJ16,AK16,AL16,AM16,AN16,A016,AP16,AQ16)>0,"Y","")= Y Y
Blank David Homrich [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED]
IF(COUNTA(AJ17,AK17,AL17,AM17,AN17,A017,AP17,AQ17)>0,"Y","")= Y
Y Y Sent 10/1 Sent 10/1
Blue Mountain Peter Greatrex P [REDACTED]
[REDACTED] [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED] Sent 9/22
IF(COUNTA(AJ18,AK18,AL18,AM18,AN18,A018,AP18,AQ18)>0,"Y","")= Y
Bluestem LLC P [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED] General sent 3/1.
Passed 3/12
IF(COUNTA(AJ19,AK19,AL19,AM19,AN19,A019,AP19,AQ19)>0,"Y","")= Y
Brandis, Steve Steve Brandis [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED]
IF(COUNTA(AJ20,AK20,AL20,AM20,AN20,A020,AP20,AQ20)>0,"Y","")= Y
Bright Group Darren Campf P [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED]
IF(COUNTA(AJ21,AK21,AL21,AM21,AN21,A021,AP21,AQ21)>0,"Y","")= Y
Y Sent 10/1 Sent 10/1
Bulloch, Roger Roger Bulloch P [REDACTED] Las
Vegas NV maybe as a seller? n/a Makes direct investments
into pvt companies. 12-24m hold meeting sent 8/12 sent
3/1 IF(COUNTA(AJ22,AK22,AL22,AM22,AN22,A022,AP22,AQ22)>0,"Y","")= Y
Y Y Sent 10/1 Sent 10/1
Caruso Affiliated Kelly Masuda [REDACTED]
[REDACTED] [REDACTED] [REDACTED] Real Estate,
general sent 2/26. Passed 3/20 Sent 10/7
IF(COUNTA(AJ23,AK23,AL23,AM23,AN23,A023,AP23,AQ23)>0,"Y","")= Y Y
Caruso, Richard Gary Deilella P [REDACTED]
[REDACTED] [REDACTED] [REDACTED] General, prefer funds or direct PE
sent 7/28
IF(COUNTA(AJ24,AK24,AL24,AM24,AN24,A024,AP24,AQ24)>0,"Y","")= Y
Y Sent 10/1 Sent 10/1
Caruso, Richard Richard Caruso P [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED] General,
prefer funds or direct PE sent 7/28

IF(COUNTA(AJ25,AK25,AL25,AM25,AN25,A025,AP25,AQ25)>0,"Y","")= Y y
 Cavalry Andrew Zaro P ██████████
 IF(COUNTA(AJ26,AK26,AL26,AM26,AN26,A026,AP26,AQ26)>0,"Y","")= Y
 Sent 10/1 Sent 10/1
 CFM Rob P ██████████ ██████████
 ██████████ ██████████ ██████████ sent 2/26. Passed 2/26
 Convey sending?
 IF(COUNTA(AJ27,AK27,AL27,AM27,AN27,A027,AP27,AQ27)>0,"Y","")= Y
 Sent 10/1 Sent 10/1
 CFM Tim Sarhatt P ██████████ ██████████
 ██████████ ██████████ ██████████ sent 2/26. Passed
 2/26 Convey sending?
 IF(COUNTA(AJ28,AK28,AL28,AM28,AN28,A028,AP28,AQ28)>0,"Y","")= Y
 Sent 10/1 Sent 10/1
 Cline, Michael Michael Cline C/P ██████████ ██████████
 ██████████ ██████████ ██████████
 IF(COUNTA(AJ29,AK29,AL29,AM29,AN29,A029,AP29,AQ29)>0,"Y","")= Y
 Y Y (Y)
 Cold Spring Harbor Laboratory Dill Ayres P ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ30,AK30,AL30,AM30,AN30,A030,AP30,AQ30)>0,"Y","")= Y
 Cold Spring Harbor Laboratory Richard Leeds P ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ31,AK31,AL31,AM31,AN31,A031,AP31,AQ31)>0,"Y","")= Y Y
 Coleman, Gail Gail Coleman P ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████
 ██████████ IF(COUNTA(AJ32,AK32,AL32,AM32,AN32,A032,AP32,AQ32)>0,"Y","")=
 Y Y
 Cox, Berry Cox Partners P ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ33,AK33,AL33,AM33,AN33,A033,AP33,AQ33)>0,"Y","")= Y
 Cross, Lisa Adreinne Cannella P ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ34,AK34,AL34,AM34,AN34,A034,AP34,AQ34)>0,"Y","")= Y
 Crystal, Jonathan Jonathan Crystal I ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ35,AK35,AL35,AM35,AN35,A035,AP35,AQ35)>0,"Y","")= Y Y
 Danziger, Thomas Thomas Danziger I ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ36,AK36,AL36,AM36,AN36,A036,AP36,AQ36)>0,"Y","")= Y Y
 Dart Management Sharon Cornwell P ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████
 financing, MSBs MSBs? Deep value focus, lots of cash collateral, separate
 real estate group sent 12/18 teaser sent 2/5
 IF(COUNTA(AJ37,AK37,AL37,AM37,AN37,A037,AP37,AQ37)>0,"Y","")= N
 Do not send research emails

Disney, Roy Shamrock Greg Martin P
 ██████████ ██████████ ██████████ ██████████ ██████████
 Yield n/a real estate referral? Merage connection?
 sent 11/7, discussed 1/15, follow up 8/12 teaser sent 2/5 sent
 3/1 sent 2/26 mentioned 11/6, sent 3/16 Sent 10/7
 IF(COUNTA(AJ38,AK38,AL38,AM38,AN38,A038,AP38,AQ38)>0,"Y","")= Y Y
 (Y) Y Sent 10/1 Sent 10/1
 Dock Street P ██████████ ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ General n/a already
 covered by PCS, just check in occasionally
 IF(COUNTA(AJ39,AK39,AL39,AM39,AN39,A039,AP39,AQ39)>0,"Y","")= Y Y
 Dorrance Family DFE Enterprises Clint Gossage P
 ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ40,AK40,AL40,AM40,AN40,A040,AP40,AQ40)>0,"Y","")= Y
 Y Y sent 8/26 sent 9/29
 Dorrance Family DFE Enterprises Jason Stiles P
 ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ41,AK41,AL41,AM41,AN41,A041,AP41,AQ41)>0,"Y","")= Y
 Y Y sent 9/11 sent 9/29
 Duncan Investments Gregg Hammer P ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ42,AK42,AL42,AM42,AN42,A042,AP42,AQ42)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 Dzialga, Kirsten & Mark Kristen Dzialga P ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ43,AK43,AL43,AM43,AN43,A043,AP43,AQ43)>0,"Y","")= Y
 Edge ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ44,AK44,AL44,AM44,AN44,A044,AP44,AQ44)>0,"Y","")= Y
 Edge ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ45,AK45,AL45,AM45,AN45,A045,AP45,AQ45)>0,"Y","")= Y
 Edge ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ46,AK46,AL46,AM46,AN46,A046,AP46,AQ46)>0,"Y","")= Y
 Ehrenkraz, Pamela Pamela Ehrenkraz I ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ47,AK47,AL47,AM47,AN47,A047,AP47,AQ47)>0,"Y","")= Y Y
 Elysium Management Brad Wechsler P ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ48,AK48,AL48,AM48,AN48,A048,AP48,AQ48)>0,"Y","")= Y
 Y Y
 Essig Erin Enright ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ49,AK49,AL49,AM49,AN49,A049,AP49,AQ49)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 EverWatch Financial Frohman Anderson P ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ General, but like industrial/agg services, oil & gas,
 enviromental Maintain lvg. against some commercial RE. Used to have

