
From: Vahe Stepanian [REDACTED]
Sent: 2/19/2015 2:41:00 PM
To: Paul Morris [REDACTED]; Amanda Kirby [REDACTED]
CC: Daniel Sabba [REDACTED]
Subject: FW: Margin Call from DEUTSCHE BANK AG [REDACTED] to SOUTHERN FINANCIAL, LLC [REDACTED] for USD 340,000.00 [I]
Attachments: ExposureStatementReport_SOUTHERN FINANCIAL, LLC [REDACTED].xls;
Call_SOUTHERN FINANCIAL, LLC [REDACTED].pdf; [REDACTED].pdf;
[REDACTED].pdf

Classification: **For internal use only**

Paul / Amanda – just an FYI that I believe the SFLLC margin call to be no good. We're going to hold off on reaching out to the client until we hear back on the below.

Thanks,
Vahe

From: Andrew Xu
Sent: Thursday, February 19, 2015 2:37 PM
To: cmny.margin@db.com.; Courtney Dubbuisson; Rob Bechtold; Roddy Moore; Troy-D Williams
Cc: Vahe Stepanian
Subject: FW: Margin Call from DEUTSCHE BANK AG [REDACTED] to SOUTHERN FINANCIAL, LLC [REDACTED] for USD 340,000.00 [I]

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Hi Guys

I need your help on 2 things.

First thing is to add Vahe Stepanian and Daniel Sabba on to the email chain for this client and remove Tazia Smith and Kevin Quinn.

Second thing is, it seems that the client is getting double charged the IM for 1 of the trades. Highlighted below is a new IM that just popped up for this client, however I know that for that trade the IM is getting captured in the Rules of the Road segment. Can you guys double check to make sure the client is not getting penalized twice?

Thanks