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Vinit Sahni---01/15/2014 01:39:17 PM---I did have my series 7, 63 and 3 we should possibly reapply

From: Vinit Sahni, [REDACTED]  
To: Tazia Smith, [REDACTED]  
Cc: Paul Morris, [REDACTED] Mathew Negus [REDACTED]  
Date: 01/15/2014 01:39 PM  
Subject: Re: Global Coverage [C]

I did have my series 7, 63 and 3 we should possibly reapply

Vinit

On 15 Jan 2014, at 18:28, "Tazia Smith" <[REDACTED]> wrote:  
Classification: Confidential

Hi Vinit -  
Jeff's request for global coverage is an important capability for KCP clients. Operationally, FX (hopefully rates derivatives as well) and equity derivatives shouldn't be a problem for global coverage -- Jeff's trading entities are set up in RMS and summit. No need a new account, as we chatted about. I am following up on the compliance front. It's compliance and credit risk management oversights that we need to be sure we have in place. We can discuss on our call. I just want to be responsive to Jeffrey and let him know that he does have global coverage.

Are you licensed in the US (7 and 66)?

Speak Soon!  
Tazia

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