



P.O. Box 1776, Baltimore MD 21203

Deutsche Bank Private Wealth Management
 Markets Coverage Group
 Deutsche Bank Securities Inc.
 New York Office
 New York, NY 10154

Statement Period: 08/01/2014 - 08/31/2014

Portfolio Holdings (continued)
U.S. DOLLARS (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/ Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SANGAMO BIOSCIENCES INC COM (continued)								
05/28/13 *,13	50,000.000	8.4440	422,214.00	14.3000	715,000.00	292,786.00		
SANTANDER CONSUMER USA HLDGS INC COM								
Dividend Option: Cash Security Identifier: SC CUSIP: 80283M101								
01/23/14	1,000.000	24.0000	24,000.00	18.6200	18,620.00	-5,380.00	600.00	3.22%
TWITTER INC COM								
Dividend Option: Cash Security Identifier: TW TR CUSIP: 90184L102								
11/07/13	1,500.000	26.0000	39,000.00	49.7500	74,625.00	35,625.00		
Total Common Stocks			\$26,462,845.59		\$28,131,657.25	\$1,668,811.66	\$1,233,257.03	
Preferred Stocks (Listed by expiration date)								
ALLY FINL INC PERP PFD FIXED/FLTG RATE								
SER A CALLABLE COUPON 8.5% Security Identifier: ALLY PRB PERPETUAL MATURITY CALL@ 25 05/15/16 CUSIP: 02005N308 Dividend Option: Cash								
02/03/12 *,13	46,600.000	19.3820	903,217.51	27.2700	1,270,782.01	367,564.50	99,025.00	7.79%
02/09/12 *,13	80,000.000	20.1250	1,610,000.00	27.2700	2,181,599.99	571,599.99	170,000.00	7.79%
Total Noncovered			2,513,217.51		3,452,382.00	939,164.49	269,025.00	
Total			\$2,513,217.51		\$3,452,382.00	\$939,164.49	\$269,025.00	
GMAC CAP TR I GTD TR PFD SECS SER 2 8								
125% FIXED RATE / FLTG Security Identifier: ALLY PRA Dividend Option: Cash CUSIP: 361860208								
02/22/12 *,13	80,000.000	23.5350	1,882,773.60	26.8999	2,151,992.00	269,218.40	162,500.00	7.55%
ROYAL BANK SCOTLAND GROUP PLC SPON ADR								
REPSTG SER T PDF SHS COUPON 7.25% Security Identifier: RBS PRT PERPETUAL MATURITY CALL@ 25 05/14/14 CUSIP: 780097713 Dividend Option: Cash								
10/24/12 *,13	40,000.000	23.7900	951,600.00	25.6800	1,027,199.99	75,599.99	72,500.00	7.05%
01/07/13 *,13	40,000.000	24.4400	977,600.00	25.6800	1,027,199.99	49,599.99	72,500.00	7.05%
01/14/13 *,13	40,000.000	24.8800	995,200.00	25.6800	1,027,200.02	32,000.02	72,500.00	7.05%

DBAB-ROLL

Account Number [REDACTED]
 SOUTHERN FINANCIAL LLC

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Client: Strategic Planning LLC, a wholly owned subsidiary
 of The Bank of New York Mellon Corporation (BNY Mellon)
 Planning LLC, member FINRA, NYSE, SIPC