
From: Tazia Smith [REDACTED]
Sent: 1/15/2014 1:42:20 PM
To: Vinit Sahn [REDACTED]
CC: [REDACTED] Paul Morris [REDACTED]
Subject: Re: Global Coverage [C]
Attachments: pic12495.gif; pic01811.gif

Classification: Confidential

Would be great - Jeffrey and Noam will be worth your study hours in and of themselves!

(Embedded image moved to file: pic12495.gif)

Tazia Smith
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(Embedded image moved to file: pic01811.gif)

From: Vinit Sahn [REDACTED]
To: Tazia Smith [REDACTED]
CC: Paul Morris [REDACTED] Mathew Negus [REDACTED]
Date: 01/15/2014 01:39 PM
Subject: Re: Global Coverage [C]

I did have my series 7, 63 and 3 we should possibly reapply

Vinit

On 15 Jan 2014, at 18:28, "Tazia Smith" [REDACTED] wrote:

Classification: Confidential [REDACTED]

Hi Vinit -
Jeff's request for global coverage is an important capability for KCP clients. Operationally, FX (hopefully rates derivatives as well) and equity derivatives shouldn't be a problem for global coverage -- Jeff's trading entities are set up in RMS and summit. No need a new account, as we chatted about. I am following up on the compliance front. It's compliance and credit risk management oversights that we need to be sure we have in place. We can discuss on our call. I just want to be responsive to Jeffrey and let him know that he does have global coverage.