

I agree that we should put together on-boarding packets for each type of client, I will take ownership of these and work on putting them together.

With regards to client set up for Capital Markets trading, thus far I have only been involved on the AWM/PCS side (i.e. options, SP's, FX). As such, I do not have the extensive list of what would be required for Autobahn/CB&S set up. I will reach out to the appropriate parties downtown to get this information and provide it as soon as I have it. Thanks Kev

Kind Regards,

Jay Lipman

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Jay Lipman
Analyst I Key Client Partners

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From: Kevin Quinn/[REDACTED]
To: Jay Lipman/[REDACTED]
Cc: Tazia Smith/[REDACTED]
Date: 01/24/2014 01:31 PM
Subject: New Capital Markets Flow Client - Onboarding Package [I]

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Jay,

We will be setting up a new client to trade capital markets in KCP. Would be good to have a full set-up kit ready for these types of clients. Can you prepare a list of requirements? Cash, options, futures, CB&S, autobahn etc. I will review with you afterwards and then we can aggregate all paperwork and basic KYC requirements.

Believe you have all this from Epstein. Please at least provide the list today. Let me know if you have any questions.

Kevin

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Kevin Quinn
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