large CL loan @ CS and BAML Send RESOF and Astra sent 8/12
 sent 3/18. Passed 3/30 sent 3/16 Sent 10/7 Sent 10/19
 IF(COUNTA(AJ50,AK50,AL50,AM50,AN50,A050,AP50,AQ50)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 EverWatch Financial Joe Monaco P ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████
 but like industrial/agg services, oil & gas, enviromental General,
 lvg. against some commercial RE. Used to have large CL loan @ CS and Maintain
 BAML Send RESOF and Astra sent 8/12 sent 3/18. Passed 3/30
 sent 3/16 Sent 10/7
 IF(COUNTA(AJ51,AK51,AL51,AM51,AN51,A051,AP51,AQ51)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 EverWatch Financial Matt Womble P ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████
 but like industrial/agg services, oil & gas, enviromental Maintain
 lvg. against some commercial RE. Used to have large CL loan @ CS and
 BAML Send RESOF and Astra sent 8/12 sent 3/18. Passed 3/30
 sent 3/16 Sent 10/7 Sent 10/19
 IF(COUNTA(AJ52,AK52,AL52,AM52,AN52,A052,AP52,AQ52)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 EverWatch Financial Tyler Webb P ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████
 but like industrial/agg services, oil & gas, enviromental Maintain
 lvg. against some commercial RE. Used to have large CL loan @ CS and
 BAML Send RESOF and Astra sent 8/12 sent 3/18. Passed 3/30
 sent 3/16 Sent 10/7
 IF(COUNTA(AJ53,AK53,AL53,AM53,AN53,A053,AP53,AQ53)>0,"Y","")= Y Y
 Falk, Charles Charles Falk I ██████████
 ██████████ ██████████ ██████████ ██████████ 7945
 IF(COUNTA(AJ54,AK54,AL54,AM54,AN54,A054,AP54,AQ54)>0,"Y","")= Y Y
 Fine, Lonnie Lonnie Fine I ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ55,AK55,AL55,AM55,AN55,A055,AP55,AQ55)>0,"Y","")= Y Y
 Fitzgibbons, John John Fitzgibbons P ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ56,AK56,AL56,AM56,AN56,A056,AP56,AQ56)>0,"Y","")= Y Y
 Ford ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████
 IF(COUNTA(AJ57,AK57,AL57,AM57,AN57,A057,AP57,AQ57)>0,"Y","")= Y
 Forrestal Capital Annette Franqui P ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████
 ██████████ ██████████ General n/a sent 12/12, follow-up 1/5, 8/12
 sent 3/1 sent 2/26
 IF(COUNTA(AJ58,AK58,AL58,AM58,AN58,A058,AP58,AQ58)>0,"Y","")=Y Sent
 9/14 Sent 9/14 Sent 9/14 Y Y Y (Y) Sent
 10/1 Sent 10/1
 Forrestal Capital Jonathan Fischer P ██████████
 ██████████ ██████████ ██████████ ██████████ ██████████
 ██████████ General n/a sent 12/12, follow-up 1/5, 8/12 sent 3/1
 sent 2/26

IF(COUNTA(AJ59,AK59,AL59,AM59,AN59,A059,AP59,AQ59)>0,"Y","")=Y Sent
 9/14 Sent 9/14 Sent 9/14 Y Y Y (Y) Sent
 10/1 Sent 10/1
 Gaslow, Lawrence Peter Gaslow P
 [REDACTED]
 IF(COUNTA(AJ60,AK60,AL60,AM60,AN60,A060,AP60,AQ60)>0,"Y","")= Y
 Y Y (Y) Sent 10/1 Sent 10/1
 General Atlantic Family Office Rich Gold P
 [REDACTED]
 IF(COUNTA(AJ61,AK61,AL61,AM61,AN61,A061,AP61,AQ61)>0,"Y","")= Y
 Georgetown U P [REDACTED]
 [REDACTED] General n/a Macro - send CIO
 materials regularly risk factors teaser sent 2/5 sent 3/16. Passed
 3/19 IF(COUNTA(AJ62,AK62,AL62,AM62,AN62,A062,AP62,AQ62)>0,"Y","")= Y
 Glenmede [REDACTED]
 IF(COUNTA(AJ63,AK63,AL63,AM63,AN63,A063,AP63,AQ63)>0,"Y","")= Y
 Glickman, Donald Don Glickman P
 IF(COUNTA(AJ64,AK64,AL64,AM64,AN64,A064,AP64,AQ64)>0,"Y","")= Y
 Granite Group Lyle Himebaugh III P
 [REDACTED]
 [REDACTED] General open to funds meeting in NYC
 sent 3/16
 IF(COUNTA(AJ65,AK65,AL65,AM65,AN65,A065,AP65,AQ65)>0,"Y","")= Y Y
 (Y)
 Green, Alex Alex Green [REDACTED]
 IF(COUNTA(AJ66,AK66,AL66,AM66,AN66,A066,AP66,AQ66)>0,"Y","")= Y
 Guilford Company James Landrigan P
 [REDACTED]
 IF(COUNTA(AJ67,AK67,AL67,AM67,AN67,A067,AP67,AQ67)>0,"Y","")= Y Y
 Highlander Partners Stanley Bould P [REDACTED]
 [REDACTED]
 General puts on public equity? Poland, homebuilding and materials
 RESOF follow up sent 12/12 sent 3/1. Passed 3/30 sent 3/16. Passed
 3/30 IF(COUNTA(AJ68,AK68,AL68,AM68,AN68,A068,AP68,AQ68)>0,"Y","")=
 Y Sent 10/1 Sent 10/1
 Holterbosch, Heidi Heidi Holterbosch P
 [REDACTED]
 IF(COUNTA(AJ69,AK69,AL69,AM69,AN69,A069,AP69,AQ69)>0,"Y","")= Y Y
 Illig, Cliff Cliff Illig P [REDACTED]
 IF(COUNTA(AJ70,AK70,AL70,AM70,AN70,A070,AP70,AQ70)>0,"Y","")= Y Y
 Illig, Cliff Robb Heineman P
 [REDACTED]
 IF(COUNTA(AJ71,AK71,AL71,AM71,AN71,A071,AP71,AQ71)>0,"Y","")= Y

Sent 10/1 Sent 10/1
Infinity Q ██████████ ██████████ ██████████
██████████ ██████████ Real Estate, yield, esoteric multi-asset for Wildcat
fund macro, vol? 20% IRR hurdle. Unconstrained. Size up to \$40mm
with control. Structured and leverage ok sent 8/12 teaser
sent 2/5 sent 3/1. Passed 3/30 sent 2/26. Passed 3/30 sent
3/16. Passed 3/30
IF(COUNTA(AJ72,AK72,AL72,AM72,AN72,A072,AP72,AQ72)>0,"Y","")= Y
Infinity Q ██████████ ██████████ ██████████
██████████ ██████████ Real Estate, yield, esoteric multi-asset for Wildcat
fund macro, vol? 20% IRR hurdle. Unconstrained. Size up to \$40mm
with control. Structured and leverage ok sent 8/12 teaser
sent 2/5 sent 3/1. Passed 3/30 sent 2/26. Passed 3/30 sent
3/16. Passed 3/30
IF(COUNTA(AJ73,AK73,AL73,AM73,AN73,A073,AP73,AQ73)>0,"Y","")= Y
Ironsides AM P ██████████ ██████████ ██████████
██████████ ██████████ ██████████ General meeting in NC sent 8/12
sent 3/1 sent 3/24
IF(COUNTA(AJ74,AK74,AL74,AM74,AN74,A074,AP74,AQ74)>0,"Y","")=Y Sent
9/14 Sent 9/14 Sent 9/14 Y Y Y
Jain, Neil Neil Jain C/P ██████████ ██████████ ██████████
██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
IF(COUNTA(AJ75,AK75,AL75,AM75,AN75,A075,AP75,AQ75)>0,"Y","")= Y Y
Jesselson Capital Michael Jesselson Vrablic lead active
P ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
IF(COUNTA(AJ76,AK76,AL76,AM76,AN76,A076,AP76,AQ76)>0,"Y","")= Y Y
(Y)
JL Squared Group ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
IF(COUNTA(AJ77,AK77,AL77,AM77,AN77,A077,AP77,AQ77)>0,"Y","")= Y Y
JL Squared Group ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
IF(COUNTA(AJ78,AK78,AL78,AM78,AN78,A078,AP78,AQ78)>0,"Y","")= Y Y
Keenan, William Howard William Keenan P ██████████ ██████████ ██████████ ██████████
██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
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IF(COUNTA(AJ79,AK79,AL79,AM79,AN79,A079,AP79,AQ79)>0,"Y","")= Y Y
Keiser, Jason Jason Keiser ██████████ ██████████ ██████████ ██████████
IF(COUNTA(AJ80,AK80,AL80,AM80,AN80,A080,AP80,AQ80)>0,"Y","")= Y
Y Sent 10/1 Sent 10/1
Kelly, Judy Judy Kelly C/P ██████████ ██████████ ██████████ ██████████
██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
IF(COUNTA(AJ81,AK81,AL81,AM81,AN81,A081,AP81,AQ81)>0,"Y","")= Y Y
Kimmel, Adam Adam Kimmel C/P ██████████ ██████████ ██████████ ██████████
IF(COUNTA(AJ82,AK82,AL82,AM82,AN82,A082,AP82,AQ82)>0,"Y","")= Y
Y Y N
Klein, Martin Martin Klein C/P ██████████ ██████████ ██████████ ██████████
██████████ ██████████ ██████████ ██████████ ██████████ ██████████ ██████████
IF(COUNTA(AJ83,AK83,AL83,AM83,AN83,A083,AP83,AQ83)>0,"Y","")= Y Y
Kristoff, Mark Mark Kristoff C/P

