

Subject: RE: Assistance Required in Resolving Rejection Points - KYC  
Case# [REDACTED] [I]  
From: Vijay-A Sawant <[REDACTED]>  
Date: Tue, 18 Sep 2018 05:11:42 -0400  
To: Bradley Gillin <[REDACTED]>,  
Stewart Oldfield <[REDACTED]>,  
Richard Iarossi <[REDACTED]>  
Cc: Mayur Rathod <[REDACTED]>

Many thanks for the below confirmation, Brad.

We will submit this case before end of day.

Kind Regards,

{cid:1\_\_=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063 , India  
Tel. [REDACTED]  
Mobile [REDACTED]

[REDACTED]

From: Bradley Gillin  
Sent: Monday, September 17, 2018 7:57 PM  
To: Vijay-A Sawant <[REDACTED]> Stewart Oldfield  
<[REDACTED]>; Richard Iarossi <[REDACTED]>  
Cc: Mayur Rathod <[REDACTED]>  
Subject: RE: Assistance Required in Resolving Rejection Points - KYC  
Case# [REDACTED] [I]

Classification: For internal use only

See below. These are simple deposit accounts used for operational/expense purposes.

[REDACTED] Karyna Shuliak- [REDACTED] - DDA - Checking account for the [REDACTED] Karyna.

· This is a checking account for Individuals. They use the funds for daily expenses.

Butterfly Trust - [REDACTED] - MMBA - Deposit account to hold funds for the trust.

· This is a money market deposit account to pay all expenses/- disbursements related to the trust. Expenses are taxes, trust fee, etc.

Neptune LLC - [REDACTED] - DDA - Checking account used for the daily needs of running Jeffrey Epstein's home in NYC.

· This is a checking account to pay for all expenses (i.e. electric, maintenance, cable, etc.) on Epstein's NYC home.

Regards,  
Brad

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{cid:image001.gif@01D44E70.E3E757C0}

Bradley Gillin  
Vice President  
Deutsche Bank Wealth Management  
345 Park Avenue, 24th Fl  
New York, NY 10154  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Vijay-A Sawant  
Sent: Monday, September 17, 2018 9:56 AM  
To: Stewart Oldfield <[REDACTED]>; Richard Iarossi

EFTA01438310

<[REDACTED]>  
Cc: Bradley Gillin <[REDACTED]>; Mayur Rathod  
<[REDACTED]>  
Subject: RE: Assistance Required in Resolving Rejection Points - KYC  
Case#01977698  
Importance: High

Hello Stewart/Brad,

A kind reminder of the below query, thanks.

Kind Regards,

{cid:1\_\_=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063 , India  
Tel. [REDACTED]  
Mobile [REDACTED]

[REDACTED]

From: Vijay-A Sawant  
Sent: Monday, September 10, 2018 8:12 PM  
To: Stewart Oldfield <[REDACTED]>; Richard Iarossi  
<[REDACTED]>  
Cc: Bradley Gillin <[REDACTED]>; Mayur Rathod  
<[REDACTED]>  
Subject: Assistance Required in Resolving Rejection Points - KYC  
Case# [REDACTED]  
Importance: High

Hello Stewart,

We require your assistance in resolving rejection point 8, highlighted in yellow below? We require this info for the following accounts, please see existing comments in case below –

██████████ Karyna Shuliak- ██████████ - DDA - Checking account for the ██████████ Karyna.

Butterfly Trust - ██████████ - MMBA - Deposit account to hold funds for the trust.

Neptune LLC - ██████████ - DDA - Checking account used for the daily needs of running Jeffrey Epstein's home in NYC.

Your KYC has been rejected.

Case #: ██████████

Relationship: SOUTHERN FINANCIAL RELATIONSHIP Customer Name: ██████████  
██████████ Customer Number: ██████████

Reason for Rejection:1) Please provide ID for ██████████

- 2) Please provide full signing authority document for Neptune LLC.
- 3) Please re-run research for Jeffrey Epstein & Erike Kellerhals with the country as Virgin Islands, US.
- 4) The google search for Darren Indyke has a few negative articles regarding Jeffrey Epstein's foundation and also has court cases against Jeffrey Epstein. Please address them under section 4D "Please summarize any negative results from the database searches indicated above:"
- 5) Please indicate where we can locate the IDs for Darren Indyke and Richard D Kahn.
- 6) Please provide the FinCEN CDD Form.

- 7) Due to recent DB Force enhancements, all structured country fields (i.e., those converted to drop downs and multi pick lists) must be populated in the KYC Wizard. Please ensure these fields are completed for all KYC parties.
- 8) Please explain in detail the purpose of each account. You can add this in the "What is the purpose of the account."
- 9) Please provide SOW verification.

Let me know if any queries, thanks.

Kind Regards,

{cid:1\_\_=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063 , India  
Tel. [REDACTED]  
Mobile [REDACTED]

[REDACTED]