Counterparty: **Southern Financial LLC**
Address: 10100 Red Hook Quarter, B3
St. Thomas, USVI 00802

Deutsche Asset & Wealth Management
paul.morris@db.com

Date: October 29, 2014

Re: **Contact Information For Purposes of CFTC Regulation 23.701**

Dear Sir or Madam:

The following contact information is being provided for purposes of your delivery of notices pursuant to CFTC Regulation 23.701 (**"CFTC IM Segregation Right Notices"**). CFTC IM Segregation Right Notices for Counterparty may be provided to the person identified below (the **"Collateral Contact"**) using the following contact information.[1]

I.   **Collateral Contact Type**[2] *(please select one)*[3]

    ☐   Officer responsible for the management of collateral
    ☐   Chief Risk Officer of the Counterparty
    ☑   Chief Executive Officer of the Counterparty
    ☐   Highest-level decision-maker for the Counterparty

II.   **Collateral Contact Name:** Jeffrey Epstein, Darren Indyke, Richard Kahn

III.   **Collateral Contact E-mail Address:** jeevacatron@gmail.com, dKlesq@aol.com, richardkahn12@gmail.com

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[1]   Market participants should note that Collateral Contact information should be updated as appropriate to avoid potential trading disruptions in future years. *See* CFTC Regulation 23.701(e) (requiring notice at least once per calendar year).

[2]   CFTC Regulation 23.701(c) states that the notification required pursuant to CFTC Regulation 23.701(a) must be made to an officer of the counterparty responsible for the management of collateral if such an officer has been identified to the swap dealer or major swap participant. If no such person has been identified by the Counterparty, then the notification must be made to *(persons using this form should select accordingly)*:
    •   the Chief Risk Officer of the counterparty;
    •   or if there is no such officer, the Chief Executive Officer; or
    •   if none, the highest-level decision-maker for the counterparty.

[3]   Please note that while only one "Collateral Contact Type" should be selected, market participants may provide information for more than one Collateral Contact.