IF(COUNTA(AJ84,AK84,AL84,AM84,AN84,A084,AP84,AQ84)>0,"Y","")=	Y	Y
Laffont, Philippe	Philippe	Laffont P
IF(COUNTA(AJ85,AK85,AL85,AM85,AN85,A085,AP85,AQ85)>0,"Y","")=	Y	
Larocca, Robert	Robert	Larocca I
11797 IF(COUNTA(AJ86,AK86,AL86,AM86,AN86,A086,AP86,AQ86)>0,"Y","")=	Y	Y
Levy, Jared	Jared	Levy I
IF(COUNTA(AJ87,AK87,AL87,AM87,AN87,A087,AP87,AQ87)>0,"Y","")=	Y	Y
Lindemann Family	Eran	Schreiber
IF(COUNTA(AJ88,AK88,AL88,AM88,AN88,A088,AP88,AQ88)>0,"Y","")=	Y	
M20 Inc P		
Small operating businesses	n/a	Microcap activist w/ \$1-\$5mm EBITDA target 10% stakes sent 3/1. Passed 3/2
IF(COUNTA(AJ89,AK89,AL89,AM89,AN89,A089,AP89,AQ89)>0,"Y","")=	Y	
Makan, Divesh	ICONIQ Capital LLC	ICONIQ Capital LLC
Credit sent 12/18	n/a sent 2/26	need to focus on unique solutions
IF(COUNTA(AJ90,AK90,AL90,AM90,AN90,A090,AP90,AQ90)>0,"Y","")=	Y	
Makan, Divesh	ICONIQ Capital LLC	ICONIQ Capital LLC
Credit sent 12/18	n/a sent 2/26	need to focus on unique solutions
IF(COUNTA(AJ91,AK91,AL91,AM91,AN91,A091,AP91,AQ91)>0,"Y","")=	Y	
Makan, Divesh	ICONIQ Capital LLC	ICONIQ Capital LLC
Credit sent 12/18	n/a sent 2/26	need to focus on unique solutions
IF(COUNTA(AJ92,AK92,AL92,AM92,AN92,A092,AP92,AQ92)>0,"Y","")=	Y	Sent 9/14
Makena Capital Management	P	
Risk factors analysis		met 2/2 NDA and portfolio
IF(COUNTA(AJ93,AK93,AL93,AM93,AN93,A093,AP93,AQ93)>0,"Y","")=	Y	
Mariner Capital P		
Risk factors across the street, all from IB providers	met 11/21	Looking at various solutions Send white papers
IF(COUNTA(AJ94,AK94,AL94,AM94,AN94,A094,AP94,AQ94)>0,"Y","")=	Y	
Meister, Robert	Bob	Meister
IF(COUNTA(AJ95,AK95,AL95,AM95,AN95,A095,AP95,AQ95)>0,"Y","")=	Y	
Sent 10/1	Sent 10/1	
Meister, Todd	Todd	Meister P
IF(COUNTA(AJ96,AK96,AL96,AM96,AN96,A096,AP96,AQ96)>0,"Y","")=	Y	Y

Merage MIG Capital Richard Merage [REDACTED] [REDACTED]
 [REDACTED]
 Real Estate trading with the HF? Want intros to other family
 offices to grow internal HF teaser sent 1/23, follow up 8/12
 on Blackrock DNC list sent 2/26 sent 3/16
 IF(COUNTA(AJ97,AK97,AL97,AM97,AN97,A097,AP97,AQ97)>0,"Y","")= N
 Do not send research emails
 Michael, Neal Neal Milch P [REDACTED] NY
 IF(COUNTA(AJ98,AK98,AL98,AM98,AN98,A098,AP98,AQ98)>0,"Y","")= Y
 Y Y (Y)
 Moriwaki, Gary S. Gary Moriwaki I [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ99,AK99,AL99,AM99,AN99,A099,AP99,AQ99)>0,"Y","")= Y Y
 Murray, Thomas Thomas Murray I [REDACTED]
 IF(COUNTA(AJ100,AK100,AL100,AM100,AN100,A0100,AP100,AQ100)>0,"Y","")=
 Y Y
 Murstein, Alvin Alvin Murstein P
 [REDACTED] New York NY
 IF(COUNTA(AJ101,AK101,AL101,AM101,AN101,A0101,AP101,AQ101)>0,"Y","")=
 Y Y
 Murstein, Alvin Andrew Murstein P [REDACTED]
 New York NY
 IF(COUNTA(AJ102,AK102,AL102,AM102,AN102,A0102,AP102,AQ102)>0,"Y","")=
 Y Y
 Nicholas Milo Gonzalez [REDACTED]
 [REDACTED] DPM BRCM hedge
 into diversified assets. Also want broad banking relationship
 IF(COUNTA(AJ103,AK103,AL103,AM103,AN103,A0103,AP103,AQ103)>0,"Y","")=
 Y Sent 10/1 Sent 10/1
 Nicholas Gosha Zandt [REDACTED] DPM
 BRCM hedge into diversified assets. Also want broad banking relationship
 IF(COUNTA(AJ104,AK104,AL104,AM104,AN104,A0104,AP104,AQ104)>0,"Y","")=
 Y Sent 10/1 Sent 10/1
 Orix Bonnie Mitra P [REDACTED] [REDACTED]
 [REDACTED] CLOs, consumer finance,
 esoteric have complete portal access. Primarily focused on rates
 research 10% ROI target, up to \$50mm size call on AcTRS, CLO
 intro (Chris D'Auria) teaser sent 11/25, discussed 1/20 sent
 12/18, met w/ Baxter 1/20 sent 12/5 Folloed up 9/10 teaser
 sent and call 2/5. passed 3/27 sent 3/1 sent 3/16 sent
 7/28 Sent 9/10 Sent 10/7
 IF(COUNTA(AJ105,AK105,AL105,AM105,AN105,A0105,AP105,AQ105)>0,"Y","")=
 Y Y
 Parami Eric Attias P [REDACTED] [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ106,AK106,AL106,AM106,AN106,A0106,AP106,AQ106)>0,"Y","")= Y
 Parami Rahul Vaid P [REDACTED] [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ107,AK107,AL107,AM107,AN107,A0107,AP107,AQ107)>0,"Y","")=
 Y Y

Pensler, Sandy Sandy Pensler P [REDACTED]
NY
IF(COUNTA(AJ108,AK108,AL108,AM108,AN108,A0108,AP108,AQ108)>0,"Y","")=
Y Y
Peregrine Group P [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED] Energy n/a covered by brokers already
for public markets trading mineral rights in Permian mentioned
12/4 mentioned 12/4 sent 3/1
IF(COUNTA(AJ109,AK109,AL109,AM109,AN109,A0109,AP109,AQ109)>0,"Y","")= Y
Persico, Anthony Anthony Persico I
[REDACTED] [REDACTED]
IF(COUNTA(AJ110,AK110,AL110,AM110,AN110,A0110,AP110,AQ110)>0,"Y","")=
Y Sent 10/1 Sent 10/1
Rose, Simon Simon Rose C/P [REDACTED]
IF(COUNTA(AJ111,AK111,AL111,AM111,AN111,A0111,AP111,AQ111)>0,"Y","")=
Y Y
Schaefer, Marla Marla Schaefer P [REDACTED]
IF(COUNTA(AJ112,AK112,AL112,AM112,AN112,A0112,AP112,AQ112)>0,"Y","")=
Y Y
Schmidt, Eric Hillspire LLC P [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED] General, including
real estate. Want yield. Like trophy assets. Want co-invest multi-
asset. FX and rates hedging looking at cheap assets in Europe. Could
use tax shield against GOOG share sales RESOF, Extel. Set up ISDA.
Deposits teaser sent 11/12, follow up 1/5, 8/12 teaser sent 2/5
sent 3/1 sent 2/24. passed 3/27 sent 3/16 Sent 9/14
Sent 10/7
IF(COUNTA(AJ113,AK113,AL113,AM113,AN113,A0113,AP113,AQ113)>0,"Y","")= Y
Schmidt, Eric Hillspire LLC Chuck Chai P
[REDACTED] [REDACTED] [REDACTED]
[REDACTED] [REDACTED] General, including real estate. Want yield. Like trophy
assets. Want co-invest multi-asset. FX and rates hedging looking at
cheap assets in Europe. Could use tax shield against GOOG share sales
RESOF, Extel. Set up ISDA. Deposits teaser sent 11/12, follow up 1/5,
8/12 teaser sent 2/5 sent 3/1 sent 2/24. passed 3/27 sent
3/16 Sent 9/14 Sent 10/7
IF(COUNTA(AJ114,AK114,AL114,AM114,AN114,A0114,AP114,AQ114)>0,"Y","")=
Y Y
Seelig, Mark Mark Seelig [REDACTED]
IF(COUNTA(AJ115,AK115,AL115,AM115,AN115,A0115,AP115,AQ115)>0,"Y","")= Y
SK Family Office Charles Britton P
[REDACTED]
IF(COUNTA(AJ116,AK116,AL116,AM116,AN116,A0116,AP116,AQ116)>0,"Y","")=Y
Sent 9/11 Sent 9/11- Interested Sent 9/11 Sent 9/11
Y Y Y y Y (Y)
Slome, Ian Ian Slome P [REDACTED] [REDACTED] [REDACTED]
[REDACTED] [REDACTED] [REDACTED]
RE (US, Europe, R+C) via direct investment, PE or struct. Products; PE and
HF n/a Risk averse. Already seeing HF ideas from AFS send
RIN? teaser sent 2/5 passed 2/27 mentioned 2/26, sent 3/16. Passed
3/30

