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**From:** Paul Morris [paul.morris@db.com]  
**Sent:** 4/30/2014 5:54:48 PM  
**To:** Amanda Kirby [amanda.kirby@db.com]  
**Subject:** Fw: New Capital Markets Flow Client [I]  
**Attachments:** KCP Non-Advisory Letter (Tazia Smith).docx; Institutional Suitability Certificate.pdf; Q\_-\_Qualified\_Institutional\_Buyer\_Certification\_Letter.pdf; ISDA Preliminary Requirements - Collection Sheet.docx; FX Agency Direction Letter.pdf; Options Account Agreement.pdf; Structured Products New Agreement and Approval Form.pdf; After Hours Authorization Letter.pdf; Email\_Waiver\_Letter.pdf; Rule 5131 IPO Form.pdf; Prime Brokerage Agreement.pdf; Uncovered Options Writing.pdf; pic15190.gif; pic03885.gif; pic05271.gif; pic20792.gif; pic01448.gif

Classification: For internal use only

Paul Morris  
Managing Director  
Deutsche Bank Private Bank  
345 Park Avenue, 27th Floor  
New York, NY 10154  


----- Forwarded by Paul Morris/db/dbcom on 04/30/2014 05:54 PM -----

**From:** Tazia Smith/db/dbcom  
**To:** Paul Morris,  
**Date:** 04/30/2014 12:28 PM  
**Subject:** Fw: New Capital Markets Flow Client [I]

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this to go through physical delivery, per our conversation...

----- Forwarded by Tazia Smith/db/dbcom on 04/30/2014 12:27 PM -----

**From:** Jay Lipman/db/dbcom  
**To:** Tazia Smith/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAmericas,  
**Date:** 04/30/2014 11:13 AM  
**Subject:** New Capital Markets Flow Client [I]

Classification: For internal use only

Team, let me know your thoughts. Cheers

Hi XXX,

Attached, please find the necessary paperwork to begin the Capital Markets on-boarding process with the Key Client Partners (KCP). I have included completion instructions below and highlighted relevant areas on the forms.

Please do not hesitate to let me know if you have any questions.

KCP Documents