
From: Yang-A Chen [REDACTED]
on behalf of AMLCompliance Inquiries [REDACTED]
Sent: 5/21/2014 12:09:37 PM
To: Paul Morris [REDACTED]; Amanda Kirby [REDACTED]
CC: Yang-A Chen [REDACTED]; AMLCompliance Inquiries [REDACTED]
Subject: Inquiry regarding Acct# 44129244 SOUTHERN TRUST COMPANY, INC. - Case #117549 [I]
Attachments: pic19755.gif; pic23894.gif

Classification: For internal use only

Hello,

As a part of the PWM anti-money laundering monitoring program, the PRIME system is used to automatically identify transactions that meet certain high risk transaction patterns. When transactions occur which mimic those patterns, the activity must be researched to confirm that it is legitimate.

In a small percentage of these cases, Compliance must contact the Wealth Advisor for additional information about the transaction(s) in order to conclude the research process. Your response is required for the Bank to comply with federal guidelines for concluding the research of these matters in a timely fashion. Your complete response must be received within 7 business days.

To the best of your ability, please provide details regarding the item(s) below:

130,000.00 3/10/2014 sent 1 payment BANCO POPULAR A /C 595201088 TERRENCE RABSATT

- 1/ Please provide the relationship between Mr. Rabsatt and Mr. Epstein.
- 2/ Please provide the payment purpose.

thank you in advance for your prompt attention to this matter.

Regards,
Yang Chen

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Yang Chen

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Private Wealth Management - AML
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Tel. [REDACTED]
Email [REDACTED]

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