IF(COUNTA(AJ117,AK117,AL117,AM117,AN117,A0117,AP117,AQ117)>0,"Y","")= Y Y
 Slome, Ian John Service P [REDACTED]
 [REDACTED]
 RE (US, Europe, R+C) via direct investment, PE or struct. Products; PE and HF n/a Risk averse. Already seeing HF ideas from AFS send RIN? teaser sent 2/5 passed 2/27 mentioned 2/26, sent 3/16. Passed 3/30
 IF(COUNTA(AJ118,AK118,AL118,AM118,AN118,A0118,AP118,AQ118)>0,"Y","")= Y Y (Y)
 Snyder, Daniel Karl Schreiber P [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ119,AK119,AL119,AM119,AN119,A0119,AP119,AQ119)>0,"Y","")=Y
 Sent 9/14 Sent 9/14 Sent 9/14 Y Y Y
 Y Sent 10/1 Sent 10/1
 Sonen Capital David Hood P [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ120,AK120,AL120,AM120,AN120,A0120,AP120,AQ120)>0,"Y","")= Y
 Sonen Capital P [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ121,AK121,AL121,AM121,AN121,A0121,AP121,AQ121)>0,"Y","")= Y
 Spruce Private Investors LLC [REDACTED]
 [REDACTED] Systematic
 gamma decay Trading with CS Introduce AFS or CB&S
 IF(COUNTA(AJ122,AK122,AL122,AM122,AN122,A0122,AP122,AQ122)>0,"Y","")= Y
 Spruce Private Investors LLC P [REDACTED]
 [REDACTED] Systematic
 gamma decay Trading with CS Introduce AFS or CB&S
 IF(COUNTA(AJ123,AK123,AL123,AM123,AN123,A0123,AP123,AQ123)>0,"Y","")= Y
 Stiritz, William P. Lynn Morgan [REDACTED]
 IF(COUNTA(AJ124,AK124,AL124,AM124,AN124,A0124,AP124,AQ124)>0,"Y","")= Y
 Y Sent 10/1 Sent 10/1
 Stiritz, William P. William Stiritz P [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ125,AK125,AL125,AM125,AN125,A0125,AP125,AQ125)>0,"Y","")= Y
 Tharani, Haresh Haresh Tharani P [REDACTED]
 IF(COUNTA(AJ126,AK126,AL126,AM126,AN126,A0126,AP126,AQ126)>0,"Y","")= Y Y
 Thomson Stefan [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ127,AK127,AL127,AM127,AN127,A0127,AP127,AQ127)>0,"Y","")= Y Y
 Y Sent 10/1 Sent 10/1
 True North Advisors P [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ128,AK128,AL128,AM128,AN128,A0128,AP128,AQ128)>0,"Y","")= Y Y
 United Company Lois Clarke [REDACTED]
 [REDACTED]
 IF(COUNTA(AJ129,AK129,AL129,AM129,AN129,A0129,AP129,AQ129)>0,"Y","")=

Y Y Sent 10/1 Sent 10/1
 Wanek, Todd Thirdlake Capital Joakim Bergander
 [REDACTED]
 IF(COUNTA(AJ130,AK130,AL130,AM130,AN130,A0130,AP130,AQ130)>0,"Y","")=
 Y Y sent 9/14 sent 9/29
 Weiss, George George Weiss P Hartford CT
 IF(COUNTA(AJ131,AK131,AL131,AM131,AN131,A0131,AP131,AQ131)>0,"Y","")=
 Y Y Y N
 White Deer Energy Kristin P [REDACTED]
 IF(COUNTA(AJ132,AK132,AL132,AM132,AN132,A0132,AP132,AQ132)>0,"Y","")=
 Y Y
 Willett Advisors Brad Briner P
 [REDACTED] [REDACTED] [REDACTED] Real Assets with
 focus on natural resources and real estate n/a commodities
 20% ownership cap. Funds or direct. Equity rates of return (~20%), \$30-
 \$40mm size Intro to Todd Henderson sent 12/18 sent 12/5
 sent 3/1 discussed 2/25 no interest sent 3/16. passed 4/13
 IF(COUNTA(AJ133,AK133,AL133,AM133,AN133,A0133,AP133,AQ133)>0,"Y","")=
 Y Y (N) Y
 Winston Advisors Christopher Donabedian P
 [REDACTED] [REDACTED] [REDACTED]
 IF(COUNTA(AJ134,AK134,AL134,AM134,AN134,A0134,AP134,AQ134)>0,"Y","")= Y
 Yorktown Partners, LLC Bryan H. Lawrence P
 [REDACTED] [REDACTED] [REDACTED]
 IF(COUNTA(AJ135,AK135,AL135,AM135,AN135,A0135,AP135,AQ135)>0,"Y","")= Y
 Yorktown Partners, LLC George Petrides I
 [REDACTED]
 IF(COUNTA(AJ136,AK136,AL136,AM136,AN136,A0136,AP136,AQ136)>0,"Y","")=
 Y Y
 Yorktown Partners, LLC Peter Leidel P
 [REDACTED] [REDACTED]
 IF(COUNTA(AJ137,AK137,AL137,AM137,AN137,A0137,AP137,AQ137)>0,"Y","")=
 Y Y Y N
 Zubillaga, Alex Alex Zubillaga C/P
 [REDACTED] [REDACTED] [REDACTED]
 IF(COUNTA(AJ138,AK138,AL138,AM138,AN138,A0138,AP138,AQ138)>0,"Y","")=
 Y Y
 Zuckerberg, Lloyd Lloyd Zuckerberg C/P
 [REDACTED]
 IF(COUNTA(AJ139,AK139,AL139,AM139,AN139,A0139,AP139,AQ139)>0,"Y","")=
 Y Y
 Andrew Farkas Kimble prospect active [REDACTED]
 [REDACTED] [REDACTED] [REDACTED]
 IF(COUNTA(AJ140,AK140,AL140,AM140,AN140,A0140,AP140,AQ140)>0,"Y","")= Y
 Ari Glass C/P [REDACTED]
 IF(COUNTA(AJ141,AK141,AL141,AM141,AN141,A0141,AP141,AQ141)>0,"Y","")=
 Y Y
 Avi Kestenbaum Janco lead inactive C/P

IF(COUNTA(AJ142,AK142,AL142,AM142,AN142,A0142,AP142,AQ142)>0,"Y","")=
 Y Y
 Alan Kufeld Robertson lead inactive I [REDACTED]
 IF(COUNTA(AJ143,AK143,AL143,AM143,AN143,A0143,AP143,AQ143)>0,"Y","")=
 Y Y
 Toms Capital Anup Patel [REDACTED]
 IF(COUNTA(AJ144,AK144,AL144,AM144,AN144,A0144,AP144,AQ144)>0,"Y","")=
 Y Y
 William Berkman Convey lead active [REDACTED]
 IF(COUNTA(AJ145,AK145,AL145,AM145,AN145,A0145,AP145,AQ145)>0,"Y","")= Y
 Barry Bloom McCarthy prospect active [REDACTED]
 IF(COUNTA(AJ146,AK146,AL146,AM146,AN146,A0146,AP146,AQ146)>0,"Y","")= Y
 Louis Cailoa [REDACTED]
 IF(COUNTA(AJ147,AK147,AL147,AM147,AN147,A0147,AP147,AQ147)>0,"Y","")= Y
 Robert Haier C/P [REDACTED]
 IF(COUNTA(AJ148,AK148,AL148,AM148,AN148,A0148,AP148,AQ148)>0,"Y","")=
 Y Y
 Bryan R. Lawrence [REDACTED]
 IF(COUNTA(AJ149,AK149,AL149,AM149,AN149,A0149,AP149,AQ149)>0,"Y","")= Y
 Bryce Markus Sohrab prospect active [REDACTED]
 IF(COUNTA(AJ150,AK150,AL150,AM150,AN150,A0150,AP150,AQ150)>0,"Y","")= Y
 Ben Rechler Powers prospect inactive [REDACTED]
 IF(COUNTA(AJ151,AK151,AL151,AM151,AN151,A0151,AP151,AQ151)>0,"Y","")= Y
 Brian Blechman C/P [REDACTED]
 IF(COUNTA(AJ152,AK152,AL152,AM152,AN152,A0152,AP152,AQ152)>0,"Y","")=
 Y Y
 Brooks Duncan I [REDACTED]
 IF(COUNTA(AJ153,AK153,AL153,AM153,AN153,A0153,AP153,AQ153)>0,"Y","")=
 Y Y
 Lennar Bruce Gross Kimble lead active C/P
 [REDACTED]
 IF(COUNTA(AJ154,AK154,AL154,AM154,AN154,A0154,AP154,AQ154)>0,"Y","")=
 Y Y Y (Y)
 Cathy Handley C/P [REDACTED]
 IF(COUNTA(AJ155,AK155,AL155,AM155,AN155,A0155,AP155,AQ155)>0,"Y","")=
 Y Y Sent 10/1 Sent 10/1
 Cary Brody C/P [REDACTED]
 IF(COUNTA(AJ156,AK156,AL156,AM156,AN156,A0156,AP156,AQ156)>0,"Y","")=
 Y Y
 Caroline Conegliano I [REDACTED]
 IF(COUNTA(AJ157,AK157,AL157,AM157,AN157,A0157,AP157,AQ157)>0,"Y","")=
 Y Y
 Christopher Goldberg [REDACTED]
 IF(COUNTA(AJ158,AK158,AL158,AM158,AN158,A0158,AP158,AQ158)>0,"Y","")= Y
 Charles Krusen Jacoby lead active C/P
 [REDACTED]

