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Date: 01/24/2014 01:31 PM
Subject: New Capital Markets Flow Client - Onboarding Package [I]

Classification: For internal use only

Jay,

We will be setting up a new client to trade capital markets in KCP. Would be good to have a full set-up kit ready for these types of clients. Can you prepare a list of requirements? Cash, options, futures, CB&S, autobahn etc. I will review with you afterwards and then we can aggregate all paperwork and basic KYC requirements.

Believe you have all this from Epstein. Please at least provide the list today. Let me know if you have any questions.

Kevin

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