

Darren K. Indyke, PLLC. Unauthorized use, disclosure or copying of this communication or any part thereof is strictly prohibited and may be unlawful. If you have received this communication in error, please notify us immediately by return e-mail, and destroy this communication and all copies thereof, including all attachments. Copyright of Darren K. Indyke, PLLC - © 2014 Darren K. Indyke, PLLC - All rights reserved.

On Oct 29, 2014, at 5:04 PM, Amanda Kirby <amanda.kirby@db.com> wrote:

Classification: Confidential

Darren -- Compliance reminded me that we also need these forms for the Dodd Frank ruling. Please fill out/sign and return. Let me know if you have any questions

Best,
Amanda Kirby

<Mail Attachment.gif>

Amanda Kirby

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA

[REDACTED]

<Mail Attachment.gif>

Securities offered through Deutsche Bank Securities Inc.
----- Forwarded by Amanda Kirby/db/dbcom on 10/29/2014 05:02 PM -----

From: Amanda Kirby/db/dbcom
To: [REDACTED],
Cc: Paul Morris/db/[REDACTED], Vahe Stepanian/db/[REDACTED]
Date: 10/21/2014 03:16 PM
Subject: Dodd Frank Initial Margin Segregation Rule Nov 3rd Deadline - Step 1
: [C]

Classification: Confidential

Darren/Rich,

Per our conversation please see the below. I will need one copy for Southern Trust and one for Southern Financial This is part one. I will be sending over part two shortly.

We are reaching out to you in regards to CFTC's ruling on Segregated Initial Margin. In November 2013, the CFTC published its final requirements that all swap dealers and major swap participants must notify their clients of their right to require segregation of margin (other than variation margin) for uncleared swap transactions. The new regulation became effective on January 6, 2014 and requires all "new