

Are you an employee or related to an employee of Financial Industry Regulatory Authority/other self-regulatory organization? Yes No

If Yes, list person and organization: _____

Is any owner now, or has any owner ever been, a senior corporate officer or director, or does any owner own 10% or more of any publicly-traded company's stock? Yes No

If Yes, provide name of company, publicly traded symbol and affiliation date: _____

3rd Authorized Party's Personal Information

Name: _____ DOB: _____ SSN: _____

Legal Address: _____

City: _____ State: _____ Zip/Postal Code: _____ Country: _____

Country of Citizenship: _____ If not U.S. (check one): Resident Alien Non-Resident Alien

Employment Status: Employed Self-Employed Retired Unemployed Homemaker Student

Occupation and Position: _____

Employer's Name: _____

Employer's Address: _____

City: _____ State: _____ Zip/Postal Code: _____

Length of Employment: _____

Home Phone Number: _____ Business Phone Number: _____ Email Address: _____

Investment Experience

Investment Type	Experience (Required) Years of Investing	Annual Activity Level (Select one)			
		None No history of any transactions	Limited (1-5 transactions per year)	Moderate (6-15 transactions per year)	High (Over 15 transactions per year)
Equities					
Options					
Fixed Income					
Mutual Funds					
Exchange Traded Funds (ETFs)					
Variable Annuities					
Real Estate Property (excluding Primary Residence)					
Alternative Investments (Hedge Funds, Private Equity)					
Structured Products					
Foreign Currency					

Are you an employee of Deutsche Bank (DB), its subsidiaries or affiliates? Yes No

If Yes, list name of DB employer: _____

Are you related to an employee of DB, its subsidiaries or affiliates? Yes No

If Yes, list name of employee/relationship/name of DB employer: _____

Are you an employee of another broker-dealer? Yes No

If Yes, list name of broker-dealer: _____

Are you related to an employee at another broker-dealer? Yes No

If Yes, list name of broker-dealer/name of employee: _____

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