

# Account Transfer Form

## I. RECEIVING FIRM INFORMATION (Only one per form)

RECEIVING FIRM CLEARING NUMBER: [REDACTED]

ACCOUNT NUMBER: [REDACTED]

SOCIAL SECURITY NUMBER

[ ][ ][ ] - [ ][ ] - [ ][ ][ ][ ]

or TAXPAYER IDENTIFICATION NUMBER:

[REDACTED]

SECONDARY SOCIAL SECURITY NUMBER:

[ ][ ][ ] - [ ][ ] - [ ][ ][ ][ ]

or TAXPAYER IDENTIFICATION NUMBER:

[ ][ ] - [ ][ ][ ][ ][ ][ ][ ][ ]

ACCOUNT TYPE: (Enter the two character code)

**T R**

Nonretirement: SN—(Single) JT—(Joint) TR—(Trust) CT—(Cotrustee) CO—(Corporate) CU—(Custodian) ES—(Estate) BC—(Bank Custody) AG—(Agency)

Retirement: IR—(Traditional IRA) RI—(Roth IRA) DR—(Direct Rollover) BI—(Beneficiary) BR—(Beneficiary Roth IRA) 4K—(401k) EI—(Education Savings Account) SI—(SIMPLE IRA) QP—(Qualified Plan) 4B—(403b) 7B—(457 Plan) HS—(Health Savings Account) 5P—(529 Plan)

Other: OT—(Other)

## II. DELIVERING ACCOUNT INFORMATION

CLEARING NUMBER: \_\_\_\_\_

ACCOUNT NUMBER: [REDACTED]

FIRM NAME: **JP Morgan**

ACCOUNT TITLE: (As it appears on your statement)

**The Haze Trust**

### DELIVERING FIRM CONTACT INFORMATION (MANDATORY WHEN CLEARING NUMBER IS NOT PROVIDED)

CONTACT NAME: \_\_\_\_\_

FIRM ADDRESS: (No. P.O. Box) \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_

TELEPHONE NUMBER: \_\_\_\_\_

NOTE: A complete copy of your most recent statement is necessary to process this form.

## III. TRANSFER TYPE (Choose only one)

### A. ACCOUNT TRANSFER

- FULL (Check one below)
  - TRANSFER ALL ASSETS IN KIND (Skip to Section VI)
  - LIQUIDATE ALL ASSETS LISTED IN SECTION IV (Liquidations are not for brokerage accounts)
  - TRANSFER ALL ASSETS IN KIND EXCEPT FOR THE ASSETS LISTED IN SECTION IV, WHICH REQUIRE LIQUIDATION
- PARTIAL (Complete Section IV)

### B. DRS/DRIP

- FULL (Check one below)
    - SELL FRACTIONS AND CLOSE ACCOUNT
    - TRANSFER ALL FULL SHARES ONLY
  - PARTIAL (List assets in Section IV)
- NOTE: For transfer agents, electronically send positions or issue a certificate for whole shares and redeem fractional shares.

### C. DIRECT MUTUAL FUND

- TRANSFER MUTUAL FUNDS FROM THE ACCOUNT HELD DIRECTLY AT THE FUND COMPANY (See list in Section IV for exact instructions)
- NOTE: Only one fund family per form.

### D. BANK RETIREMENT PLAN ACCOUNTS (Select only one)

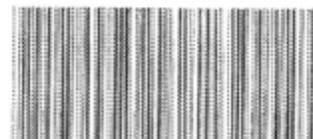
- LIQUIDATE IMMEDIATELY AND TRANSFER CASH I am aware of and acknowledge any penalty I will incur from an early withdrawal.
  - LIQUIDATE AT MATURITY AND TRANSFER CASH Specify maturity date: (submit two to three weeks before maturity date).
- NOTE: Liquidations involving bank CDs, checking accounts, or savings accounts can only be processed from retirement accounts.

SIGNATURE \_\_\_\_\_

DATE \_\_\_\_\_

SIGNATURE \_\_\_\_\_

DATE \_\_\_\_\_



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