

Subject: RE: Butterfly Trust - new brokerage account needed [I] [C]  
From: Liam Osullivan [REDACTED]  
Date: Tue, 25 Sep 2018 12:34:48 -0400  
To: Stewart Oldfield <[REDACTED]>,  
Davide-A Sferrazza <[REDACTED]>,  
Martin Zeman <[REDACTED]>  
Cc: Bradley Gillin [REDACTED]  
Richard Iarossi [REDACTED]

Classification: Confidential

Stewart,

I have attached the following documents:

- Account Agreement
  - o 9th Page – Sign and Date
  - o 16th Page – This page only needs to be completed if the account will have margin. We will need the original account agreement for margin.
- Trust Authorization – Sign, date
- Electronic Delivery Consent – Enter email address, sign, date and print name & title
- Free Credit Balances – Money Market Sweep – Check one of the boxes, sign, date and print name
- W-9 – sign and date
- Limited Trading Authorization - This will need to be completed by both the agent and the Trustee. The legal address of the agent must be listed in the form.
- Options Agreement – we will need the original form.
  - o Each section must be completed (Client's Financial Information, Investment Experience, Option Objectives, Strategy Requests)
  - o 2nd Page - sign, date and print name
  - o 4th Page - Initial
- Uncovered Call Writers – Sign and date - we will need the original form as well as margin (see Account Agreement)

For informational purposes:

- CIP Notice
- Options Disclosure Document (ODD)
- 2012 Nov ODD Supplement

JEFFREY EPSTEIN - INDIVIDUAL ACCOUNT -Options Agreements Expire 10/31/2018.

- Options Agreement – we will need the original form.
  - o Each section must be reviewed / corrected (Client's Financial Information, Investment Experience, Option Objectives, Strategy Requests)
  - o 2nd Page - sign, date and print name

o 4th Page - Initial

- Uncovered Call Writers – Sign and date - we will need the original form as well as margin

Thank you,

Liam

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Liam O'Sullivan  
AVP | Key Client Partners - US

DB Securities Inc  
Deutsche Bank Wealth Management  
345 Park Avenue, 10154-0004 New York, NY, USA  
[REDACTED]

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-----Original Message-----

From: Stewart Oldfield

Sent: Thursday, September 06, 2018 9:37 AM

To: Davide-A Sferrazza [REDACTED]; Martin Zeman

[REDACTED]; Liam Osullivan [REDACTED]

Cc: Bradley Gillin [REDACTED] Richard Iarossi

Subject: RE: Butterfly Trust - new brokerage account needed [I]

The annual KYC review should be complete on our side today or tmw, so we can open this account soon.

Liam - please let Rich know what docs you need from us. They should all be on DB Force.

Thanks

-----Original Message-----

From: Davide-A Sferrazza

Sent: Friday, August 31, 2018 11:41 AM

To: Stewart Oldfield <[REDACTED]>; Martin Zeman

[REDACTED]; Liam Osullivan <[REDACTED]>

Cc: Bradley Gillin [REDACTED]; Richard Iarossi

Subject: RE: Butterfly Trust - new brokerage account needed [I]

Classification: For internal use only

Stewart,

As discussed, I will review with Liam when he is back next week and we will put together an account opening package.

Brad/Rich, would you please send me what KYC documentation you have on file? I am not able to see on DB Force (I may not be looking at the right place if it is on there already.

Thank you,  
Davide

-----Original Message-----

From: Stewart Oldfield

Sent: Friday, August 31, 2018 9:27 AM

To: Martin Zeman [REDACTED]; Liam Osullivan

[REDACTED] Davide-A Sferrazza <[REDACTED]>

Cc: Bradley Gillin [REDACTED] Richard Iarossi

Subject: RE: Butterfly Trust - new brokerage account needed

Anyone looking at this?

-----Original Message-----

From: Stewart Oldfield

Sent: Thursday, August 30, 2018 8:04 AM

To: Martin Zeman [REDACTED]; Liam Osullivan

[REDACTED] Davide-A Sferrazza [REDACTED]

Cc: Bradley Gillin [REDACTED] Richard Iarossi

Subject: Butterfly Trust - new brokerage account needed

Gents,

Need to open a new brokerage account for Jeffrey. Will do some trading but won't be a huge account. Note that Paul Barrett will NOT be trading this account.

Please send some pre-filled docs to Rich Kahn for signatures. We are currently doing the annual KYC review for the existing Butterfly banking accounts we have, so let us know what info we can provide to help out.

Thanks

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154

Email [REDACTED]

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