

KCP Non-Advisory Letter - Please Insert signers name and complete form.  
(See attached file: KCP Non-Advisory Letter (Tazia Smith).docx)  
Finra 2111 Suitability Form  
(See attached file: Institutional Suitability Certificate.pdf)  
QIB Letter (not required but recommended) - All information at the end of pg 2  
must be completed and signed.

(See attached file:  
Q\_-\_Qualified\_Institutional\_Buyer\_Certification\_Letter.pdf)

ISDA Requirements

In order for us to progress with providing an ISDA for the client, please ensure that all the information requested in the attached document is provided. We do not recommend sending this document to the client, as the client information form will provide much of it. As such, please provide the rest of the information.  
(See attached file: ISDA Preliminary Requirements - Collection Sheet.docx)

Capital Markets Documents Completion Instructions:

- FX Agency Direction Letter - Please sign/date bottom of form
- Options Agreement - Please complete highlighted areas, sign/date pg.2 and initial pg. 3
- Uncovered Options Writing - Please complete highlighted sections
- Structured Products Approval - Please complete highlighted areas and sign/date pg. 3
- After Hours - Please sign the last page of the document
- Email Waiver Letter - Please Sign the Client Section
- IPO Form - Please check applicable boxes in Sections A + B, and sign/date top of pg. 2
- Prime Brokerage Agreement  
(See attached file: FX Agency Direction Letter.pdf)(See attached file: Options Account Agreement.pdf)(See attached file: Structured Products New Agreement and Approval Form.pdf)(See attached file: After Hours Authorization Letter.pdf)(See attached file: Email\_Waiver\_Letter.pdf)(See attached file: Rule 5131 IPO Form.pdf)(See attached file: Prime Brokerage Agreement.pdf)(See attached file: Uncovered Options Writing.pdf)

Kind Regards,

Jay Lipman

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Jay Lipman  
Analyst I Key Client Partners

DB Securities Inc  
Deutsche Asset & Wealth Management  
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From: Tazia Smith/db/dbcom  
To: Jay Lipman/db/dbcom@DBAMERICAS,  
Date: 02/03/2014 10:01 PM  
Subject: Re: New Capital Markets Flow Client - Onboarding Package [I]

Classification: For internal use only