IF(COUNTA(AJ159,AK159,AL159,AM159,AN159,A0159,AP159,AQ159)>0,"Y","")=
 Y Y
 Colby Rollins C/P [REDACTED]
 IF(COUNTA(AJ160,AK160,AL160,AM160,AN160,A0160,AP160,AQ160)>0,"Y","")=
 Y Y
 Curtis Conway C/P [REDACTED]
 IF(COUNTA(AJ161,AK161,AL161,AM161,AN161,A0161,AP161,AQ161)>0,"Y","")=
 Y Y
 David Manlowe Erskine prospect inactive
 [REDACTED]
 IF(COUNTA(AJ162,AK162,AL162,AM162,AN162,A0162,AP162,AQ162)>0,"Y","")= Y
 David Angstreich [REDACTED]
 IF(COUNTA(AJ163,AK163,AL163,AM163,AN163,A0163,AP163,AQ163)>0,"Y","")= Y
 David Halliwill C/P [REDACTED]
 IF(COUNTA(AJ164,AK164,AL164,AM164,AN164,A0164,AP164,AQ164)>0,"Y","")=
 Y Y
 David Cooper C/P [REDACTED]
 IF(COUNTA(AJ165,AK165,AL165,AM165,AN165,A0165,AP165,AQ165)>0,"Y","")=
 Y Y
 Deepak Raj [REDACTED]
 IF(COUNTA(AJ166,AK166,AL166,AM166,AN166,A0166,AP166,AQ166)>0,"Y","")= Y
 Daniel Heflin Sohrab prospect inactive P
 [REDACTED]
 IF(COUNTA(AJ167,AK167,AL167,AM167,AN167,A0167,AP167,AQ167)>0,"Y","")=
 Y Y
 David Mitchell [REDACTED]
 IF(COUNTA(AJ168,AK168,AL168,AM168,AN168,A0168,AP168,AQ168)>0,"Y","")= Y
 David Deutsch [REDACTED]
 IF(COUNTA(AJ169,AK169,AL169,AM169,AN169,A0169,AP169,AQ169)>0,"Y","")= Y
 Edouard Gerschel C/P [REDACTED]
 IF(COUNTA(AJ170,AK170,AL170,AM170,AN170,A0170,AP170,AQ170)>0,"Y","")=
 Y Y
 Erik Moody [REDACTED]
 IF(COUNTA(AJ171,AK171,AL171,AM171,AN171,A0171,AP171,AQ171)>0,"Y","")=
 Y Sent 10/1 Sent 10/1
 General Atlantic Gene Lynch [REDACTED]
 IF(COUNTA(AJ172,AK172,AL172,AM172,AN172,A0172,AP172,AQ172)>0,"Y","")=
 Y Attending
 Ira Halperin I [REDACTED]
 IF(COUNTA(AJ173,AK173,AL173,AM173,AN173,A0173,AP173,AQ173)>0,"Y","")=
 Y Y
 Richard Simon C/P [REDACTED]
 IF(COUNTA(AJ174,AK174,AL174,AM174,AN174,A0174,AP174,AQ174)>0,"Y","")=
 Y Y
 Inder Sodhi Jensen prospect inactive C/P
 [REDACTED]
 IF(COUNTA(AJ175,AK175,AL175,AM175,AN175,A0175,AP175,AQ175)>0,"Y","")=
 Y Y
 Jamie Smith [REDACTED]
 IF(COUNTA(AJ176,AK176,AL176,AM176,AN176,A0176,AP176,AQ176)>0,"Y","")= Y
 John Straus [REDACTED]

IF(COUNTA(AJ177,AK177,AL177,AM177,AN177,A0177,AP177,AQ177)>0,"Y","")= Y
 John Barber Sohrab prospect inactive I
 IF(COUNTA(AJ178,AK178,AL178,AM178,AN178,A0178,AP178,AQ178)>0,"Y","")= Y
 Y Y
 Jonathon Botwinick C/P
 IF(COUNTA(AJ179,AK179,AL179,AM179,AN179,A0179,AP179,AQ179)>0,"Y","")= Y
 Y Y
 Jeffrey Coopersmith I
 IF(COUNTA(AJ180,AK180,AL180,AM180,AN180,A0180,AP180,AQ180)>0,"Y","")= Y
 Y Y
 Jeff Kirwood
 IF(COUNTA(AJ181,AK181,AL181,AM181,AN181,A0181,AP181,AQ181)>0,"Y","")= Y
 John Lummis C/P
 IF(COUNTA(AJ182,AK182,AL182,AM182,AN182,A0182,AP182,AQ182)>0,"Y","")= Y
 Y Y
 Julie Richardson C/P
 IF(COUNTA(AJ183,AK183,AL183,AM183,AN183,A0183,AP183,AQ183)>0,"Y","")= Y
 Y Y
 Kevin Ryan C/P
 IF(COUNTA(AJ184,AK184,AL184,AM184,AN184,A0184,AP184,AQ184)>0,"Y","")= Y
 Y Y
 Wanek, Todd Thirlake Capital Ken Jones
 IF(COUNTA(AJ185,AK185,AL185,AM185,AN185,A0185,AP185,AQ185)>0,"Y","")= Y
 Y Y
 Lara Brody
 IF(COUNTA(AJ186,AK186,AL186,AM186,AN186,A0186,AP186,AQ186)>0,"Y","")= Y
 Lou Cortese Robertson prospect active
 IF(COUNTA(AJ187,AK187,AL187,AM187,AN187,A0187,AP187,AQ187)>0,"Y","")= Y
 Cummings Matt Ireland C/P
 IF(COUNTA(AJ188,AK188,AL188,AM188,AN188,A0188,AP188,AQ188)>0,"Y","")= Y
 Y Y Y
 William McBride I
 IF(COUNTA(AJ189,AK189,AL189,AM189,AN189,A0189,AP189,AQ189)>0,"Y","")= Y
 Y Y
 Milton Cooper Janco lead inactive C/P
 IF(COUNTA(AJ190,AK190,AL190,AM190,AN190,A0190,AP190,AQ190)>0,"Y","")= Y
 Y Y
 Mel Manion
 IF(COUNTA(AJ191,AK191,AL191,AM191,AN191,A0191,AP191,AQ191)>0,"Y","")= Y
 Matt Serra
 IF(COUNTA(AJ192,AK192,AL192,AM192,AN192,A0192,AP192,AQ192)>0,"Y","")= Y
 Neil Blechman
 IF(COUNTA(AJ193,AK193,AL193,AM193,AN193,A0193,AP193,AQ193)>0,"Y","")= Y
 Phillip Shefter C/P
 IF(COUNTA(AJ194,AK194,AL194,AM194,AN194,A0194,AP194,AQ194)>0,"Y","")= Y
 Y Y

