

Subject: Re: 2018 Periodic Review of High Risked Accounts J. Epstein Virgin Islands Foundation, Inc ([REDACTED]) & Hyperion Air, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP
From: Vijay-A Sawant < [REDACTED] >
Date: Fri, 24 Aug 2018 06:03:21 -0400
To: Richard Iarossi < [REDACTED] >, Stewart Oldfield < [REDACTED] >
Cc: Bradley Gillin < [REDACTED] >, Terri Sohrab < [REDACTED] >, Mayur Rathod < [REDACTED] >

Hi Richard/Stewart,

We have already received no material change confirmation from Brad as per attached email on the accounts listed in the subject line, upon which we have launched KYC case#01977699 for their remediation. After this confirmation, the following items are required for this case to be completed successfully -

- For J. Epstein Virgin Islands Foundation, Inc - We found the IRS letter which confirms that this is a 501 (c) (3) entity but we are unable to obtain 'SoS' or screenshot from the IRS website. This could mean that this entity is no longer tax exempt and/or active. Could you provide the 'SoS' from IRS website or advise on this please?
- Hyperion Air, LLC – SOS from USVI website or COGS dated within 6 months

Above items should clear this case for approval submission, so kindly provide accordingly, thanks.

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India
Tel. [REDACTED]
Mobile + [REDACTED]

[REDACTED]

Thanks & Regards

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Mayur Rathod

KYC Case Representative
Deutsche CIB Centre Private Limited
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Tel.: + [REDACTED]
Email: [REDACTED]

From: Vijay-A Sawant
Sent: Monday, July 30, 2018 4:20 PM
To: Bradley Gillin <[REDACTED]>; Stewart Oldfield
<[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>
Subject: 2018 Periodic Review of High Risked Accounts J. Epstein Virgin
Islands Foundation, Inc ([REDACTED]) & Hyperion Air, LLC ([REDACTED])
Importance: High

Hello Brad/Stewart,

This review relates to above high risked three accounts due for remediation
08/31/2018.

EFTA01438496

Upon review of the docs available in the repositories, I have completed the attached checklist, main RP's also listed below for reference –

Acct Holder – J. Epstein Virgin Islands Foundation, Inc

President & Authorized Signatory – Jeffrey Epstein

Vice President & Authorized Signatory – Darren K. Indyke

Treasurer, Secretary & Authorized Signatory – Erika Kellerhals

Kindly advise if the above RP's are correct? Also advise if any material changes to this account?

During review, I noticed the IRS letter which confirmed that this is a 501 (c) (3) entity but I am unable to obtain 'SoS' from the IRS website. This could mean that this entity is no longer tax exempt. Could you advise on this, please?

Regarding the CDD form, I am awaiting AML confirmation whether Jeffrey Epstein's address in section c, is acceptable? Will get back to you as soon as response is received from Morgan.

Acct Holder - Hyperion Air, LLC

Sole Member – Jeffrey Epstein

Authorized Signatories – Harry Beller & Darren Indyke

Kindly advise if the above RP's are correct and whether there are any material changes to this account?

Kindly assist in procuring SoS from the US Virgin islands government registry, please? Note, we already have the COE (Certificate of Existence) for this entity. The SoS is required so we can evidence that this entity is STILL registered with the authorities and is active.

Regarding the CDD form, I am awaiting AML confirmation whether Jeffrey Epstein's address in section c, is acceptable? Will get back to you as soon as response is received from Morgan.

I will launch KYC upon your confirmation of material changes. Note above accounts will be KYC'd on ONE kyc case, thanks.

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

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