Robert Hull LaRoe lead active [REDACTED]
 [REDACTED] TX
 IF(COUNTA(AJ195,AK195,AL195,AM195,AN195,A0195,AP195,AQ195)>0,"Y","")= Y
 Lewis Ranieri Sabbagh prospect active C/P [REDACTED]
 IF(COUNTA(AJ196,AK196,AL196,AM196,AN196,A0196,AP196,AQ196)>0,"Y","")=
 Y Y
 MIG Capital Richard Merage Convey lead active
 [REDACTED]
 IF(COUNTA(AJ197,AK197,AL197,AM197,AN197,A0197,AP197,AQ197)>0,"Y","")=
 Y Y
 Rich Joslin [REDACTED]
 IF(COUNTA(AJ198,AK198,AL198,AM198,AN198,A0198,AP198,AQ198)>0,"Y","")= Y
 Ross Blechman [REDACTED]
 IF(COUNTA(AJ199,AK199,AL199,AM199,AN199,A0199,AP199,AQ199)>0,"Y","")= Y
 Russell Artzt Convey lead active C/P [REDACTED]
 IF(COUNTA(AJ200,AK200,AL200,AM200,AN200,A0200,AP200,AQ200)>0,"Y","")=
 Y Y
 Russell Blank [REDACTED]
 IF(COUNTA(AJ201,AK201,AL201,AM201,AN201,A0201,AP201,AQ201)>0,"Y","")= Y
 Steven Blicht C/P [REDACTED]
 IF(COUNTA(AJ202,AK202,AL202,AM202,AN202,A0202,AP202,AQ202)>0,"Y","")=
 Y Y
 Vass Pipe Sonny Jain C/P [REDACTED]
 IF(COUNTA(AJ203,AK203,AL203,AM203,AN203,A0203,AP203,AQ203)>0,"Y","")=
 Y Y Y (Y) Sent 10/1 Sent 10/1
 Todd Angkatavanich I [REDACTED]
 IF(COUNTA(AJ204,AK204,AL204,AM204,AN204,A0204,AP204,AQ204)>0,"Y","")=
 Y Y
 Catherine Moreatis C/P
 IF(COUNTA(AJ205,AK205,AL205,AM205,AN205,A0205,AP205,AQ205)>0,"Y","")=
 Y Y
 James Alterbaum I
 IF(COUNTA(AJ206,AK206,AL206,AM206,AN206,A0206,AP206,AQ206)>0,"Y","")=
 Y Y
 John Binko C/P
 IF(COUNTA(AJ207,AK207,AL207,AM207,AN207,A0207,AP207,AQ207)>0,"Y","")=
 Y Y
 IF(COUNTA(AJ208,AK208,AL208,AM208,AN208,A0208,AP208,AQ208)>0,"Y","")=
 IF(COUNTA(AJ209,AK209,AL209,AM209,AN209,A0209,AP209,AQ209)>0,"Y","")=
 IF(COUNTA(AJ210,AK210,AL210,AM210,AN210,A0210,AP210,AQ210)>0,"Y","")=
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Paul Contacts

First Name	Last Name	Messaging ID	CI0 Outlook	Tax
Topics	Intermediary	Client/Prospect	Intermediary	Client/Prospect
Arun	Alagappan	[REDACTED]	Y	Y
P	IF(H2="I", "I", IF(I2="C/P", "C/P", "NA"))=C/P			
James	Alterbaum	Y	Y	I
	IF(H3="I", "I", IF(I3="C/P", "C/P", "NA"))=I			
Yosi	Amster	[REDACTED]	Y	Y
I	IF(H4="I", "I", IF(I4="C/P", "C/P", "NA"))=I			
Todd	Angkatavanich	[REDACTED]		Y
Y	I	0	IF(H5="I", "I", IF(I5="C/P", "C/P", "NA"))=I	
David	Angstreich	[REDACTED]	0	0
	IF(H6="I", "I", IF(I6="C/P", "C/P", "NA"))=NA			
Thomas	Archer	[REDACTED]	Y	Y
0	IF(H7="I", "I", IF(I7="C/P", "C/P", "NA"))=I			
Russell	Artzt	[REDACTED]	Y	Y
P	IF(H8="I", "I", IF(I8="C/P", "C/P", "NA"))=C/P			
Eric	Attias	eric.attias@exencap.com	0	0
	IF(H9="I", "I", IF(I9="C/P", "C/P", "NA"))=NA			
Gregory	Attorri	[REDACTED]	Y	Y
I	IF(H10="I", "I", IF(I10="C/P", "C/P", "NA"))=I			
Andy	Augenblick	[REDACTED]		0
0	IF(H11="I", "I", IF(I11="C/P", "C/P", "NA"))=NA			
Dill	Ayres	[REDACTED]	0	0
	IF(H12="I", "I", IF(I12="C/P", "C/P", "NA"))=NA			
John	Barber	[REDACTED]	Y	Y
I	IF(H13="I", "I", IF(I13="C/P", "C/P", "NA"))=I			
Mitchell	Barry	[REDACTED]	Y	Y
0	C/P	IF(H14="I", "I", IF(I14="C/P", "C/P", "NA"))=C/P		
Harry	Beller	[REDACTED]	Y	Y
P	IF(H15="I", "I", IF(I15="C/P", "C/P", "NA"))=C/P			
Joakim	Bergander	[REDACTED]		0
	IF(H16="I", "I", IF(I16="C/P", "C/P", "NA"))=NA			
William	Berkman	[REDACTED]	0	0
	IF(H17="I", "I", IF(I17="C/P", "C/P", "NA"))=NA			
Ajay	Bhalla	[REDACTED]	Y	Y
P	IF(H18="I", "I", IF(I18="C/P", "C/P", "NA"))=C/P			
John	Binko	Y	Y	Y
	IF(H19="I", "I", IF(I19="C/P", "C/P", "NA"))=C/P			
Russell	Blank	[REDACTED]	0	0
	IF(H20="I", "I", IF(I20="C/P", "C/P", "NA"))=NA			
Brian	Blechman	[REDACTED]	Y	Y
0	C/P	IF(H21="I", "I", IF(I21="C/P", "C/P", "NA"))=C/P		
Neil	Blechman	[REDACTED]	0	0
	IF(H22="I", "I", IF(I22="C/P", "C/P", "NA"))=NA			
Ross	Blechman	[REDACTED]	0	0
	IF(H23="I", "I", IF(I23="C/P", "C/P", "NA"))=NA			
Steven	Blicht	[REDACTED]		Y
Y	0	C/P	IF(H24="I", "I", IF(I24="C/P", "C/P", "NA"))=C/P	
Barry	Bloom	[REDACTED]	0	0
	IF(H25="I", "I", IF(I25="C/P", "C/P", "NA"))=NA			

Jonathon	Botwinick			Y	Y	
Y	0	C/P	IF(H26="I", "I", IF(I26="C/P", "C/P", "NA"))=C/P			
Steve	Brandis			0	0	
			IF(H27="I", "I", IF(I27="C/P", "C/P", "NA"))=NA			
Charles	Britton			Y	Y	Y
0	C/P		IF(H28="I", "I", IF(I28="C/P", "C/P", "NA"))=C/P			
Cary	Brody				Y	Y
Y	0	C/P	IF(H29="I", "I", IF(I29="C/P", "C/P", "NA"))=C/P			
Lara	Brody			0	0	
			IF(H30="I", "I", IF(I30="C/P", "C/P", "NA"))=NA			
Louis	Caioia		0	0		IF(H31="I", "I", IF(I31="C/-
			P", "C/P", "NA"))=NA			
Adreinne	Cannella			0	0	
			IF(H32="I", "I", IF(I32="C/P", "C/P", "NA"))=NA			
Michael	Cline		Y	Y	Y	0
P			IF(H33="I", "I", IF(I33="C/P", "C/P", "NA"))=C/P			C/-
Gail	Coleman			Y	Y	Y
0	C/P		IF(H34="I", "I", IF(I34="C/P", "C/P", "NA"))=C/P			
Caroline	Conegliano					Y
Y	Y	I	0			IF(H35="I", "I", IF(I35="C/P", "C/P", "NA"))=I
Curtis	Conway		Y	Y	Y	0
P			IF(H36="I", "I", IF(I36="C/P", "C/P", "NA"))=C/P			C/-
David	Cooper			Y	Y	Y
0	C/P		IF(H37="I", "I", IF(I37="C/P", "C/P", "NA"))=C/P			
Milton	Cooper		Y	Y	Y	0
P			IF(H38="I", "I", IF(I38="C/P", "C/P", "NA"))=C/P			C/-
Jeffrey	Coopersmith			Y	Y	Y
I	0		IF(H39="I", "I", IF(I39="C/P", "C/P", "NA"))=I			
Lou	Cortese		0	0		
			IF(H40="I", "I", IF(I40="C/P", "C/P", "NA"))=NA			
Jonathan	Crystal			Y	Y	Y
Y	I	0				IF(H41="I", "I", IF(I41="C/P", "C/P", "NA"))=I
Thomas	Danziger			Y	Y	Y
I	0		IF(H42="I", "I", IF(I42="C/P", "C/P", "NA"))=I			
David	Deutsch		0	0		
			IF(H43="I", "I", IF(I43="C/P", "C/P", "NA"))=NA			
Brooks	Duncan		Y	Y	Y	I
0			IF(H44="I", "I", IF(I44="C/P", "C/P", "NA"))=I			
Kristen	Dzialga		0	0		
			IF(H45="I", "I", IF(I45="C/P", "C/P", "NA"))=NA			
Pamela	Ehrenkranz			Y	Y	Y
I	0		IF(H46="I", "I", IF(I46="C/P", "C/P", "NA"))=I			
Charles	Falk		Y	Y	Y	I
0			IF(H47="I", "I", IF(I47="C/P", "C/P", "NA"))=I			
Andrew	Farkas		0	0		
			IF(H48="I", "I", IF(I48="C/P", "C/P", "NA"))=NA			
Lonnie	Fine			Y	Y	Y
I	0		IF(H49="I", "I", IF(I49="C/P", "C/P", "NA"))=I			
John	Fitzgibbons			Y	Y	Y
0	C/P		IF(H50="I", "I", IF(I50="C/P", "C/P", "NA"))=C/P			
Peter	Gaslow			Y	Y	Y

0	C/P	IF(H51="I", "I", IF(I51="C/P", "C/P", "NA"))=C/P					
Edouard	Gerschel			Y	Y		Y
0	C/P	IF(H52="I", "I", IF(I52="C/P", "C/P", "NA"))=C/P					
Ari	Glass		Y	Y	Y		0 C/-
P		IF(H53="I", "I", IF(I53="C/P", "C/P", "NA"))=C/P					
Don	Glickman		0	0			IF(H54="I", "I", IF(I54="C/P", "C/- P", "NA"))=NA
Rich	Gold			0	0		
		IF(H55="I", "I", IF(I55="C/P", "C/P", "NA"))=NA					
Christopher	Goldberg				0		0
		IF(H56="I", "I", IF(I56="C/P", "C/P", "NA"))=NA					
Peter	Greatrex						0
0		IF(H57="I", "I", IF(I57="C/P", "C/P", "NA"))=NA					
Bruce	Gross		Y	Y	Y		0 C/-
P		IF(H58="I", "I", IF(I58="C/P", "C/P", "NA"))=C/P					
Robert	Haier			Y	Y		Y
0	C/P	IF(H59="I", "I", IF(I59="C/P", "C/P", "NA"))=C/P					
David	Halliwill			Y	Y		Y
0	C/P	IF(H60="I", "I", IF(I60="C/P", "C/P", "NA"))=C/P					
Ira	Halperin				Y		Y
Y	I	0 IF(H61="I", "I", IF(I61="C/P", "C/P", "NA"))=I					
Cathy	Handley		Y	Y	Y		0 C/-
P		IF(H62="I", "I", IF(I62="C/P", "C/P", "NA"))=C/P					
Daniel	Heflin			Y	Y		Y
0	C/P	IF(H63="I", "I", IF(I63="C/P", "C/P", "NA"))=C/P					
Heidi	Holterbosch			Y	Y		Y
0	C/P	IF(H64="I", "I", IF(I64="C/P", "C/P", "NA"))=C/P					
Robert	Hull		0	0			
		IF(H65="I", "I", IF(I65="C/P", "C/P", "NA"))=NA					
Matt	Ireland		Y	Y	Y	0	C/P
		IF(H66="I", "I", IF(I66="C/P", "C/P", "NA"))=C/P					
Sonny	Jain		Y	Y	Y	0	C/-
P		IF(H67="I", "I", IF(I67="C/P", "C/P", "NA"))=C/P					
Neil	Jain		Y	Y	Y	0	C/-
P		IF(H68="I", "I", IF(I68="C/P", "C/P", "NA"))=C/P					
Michael	Jesselson				0		0
		IF(H69="I", "I", IF(I69="C/P", "C/P", "NA"))=NA					
Ken	Jones		0	0			
		IF(H70="I", "I", IF(I70="C/P", "C/P", "NA"))=NA					
Rich	Joslin		0	0			
		IF(H71="I", "I", IF(I71="C/P", "C/P", "NA"))=NA					
William	Keenan			Y	Y		Y
0	C/P	IF(H72="I", "I", IF(I72="C/P", "C/P", "NA"))=C/P					
William	Howard Keenan				0		0
		IF(H73="I", "I", IF(I73="C/P", "C/P", "NA"))=NA					
Judy	Kelly			Y	Y		Y
0	C/P	IF(H74="I", "I", IF(I74="C/P", "C/P", "NA"))=C/P					
Avi	Kestenbaum				Y		Y
Y	0	C/P IF(H75="I", "I", IF(I75="C/P", "C/P", "NA"))=C/P					
Adam	Kimmel		Y	Y	Y	0	C/-
P		IF(H76="I", "I", IF(I76="C/P", "C/P", "NA"))=C/P					

Jeff Kirwood					0	0		
IF(H77="I","I",IF(I77="C/P","C/P","NA"))=NA								
Martin Klein				Y	Y	Y	0	C/-
P IF(H78="I","I",IF(I78="C/P","C/P","NA"))=C/P								
Mark Kristoff		Y	Y	Y	0	C/P		
IF(H79="I","I",IF(I79="C/P","C/P","NA"))=C/P								
Charles Krusen						Y	Y	
Y 0	C/P	IF(H80="I","I",IF(I80="C/P","C/P","NA"))=C/P						
Alan Kufeld	Y	Y	Y	I	0			
IF(H81="I","I",IF(I81="C/P","C/P","NA"))=I								
Philippe Laffont				0	0			
IF(H82="I","I",IF(I82="C/P","C/P","NA"))=NA								
Robert Larocca					Y	Y	Y	
I 0	IF(H83="I","I",IF(I83="C/P","C/P","NA"))=I							
Bryan H. Lawrence							0	
0 IF(H84="I","I",IF(I84="C/P","C/P","NA"))=NA								
Bryan R. Lawrence							0	
0 IF(H85="I","I",IF(I85="C/P","C/P","NA"))=NA								
Richard Leeds			Y	Y	Y	0		C/-
P IF(H86="I","I",IF(I86="C/P","C/P","NA"))=C/P								
Peter Leidel				Y	Y	Y	Y	
0	C/P	IF(H87="I","I",IF(I87="C/P","C/P","NA"))=C/P						
Jared Levy			Y	Y	Y	I		
0 IF(H88="I","I",IF(I88="C/P","C/P","NA"))=I								
John Lummis			Y	Y	Y	0		C/-
P IF(H89="I","I",IF(I89="C/P","C/P","NA"))=C/P								
Gene Lynch					0	0		
IF(H90="I","I",IF(I90="C/P","C/P","NA"))=NA								
Mel Manion				0	0			
IF(H91="I","I",IF(I91="C/P","C/P","NA"))=NA								
David Manlowe					0	0		
IF(H92="I","I",IF(I92="C/P","C/P","NA"))=NA								
Bryce Markus					0	0		
IF(H93="I","I",IF(I93="C/P","C/P","NA"))=NA								
Kelly Masuda					0	0		
IF(H94="I","I",IF(I94="C/P","C/P","NA"))=NA								
William McBride		Y	Y	Y	Y	I		
0 IF(H95="I","I",IF(I95="C/P","C/P","NA"))=I								
Todd Meister		Y	Y	Y	0			C/-
P IF(H96="I","I",IF(I96="C/P","C/P","NA"))=C/P								
Richard Merage					0	0		
IF(H97="I","I",IF(I97="C/P","C/P","NA"))=NA								
Neal Milch		Y	Y	Y	0			C/-
P IF(H98="I","I",IF(I98="C/P","C/P","NA"))=C/P								
David Mitchell					0	0		
IF(H99="I","I",IF(I99="C/P","C/P","NA"))=NA								
Erik Moody		0	0					
IF(H100="I","I",IF(I100="C/P","C/P","NA"))=NA								
Catherine Moreatis		Y	Y	Y	0			C/P
IF(H101="I","I",IF(I101="C/P","C/P","NA"))=C/P								
Gary Moriwaki					Y	Y		

Y	I	0	IF(H102="I", "I", IF(I102="C/P", "C/P", "NA"))=I						
Thomas	Murray			Y	Y	Y	I	0	
			IF(H103="I", "I", IF(I103="C/P", "C/P", "NA"))=I						
Alvin	Murstein						Y	Y	
Y	0	C/P	IF(H104="I", "I", IF(I104="C/P", "C/P", "NA"))=C/P						
Andrew	Murstein					Y	Y	Y	
0	C/P		IF(H105="I", "I", IF(I105="C/P", "C/P", "NA"))=C/P						
Anup	Patel			0	0				
			IF(H106="I", "I", IF(I106="C/P", "C/P", "NA"))=NA						
Sandy	Pensler					Y	Y	Y	
0	C/P		IF(H107="I", "I", IF(I107="C/P", "C/P", "NA"))=C/P						
Anthony	Persico			0	0				
			IF(H108="I", "I", IF(I108="C/P", "C/P", "NA"))=NA						
George	Petrides						Y	Y	
Y	I	0	IF(H109="I", "I", IF(I109="C/P", "C/P", "NA"))=I						
Deepak	Raj			0	0				
			IF(H110="I", "I", IF(I110="C/P", "C/P", "NA"))=NA						
Lewis	Ranieri			Y	Y	Y	Y	0	C/-
P			IF(H111="I", "I", IF(I111="C/P", "C/P", "NA"))=C/P						
Ben	Rechler			0	0				
			IF(H112="I", "I", IF(I112="C/P", "C/P", "NA"))=NA						
Julie	Richardson						Y	Y	
Y	0	C/P	IF(H113="I", "I", IF(I113="C/P", "C/P", "NA"))=C/P						
Colby	Rollins			Y	Y	Y	Y	0	C/-
P			IF(H114="I", "I", IF(I114="C/P", "C/P", "NA"))=C/P						
Simon	Rose			Y	Y	Y	Y	0	C/-
P			IF(H115="I", "I", IF(I115="C/P", "C/P", "NA"))=C/P						
Kevin	Ryan				Y	Y	Y	Y	
0	C/P		IF(H116="I", "I", IF(I116="C/P", "C/P", "NA"))=C/P						
Marla	Schaefer				Y	Y	Y	Y	
0	C/P		IF(H117="I", "I", IF(I117="C/P", "C/P", "NA"))=C/P						
Karl	Schreiber					0	0		
			IF(H118="I", "I", IF(I118="C/P", "C/P", "NA"))=NA						
Mark	Seelig			0	0				
			IF(H119="I", "I", IF(I119="C/P", "C/P", "NA"))=NA						
Matt	Serra			0	0				
			IF(H120="I", "I", IF(I120="C/P", "C/P", "NA"))=NA						
Phillip	Shefter					Y	Y	Y	
0	C/P		IF(H121="I", "I", IF(I121="C/P", "C/P", "NA"))=C/P						
Richard	Simon			Y	Y	Y	Y	0	C/-
P			IF(H122="I", "I", IF(I122="C/P", "C/P", "NA"))=C/P						
Jamie	Smith			0	0				
			IF(H123="I", "I", IF(I123="C/P", "C/P", "NA"))=NA						
Inder	Sodhi			Y	Y	Y	Y	0	C/-
P			IF(H124="I", "I", IF(I124="C/P", "C/P", "NA"))=C/P						
John	Straus				0	0			
			IF(H125="I", "I", IF(I125="C/P", "C/P", "NA"))=NA						
Haresh	Tharani				Y	Y	Y	Y	
0	C/P		IF(H126="I", "I", IF(I126="C/P", "C/P", "NA"))=C/P						
Brad	Wechsler				0	0			
			IF(H127="I", "I", IF(I127="C/P", "C/P", "NA"))=NA						

George Weiss Y Y Y 0 C/P
 IF(H128="I","I",IF(I128="C/P","C/P","NA"))=C/P
 Andrew Zaro [REDACTED] 0 0 IF(H129="I","I",IF(I129="C/-
 P","C/P","NA"))=NA
 Alex Zubillaga [REDACTED] Y Y
 Y 0 C/P IF(H130="I","I",IF(I130="C/P","C/P","NA"))=C/P
 Lloyd Zuckerberg [REDACTED] Y Y Y
 0 C/P IF(H131="I","I",IF(I131="C/P","C/P","NA"))=C/P
 Kristin [REDACTED] Y Y Y 0 C/-
 P IF(H132="I","I",IF(I132="C/P","C/P","NA"))=C/P
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Stew Contacts

Client/ Prospect	Email	Address1	City	State	Zip
Alex Green	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Annandale	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Anschutz	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Arcus	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Arcus	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
BBR	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Blank	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Bluestem	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Bright Group	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Caruso Affiliated	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Caruso (Richard)	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Caruso (Richard)	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
CFM	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
CFM	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Cox	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Dock Street	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Donabedian	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Dorrance	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Dorrance	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Duncan	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Edge	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Edge	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Edge	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Essig	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Everwatch	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Everwatch	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Everwatch
Ford
Forrestal
Forrestal
Georgetown
Glenmede
Granite Group
Guilford
Highlander
Hillspire
Hillspire
Iconiq
Iconiq
Iconiq
Illig
Illig
Infinity Q
Infinity Q
Ironsides
JL squared
JL squared
Lindemann
m20
Nicholas
Nicholas
Orix
Parami

Parami [REDACTED]
[REDACTED]
Roger Bulloch [REDACTED] Las Vegas NV
Shamrock [REDACTED]
[REDACTED]
Slome [REDACTED]
[REDACTED]
Slome [REDACTED]
[REDACTED]
Snyder [REDACTED]
[REDACTED]
Sonen Capital [REDACTED]
[REDACTED]
Sonen Capital [REDACTED]
[REDACTED]
Spruce [REDACTED]
[REDACTED]
Spruce [REDACTED]
[REDACTED]
Stiritz [REDACTED]
Stiritz [REDACTED]
Thomson [REDACTED]
[REDACTED]
True North [REDACTED]
[REDACTED]
United Company [REDACTED]
[REDACTED]
Willett [REDACTED]
DO NOT SEND:
Merage [REDACTED]
[REDACTED]
DART [REDACTED]
[REDACTED]

Notes 10.15

Relationship Name	First	Last	Email	JPM Twin-Win
SPX MS Accelerated Return	SPX/RTY		MS Accelerated Return	EuroStoxx
50 MS Accelerated return	SPX		MS Phoenix AutoCall	AAPL
CS Digital		SPX/RTY	CS Digital	EuroStoxx
50 JPM 12M AutoCall		SPX/RTY		JPM 15M AutoCall
SPX/RTY				
Forrestal Capital	Annette	Franqui	[REDACTED]	X
SK Family Office	Charles	Britton	[REDACTED]	X
Ironsides AM		[REDACTED]		
Forrestal Capital	Jonathan		Fischer	
[REDACTED]		Stewart	has met with the prospect numerous times both professionally and socially and believes they would be interested in this offering	
Snyder, Daniel	Karl	Schreiber	[REDACTED]	
Makan, Divesh	ICONIQ	Capital LLC	[REDACTED]	

Sent 10.7.2015

KKR II

Relationship Response Sent	Notes	First AIS Submitted	Last Submitted	Email AIS Received	Event Invitation Teaser Sent	Event Book	
Blank Bright Group	David	Homrich	[REDACTED]		Y	21-Oct	
21-Oct		Darren	Campf	[REDACTED]	Y	Y	
Bulloch, Roger		Roger	Bulloch	[REDACTED]	Y	Y	
21-Oct							
Caruso, Richard	Y	Gary	Deilella	[REDACTED]			
19-Oct	Y	19-Oct					
Caruso, Richard		Richard	Caruso	[REDACTED]	Y	Y	
19-Oct							
Cline, Michael		Michael	Cline	[REDACTED]	Y	Y	
Y	22-Oct						
Disney, Roy	Y	Greg	Martin	[REDACTED]	Pending	LA	
Event	Y	Y	21-Oct				
Dock Street		[REDACTED]			Y	Y	
21-Oct							
Dorrance Family		Clint	Gossage	[REDACTED]		Y	
Y	22-Oct						
Dorrance Family		Jason	Stiles	[REDACTED]		Y	
Y	22-Oct						
Duncan Investments			Gregg	Hammer	[REDACTED]	Y	
Stew	22-Oct	Not interested-- significant KKR ties					
Essig	Erin	Enright	[REDACTED]		Y	Stew	
23-Oct							
EverWatch Financial	Y		Frohman	Anderson	[REDACTED]		
Y	Y	19-Oct	Taking a look				
EverWatch Financial			Joe	Monaco	[REDACTED]	Y	
Y	19-Oct	Taking a look					
EverWatch Financial			Matt	Womble	[REDACTED]	Y	
Y	19-Oct	Taking a look					
EverWatch Financial			Tyler	Webb	[REDACTED]	Y	
Y	19-Oct	Taking a look					
Forrestal Capital			Annette	Franqui	[REDACTED]		
Y	Not attending	Y	Y	19-Oct			
Forrestal Capital			Jonathan	Fischer			
[REDACTED]			Y	Y	Y	19-Oct	
Gaslow, Lawrence	Y		Peter	Gaslow	[REDACTED]		
Y	Y	Y	21-Oct				
Granite Group		Lyle	Himebaugh III		Y	Stew	
[REDACTED]					Y	23-Oct	
Guilford Company	No		James	Landrigan	[REDACTED]		
	Not in DB Force?						
Illig, Cliff		Cliff	Illig	[REDACTED]	Y	Y	
22-Oct							
Ironsides AM		[REDACTED]			Y	21-Oct	
Jesselson Capital			Michael	Jesselson			
[REDACTED]			Y	Y	Y	20-Oct	
JL Squared Group		[REDACTED]			Y	Y	

21-Oct							
JL Squared Group						Y	Y
21-Oct							
Michael, Neal	Neal	Milch				Y	Y
Y	22-Oct						
SK Family Office		Charles Britton					
Y	Y	Y					
22-Oct							
Slome, Ian	John	Service					Y
Y	Y	19-Oct					
Snyder, Daniel	Karl	Schreiber					
Y	Y	21-Oct					
True North Advisors							Y
Y	21-Oct						
United Company	Lois	Clarke				Y	Y
21-Oct							
Lennar	Bruce	Gross			Y	No	Not in DB
Force?							
General Atlantic		Gene	Lynch				
Y	Attending	Y	Y	21-Oct	21-Oct		
Vass Pipe	Sonny	Jain				Y	Y
Y	22-Oct	22-Oct					
Algappan, Arun	Arun	Alagappan				Y	Y
22-Oct							